

UNAUDITED

FINANCIAL REPORT

OF

TEXAS A&M RESEARCH FOUNDATION

FOR THE YEAR ENDED AUGUST 31, 2012

WITH COMPARATIVE TOTALS FOR THE YEAR ENDED AUGUST 31, 2011



LEO J. PATERRA, PRESIDENT AND CHIEF EXECUTIVE OFFICER

LINDA F. WOODMAN, CHIEF FINANCIAL OFFICER

COLLEGE STATION, TEXAS

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TEXAS A&M RESEARCH FOUNDATION

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TEXAS A&M RESEARCH FOUNDATION
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EXHIBIT III
TEXAS A&M RESEARCH FOUNDATION
BALANCE SHEET
FOR THE YEAR ENDED AUGUST 31, 2012

	CURRENT YEAR TOTAL	PRIOR YEAR TOTAL
	<u> </u>	<u> </u>
Assets and Deferred Outflows	\$	\$
Current Assets:		
Cash & Cash Equivalents [Schedule Three]	16,652,578.94	12,602,492.76
Securities Lending Collateral		
Investments		
Derivative Instruments		
Hedging Derivative Instruments		
Deferred Outflow of Resources		
Restricted:		
Cash & Cash Equivalents [Schedule Three]		
Investments		
Legislative Appropriations		
Receivables, Net:		
Federal	8,437,981.25	12,031,692.93
Other Intergovernmental		
Interest and Dividends	93,207.51	22,468.19
Gifts, Pledges and Donations		
Self-Insured Health and Dental		
Student		
Investment Trades		
Accounts	3,139,522.30	
Other	919,092.73	5,752,184.26
Due From Other Agencies		
Due From Other Members	37,725.95	28,284.52
Due From Other Funds		
Consumable Inventories		
Merchandise Inventories		
Deferred Charges		
Loans and Contracts		
Interfund Receivable		
Other Current Assets	16,843.33	38,277.97
	<u> </u>	<u> </u>
Total Current Assets	\$ 29,296,952.01	\$ 30,475,400.63
Non-Current Assets and Deferred Outflows:		
Restricted:		
Cash & Cash Equivalents [Schedule Three]	\$	\$
Assets Held By System Offices		
Investments	252,003.44	207,718.72
Loans, Contracts and Other		
Pledges Receivable		
Legislative Appropriations		
Loans and Contracts		
Assets Held By System Offices	10,038,030.10	12,524,723.02
Investments		
Derivative Instruments		
Hedging Derivative Instruments		
Deferred Outflow of Resources		
Interfund Receivable		
Capital Assets, Non-Depreciable [Note 2]		
Land and Land Improvements	305,303.34	305,303.34
Construction In Progress		
Other Tangible Capital Assets		
Land Use Rights		
Other Intangible Capital Assets		
Capital Assets, Depreciable [Note 2]		
Buildings and Building Improvements	1,664,594.17	1,664,594.17
Infrastructure		
Facilities and Other Improvements		
Furniture and Equipment	45,625,004.53	44,652,914.23
Vehicles, Boats, and Aircraft		27,536.94
Other Capital Assets		
Intangible Capital Assets, Amortized [Note 2]		
Land Use Rights		
Computer Software	1,179,737.63	1,179,737.63
Other Intangible Capital Assets		
Accumulated Depreciation/Amortization	(35,602,349.25)	(34,146,499.05)
Assets Held In Trust		
Other Non-Current Assets	13,017.58	84,123.62
	<u> </u>	<u> </u>
Total Non-Current Assets and Deferred Outflows	\$ 23,475,341.54	\$ 26,500,152.62
Total Assets and Deferred Outflows	<u>\$ 52,772,293.55</u>	<u>\$ 56,975,553.25</u>

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EXHIBIT III
TEXAS A&M RESEARCH FOUNDATION
BALANCE SHEET
FOR THE YEAR ENDED AUGUST 31, 2012

	<u>CURRENT YEAR TOTAL</u>	<u>PRIOR YEAR TOTAL</u>
Liabilities and Deferred Inflows		
Current Liabilities:		
Payables:		
Accounts Payroll	\$ 3,712,603.85	\$ 5,314,741.88
Investment Trades		
Self-Insured Health and Dental		
Other		
Interfund Payable		
Due to Other Agencies		
Due to Other Funds		
Due to Other Members	19,999,677.90	20,751,043.34
Funds Held for Investment		
Hedging Derivative Instruments		
Deferred Inflow of Resources		
Unearned Revenue	8,695,224.56	8,268,030.63
Employees' Compensable Leave	23,962.80	37,584.36
Claims and Judgments		
Notes and Loans Payable		
Bonds Payable		
Capital Lease Obligations		
Liabilities Payable From Restricted Assets		
Funds Held for Others		
Obligations/Securities Lending		
Other Current Liabilities	<u>83,049.00</u>	<u>287,777.89</u>
Total Current Liabilities	<u>\$ 32,514,518.11</u>	<u>\$ 34,659,178.10</u>
Non-Current Liabilities and Deferred Inflows		
Interfund Payable	\$ 121,523.53	\$ 193,036.74
Employees' Compensable Leave		
Other Post Employment Benefits		
Claims and Judgments		
Notes and Loans Payable		
Bonds Payable		
Hedging Derivative Instruments		
Deferred Inflow of Resources		
Assets Held In Trust		
Liabilities Payable From Restricted Assets		
Funds Held for Others		
Capital Lease Obligations		
Other Non-Current Liabilities		
Total Non-Current Liabilities and Deferred Inflows	<u>\$ 121,523.53</u>	<u>\$ 193,036.74</u>
Total Liabilities and Deferred Inflows	<u>\$ 32,636,041.64</u>	<u>\$ 34,852,214.84</u>
Net Assets		
Invested In Capital Assets, Net of Debt	\$ 13,172,290.42	\$ 13,683,587.26
Restricted For:		
Debt Service		
Capital Projects		
Education	2,018,401.27	2,554,960.56
Endowment and Permanent Funds:		
Nonexpendable	53,187.44	51,142.72
Expendable	4,892,372.78	5,833,647.87
Unrestricted		
Total Net Assets [Exhibit IV]	<u>\$ 20,136,251.91</u>	<u>\$ 22,123,338.41</u>
Total Liabilities & Deferred Inflows and Net Assets	<u>\$ 52,772,293.55</u>	<u>\$ 56,975,553.25</u>

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EXHIBIT IV
TEXAS A&M RESEARCH FOUNDATION
STATEMENT OF REVENUES, EXPENSES, AND CHANGES IN NET ASSETS
FOR THE YEAR ENDED AUGUST 31, 2012

	CURRENT YEAR TOTAL	PRIOR YEAR TOTAL
Operating Revenues		
Sales of Goods and Services		
Tuition and Fees-Pledged	\$	\$
Discounts and Allowances		
Net Tuition and Fees - Non-Pledged		
Net Professional Fees	923,908.66	7,803,117.55
Net Auxiliary Enterprises		
Net Other Sales of Goods and Services	921,292.74	1,386,537.62
Discounts and Allowances-Sales		
Total Sales of Goods and Services	\$ 1,845,201.40	\$ 9,189,655.17
Premium Revenue		
Interest Revenue		
Net Increase [Decrease] In Fair Value		
Federal Revenue - Operating	158,743,052.48	182,465,309.90
Federal Pass Through Revenue		
State Grant Revenue	252,779.67	627,752.22
State Pass Through Revenue		
Other Grants and Contracts - Operating	7,833,727.18	9,703,218.53
Other Operating Revenue	674,694.56	805,622.44
Total Operating Revenues	\$ 169,349,455.29	\$ 202,791,558.26
Operating Expenses		
Instruction	\$ 106,321.00	\$ 35,728.68
Research	163,082,726.59	184,960,784.54
Public Service	17,668.29	1,012,539.87
Hospitals and Clinics		
Academic Support		
Student Services	1,725,661.21	1,889,067.99
Institutional Support	4,081,244.71	9,572,065.08
Operation & Maintenance of Plant		
Scholarships & Fellowships		
Auxiliary		
Depreciation/Amortization	2,781,405.87	2,829,943.31
Total Operating Expenses [Schedule IV-1]	\$ 171,795,027.67	\$ 200,300,129.47
Total Operating Income [Loss]	\$ (2,445,572.38)	\$ 2,491,428.79
Nonoperating Revenues [Expenses]		
Legislative Revenue	\$	\$
Federal Revenue Non-Operating		
Federal Pass Through Non-Operating		
State Pass Through Non-Operating		
Gifts		
Land Income		
Investment Income	228,903.56	291,800.64
Loan Premium/Fees On Securities Lending		
Investing Activities Expense	(23,467.08)	
Interest Expense and Fiscal Charges		(39,527.04)
Borrower Rebates and Agent Fees		
Gain [Loss] On Sale or Disposal of Capital Assets		
Net Increase [Decrease] In Fair Value	379,137.07	981,735.39
Settlement of Claims		
Other Nonoperating Revenues	194,868.29	1,218,128.34
Other Nonoperating [Expenses]	(320,955.96)	(1,794,113.59)
Total Nonoperating Revenues [Expenses]	\$ 458,485.88	\$ 658,023.74
Income [Loss] Before Other Revenues and Transfers	\$ (1,987,086.50)	\$ 3,149,452.53

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EXHIBIT IV
 TEXAS A&M RESEARCH FOUNDATION
 STATEMENT OF REVENUES, EXPENSES, AND CHANGES IN NET ASSETS
 FOR THE YEAR ENDED AUGUST 31, 2012

	CURRENT YEAR TOTAL	PRIOR YEAR TOTAL
	<u> </u>	<u> </u>
Other Revenues and Transfers		
Capital Contributions	\$	\$
Capital Appropriations [Higher Education Fund]		
Additions to Permanent and Term Endowments		
Special Items		
Extraordinary Items		
Transfers In		
Transfers From Other State Agencies		
Mandatory Transfers From Other Members		
Nonmandatory Transfers From Other Members		
Nonmandatory Transfers From Members/Agencies-Cap Assets		
Transfers Out		
Transfers to Other State Agencies		
Mandatory Transfers to Other Members		
Nonmandatory Transfers to Other Members		
Nonmandatory Transfers to Members/Agencies - Cap Assets		
Legislative Transfers - In		
Legislative Transfers - Out		
Legislative Appropriations Lapsed		
	<u> </u>	<u> </u>
Total Other Revenues and Transfers	\$	\$
Change In Net Assets	\$ (1,987,086.50)	\$ 3,149,452.53
Beginning Net Assets, September 1, 2011 and 2010 Restatement	\$ 22,123,338.41	\$ 18,973,885.88
	<u> </u>	<u> </u>
Beginning Net Assets, September 1, 2011 and 2010 Restated	\$ 22,123,338.41	\$ 18,973,885.88
	<u> </u>	<u> </u>
Net Assets, August 31, 2012 and 2011	\$ 20,136,251.91	\$ 22,123,338.41
	<u> </u>	<u> </u>

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SCHEDULE IV-1
 TEXAS A&M RESEARCH FOUNDATION
 NACUBO FUNCTION TO NATURAL CLASSIFICATION MATRIX
 FOR THE YEAR ENDED AUGUST 31, 2012

	INSTRUCTION	RESEARCH	PUBLIC SERVICE	HOSPITALS & CLINICS	ACADEMIC SUPPORT
Natural Classification	\$	\$	\$	\$	\$
Cost of Goods Sold					
Salaries & Wages	49,401.63	50,048,072.29	2,397.85		
Payroll Related Costs	6,872.38	9,268,115.84	462.30		
Professional Fees & Services		43,417,626.82	5,751.33		
Travel	8,167.63	6,089,106.65			
Materials & Supplies		16,672,807.77	4,656.40		
Communication & Utilities		358,293.35			
Repairs & Maintenance		903,736.11			
Rentals & Leases		124,425.19			
Printing & Reproduction		208,180.43	144.40		
Federal Pass-Through					
State Pass-Through					
Depreciation & Amortization					
Bad Debt Expense					
Interest					
Scholarships	20,000.00	4,355,151.06			
Claims & Losses					
Net Change In OPEB Obligation					
Other Operating Expenses	21,879.36	31,637,211.08	4,256.01		
Total Operating Expenses	\$ 106,321.00	\$ 163,082,726.59	\$ 17,668.29	\$	\$

NACUBO FUNCTION

STUDENT SERVICES	INSTITUTIONAL SUPPORT	OPERATION & MAINTENANCE OF PLANT	SCHOLARSHIPS & FELLOWSHIPS	AUXILIARY	DEPRECIATION & AMORTIZATION	TOTAL	PRIOR YEAR
\$	\$	\$	\$	\$	\$	\$	\$
73,851.48	1,183,080.38					51,356,803.63	59,682,567.38
7,757.78	565,682.19					9,848,890.49	12,148,729.82
1,392,041.69	626,802.15					45,442,221.99	50,728,831.62
	21,485.99					6,118,760.27	6,972,790.71
	17,669.33					16,695,133.50	21,232,136.24
12,937.04	228,537.28					599,767.67	854,704.31
	149,878.79					1,053,614.90	1,149,808.52
4,176.13	333,758.40					462,359.72	510,014.85
						208,324.83	192,720.05
					2,781,405.87	2,781,405.87	2,829,943.31
	4,080.06					4,080.06	10,081.85
						4,375,151.06	4,162,357.97
<u>234,897.09</u>	<u>950,270.14</u>					<u>32,848,513.68</u>	<u>39,825,442.84</u>
<u>\$ 1,725,661.21</u>	<u>\$ 4,081,244.71</u>				<u>\$ 2,781,405.87</u>	<u>\$ 171,795,027.67</u>	<u>\$ 200,300,129.47</u>

[Exhibit IV]

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EXHIBIT V
TEXAS A&M RESEARCH FOUNDATION
STATEMENT OF CASH FLOWS
FOR THE YEAR ENDED AUGUST 31, 2012

	CURRENT YEAR TOTAL	PRIOR YEAR TOTAL
Cash Flows From Operating Activities	\$	\$
Proceeds Received From Tuition and Fees		
Proceeds Received From Customers	1,845,201.40	1,374,925.39
Proceeds From Sponsored Programs	173,209,287.30	199,171,877.38
Proceeds From Auxiliary Enterprises		
Proceeds From Loan Programs		
Proceeds From Other Revenues		
Payments to Suppliers for Goods and Services	(169,816,150.24)	(191,387,336.80)
Payments to Employees - Salaries	(1,183,080.38)	(5,550,785.27)
Payments to Employees - Benefits	(565,682.19)	(1,664,278.73)
Payments for Loans Provided		
Payments for Other Expenses		
Net Cash Provided [Used] By Operating Activities	<u>\$ 3,489,575.89</u>	<u>\$ 1,944,401.97</u>
Cash Flows From Noncapital Financing Activities	\$	\$
Proceeds From State Appropriations		
Proceeds From Gifts		
Proceeds From Endowments		
Proceeds - Transfers From Other Funds		
Proceeds From Other Grant Revenue		
Proceeds From Contributed Capital		
Proceeds From Other Revenues		
Payments of Interest		
Payments - Transfers to Other Funds		
Payments for Grant Disbursements		
Payments for Other Uses		
Other Noncapital Transfers From/To System		
Transfers Between Fund Groups		
Net Cash Provided [Used] By Noncapital Financing Activities	<u>\$</u>	<u>\$</u>
Cash Flows From Capital and Related Financing Activities	\$	\$
Proceeds From Sale of Capital Assets		
Proceeds From Capital Debt Issuance		
Proceeds From State Grants and Contracts		
Proceeds From Federal Grants and Contracts		
Proceeds From Gifts		
Proceeds From Interfund Loans		
Proceeds From Other Financing Activities		
Payments for Additions to Capital Assets	(2,417,025.31)	(4,402,040.52)
Payments of Principal On Debt		
Payments for Capital Leases		
Payments for Interest On Capital Related Debt		
Payments for Interfund Loans		
Payments of Other Costs On Debt Issuance		
Transfer of Capital Debt Proceeds From System [Nonmandatory]		
Intrasystem Transfers for Capital Debt [Mandatory]		
Intrasystem Transfers for Construction Proceeds [Non-Mand]		
Net Cash Provided [Used] By Capital and Related Financing Act.	<u>\$ (2,417,025.31)</u>	<u>\$ (4,402,040.52)</u>
Cash Flows From Investing Activities	\$	\$
Proceeds From Sales and Maturities of Investments		
Sales and Purchases of Investments Held By System	2,821,998.14	(202,179.65)
Proceeds From Interest and Investment Income	205,436.48	252,273.60
Payments to Acquire Investments	(49,899.02)	(50,746.65)
Net Cash Provided [Used] By Investing Activities	<u>\$ 2,977,535.60</u>	<u>\$ (652.70)</u>
Increase [Decrease] In Cash and Cash Equivalents	<u>\$ 4,050,086.18</u>	<u>\$ (2,458,291.25)</u>
Cash and Cash Equivalents, September 1, 2011 and 2010 Restatement	<u>\$ 12,602,492.76</u>	<u>\$ 15,060,784.01</u>
Restated Beginning Cash and Cash Equivalents	<u>\$ 12,602,492.76</u>	<u>\$ 15,060,784.01</u>
Cash and Cash Equivalents, August 31, 2012 and 2011 [Sch Three]	<u><u>\$ 16,652,578.94</u></u>	<u><u>\$ 12,602,492.76</u></u>

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EXHIBIT V
 TEXAS A&M RESEARCH FOUNDATION
 STATEMENT OF CASH FLOWS
 FOR THE YEAR ENDED AUGUST 31, 2012

	CURRENT YEAR TOTAL	PRIOR YEAR TOTAL
	<u> </u>	<u> </u>
Reconciliation of Operating Income [Loss] to Net Cash Provided [Used] By Operating Activities	\$	\$
Operating Income [Loss]	(2,445,572.38)	2,491,428.79
Adjustments to Reconcile Operating Income [Loss] to Net Cash Provided [Used] By Operating Activities		
Depreciation and Amortization	2,781,405.87	2,829,943.31
Bad Debt Expense		
Operating Income and Expense Classification Differences		
Changes In Assets and Liabilities:		
Accounts Receivable, Net	5,287,280.91	(1,119,717.06)
Due From Other Agencies/Funds		
Due From System Members	(9,441.43)	39,869.37
Inventory		
Deferred Charges		
Prepaid Expenses	21,434.64	650,753.51
Loans and Contracts		
Other Assets	71,106.04	
Payables	(1,602,602.59)	(510,163.48)
Due to Other Agencies/Funds		
Due to System Members	(751,365.44)	(1,196,653.85)
Unearned Revenue	427,193.93	(1,164,907.80)
Deposits		
Compensated Absence Liability	(85,134.77)	(363,307.51)
Other Post Employment Benefits Liability		
Self Insured Accrued Liability		
Other Liabilities	<u>(204,728.89)</u>	<u>287,156.69</u>
Total Adjustments	<u>\$ 5,935,148.27</u>	<u>\$ (547,026.82)</u>
Net Cash Provided [Used] By Operating Activities	<u>\$ 3,489,575.89</u>	<u>\$ 1,944,401.97</u>
Non-Cash Transactions		
Nonmonetary Gifts, Including Capital Assets	\$	\$
Net Increase [Decrease] In Fair Value of Investments	26,485.91	700,984.50
Gain/Loss On Sale or Disposal of Capital Assets		
Refunding of Long Term Debt		
Amortization of Bond Premiums and Discounts		
Other		

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SCHEDULE 1 - A
 TEXAS A&M RESEARCH FOUNDATION
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 For the Year Ended August 31, 2012

Federal Grantor/Pass-through Grantor / Program Title	CFDA Number	Identifying Number	Pass-through From		Non-State Entities Amount
			Agy/ Univ No	Agencies or Universities Amount	
Research & Development Cluster					
U.S. Department of Agriculture					
Rosbreed: Enabling Marker Assisted Breeding in Rosaceae	10.000	61-4296H (2009-51181-05808)	\$	-	\$ 46,048.37
Federal-State Marketing Improvement Program	10.156	RC064760TAMU			6,956.52
Grants for Agricultural Research-Special Research Grants	10.200	S11050			3,966.92
1890 Institution Capacity Building Grants	10.216	CR-0645-0001(USDA 2010-38821-21476)			12,048.95
1890 Institution Capacity Building Grants	10.216	10048			
Higher Education Challenge Grants	10.217	60025469 (2010-38411-21368)			14,909.00
Hispanic Serving Institutions Education Grants	10.223	1001151			32,380.45
Research Innovation and Development Grants in Economic (RIDGE)	10.255	018000-321470-02			22,984.42
Integrated Programs	10.303	G-1420-5 (USDA 2009-51110-06067)			39,482.90
Integrated Programs	10.303	AB-5-67490-TAMU-RF			145,219.62
Integrated Programs	10.303	S11059 (2010-51110-21083)			51,442.96
Specialty Crop Research Initiative	10.309	2010-03728-01			8,140.05
Agriculture and Food Research Initiative (AFRI)	10.310	3048081100-07-291 (2007-35600-17829)			342.70
		RD3171004784286 (2011-68003-30012)			59,391.99
		201015739-02			67,022.46
		422198-19819			4,878.51
		Z541306 (USDA 2011-85204-30046)			24,586.10
		Z541305 (USDA 2011-85204-30046)			15,271.34
		25606 (2011-67003-30342)			47,464.69
		UF11099 (USDA 2011-68002-30185)			224,351.22
		25-6321-0212-003 (2011-67003-30206)			106,067.85
		58396			56,549.51
		58396			14,733.69
		C00031587-7 (USDA 2011-68004-30214)			6,577.78
		TAMRF-30213 (2011-657003-30213)			67,045.08
Direct Programs:					
Agricultural Research Basic and Applied Research	10.001				
Plant and Animal Disease, Pest Control and Animal Care	10.025				
Grants for Agricultural Research-Special Research Grants	10.200				
Food and Agricultural Sciences National Needs Graduate Fellowship Grants	10.210				
1890 Institution Capacity Building Grants	10.216				
Food Assistance and Nutrition Research Programs	10.253				
Integrated Programs	10.303				
International Science and Education Grants	10.305				
Organic Agriculture Research and Extension Initiative	10.307				
Specialty Crop Research Initiative	10.309				
Agriculture and Food Research Initiative (AFRI)	10.310				
Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers	10.443				
Environmental Quality Incentives Program	10.912				
Scientific Cooperation and Research	10.961				
Totals - U.S. Department of Agriculture					1,077,863.08
U.S. Department of Commerce					
South West Academy for Nanoelectronics (SWAN)	11.000	UTA06-824			
Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System)	11.400	052304TAMRF			11,472.92
National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes	11.432	08112011			86,310.34
		329K453 (DOCNOAA NA10NES4400013)			29,701.56
		011-004 (NA10NMF4540112 - NOAA)			12,360.86
Unallied Management Projects	11.454				13,565.19
Habitat Conservation	11.463	1050/DG133C006NC1729/GSA10F0224J			2,722.93
Coastal Services Center	11.473	100019824			7,781.40
Direct Programs:					
Integrated Ocean Observing System	11.012				
Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program	11.427				
Climate and Atmospheric Research	11.431				
Marine Fisheries Initiative	11.433				
Unallied Management Projects	11.454				
Special Oceanic and Atmospheric Projects	11.460				
Applied Meteorological Research	11.468				
Coastal Services Center	11.473				
Center for Sponsored Coastal Ocean Research-Coastal Ocean Program	11.478				
Totals - U.S. Department of Commerce					163,915.20
U.S. Department of Defense					
Transportation Rates & Closure Response Research Calcasieu Lock	12.000	MURC 210145, P1000933			4,500.16

UNAUDITED

SCHEDULE 1 - A
 TEXAS A&M RESEARCH FOUNDATION
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 For the Year Ended August 31, 2009

Direct Program Amount	Total Pass- Through From and Direct Program	Agy/ Univ No.	Pass-through To		Expenditures	Total Pass Through To and Expenditures
			Agencies or Universities Amount	Non-State Entities Amount		
\$ -	\$ 46,048.37		\$ -	\$ -	\$ 46,048.37	\$ 46,048.37
	6,956.52				6,956.52	6,956.52
	3,966.92				3,966.92	3,966.92
	12,048.95				12,048.95	12,048.95
18,507.85	18,507.85				18,507.85	18,507.85
	14,909.00				14,909.00	14,909.00
	32,380.45				32,380.45	32,380.45
	22,984.42				22,984.42	22,984.42
	39,482.90				39,482.90	39,482.90
	145,219.62				145,219.62	145,219.62
	51,442.96				51,442.96	51,442.96
	8,140.05				8,140.05	8,140.05
	342.70				342.70	342.70
	59,391.99				59,391.99	59,391.99
	67,022.46				67,022.46	67,022.46
	4,878.51				4,878.51	4,878.51
	24,586.10				24,586.10	24,586.10
	15,271.34				15,271.34	15,271.34
	47,464.69				47,464.69	47,464.69
	224,351.22				224,351.22	224,351.22
	106,067.85				106,067.85	106,067.85
	56,549.51				56,549.51	56,549.51
	14,733.69				14,733.69	14,733.69
	6,577.78				6,577.78	6,577.78
	67,045.08				67,045.08	67,045.08
16,318.35	16,318.35				16,318.35	16,318.35
246,818.22	246,818.22				246,818.22	246,818.22
184,665.34	184,665.34			7,645.43	177,019.91	184,665.34
227,370.84	227,370.84			12,250.02	215,120.82	227,370.84
72,947.24	72,947.24				72,947.24	72,947.24
84,545.56	84,545.56				84,545.56	84,545.56
144,620.87	144,620.87				144,620.87	144,620.87
25,412.35	25,412.35				25,412.35	25,412.35
75,074.07	75,074.07				75,074.07	75,074.07
731,298.99	731,298.99			589,444.60	141,854.39	731,298.99
3,840,203.36	3,840,203.36			1,721,058.42	2,119,144.94	3,840,203.36
27,187.61	27,187.61				27,187.61	27,187.61
55,227.73	55,227.73				55,227.73	55,227.73
16,704.96	16,704.96				16,704.96	16,704.96
<u>5,766,903.34</u>	<u>6,844,766.42</u>		<u>-</u>	<u>2,330,398.47</u>	<u>4,514,367.95</u>	<u>6,844,766.42</u>
76,306.77	76,306.77				76,306.77	76,306.77
	11,472.92				11,472.92	11,472.92
	86,310.34				86,310.34	86,310.34
	29,701.56				29,701.56	29,701.56
	12,360.86				12,360.86	12,360.86
	<u>13,565.19</u>				<u>13,565.19</u>	<u>13,565.19</u>
	2,722.93				2,722.93	2,722.93
	7,781.40				7,781.40	7,781.40
809,275.23	809,275.23			508,257.32	301,017.91	809,275.23
106,254.22	106,254.22			4,899.49	101,354.73	106,254.22
464,857.79	464,857.79				464,857.79	464,857.79
59,902.08	59,902.08				59,902.08	59,902.08
80,253.64	80,253.64				80,253.64	80,253.64
43,679.61	43,679.61				43,679.61	43,679.61
132,429.07	132,429.07			12,661.74	119,767.33	132,429.07
591,097.45	591,097.45			213,943.51	377,153.94	591,097.45
57,840.98	57,840.98				57,840.98	57,840.98
<u>2,421,896.84</u>	<u>2,585,812.04</u>		<u>-</u>	<u>739,762.06</u>	<u>1,846,049.98</u>	<u>2,585,812.04</u>
	4,500.16				4,500.16	4,500.16

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 TEXAS A&M RESEARCH FOUNDATION
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
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Federal Grantor/Pass-through Grantor / Program Title	CFDA Number	Identifying Number	Pass-through From		Non-State Entities Amount
			Agy/ Univ No	Agencies or Universities Amount	
High Heat Flux Removal (HHER) Systems for Aerodynamic and Aerospace Thermal Management	12.000	08-S590-0004-02-C1			30,241.56
Peaking Factor (PF) Correlation Development & Helical Wire Insert Enhancement for Thermal Management	12.000	11-S590-0004-02-C19			113,900.50
Science Applications International Corporation Mentor Protege Program with Characterization of Chlorinated Aliphatic Hydrocarbon Degrading Lineages Within the Camp Stanley Sotrage Activity Bioreactor	12.000	010111883			28,872.95
Basic and Applied Scientific Research	12.000	33038			16,735.73
Military Medical Research and Development	12.300	UTA09-000726 (N00014-09-1-1054)			
	12.420	127561 126994 003333, C-3290			54,022.22
Basic Scientific Research	12.431	07-1410, 13060 FA35			58,183.59
Basic Scientific Research	12.431	S08020			423,793.94
Basic, Applied, and Advanced Research in Science and Engineering Research and Technology Development	12.630	2009-ROTC-U634007-1-TAMU			259,436.25
	12.910	N00014-11-C-0593			55,223.24
<u>Direct Programs:</u>					
REQ - Army Research Laboratory	12.000	W911QX-10-C-0003			
Long-Term Monitoring of Human Impacts at McMurdo Station, Antarctica - Phase 6	12.000	W913E5-10-C-0017			
Discovery of Host-Based Therapeutics Targets for Biothreat Agents Using High-Throughput Screening of Mouse Embryonic Stem Cell	12.000	HDTRA1-10-C-0063			
Discovery of Host-Based Therapeutics Targets for Biothreat Agents Using High-Throughput Screening of Mouse Embryonic Stem Cell	12.000	HDTRA1-10-C-0063			
University Affiliation for Principles of Military Preventative Medicine Program of Instruction - Option Year 3	12.000	P00001			
University Affiliation for Principles of Military Preventative Medicine Program of Instruction - Option Year 4	12.000	W81K04-08-D0001			
Long-Term Monitoring of Human Impacts at McMurdo Station, Antarctica - Phase 7	12.000	0004, 01			
Realistic Spin-Fets and Efficient Spin-Logic Architectures for Low Power Logic Computing	12.000	W81K04-08-D0001 005, 01			
Long-Term Monitoring of Human Impacts at McMurdo Station, Antarctica - Phase 8	12.000	W913E5-11-C-0004			
Basic and Applied Scientific Research	12.300	N00014-11-1-0672			
Military Medical Research and Development	12.420	W913E5-12-C-0006			
Basic Scientific Research	12.431				
Air Force Defense Research Sciences Program	12.800				
Mathematical Sciences Grants Program	12.901				
Totals - U.S. Department of Defense					<u>1,044,910.14</u>
U.S. Department of Housing and Urban Development					
Demolition and Reutilization of Severely Distressed Public Housing	14.866	01012007-04152011			1,551.76
Totals - U.S. Department of Housing and Urban Development					<u>1,551.76</u>
U.S. Department of the Interior					
Construction of a Computer Model of the St. Croix River Watershed to Address Non-Point Pollution Loads	15.000	01122009 (NPS J659005002C)			27,683.23
Exploration and Research of Mid-Atlantic Deepwater Hard Bottom Habitats and Shipwrecks with Emphasis on Canyons and Coral	15.000	CSA JOB 2314			18,437.59
Coastal Impact Assistance Program (CIAP)	15.426	411172			
<u>Direct Programs:</u>					
B-Laboratory Analysis of Animal Tissue, Oil and Sediment Samples for Residue of Inorganic Contaminants	15.000	982107D004			
U.S. Fish and Wildlife Service Analysis of Environmental Materials for Organic Contaminants	15.000	982108D105/F12PC00080			
Water Conservation Field Services Program (WCFSP)	15.530				
Migratory Bird Monitoring, Assessment and Conservation	15.655				
Totals - U.S. Department of the Interior					<u>46,120.82</u>
U.S. Department of Justice					
<u>Direct Programs:</u>					
Part E - Developing, Testing and Demonstrating Promising New Programs	16.541				
National Institute of Justice Research, Evaluation, and Development Project Grants	16.560				
Totals - U.S. Department of Justice					<u>-</u>
U.S. Department of State					
Breeding Sorghum for Improved Resistance to Biotic and Abiotic Stresses and Enhanced End-Use Characteristics for Southern Africa	19.000	25-6805-0016-906 (TAM-102)			96,814.51
<u>Direct Programs:</u>					
RDT&E of Vehicle Anti-Ram Barriers	19.000	S-DSASD-10-CA-201			
Totals - U.S. Department of State					<u>96,814.51</u>
U.S. Department of Transportation					

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 TEXAS A&M RESEARCH FOUNDATION
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Direct Program Amount	Total Pass- Through From and Direct Program	Agy/ Univ No.	Pass-through To		Expenditures	Total Pass Through To and Expenditures
			Agencies or Universities Amount	Non-State Entities Amount		
	30,241.56				30,241.56	30,241.56
	113,900.50				113,900.50	113,900.50
	28,872.95				28,872.95	28,872.95
	16,735.73				16,735.73	16,735.73
183,623.31	183,623.31				183,623.31	183,623.31
220,608.75	220,608.75				220,608.75	220,608.75
	54,022.22				54,022.22	54,022.22
	58,183.59				58,183.59	58,183.59
	423,793.94				423,793.94	423,793.94
	259,436.25				259,436.25	259,436.25
	55,223.24				55,223.24	55,223.24
11,660.55 (840.00)	11,660.55 (840.00)				11,660.55 (840.00)	11,660.55 (840.00)
48,674.13	48,674.13				48,674.13	48,674.13
1,899,258.79	1,899,258.79			1,899,258.79		1,899,258.79
28,340.03	28,340.03			28,340.03		28,340.03
21,099.13	21,099.13			21,099.13		21,099.13
99,076.06	99,076.06			24,020.00 75,056.06		99,076.06
91,744.60	91,744.60			91,744.60		91,744.60
183,098.49	183,098.49			41,019.00 142,079.49		183,098.49
899,279.34	899,279.34			77,070.43 822,208.91		899,279.34
1,883,125.23	1,883,125.23			355,858.51 1,527,266.72		1,883,125.23
80,009.81	80,009.81			80,009.81		80,009.81
331,681.63	331,681.63			331,681.63		331,681.63
80,190.03	80,190.03			80,190.03		80,190.03
<u>6,060,629.88</u>	<u>7,105,540.02</u>			<u>497,967.94</u> <u>6,607,572.08</u>		<u>7,105,540.02</u>
	1,551.76			1,551.76		1,551.76
	<u>1,551.76</u>			<u>1,551.76</u>		<u>1,551.76</u>
	27,683.23			27,683.23		27,683.23
	18,437.59			18,437.59		18,437.59
223,373.73	223,373.73			147,500.00 75,873.73		223,373.73
25,073.96	25,073.96			25,073.96		25,073.96
<u>264,162.01</u>	<u>264,162.01</u>			<u>264,162.01</u>		<u>264,162.01</u>
44,211.79	44,211.79			44,211.79		44,211.79
50,444.03	50,444.03			50,444.03		50,444.03
<u>607,265.52</u>	<u>653,386.34</u>			<u>147,500.00</u> <u>505,886.34</u>		<u>653,386.34</u>
3,719.75	3,719.75			3,719.75		3,719.75
167,684.73	167,684.73			100,066.36 67,618.37		167,684.73
<u>171,404.48</u>	<u>171,404.48</u>			<u>100,066.36</u> <u>71,338.12</u>		<u>171,404.48</u>
	96,814.51			96,814.51		96,814.51
<u>854,895.94</u>	<u>854,895.94</u>			<u>854,895.94</u>		<u>854,895.94</u>
<u>854,895.94</u>	<u>951,710.45</u>			<u>951,710.45</u>		<u>951,710.45</u>

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SCHEDULE 1 - A
 TEXAS A&M RESEARCH FOUNDATION
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 For the Year Ended August 31, 2012

Federal Grantor/Pass-through Grantor / Program Title	CFDA Number	Identifying Number	Pass-through From		Non-State Entities Amount
			Agy/ Univ No	Agencies or Universities Amount	
Pavement Marking Concepts	20.000	TO-4400128959-4600006508			38,145.82
National Work Zone Safety Information Clearinghouse	20.000	03192007			190,871.59
Work Zone Safety Grants	20.000	06042007			22,740.81
Performance Measurement Tool Box and Reporting System for Research Programs and Projects	20.000	HR 20-63A			1,988.53
WZ Performance Measures Pilot Test	20.000	600112-8			3,638.45
Enhanced Safety Prediction Methodology and Analysis Tool for Freeways and Interchanges	20.000	HR 17-45			161,751.54
Increased Understanding of Driver Visibility Requirements	20.000	4400150454			26,363.07
Measuring Border Delay and Crossing Times at the US/Mexico Border	20.000	60011214			50,599.64
Trip-Generation Rates for Transportation Impact Analyses of Infill Developments	20.000	04/11/08 (HR 08-66)			963.44
UPA Evaluation	20.000	600112-15			147,600.35
Context Sensitive Solutions Designing for Major Urban Thoroughfares for Walkable Communities	20.000	ITE 00-21.11			2,424.14
Development of Clear Recovery Area Guidelines	20.000	HR 17-11(002)			8,717.89
Roadway Departure Focus State Initiatives: Technical Assistance and Support	20.000	TO4400159334-4600006508			38,257.35
Development of Methodologies to Evaluate the Nighttime Safety Implications of the Roadway Visual Scene Under Varying Cognitive Task Loads	20.000	CR19819425891			252.80
Motivations for Speeding	20.000	223724			189.90
Identification of Utility Conflicts and Solutions	20.000	SHR R-15(B)			3,863.12
Using Both Infrared and High-Speed Ground Penetrating Radar for Uniformity Measurements on New HMA Layers	20.000	SHRP R-06(C)			78,065.69
Recommended Laboratory Test for Predicting the Initial Retroreflectivity of Pavement Markings from Glass Bead Quality	20.000	4281716(NCHRP 0438)			32,061.66
Applying Intelligent Transportation Systems to Improve Airport Traveler Access Information	20.000	ACRP A10-08			6,316.29
Assessing Alternative Methods for Measuring Regional Mobility in Metropolitan Regions	20.000	7315, TO #102			3,499.73
Sustainability Performance Measures for State Departments of Transportation and Other Transportation Agencies	20.000	NAS 138, TO1, HR 08-74			2,057.96
Effectiveness of Different Approaches to Disseminating Traveler Information on Travel Time Reliability	20.000	SHRP L-14			236,028.08
High-Speed Nondestructive Testing Methods for Mapping Voids, Debonding, Delaminations, Moisture, and Other Defects	20.000	SHRP R-06G			685,573.81
Urban Congestion Report Program	20.000	600112-26			19.44
Development in Weather Responsive Traffic Management (WRTM) Strategies	20.000	600112-29			16,149.28
Introduction to Statewide Transportation Planning TPE05-30	20.000	3854 P01409855 430264			13,166.20
Traffic Incident Management (TIM) Incident-Performance Metric Adoption Campaign	20.000	600112-30			5,320.07
Support to FHWA in the Development of Congestion Pricing Marketing Material Transportation Planning Process	20.000	600112-32			1,783.61
Work Plan for 2007 TOPR No. 6 - Task 2.2 - Highways for Life Projects	20.000	L00028.09003			37,467.42
NHI Operations Courses (Iteris IDIQ)	20.000	22109NHI (DOTFHWA6108D0036)			13,393.23
Production of the New AASHTO Practical Guide to Estimating	20.000	1546560, PO0000072559			1,091.77
Assessing Public Benefits and Costs of Freight Transportation Projects	20.000	211K481			26,194.19
Improved Right-of-Way Procedures and Business Practices	20.000	NAS 128,HR-20-84, 4			50,387.55
Highway Infrastructure and Operations Safety Research Needs	20.000	W005771, UNCC-CH 5-43641			867.56
Effective Removal of Pavement Markings	20.000	NAS 138, TO 4: HR 14-22			83,434.49
Support to FHWA for Developing a White Paper on How Operations Supports Livability, Sustainability, and Climate Change Goals	20.000	600112-35			292.43
Guidelines for Cost Effective Safety Treatments of Roadside Ditches	20.000	NAS 138 TO 01, HR 16-05			77,442.66
Design Guidelines for TL-3 Through TL-5 Roadside Barrier Systems Placed on Mechanically Stabilized Earth (MSE) Retaining Walls	20.000	NCHRP 22-20(002)			156,802.87
Incorporating Reliability Performance Measures into the Transportation Planning and Programming Processes	20.000	8353-001			54,552.25
Transit Data Collection and Analysis in State DOT Transit Units and a Toolkit for Next Generation Transit Data Analysis	20.000	1			2,417.06
Long-Range Strategic Issues Affecting Preservation, Maintenance, and Renewal of Highway Infrastructure	20.000	NAS 138, TO 07, HR 20-83(003)			10,067.75
Performance-Related Specifications for Pavement Preservation Treatments	20.000	NAS 138, TO 05, HR 10-82			13,101.38
Developing a Congestion Mitigation Toolbox	20.000	2009-0661			1,933.61
Performance of WMA Technologies: Stage 1 - Moisture Susceptibility	20.000	NAS 138, TO 06, HR 09-49			186,141.54
Project Management - Technical Support for Highway Safety	20.000	P010052675-R1 4600006508			11,738.47
TACTICS - Crew Resource MGT Safety Training Initiative	20.000	PON6583			33,547.04
Private Sector Data for Performance Management	20.000	600112-37			3,375.62
Transit Capacity and Quality of Service Manual, 3rd Edition	20.000	10800 TCRP A-15C			14,459.30
ITS Standards Training Year 1 Module Development - Task IT42-019	20.000	ITE-00-21.12.2, TO IT42-019			(3,795.58)
Best Practices for Intellidrive Interfaces	20.000	8172-S-010, TO 1			262.71
An Investigation of Teen Driver Parental Involvement Programs in the U.S.	20.000	DTNH22-09-D-00134/TO0001			6,967.13
Bottleneck Initiative - Unique Challenges Overcome	20.000	600112-39			19,109.68

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Direct Program Amount	Total Pass- Through From and Direct Program	Agcy/ Univ No.	Pass-through To		Expenditures	Total Pass Through To and Expenditures
			Agencies or Universities Amount	Non-State Entities Amount		
	38,145.82			23,526.72	14,619.10	38,145.82
	190,871.59				190,871.59	190,871.59
	22,740.81				22,740.81	22,740.81
	1,988.53				1,988.53	1,988.53
	3,638.45				3,638.45	3,638.45
	161,751.54			46,452.24	115,299.30	161,751.54
	26,363.07				26,363.07	26,363.07
	50,599.64				50,599.64	50,599.64
	963.44				963.44	963.44
	147,600.35				147,600.35	147,600.35
	2,424.14				2,424.14	2,424.14
	8,717.89				8,717.89	8,717.89
	38,257.35				38,257.35	38,257.35
	252.80				252.80	252.80
	189.90				189.90	189.90
	3,863.12				3,863.12	3,863.12
	78,065.69				78,065.69	78,065.69
	32,061.66				32,061.66	32,061.66
	6,316.29				6,316.29	6,316.29
	3,499.73				3,499.73	3,499.73
	2,057.96				2,057.96	2,057.96
	236,028.08			91,812.72	144,215.36	236,028.08
	685,573.81			387,429.59	298,144.22	685,573.81
	19.44				19.44	19.44
	16,149.28				16,149.28	16,149.28
	13,166.20				13,166.20	13,166.20
	5,320.07				5,320.07	5,320.07
	1,783.61				1,783.61	1,783.61
	37,467.42				37,467.42	37,467.42
	13,393.23				13,393.23	13,393.23
	1,091.77				1,091.77	1,091.77
	26,194.19				26,194.19	26,194.19
	50,387.55			4,824.11	45,563.44	50,387.55
	867.56				867.56	867.56
	83,434.49				83,434.49	83,434.49
	292.43				292.43	292.43
	77,442.66			7,247.00	70,195.66	77,442.66
	156,802.87				156,802.87	156,802.87
	54,552.25				54,552.25	54,552.25
	2,417.06				2,417.06	2,417.06
	10,067.75			9,682.94	384.81	10,067.75
	13,101.38				13,101.38	13,101.38
	1,933.61				1,933.61	1,933.61
	186,141.54				186,141.54	186,141.54
	11,738.47				11,738.47	11,738.47
	33,547.04				33,547.04	33,547.04
	3,375.62				3,375.62	3,375.62
	14,459.30				14,459.30	14,459.30
	(3,795.58)				(3,795.58)	(3,795.58)
	262.71				262.71	262.71
	6,967.13				6,967.13	6,967.13
	19,109.68				19,109.68	19,109.68

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 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 For the Year Ended August 31, 2012

Federal Grantor/Pass-through Grantor / Program Title	CFDA Number	Identifying Number	Pass-through From		Non-State Entities Amount
			Agy/ Univ No	Agencies or Universities Amount	
Mining Recovery Act Jobs Data for Opportunities to Improve the State-of-the-Practice for Overall Economic Impact and Performance	20.000	7315, TO 03			2,921.20
Integration of Weigh-in-Motion Technology into NIST's Handbook #44	20.000	600112-38			6,152.54
ITS Standards Training Year 1 Module Development - Task IT42-019	20.000	ITE-00-21-12.1 TO IT42-019			3,795.58
Long-Distance and Rural Travel Transferable Parameters for Statewide Travel Forecasting	20.000	08488-001			8,523.29
Recent Geometric Design Research for Improved Safety, Operations, and Maintenance	20.000	NAS 138, TO8, HR14-22			13,672.00
Border-Wide Assessment of Intelligent Transportation Systems (ITS) Technology- Current and Future Concepts	20.000	600112-41			2,657.66
Support to FHWA in the Development of the Active Transportation and Demand Management (ATDM) Program	20.000	60C112-40			51,198.83
Roundabouts Peer-to-Peer Program	20.000	P010052675-T-10-005			594.99
Improving Signing and Markings at Complex Interchanges	20.000	601119-2			98,008.80
Evaluation of Pedestrians Safety Engineering Countermeasures at Urban and Suburban Midblock Crossing Locations	20.000	601119-1			190,698.17
Deployment of the Teens in the Driver Seat - Johnston County, North Carolina	20.000	11162010			2,984.81
Air Cargo in the Mississippi Valley Freight Coalition Region	20.000	266K630			39,405.91
Practices to Manage Traffic Sign Retroreflectivity	20.000	NAS 138, TO 9, HR 20-05 (42-12)			1,962.27
Traffic Analysis Framework - Developing Traffic Data Collection Format and Procedures	20.000	7877 TO 131			23,743.74
Recommended Guidelines for the Selection of Test Levels 2 Through 5 Bridge Rails	20.000	12202010			12,054.60
Marine Highway Transport of Toxic Inhalation Hazard (TIH) Materials	20.000	HCFRP-17(001)			81,475.05
Exploratory Research on Technology Options for Collection of Road User Fees	20.000	600112-43			194,207.42
Truck Size and Weight Research	20.000	NAS 138 TO 4 HR07(303)			25.50
Signal Phase and Timing & Related Messages for Intellidrivem Applications	20.000	600112-44			313,064.15
Guidelines for Nighttime Visibility of Overhead Guide Signs	20.000	NAS 138, TO 4, HR 05-20			298,002.78
Development of Improved Economic Analysis Tools Based on Recommendations from Project CO3	20.000	SHRP2 C11			73,139.42
Improving the Quality of Motorcycle Travel Data Collection	20.000	NAS 138 TO13 HR08-81			164,948.85
Testing of Cable Median Barrier in a Narrow Ditch	20.000	HR 22-14(004)			38,065.18
Incorporation of Travel Time Reliability into the Highway Capacity Manual	20.000	11000			8,359.26
Design Guidance for Intersection Auxiliary Lanes	20.000	NAS 138 TO 14, HR03-102			85,661.88
Highway Safety Visibility Program (HSVP) Outreach, Technical Assistance, and Rulemaking	20.000	P010052675 R6 T-11-002			15,927.16
Task IT42-020-Traffic Signal Operations Resource Development and Self Assessment/Traffic Signal Report Card	20.000	ITE-00-21.13 TO IT42-020			1,744.48
Update of the Signal Timing Manual	20.000	11109			3,426.67
Safety Support Services - Review of States' Railway-Highway Crossing Reports and Preparation of the Draft 2011	20.000	P010052675-R4, T-11-003			48,207.96
Evaluating the Performance of Corridors with Roundabouts	20.000	11334			43,301.90
Real-Time Adaptive Traffic Control System Evaluation	20.000	01-422-001			3,243.68
International Border Crossing Electronic Screening System for Trucks and Buses	20.000	US001-000Q276212			61,569.75
Roadway Departure Roadside Safety System Product Acceptance	20.000	P010052675-T-11-005			29,803.14
Transportation Rates Research - GIWW-E, Arkansas River, Red River	20.000	MURC 211258, P1200305-1			45,887.96
Impacts of Exempted Vehicles on Managed Lanes	20.000	600112-45			25,084.99
Data Needs, Availability and Opportunities for Work Zone Performance Measures	20.000	US001-600112-46			69,289.29
Corridor and Gateway Concepts - FHWA Transportation Planning IDIQ - DTFH61-10-D-00005 TOPR PLO116	20.000	83050 TO 40			37,651.42
Urban Congestion Report Program FY11	20.000	600112-47			78,924.19
Support to FHWA in the Development and Delivery of Workshops on Active TDM	20.000	600112-48			42,690.61
A Guidebook for Construction Manager at Risk Contracting for Highway Projects	20.000	ISU 428-17-19			23,921.87
CITE WRTM O-Line Training Development Support	20.000	Q072102			31,524.47
Evaluation of International Applications of ATM Lane Control Signing for Use in the United States	20.000	600112-49			71,795.32
Design and Operations Elements of Dynamic Shoulder Use	20.000	600112-50			41,253.96
High Occupancy Toll (HOT) Outreach and Marketing Support Follow-On	20.000	600112-52			5,662.44
Use of Technology and Data for Effective Work Zone Management	20.000	600112-51			16,419.67
DYNLUST-VISSIM Conversion Tool Improvement	20.000	TO MS12-03 435-B			35,276.19
Improving Travel Behavior Data for Alternative Fuel Vehicles	20.000	08551 TO 108			22,507.40
Guidelines for the Use of Variable Speed Limit Signs in Wet Weather	20.000	P010052675 T-11-004			6,441.28
Signalized Intersections Guide and Workshop Update	20.000	P010052675 T-11-006			7,973.59
Developing a Long-Range Strategic Plan for the MUTCD	20.000	NAS 138, HR 20-07(323) TO 18			38,225.91
Short-Term Laboratory Conditioning of Asphalt Mixtures	20.000	NCHRP 9-52			36,990.55
Integrating MFS Commerce Data with Multimodal Freight Transportation Performance	20.000	NAS 138, TO 17			23,340.91
Measures to Support MFS Maintenance	20.000	NAS 138 TO 19 / HR 17-55			11,247.73
Guidelines for Slope Traversability	20.000	600112-53			5,444.30
Advanced Weather Responsive Traffic Management Strategies	20.000				
Effective Project Scoping Practices to Improve On-Time and On-Budget Delivery of Highway Projects	20.000	NAS 138 TO 23 HR 08-88			17,021.52
Properties of Foamed Asphalt for Warm Mix Asphalt Applications	20.000	HR9-53; NAS 138, TO 21			36,864.73

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SCHEDULE 1 - A
 TEXAS A&M RESEARCH FOUNDATION
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 For the Year Ended August 31, 2009

Direct Program Amount	Total Pass- Through From and Direct Program	Agy/ Univ No.	Pass-through To		Expenditures	Total Pass Through To and Expenditures
			Agencies or Universities Amount	Non-State Entities Amount		
	2,921.20				2,921.20	2,921.20
	6,152.54				6,152.54	6,152.54
	3,795.58				3,795.58	3,795.58
	8,523.29				8,523.29	8,523.29
	13,672.00				13,672.00	13,672.00
	2,657.66				2,657.66	2,657.66
	51,198.83				51,198.83	51,198.83
	594.99				594.99	594.99
	98,008.80				98,008.80	98,008.80
	190,698.17			25,969.00	164,729.17	190,698.17
	2,984.81				2,984.81	2,984.81
	39,405.91				39,405.91	39,405.91
	1,962.27				1,962.27	1,962.27
	23,743.74				23,743.74	23,743.74
	12,054.60				12,054.60	12,054.60
	81,475.05			17,179.22	64,295.83	81,475.05
	194,207.42			37,500.00	156,707.42	194,207.42
	25.50				25.50	25.50
	313,064.15				313,064.15	313,064.15
	298,002.78			46,036.54	251,966.24	298,002.78
	73,139.42				73,139.42	73,139.42
	164,948.85			16,610.50	148,338.35	164,948.85
	18,065.18				18,065.18	18,065.18
	8,359.26				8,359.26	8,359.26
	85,661.88				85,661.88	85,661.88
	15,927.16				15,927.16	15,927.16
	1,744.48				1,744.48	1,744.48
	3,426.67				3,426.67	3,426.67
	48,207.96				48,207.96	48,207.96
	43,301.90				43,301.90	43,301.90
	3,243.68				3,243.68	3,243.68
	61,569.75				61,569.75	61,569.75
	29,803.14				29,803.14	29,803.14
	45,887.96				45,887.96	45,887.96
	25,084.99				25,084.99	25,084.99
	69,289.29				69,289.29	69,289.29
	37,651.42				37,651.42	37,651.42
	78,924.19				78,924.19	78,924.19
	42,690.61				42,690.61	42,690.61
	23,921.87				23,921.87	23,921.87
	31,524.47				31,524.47	31,524.47
	71,795.32				71,795.32	71,795.32
	41,253.96				41,253.96	41,253.96
	5,662.44				5,662.44	5,662.44
	16,419.67				16,419.67	16,419.67
	35,276.19				35,276.19	35,276.19
	22,507.40				22,507.40	22,507.40
	6,441.28				6,441.28	6,441.28
	7,973.59				7,973.59	7,973.59
	28,225.91				28,225.91	28,225.91
	36,990.55				36,990.55	36,990.55
	23,340.91				23,340.91	23,340.91
	11,247.73				11,247.73	11,247.73
	5,444.30				5,444.30	5,444.30
	17,021.52				17,021.52	17,021.52
	36,864.73				36,864.73	36,864.73

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SCHEDULE I - A
 TEXAS A&M RESEARCH FOUNDATION
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 For the Year Ended August 31, 2012

Federal Grantor/Pass-through Grantor / Program Title	CFDA Number	Identifying Number	Pass-through From		Non-State Entities Amount
			Agy/ Univ No	Agencies or Universities Amount	
Traffic Control Device Guidelines for Curves	20.000	NAS 138 TO22 HR 03 106			3,031.79
Highway Research and Development Program	20.200	TTI000			1,331,058.47
Highway Training and Education	20.215	VARIOUS			6,936.15
Highway Training and Education	20.215	VARIOUS			1,874.20
Highway Training and Education	20.215	CSSFT000900150. 0009150			125,405.17
Public Transportation Research	20.514	4165 POS1418972 430264			28,603.62
University Transportation Centers Program	20.701	3592 PO1409853 430264			15,675.71
University Transportation Centers Program	20.701	258K635			11,766.23
Biobased Transportation Research	20.761	AB-5-61770.2.TAMRF4YUAN			46,284.73
Biobased Transportation Research	20.761	AB-5-61770.2.TAMRF5GANJEGUNTE			6,407.10
Direct Programs:					
Transportation Planning Analysis Technology Transfer and Program Support for the Travel Model Improvement Program (TMIP)	20.000	DTFH61-06-C-00047			
Field Evaluation of the Effectiveness of Detection-Control System (D-CS)	20.000	DTFH61-08-C-00033			
Development of Minimum Roadway Guidance Information	20.000	DTFH68-09-E-00105			
Transportation Economics Center - Years 2 - 5	20.000	DTOS59-10-D-00504			
Developing Safety Education Material for CMV Drivers and Pedalcyclists to Reduce CMV-Bicycle Crashes	20.000	MH10480-A&MU0000000			
Develop a Turn-Key System for Remote Traffic Monitoring for Federal Land Management Agencies	20.000	DTFH70-10-E-00020			
Develop Traffic Counting/Monitoring Training for Federal Land Management Agencies	20.000	DTFH70-10-E-00021			
Public Transportation Research	20.514				
University Transportation Centers Program	20.701				
Totals - U.S. Department of Transportation					6,780,634.48
National Aeronautics and Space Administration					
Team Leader - Musculoskeletal Alterations Team	43.000	NCC 9-58 42, MA01701, 3			24,415.32
Maintaining Musculoskeletal Health in the Lunar Environment	43.000	NCC-9-58-42, MA01602,4600575969			270,174.05
Survey of Paschen Alpha in High Redshift Galaxies	43.000	RSA NO. 1344439			32,524.16
Ultra-Deep MIPS Imaging of the Lockman Hole	43.000	RSA NO. 1365085			9,422.08
SEDS: The Spitzer Extended Deep Survey	43.000	RSA NO. 1366981			1,894.80
The Great Observatories Origins Deep Survey: Far-Infrared Imaging with Herschel	43.000	RSA NO. 1374214			31,808.58
IRS Observations of a Strongly Lensed LIRG Behind the Bullet Cluster and the Spitzer Lyman Alpha Survey	43.000	RSA NO. 1379626			551.79
Narrowing in on the Hubble Constant and Dark Energy	43.000	HST-GO-11570.09-A			2,273.82
Directly Probing the Star-Forming and Gas Properties of High- Redshift Lyman Alpha Galaxies	43.000	RSA 1396478 NASA NMO711085			199.24
NSBRI Website and Special Public Outreach Project Support	43.000	NCC9-58-42, A000010			6,136.91
LMSO Storefront Property	43.000	7200005451			1,654,356.76
RFID & RTIS Enhancement for Inventory Management & Logistics of Space Transportation Systems	43.000	26-1111-0089-002			14,494.32
Student Intern Rates for USA	43.000	6000167439			71,304.45
Investigation of the Properties of Martian Atmospheric Dust and It's Effect on the Illumination of the Martian Surface	43.000	1242728			24,868.44
PHD Training Program in Critical Areas of Space	43.000	NCC9-58-42 EO01001			149,708.19
Student Intern Rates for Teledyne Brown Engineering	43.000	PO00092259			5,595.70
Star Cluster Dissolution in Various Environments	43.000	HST-AR-12148.01-A			45,613.09
Cosmic Assembly Near-IR Deep Extragalactic Survey (CANDELS)	43.000	HST-AR-12127.47			37,931.80
Understanding the Vertical Distribution of Water Vapor at the Phoenix Landing Site	43.000	1422184			9,850.85
Atmospheric Imaging Investigation for the Mars Science Laboratory Imaging Science Team	43.000	11-0156 (NMO710846)			106,746.29
Cosmic Assembly Near-IR Deep Extragalactic Survey (CANDELS)	43.000	HST-GO-12590.01A			17,784.71
Measuring the Hubble Flow Hubble Constant	43.000	HST-GO-12476.06-A			24,362.26
Super-Group 1120-1202: A Unique Laboratory for Tracing Galaxy Evolution in an Assembling Cluster	43.000	HST-GO-12470.01-A			22,345.63
Science	43.001	C10-00189			
Science	43.001	301K630			28,166.90
Direct Programs:					
Ocean Acidification of the Greater Caribbean Region 1999-2009	43.000	NNX08AW98G			
A Study of Tropospheric Water Vapor Using Aura MLS and TES Measurements	43.000	NNX08AR27G			
Research in Light Scattering and Radiative Transfer for Improving the Retrieval of Ice Cloud Properties	43.000	NNX09AP63G			
Participation in GPM Inter-satellite Calibration and Radar Enhanced Radiometer Algorithm Working Groups	43.000	NNX10AG79G			
TRMM Precipitation Radar Algorithm Evaluation and Model Simulator	43.000	NNX10AG89G			
Development of an Algorithm to Retrieve the Habit and Relative Size Distribution of Ice Crystals in Cirrus Clouds	43.000	NNX10AL55G			

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SCHEDULE 1 - A
 TEXAS A&M RESEARCH FOUNDATION
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 For the Year Ended August 31, 2009

Direct Program Amount	Total Pass-Through From and Direct Program	Agy/ Univ No.	Pass-through To		Expenditures	Total Pass Through To and Expenditures
			Agencies or Universities Amount	Non-State Entities Amount		
	3,031.79				3,031.79	3,031.79
	1,331,058.47			473,711.21	857,347.26	1,331,058.47
	6,936.15				6,936.15	6,936.15
	1,874.20				1,874.20	1,874.20
	125,405.17				125,405.17	125,405.17
	28,603.62				28,603.62	28,603.62
	15,675.71				15,675.71	15,675.71
	11,706.23				11,706.23	11,706.23
	46,284.73				46,284.73	46,284.73
	6,407.10			2,271.49	4,135.61	6,407.10
					-	-
73,554.92	73,554.92			8,500.00	65,054.92	73,554.92
60,866.44	60,866.44				60,866.44	60,866.44
167,733.40	167,733.40				167,733.40	167,733.40
3,958.61	3,958.61				3,958.61	3,958.61
31,560.21	31,560.21				31,560.21	31,560.21
63,228.41	63,228.41				63,228.41	63,228.41
117,476.74	117,476.74				117,476.74	117,476.74
51,435.17	51,435.17				51,435.17	51,435.17
2,755,796.86	2,755,796.86				2,089,682.16	2,755,796.86
3,325,610.76	10,106,245.24				8,241,377.26	10,106,245.24
					-	-
	24,415.32				24,415.32	24,415.32
	270,174.05				270,174.05	270,174.05
	32,524.16				32,524.16	32,524.16
	9,422.08				9,422.08	9,422.08
	1,894.80				1,894.80	1,894.80
	31,808.58				31,808.58	31,808.58
	551.79				551.79	551.79
	2,273.82				2,273.82	2,273.82
	199.24				199.24	199.24
	6,136.91				6,136.91	6,136.91
	1,654,356.76			1,392,041.69	262,315.07	1,654,356.76
	14,494.32				14,494.32	14,494.32
	71,304.45				71,304.45	71,304.45
	24,868.44				24,868.44	24,868.44
	149,708.19				149,708.19	149,708.19
	5,595.70				5,595.70	5,595.70
	45,613.09				45,613.09	45,613.09
	37,931.80				37,931.80	37,931.80
	9,850.85				9,850.85	9,850.85
	106,746.39				106,746.39	106,746.39
	17,784.71				17,784.71	17,784.71
	24,362.26				24,362.26	24,362.26
	22,345.63				22,345.63	22,345.63
2,269.47	2,269.47				2,269.47	2,269.47
	28,166.90				28,166.90	28,166.90
92,729.28	92,729.28				92,729.28	92,729.28
156,118.18	156,118.18				156,118.18	156,118.18
44,165.29	44,165.29				44,165.29	44,165.29
125,146.66	125,146.66				125,146.66	125,146.66
75,446.05	75,446.05				75,446.05	75,446.05
55,776.94	55,776.94				55,776.94	55,776.94

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SCHEDULE 1 - A
 TEXAS A&M RESEARCH FOUNDATION
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 For the Year Ended August 31, 2012

Federal Grantor/Pass-through Grantor / Program Title	CFDA Number	Identifying Number	Pass-through From		Non-State Entities Amount
			Agy/ Univ No	Agencies or Universities Amount	
Investigation of Precipitating Marine Stratocumulus Clouds in the Southeastern Pacific Using Cloudsat	43.000	NNX10AM21G			
Data Analysis and Modeling Simulation in Support of NASA's Far- Infrared Spectroscopy of Troposphere (FIRST) Project	43.000	NNX10AL53A			
Measurements of Cloud Radiative Impact on the Climate Using Calipso, Cloudsat, and Other A-Train Sensors	43.000	NNX10AM27G			
Plant Growth at Sub-Ambient Atmospheric Pressures with Control of the Partial Pressures of Constituent Gases	43.000	NN04HF31G			
Analysis of Tropopause Level Clouds Using Calipso, AIRS, and MIS Data	43.000	NNX07AR12G			
Maintenance of the AMSR-F Level-3 Oceanic Precipitation Algorithm	43.000	NNX08AD30G			
Study of the Properties and Radiative Forcing of Global Ice Clouds Using the Synergetic MODIS AIRS, and Ceres Products	43.000	NNX08AF68G			
Midlevel Cloud Characterization Using A-Train Observations and the GISS GCM	43.000	NNX10AP06G			
Increasing Electrocardiography (ECG) Predictive Power by Using the Random Forest Technique	43.000	NNX11AN08H			
Science	43.001				
Totals - National Aeronautics and Space Administration					2,592,530.24
National Endowment for the Arts and the Humanities					
<u>Direct Programs:</u>					
Promotion of the Humanities-Professional Development	45.163				
Totals - National Endowment for the Arts and the Humanities					-
National Science Foundation					
Development of a Motion Hydraulic Delivery Telemetry System	47.000	UTA09-000484			
Towards Spin-Preserving, Heterogeneous Spin Networks	47.000	60018555			55,418.05
Linking Molecular Microbiology to Geochemistry in Deep Marine Subsurface Sediments	47.000	SAF-11-03			12,397.97
Engineering Grants	47.041	44931			(3,181.10)
Engineering Grants	47.041	08001214 EEC-0540832			84,806.25
Engineering Grants	47.041	SA 5286-12210			(0.02)
Engineering Grants	47.041	12,579.003 (CCMI-1143922)			42,940.22
Engineering Grants	47.049	68D-1086296			53,518.76
Mathematical and Physical Sciences	47.049	20653 (DMR-0906815)			85,317.46
Mathematical and Physical Sciences	47.049	44E-1088029 (PHY-0919599)			292,703.18
Mathematical and Physical Sciences	47.049	RES504475: 114064 (PHY-0919261)			34,484.90
Mathematical and Physical Sciences	47.049	S5165A CHE-0715423			3,916.09
Mathematical and Physical Sciences	47.049	68D-1089182			2,293.08
Mathematical and Physical Sciences	47.049	R39863 OISE-0530220			40,175.32
Mathematical and Physical Sciences	47.049	R3C75E			51,465.08
Geosciences	47.050	JSC 4-02			50,818,010.23
Geosciences	47.050	129099			28,936.20
Geosciences	47.050	EAR 0732947-05			84,595.89
Geosciences	47.050	T321C13			20,062.75
Geosciences	47.050	T321B13			6,922.16
Geosciences	47.050	T324A13			5,860.00
Geosciences	47.050	T324B13			32,272.57
Geosciences	47.050	T323A13			1,732.36
Geosciences	47.050	T325A13			5,701.59
Geosciences	47.050	20091128101			129,036.09
Geosciences	47.050	124259			19,016.83
Geosciences	47.050	SA 11-13			12,844.49
Geosciences	47.050	Z11-90981			9,851.00
Geosciences	47.050	T329A13			2,610.03
Geosciences	47.050	T336A13			31,220.33
Geosciences	47.050	T334A13			804.29
Geosciences	47.050	T343A13			35,019.45
Biological Sciences	47.074	II-RR 014195-TAMU			1,181.75
Biological Sciences	47.074	0980 G HF009/ EF-0623664			14,822.91
Biological Sciences	47.074	S-0000259 (DEB-0730616)			59,711.51
Biological Sciences	47.074	UTA10-000935 (NSF IOS-1025947)			
Biological Sciences	47.074	S-000500 (EF-1115144)			38,894.37
Social, Behavioral, and Economic Sciences	47.075	G30031			804.94
Education and Human Resources	47.076	X496344			44,887.13
Education and Human Resources	47.076	PROJ0001653 (NSF DRL-0908130)			40,867.34
International Science and Engineering	47.079	RUB1-2996VL-11			8,138.80
ARRA: Trans-NSF Recovery Act Research Support-RECOVERY	47.082	SPO 0000073544, CU 1546775 S1083054 (NSF BCS-0912154)			35,233.93 5,083.95
<u>Direct Programs:</u>					
Engineering Grants	47.041				
Mathematical and Physical Sciences	47.049				
Geosciences	47.050				

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SCHEDULE 1 - A
 TEXAS A&M RESEARCH FOUNDATION
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 For the Year Ended August 31, 2009

Direct Program Amount	Total Pass- Through From and Direct Program	Agy/ Univ No.	Pass-through To		Expenditures	Total Pass Through To and Expenditures
			Agencies or Universities Amount	Non-State Entities Amount		
53,473.92	53,473.92				53,473.92	53,473.92
6,329.97	6,329.97				6,329.97	6,329.97
87,681.04	87,681.04				87,681.04	87,681.04
2,897.33	2,897.33				2,897.33	2,897.33
22,575.02	22,575.02				22,575.02	22,575.02
28,817.73	28,817.73				28,817.73	28,817.73
6,595.10	6,595.10				6,595.10	6,595.10
80,130.33	80,130.33				80,130.33	80,130.33
23,983.96	23,983.96				23,983.96	23,983.96
544,536.01	544,536.01			47,640.33	496,895.68	544,536.01
<u>1,408,672.28</u>	<u>4,001,202.52</u>		-	<u>1,439,682.02</u>	<u>2,561,520.50</u>	<u>4,001,202.52</u>
289,534.38	289,534.38			40,023.00	249,511.38	289,534.38
<u>289,534.38</u>	<u>289,534.38</u>		-	<u>40,023.00</u>	<u>249,511.38</u>	<u>289,534.38</u>
28,445.43	28,445.43				28,445.43	28,445.43
	55,418.05				55,418.05	55,418.05
	12,397.97				12,397.97	12,397.97
	(3,181.10)				(3,181.10)	(3,181.10)
	84,806.25				84,806.25	84,806.25
	(0.02)				(0.02)	(0.02)
	42,940.22				42,940.22	42,940.22
	53,518.76				53,518.76	53,518.76
	85,317.46				85,317.46	85,317.46
	292,703.18				292,703.18	292,703.18
	34,484.90				34,484.90	34,484.90
	3,916.09				3,916.09	3,916.09
	2,293.08				2,293.08	2,293.08
	40,175.32				40,175.32	40,175.32
	51,465.08				51,465.08	51,465.08
	50,818,010.23				50,818,010.23	50,818,010.23
	28,936.20				28,936.20	28,936.20
	84,595.89				84,595.89	84,595.89
	20,062.75				20,062.75	20,062.75
	6,922.16				6,922.16	6,922.16
	5,860.00				5,860.00	5,860.00
	32,272.57				32,272.57	32,272.57
	1,732.36				1,732.36	1,732.36
	5,701.59				5,701.59	5,701.59
	129,036.09				129,036.09	129,036.09
	19,016.83				19,016.83	19,016.83
	12,844.49				12,844.49	12,844.49
	9,851.00				9,851.00	9,851.00
	<u>2,610.03</u>				<u>2,610.03</u>	<u>2,610.03</u>
	31,220.33				31,220.33	31,220.33
	804.29				804.29	804.29
	35,019.45				35,019.45	35,019.45
	1,181.75				1,181.75	1,181.75
	14,822.91				14,822.91	14,822.91
	59,711.51				59,711.51	59,711.51
264,680.46	264,680.46				264,680.46	264,680.46
	38,894.37				38,894.37	38,894.37
	804.94				804.94	804.94
	44,887.13				44,887.13	44,887.13
	40,867.34				40,867.34	40,867.34
	8,138.80				8,138.80	8,138.80
	35,333.93				35,333.93	35,333.93
	5,083.95				5,083.95	5,083.95
850,122.37	850,122.37				850,122.37	850,122.37
7,680,584.65	7,680,584.65			1,098.93	7,679,485.72	7,680,584.65
<u>3,360,334.19</u>	<u>3,360,334.19</u>			<u>78,600.00</u>	<u>3,281,734.19</u>	<u>3,360,334.19</u>

UNAUDITED

SCHEDULE 1 - A
 TEXAS A&M RESEARCH FOUNDATION
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 For the Year Ended August 31, 2012

Federal Grantor/Pass-through Grantor / Program Title	CFDA Number	Identifying Number	Pass-through From		
			Agy/ Univ No	Agencies or Universities Amount	Non-State Entities Amount
Computer and Information Science and Engineering	47.070				
Biological Sciences	47.074				
Social, Behavioral, and Economic Sciences	47.075				
Education and Human Resources	47.076				
Polar Programs	47.078				
International Science and Engineering	47.079				
Office of Cyberinfrastructure	47.080				
ARRA: Trans-NSF Recovery Act Research Support-RECOVERY	47.082				
Totals - National Science Foundation					52,250,478.13
Department of Veterans Affairs					
Evaluation of a VHA Project ARCH Pilot Program	64.000	502841			(2,551.44)
Totals - Department of Veterans Affairs					(2,551.44)
Environmental Protection Agency					
Methods to Investigate the Effects of Multiple Air Pollution Constituents	66.000	4785-FRA09-1/10-1-2			78,656.16
FASOMCHG Modeling of Renewable Fuels Policy	66.000	8-312-0210826 TO18 (EP-W-07-069)			86,726.37
Science to Achieve Results (STAR) Research Program	66.509	800001508.1			
<u>Direct Programs:</u>					
Deployment of Nett Bluemaxtm SCR System in TXDOT's Construction Fleet	66.000	DE-83430001			
Auxiliary Power Unit Testing for Smartway Idle Reduction Technology Verification	66.000	EP-11-H-000527			
Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act	66.034				
Gulf of Mexico Program	66.475				
Science to Achieve Results (STAR) Research Program	66.509				
P3 Award: National Student Design Competition for Sustainability	66.516				
Protection of Children from Environmental Health Risks	66.609				
Totals - Environmental Protection Agency					165,382.53
U.S. Department of Energy					
Quantifying Protein Abundance from Mass Spectrometry Experiments Using the AMT Tag Pipeline	81.000	72845			19,339.55
Separation of Americium from Curium by Ion Exchange	81.000	AC70059-O (DOE: DE-AC09-08SR22470)			38,440.36
US CMS Endcap Muon M&O Subsystem	81.000	584823			133,296.57
US CMS Upgrade R&D M&O Subsystem	81.000	584808			82,498.05
Graduate Research Fellowship	81.000	942500. R1			20,368.80
Super CDMS Soudan Project	81.000	592192			90,281.32
Multi-Chamber Gas Proportional Counter for Screening Ultra-Low Background Materials & Identifying Radioactive Materials	81.000	11162007-DE-FG02-06ER86287			(60.47)
Spectrophotometric Calibration System for the Dark Energy Survey Camera	81.000	596588			31,283.25
Riverine and Lacustrine Adaptations: 2010 Idaho National Laboratory (INL) Archaeological Testing Project	81.000	00106760			5,511.58
Tandem Differential Mobility Analyzer/Aerodynamic Particle Sizer Support	81.000	0F-34561			12,053.30
Postdoctoral Support from Fermi National Accelerator Laboratory	81.000	598229			26,385.32
Nanoscale Characterization of Bandgap Engineered III-V Superlattices and Quantum Wells	81.000	1123033.1			7,658.43
Statement of Work for US CMS Texas A&M Research Foundation for Activities Related to the US CMS FPX Subsystem	81.000	599464			4,880.96
Graduate Student Support from Fermi National Accelerator Laboratory	81.000	600784			4,701.18
<u>Investigation of Cloud and Precipitation Processes Using WRF with a Two-Moment Microphysics</u>	81.000	208018			9,650.27
OHEP Supplemental Distribution	81.000	602673			14,640.97
Office of Science Financial Assistance Program	81.049	00006785 (DE-SC0001015)			185,098.13
Office of Science Financial Assistance Program	81.049	41922			139,642.65
Office of Science Financial Assistance Program	81.049	7261 (C173635)			72,894.09
Office of Science Financial Assistance Program	81.049	09112007-07-SC-NICCR-1058			15,041.92
Office of Science Financial Assistance Program	81.049	61-3242 TAM			51,930.46
Office of Science Financial Assistance Program	81.049	92911			32,768.91
Regional Biomass Energy Programs	81.079	3TM160 (DE-FG36-08GO88073)			77,410.38
<u>Direct Programs:</u>					
The Institute for High Heat Flux Removal	81.000	DE-FG02-97ER54452			
Office of Science Financial Assistance Program	81.049				
ARRA: Office of Science Financial Assistance Program-RECOVERY	81.049				
Renewable Energy Research and Development	81.087				
ARRA: Advanced Research and Projects Agency -- Energy Financial Assistance Program-RECOVERY	81.135				
Totals - U.S. Department of Energy					1,075,715.89

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SCHEDULE 1 - A
 TEXAS A&M RESEARCH FOUNDATION
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 For the Year Ended August 31, 2009

Direct Program Amount	Total Pass- Through From and Direct Program	Agy/ Univ No.	Pass-through To		Expenditures	Total Pass Through To and Expenditures
			Agencies or Universities Amount	Non-State Entities Amount		
424,501.23	424,501.23				424,501.23	424,501.23
4,137,301.01	4,137,301.01			108,085.00	4,029,216.01	4,137,301.01
512,206.46	512,206.46				512,206.46	512,206.46
1,715,395.84	1,715,395.84			177,379.58	1,538,016.26	1,715,395.84
300,540.84	300,540.84			10,990.23	289,550.61	300,540.84
10,325.70	10,325.70				10,325.70	10,325.70
118,397.28	118,397.28			18,522.13	99,875.15	118,397.28
1,706,494.12	1,706,494.12				1,706,494.12	1,706,494.12
<u>21,109,329.58</u>	<u>73,359,807.71</u>		-	<u>394,675.87</u>	<u>72,965,131.84</u>	<u>73,359,807.71</u>
	(2,551.44)				(2,551.44)	(2,551.44)
	<u>(2,551.44)</u>		-	-	<u>(2,551.44)</u>	<u>(2,551.44)</u>
	78,656.16			26,236.08	52,420.08	78,656.16
	86,726.37				86,726.37	86,726.37
14,304.78	14,304.78				14,304.78	14,304.78
105,111.74	105,111.74				105,111.74	105,111.74
64,086.45	64,086.45				64,086.45	64,086.45
59,393.61	59,393.61				59,393.61	59,393.61
169,333.37	169,333.37				169,333.37	169,333.37
156,578.95	156,578.95				156,578.95	156,578.95
29,052.58	29,052.58				29,052.58	29,052.58
85,760.79	85,760.79			45,780.16	39,980.63	85,760.79
<u>683,622.27</u>	<u>849,004.80</u>		-	<u>72,016.24</u>	<u>776,988.56</u>	<u>849,004.80</u>
	19,339.55				19,339.55	19,339.55
	38,440.36				38,440.36	38,440.36
	133,296.57				133,296.57	133,296.57
	82,498.05				82,498.05	82,498.05
	20,368.80				20,368.80	20,368.80
	90,281.32				90,281.32	90,281.32
	(60.47)				(60.47)	(60.47)
	31,283.25				31,283.25	31,283.25
	5,511.58				5,511.58	5,511.58
	12,053.30				12,053.30	12,053.30
	26,385.32				26,385.32	26,385.32
	7,658.43				7,658.43	7,658.43
	4,880.96				4,880.96	4,880.96
	4,701.18				4,701.18	4,701.18
	<u>9,650.27</u>				<u>9,650.27</u>	<u>9,650.27</u>
	14,640.97				14,640.97	14,640.97
	185,098.13				185,098.13	185,098.13
	139,642.65				139,642.65	139,642.65
	72,894.00				72,894.00	72,894.00
	15,041.92				15,041.92	15,041.92
	51,930.46				51,930.46	51,930.46
	32,768.91				32,768.91	32,768.91
	77,410.38			3,775.22	73,635.16	77,410.38
154,378.10	154,378.10				154,378.10	154,378.10
4,969,173.53	4,969,173.53			37,569.08	4,931,604.45	4,969,173.53
315,492.06	315,492.06				315,492.06	315,492.06
197,356.56	197,356.56				197,356.56	197,356.56
<u>393,697.84</u>	<u>393,697.84</u>				<u>393,697.84</u>	<u>393,697.84</u>
<u>6,030,098.09</u>	<u>7,105,813.98</u>		-	<u>41,344.30</u>	<u>7,064,469.68</u>	<u>7,105,813.98</u>

UNAUDITED

SCHEDULE 1 - A
 TEXAS A&M RESEARCH FOUNDATION
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 For the Year Ended August 31, 2012

Federal Grantor/Pass-through Grantor / Program Title	CFDA Number	Identifying Number	Pass-through From		Non-State Entities Amount
			Agy/ Univ No	Agencies or Universities Amount	
U.S. Department of Education					
Mathematics and Science Partnerships	84.366	UTA11-000232			
Direct Programs					
Undergraduate International Studies and Foreign Language Programs	84.016				
Fund for the Improvement of Postsecondary Education	84.116				
Education Research, Development and Dissemination	84.305				
Research in Special Education	84.324				
Transition to Teaching	84.350				
School Leadership	84.362				
Totals - U.S. Department of Education					
U.S. Department of Health and Human Services					
Standardized Antibiotic Use in Long-Term Care Settings (SAUL) Study	93.000	02434.008 (HHS A2902006000191T.O.8)			191,340.15
S10-38: Delivery of Brefeldin A with Triazine Dendrimers	93.000	10XS108			44,029.15
Integrated Nanosystems for Diagnosis and Therapy	93.000	PO NO.2911033P, NO.WU-11-71			613,584.33
Fitness Annotation of Bacterial Genomes	93.000	2010-2517			44,389.33
IHS Coordinating Center	93.000	11.001.006			22,375.46
ARRA: Special Programs for the Aging-Title IV-and Title II-Discretionary Projects- RECOVERY	93.048	08192010			91,422.39
Environmental Health	93.113	10-212-395531			7,884.70
Oral Diseases and Disorders Research	93.121	2616016162 5U01DE010713			
Oral Diseases and Disorders Research	93.121	66983 TAMU03 S0014109			15,178.97
Oral Diseases and Disorders Research	93.121	2R44DE01782902A1			138,240.29
Research Related to Deafness and Communication Disorders	93.173	210591237668A (2R01DC00389611)			42,712.18
Mental Health Research Grants	93.242	0130GGC638			103,145.03
Public Health Training Centers Grant Program	93.249	0007939A (UB6HP20188)			
Public Health Training Centers Grant Program	93.249	449833			
Occupational Safety and Health Program	93.262	0006008A (5U50OH07541-10)			(6,822.57)
Alcohol Research Programs	93.273	53253FP 16607809211			35,687.10
Alcohol Research Programs	93.273	53253HP 16607809211			286,281.75
Alcohol Research Programs	93.273	1015640 (NIH R01AA018365)			
Centers for Disease Control and Prevention-Investigations and Technical Assistance	93.283	27177490-50754-C (U01DD000489)			77,396.49
Centers for Disease Control and Prevention-Investigations and Technical Assistance	93.283	2717749050754C			126,671.08
Minority Health and Health Disparities Research	93.307	3177498017816			
Cancer Cause and Prevention Research	93.393	520354, PO95963			12,910.78
Cancer Cause and Prevention Research	93.393	0000726091			80,523.80
Cancer Centers Support Grants	93.397	32603/98015674			
Developmental Disabilities Basic Support and Advocacy Grants	93.630	10-577			
ARRA: Trans-NIH Recovery Act Research Support-RECOVERY	93.701	3048107714-11-127			12,108.87
		32958/98013924			
		643			101,653.58
		090651 (1RC4AG03818301)			47,753.67
Cardiovascular Diseases Research	93.837	C00017558-1			22,637.51
Cardiovascular Diseases Research	93.837	MUSC09-104			95,212.80
Cardiovascular Diseases Research	93.837	UF10091 (R18HL087800)			10,697.47
Cardiovascular Diseases Research	93.837	C000161431			5,417.48
Cardiovascular Diseases Research	93.837	503731			64,015.86
Cardiovascular Diseases Research	93.837	725280			99,198.00
Blood Diseases and Resources Research	93.839	5U54HL090503-03			1,842.89
Blood Diseases and Resources Research	93.839	5U54HL090503-04			19,818.32
Blood Diseases and Resources Research	93.839	00146090 (5R01HL08570702)			8,298.01
Arthritis, Musculoskeletal and Skin Diseases Research	93.846	5-31135			50,248.18
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847	WU-11-54 PO 2910814P			79,325.65
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847	1000955724 (1R24DK091211-01 R)			2,779.77
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847	120501			
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	5991SC			95,273.95
Allergy, Immunology and Transplantation Research	93.855	20082034			869.10
Allergy, Immunology and Transplantation Research	93.855	2008-2034			65,994.01
Allergy, Immunology and Transplantation Research	93.855	RA208356NGO			14,678.07
Allergy, Immunology and Transplantation Research	93.855	11-045 (5U54AI057156-08)			
Allergy, Immunology and Transplantation Research	93.855	11-062 (UOPSPC-000000588)			
Allergy, Immunology and Transplantation Research	93.855	6032010			37,597.47
Allergy, Immunology and Transplantation Research	93.855	11-044 (5U54AI057156-08)			
Allergy, Immunology and Transplantation Research	93.855	0007356A			
Allergy, Immunology and Transplantation Research	93.855	4624838, POEP391212			54,942.33
Allergy, Immunology and Transplantation Research	93.855	10091340 (R01AI092573)			120,324.09
Allergy, Immunology and Transplantation Research	93.855	12040466 (5R01AI092573-02)			140,397.93
Allergy, Immunology and Transplantation Research	93.855	3168298012252			

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SCHEDULE 1 - A
 TEXAS A&M RESEARCH FOUNDATION
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 For the Year Ended August 31, 2009

Direct Program Amount	Total Pass- Through From and Direct Program	Agy/ Univ No.	Pass-through To		Expenditures	Total Pass Through To and Expenditures
			Agencies or Universities Amount	Non-State Entities Amount		
146,735.03	146,735.03				146,735.03	146,735.03
46,777.82	46,777.82				46,777.82	46,777.82
162,782.24	162,782.24				162,782.24	162,782.24
557,123.09	557,123.09			156,215.94	400,907.15	557,123.09
30,589.58	30,589.58			(249.93)	30,839.51	30,589.58
218,773.01	218,773.01			84,154.57	134,618.44	218,773.01
701,989.26	701,989.26			354,329.70	347,659.56	701,989.26
1,864,770.03	1,864,770.03		-	594,450.28	1,270,319.75	1,864,770.03
	191,340.15				191,340.15	191,340.15
	44,029.15			32,265.00	11,764.15	44,029.15
	613,584.33				613,584.33	613,584.33
	44,389.33				44,389.33	44,389.33
	22,375.46				22,375.46	22,375.46
	91,422.39			6,824.76	84,597.63	91,422.39
	7,884.70				7,884.70	7,884.70
33,938.11	33,938.11				33,938.11	33,938.11
	15,178.97				15,178.97	15,178.97
	138,240.29				138,240.29	138,240.29
	42,712.18				42,712.18	42,712.18
	103,145.03				103,145.03	103,145.03
6,370.34	6,370.34				6,370.34	6,370.34
168,991.01	168,991.01				168,991.01	168,991.01
41,453.75	41,453.75				41,453.75	41,453.75
	(6,822.57)				(6,822.57)	(6,822.57)
	35,687.10				35,687.10	35,687.10
	286,281.75				286,281.75	286,281.75
	77,396.49				77,396.49	77,396.49
	126,671.08				126,671.08	126,671.08
87,647.43	87,647.43				87,647.43	87,647.43
	12,910.78				12,910.78	12,910.78
	80,523.80				80,523.80	80,523.80
85,324.38	85,324.38				85,324.38	85,324.38
3,556.54	3,556.54				3,556.54	3,556.54
	12,108.87				12,108.87	12,108.87
55,167.06	55,167.06				55,167.06	55,167.06
	101,653.58				101,653.58	101,653.58
	47,753.67				47,753.67	47,753.67
	22,637.51				22,637.51	22,637.51
	95,212.80				95,212.80	95,212.80
	10,697.47				10,697.47	10,697.47
	5,417.48				5,417.48	5,417.48
	64,015.86				64,015.86	64,015.86
	99,198.00				99,198.00	99,198.00
	1,842.89				1,842.89	1,842.89
	19,818.32				19,818.32	19,818.32
	8,298.01				8,298.01	8,298.01
	50,248.18				50,248.18	50,248.18
	79,325.65				79,325.65	79,325.65
	2,779.77				2,779.77	2,779.77
10,689.75	10,689.75				10,689.75	10,689.75
	95,273.95				95,273.95	95,273.95
	869.10				869.10	869.10
	65,994.01				65,994.01	65,994.01
	14,678.07				14,678.07	14,678.07
30,706.26	30,706.26				30,706.26	30,706.26
153,218.54	153,218.54				153,218.54	153,218.54
	37,597.47				37,597.47	37,597.47
54,361.79	54,361.79				54,361.79	54,361.79
52,417.99	52,417.99				52,417.99	52,417.99
	54,942.33				54,942.33	54,942.33
	120,324.09				120,324.09	120,324.09
	140,397.93				140,397.93	140,397.93
4,061.53	4,061.53				4,061.53	4,061.53

UNAUDITED

SCHEDULE 1 - A
 TEXAS A&M RESEARCH FOUNDATION
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 For the Year Ended August 31, 2012

Federal Grantor/Pass-through Grantor / Program Title	CFDA Number	Identifying Number	Pass-through From		Non-State Entities Amount
			Agy/ Univ No	Agencies or Universities Amount	
Allergy, Immunology and Transplantation Research	93.855	RS2010200-01 (2R01AI037657-15)			116,246.57
Microbiology and Infectious Diseases Research	93.856	G-4401-1 (P318238)			13,836.63
Microbiology and Infectious Diseases Research	93.856	4600550773			7,157.78
Biomedical Research and Research Training	93.859	579			86,696.25
Biomedical Research and Research Training	93.859	578			398,842.76
Biomedical Research and Research Training	93.859	27-001349			36,621.69
Biomedical Research and Research Training	93.859	2009-01303-02			351,266.05
Biomedical Research and Research Training	93.859	60027355 (NIH R01 GM088670)			46,188.33
Biomedical Research and Research Training	93.859	2010-00365-04			139,000.41
Biomedical Research and Research Training	93.859	2010-00365-05			66,213.94
Biomedical Research and Research Training	93.859	H47299			3,188.68
Biomedical Research and Research Training	93.859	H50842			271,267.10
Aging Research	93.866	UF1 1058 RO1 AG029421			20,855.90
Geriatric Education Centers	93.969	131949/131473/131273			
Geriatric Education Centers	93.969	500983			
Maternal and Child Health Services Block Grant to the States	93.994	2010034879001			
<u>Direct Programs:</u>					
Genetic and Molecular Study of Meiotic Transvection	93.000	2R01GM058770-06A2			
Fibronectin Binding MSCRAMMS	93.000	2R01AR044415-10A2			
Growth Regulation of the Intrahepatic Biliary Tree	93.000	5R01DK054811-06A2			
Regulation of Bile Duct Growth in Bile Duct Ligated Rats	93.000	2R01DK062975-05A1			
Regulation of Circadian Transcription	93.000	2R01NS052854-05A1			
Characterization of LPHN3 Function Using a Mutant Murine Model	93.000	1R21MH097067-01			
Special Programs for the Aging-Title IV and Title II-Discretionary Projects	93.048				
Public Health Emergency Preparedness	93.069				
Environmental Health	93.113				
Oral Diseases and Disorders Research	93.121				
Centers for Research and Demonstration for Health Promotion and Disease Prevention	93.135				
Health Program for Toxic Substances and Disease Registry	93.161				
Research Related to Deafness and Communication Disorders	93.173				
Contraception and Infertility Research Loan Repayment Program	93.209				
Research and Training in Complementary and Alternative Medicine	93.213				
Research on Healthcare Costs, Quality and Outcomes	93.226				
Mental Health Research Grants	93.242				
Occupational Safety and Health Program	93.262				
Alcohol Research Programs	93.273				
Drug Abuse and Addiction Research Programs	93.279				
Centers for Disease Control and Prevention- Investigations and Technical Assistance	93.283				
Minority Health and Health Disparities Research	93.307				
Trans-NIH Research Support	93.310				
National Center for Research Resources	93.389				
Cancer Cause and Prevention Research	93.393				
Cancer Treatment Research	93.395				
Cancer Biology Research	93.396				
Cancer Research Manpower	93.398				
ARRA: Public Health Traineeship Program-RECOVERY	93.405				
Affordable Care Act - Preparedness and Emergency Response Learning Centers	93.606				
ARRA: Trans-NIH Recovery Act Research Support-RECOVERY	93.701				
ARRA: Health Information Technology Regional Extension Centers Program-RECOVERY	93.718				
Health Careers Opportunity Program	93.822				
Cardiovascular Diseases Research	93.837				
Arthritis, Musculoskeletal and Skin Diseases Research	93.846				
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847				
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853				
Allergy, Immunology and Transplantation Research	93.855				
Biomedical Research and Research Training	93.859				
Child Health and Human Development Extramural Research	93.865				
Aging Research	93.866				
Vision Research	93.867				
Grants for Training in Primary Care Medicine and Dentistry	93.884				
Public Health Traineeships	93.964				
Totals - U.S. Department of Health and Human Services					4,635,417.51
Department of Homeland Security					
Centers for Homeland Security	97.061	DHSJOST061-TSCOE-PROJECTS			
<u>Direct Programs:</u>					

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SCHEDULE 1 - A
 TEXAS A&M RESEARCH FOUNDATION
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 For the Year Ended August 31, 2009

Direct Program Amount	Total Pass- Through From and Direct Program	Agy/ Univ No.	Pass-through To		Expenditures	Total Pass Through To and Expenditures
			Agencies or Universities Amount	Non-State Entities Amount		
	116,246.57				116,246.57	116,246.57
	13,836.63				13,836.63	13,836.63
	7,157.78				7,157.78	7,157.78
	86,696.25				86,696.25	86,696.25
	398,842.76			267,326.79	131,515.97	398,842.76
	36,621.69				36,621.69	36,621.69
	351,266.05				351,266.05	351,266.05
	46,188.33				46,188.33	46,188.33
	139,000.41				139,000.41	139,000.41
	66,213.94				66,213.94	66,213.94
	3,188.68				3,188.68	3,188.68
	271,267.10				271,267.10	271,267.10
	20,855.90				20,855.90	20,855.90
31,337.15	31,337.15				31,337.15	31,337.15
901.45	901.45				901.45	901.45
3,661.71	3,661.71				3,661.71	3,661.71
6,518.18	6,518.18				6,518.18	6,518.18
54,881.85	54,881.85				54,881.85	54,881.85
28,371.56	28,371.56			11,613.73	16,757.83	28,371.56
229,846.04	229,846.04				229,846.04	229,846.04
299,087.49	299,087.49				299,087.49	299,087.49
1,611.50	1,611.50				1,611.50	1,611.50
2,143.18	2,143.18				2,143.18	2,143.18
396,466.37	396,466.37			100,231.19	296,235.18	396,466.37
323,542.54	323,542.54				2,026.44	323,542.54
1,966,586.15	1,966,586.15			12,311.30	1,954,274.85	1,966,586.15
1,566,205.55	1,566,205.55			72,325.68	1,493,879.87	1,566,205.55
276,177.40	276,177.40			154,031.67	122,145.73	276,177.40
573,945.11	573,945.11				573,945.11	573,945.11
81,960.29	81,960.29				81,960.29	81,960.29
48,885.81	48,885.81				48,885.81	48,885.81
262,116.56	262,116.56			83,002.65	179,113.91	262,116.56
203,429.59	203,429.59			57,361.99	146,067.60	203,429.59
67,596.35	67,596.35				67,596.35	67,596.35
1,085,763.84	1,085,763.84				1,085,763.84	1,085,763.84
458,612.89	458,612.89			8,961.22	449,651.67	458,612.89
113,772.54	113,772.54				113,772.54	113,772.54
1,565,569.27	1,565,569.27			149,280.58	1,416,288.69	1,565,569.27
1,911.24	1,911.24				1,911.24	1,911.24
1,528,051.29	1,528,051.29			161,645.00	1,366,406.29	1,528,051.29
1,279,916.74	1,279,916.74			6,916.68	1,273,000.06	1,279,916.74
661,673.90	661,673.90				661,673.90	661,673.90
635,334.42	635,334.42				635,334.42	635,334.42
18,278.34	18,278.34				18,278.34	18,278.34
7,101.35	7,101.35				7,101.35	7,101.35
294,504.19	294,504.19			262,198.41	32,305.78	294,504.19
1,453,632.54	1,453,632.54			168,513.34	1,285,119.20	1,453,632.54
1,428,615.16	1,428,615.16			84,166.00	1,344,449.16	1,428,615.16
<u>737,456.98</u>	<u>737,456.98</u>				<u>737,456.98</u>	<u>737,456.98</u>
2,558,529.28	2,558,529.28			256,092.71	2,302,436.57	2,558,529.28
41,665.85	41,665.85				41,665.85	41,665.85
1,310,811.25	1,310,811.25				1,310,811.25	1,310,811.25
1,457,081.08	1,457,081.08			7,041.94	1,450,039.14	1,457,081.08
3,261,226.42	3,261,226.42			750,552.92	2,510,673.50	3,261,226.42
7,107,573.45	7,107,573.45			1,003,603.83	6,103,969.62	7,107,573.45
447,350.20	447,350.20				447,350.20	447,350.20
847,809.66	847,809.66			18,438.98	829,370.68	847,809.66
713,029.62	713,029.62				713,029.62	713,029.62
119,166.87	119,166.87				119,166.87	119,166.87
12,622.00	12,622.00				12,622.00	12,622.00
<u>36,360,236.68</u>	<u>40,995,654.19</u>			<u>3,676,732.81</u>	<u>37,318,921.38</u>	<u>40,995,654.19</u>
8,448.65	8,448.65				8,448.65	8,448.65

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SCHEDULE 1 - A
 TEXAS A&M RESEARCH FOUNDATION
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 For the Year Ended August 31, 2012

Federal Grantor/Pass-through Grantor / Program Title	CFDA Number	Identifying Number	Pass-through From		
			Agy/ Univ No	Agencies or Universities Amount	Non-State Entities Amount
2-1-1 Texas Database Analysis for Katrina-Rita Community Needs, 2005 (Option Year 2)	97.000	HSHQDC-08-P-00161			
Development of Multi-Component Vaccines for African Swine Fever	97.000	HSHQDC-11-C-00116			
Assistance to Firefighters Grant	97.044				
Totals - Department of Homeland Security					
United States Agency for International Development					
Increasing Utilization of Beans/Cowpeas to Promote Health in Malawi and Kenya	98.000	61-2858			220,746.61
Improve Road Design Capabilities of Local Road Design Companies Kosovo Private Enterprise Program	98.000	97687XSBOF. 3			12,032.31
USAID Foreign Assistance for Programs Overseas	98.001	25-6805-0016-906 (TAM 101)			91,697.83
USAID Foreign Assistance for Programs Overseas	98.001	25-6805-0016-906 (TAM 103)			17,903.94
USAID Foreign Assistance for Programs Overseas	98.001	25-6805-0010-060			35,626.04
USAID Development Partnerships for University Cooperation and Development	98.012	RC710-025/3842068			321,955.38
USAID Development Partnerships for University Cooperation and Development	98.012	RC710-025/3842438			104,449.69
<u>Direct Programs:</u>					
Assistance for Programs Overseas	98.001				
Totals - United States Agency for International Development					804,411.80
Total Expenditures of Federal Awards					\$ 70,733,194.65

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SCHEDULE 1 - A
 TEXAS A&M RESEARCH FOUNDATION
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 For the Year Ended August 31, 2009

Direct Program Amount	Total Pass- Through From and Direct Program	Agy/ Univ No.	Pass-through To		Expenditures	Total Pass Through To and Expenditures
			Agencies or Universities Amount	Non-State Entities Amount		
156,956.41	156,956.41			63,029.41	93,927.00	156,956.41
89,735.62	89,735.62				89,735.62	89,735.62
580,662.08	580,662.08				580,662.08	580,662.08
835,802.76	835,802.76		-	63,029.41	772,773.35	835,802.76
	220,746.61			94,773.00	125,973.61	220,746.61
	12,032.31				12,032.31	12,032.31
	91,697.83				91,697.83	91,697.83
	17,903.94				17,903.94	17,903.94
	35,626.04				35,626.04	35,626.04
	321,955.38			76,763.00	245,192.38	321,955.38
	104,449.69				104,449.69	104,449.69
219,185.00	219,185.00			67,724.70	151,460.30	219,185.00
219,185.00	1,023,596.80		-	239,260.70	784,336.10	1,023,596.80
<u>\$ 88,009,857.83</u>	<u>\$ 158,743,052.48</u>		<u>\$ -</u>	<u>\$ 12,241,777.44</u>	<u>\$ 146,501,275.04</u>	<u>\$ 158,743,052.48</u>

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SCHEDULE 1 - A
TEXAS A&M RESEARCH FOUNDATION
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the Year Ended August 31, 2012

NOTE 1: NONMONETARY ASSISTANCE

Not Applicable

NOTE 2: RECONCILIATION OF REVENUES AND RECEIPTS TO EXPENDITURES
AND DISBURSEMENTS (PASS THROUGH)

FEDERAL REVENUES:	
Federal Grants and Contracts - Operating	\$ 158,743,052.48
Federal Grants and Contracts - Non-operating	
Federal Pass-Through Grants (State Agencies/Universities and TAMU'S Members)	\$
LESS Reconciling Items:	
Donation of Federal Surplus Property	
Total Federal Pass-Through Grants	
Federal Appropriations	
Total Federal Revenue per Exhibit IV	\$ 158,743,052.48
Reconciling Items:	
ADD:	
Non-Monetary Assistance [NOTE 1]:	
Donation of Federal Surplus Property	
New Loans Processed [NOTE 3]:	
Federal Family Education Loans	
Federal Family Education Loans - Coordinating Board	
Health Education Assistance Programs	
DEDUCT:	
Federal Grants to/from TAMRF	
COBRA 65% Subsidy (CFDA Number 17.151)	
Total Pass Throughs and Expenditures per Federal Schedule	\$ 158,743,052.48

NOTE 3: STUDENT LOANS PROCESSED AND ADMINISTRATIVE COSTS RECOVERED

Not Applicable

NOTE 4: DEPOSITORY LIBRARIES FOR GOVERNMENT PUBLICATIONS

Not Applicable

NOTE 5: UNEMPLOYMENT INSURANCE FUNDS

Not Applicable

NOTE 6: REBATES FROM THE SPECIAL SUPPLEMENTAL FOOD PROGRAM FOR WOMEN, INFANT AND CHILDREN (WIC)

Not Applicable

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SCHEDULE 1 - A
 TEXAS A&M RESEARCH FOUNDATION
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 For the Year Ended August 31, 2012

NOTE 7: FEDERAL DEFERRED REVENUE

PROGRAM NAME	CFDA NUMBER	BEGINNING BALANCE 9/1/2011	NET CHANGE	ENDING BALANCE 8/31/2012
Grants for Agricultural Research-Competitive Research Grants	10.206	\$ 6,365.53	\$ (6,365.53)	\$ -
Food and Agricultural Sciences National Needs Graduate	10.210	32,542.04	(32,542.04)	-
1890 Institution Capacity Building Grants	10.216	2,918.95	(2,918.95)	-
Research Innovation and Development Grants in Economic (RIDGE)	10.255	-	1,778.11	1,778.11
International Science and Education Grants	10.305	13,387.64	(13,387.64)	-
Organic Agriculture Research and Extension Initiative	10.307	10,605.64	(10,605.64)	-
Agriculture and Food Research Institute (AFRI)	10.310	0.75	140,156.67	140,157.42
Grants and Cooperative Agreements Program	11.427	5.18	(5.18)	-
Climate and Atmospheric Research	11.431	1,205.89	(1,205.89)	-
Marine Fisheries Initiative	11.433	1,458.79	(1,458.79)	-
Special Oceanic and Atmospheric Projects	11.460	1,358.33	(1,358.33)	-
Habitat Conservation	11.463	-	0.01	0.01
Coastal Services Center	11.473	787.37	(397.47)	389.90
Long Term Monitoring of Human Impacts at McMurdo Station	12.000	-	47,664.47	47,664.47
Characterization of Chlorinated Aliphatic Hydrocarbon Degrading	12.000	-	2,291.26	2,291.26
University Affiliation for Principles of Military Preventative Program of Instruction	12.000	44,935.46	15,344.11	60,279.57
Aquatic Plant Control	12.100	33,118.36	(33,118.36)	-
Basic and Applied Scientific Research	12.200	-	3.00	3.00
Military Medical Research and Development	12.420	2,284,719.06	428,684.53	2,713,403.59
Basic Scientific Research	12.431	51,156.70	(51,156.70)	-
Air Force Defense Research Sciences Program	12.800	408,598.94	(363,143.56)	45,455.38
Mathematical Sciences Grants Program	12.901	26,752.04	(26,752.04)	-
Research and Technology Development	12.910	-	40,435.76	40,435.76
Demolition and Revitalization of Severely Distressed Public Housing	14.866	-	625.72	625.72
Technical Science	15.000	-	6,831.00	6,831.00
St. Croix River Watershed/Non-Point Pollution Loads	15.000	-	82,024.75	82,024.75
B-Laboratory Analysis of Animal Tissue	15.000	255,224.90	21,628.40	276,853.30
Coastal Erosion Post Hurricane Katrina	15.000	8,414.87	(8,414.87)	-
Part E - Developing, Testing and Demonstrating Promising New Programs	16.541	1,298.89	(1,298.89)	-
National Institute of Justice Research, Evaluation, and Development Project Grants	16.560	715.83	(715.83)	-
Mexico Multimodal Corridors	19.000	-	321.71	321.71
Transportation and Highway Research	20.000	2,348.89	240,809.27	243,158.16
Highway Training and Education	20.215	-	11,784.79	11,784.79
Public Transportation Research	20.514	-	203.94	203.94
University Transportation Centers Program	20.701	24,603.62	30,672.92	55,276.54
National Aeronautics and Space Administration Research	43.000	73,236.66	367,732.94	440,969.60
Engineering Grants	47.041	10,871.13	(10,871.13)	-
Geosciences	47.050	-	301,117.84	301,117.84
Biological Sciences	47.074	-	0.01	0.01
Education and Human Resources	47.076	-	0.03	0.03
Trans-NSF Recovery Act Research Support	47.082	151,575.70	(151,575.70)	-
Small Business Development Centers	59.037	-	1,131.19	1,131.19
Special Purpose Activities Relating to the Clean Air Act	66.034	60,716.24	(59,393.61)	1,322.63
Congressionally Mandated Projects	66.202	-	7,000.00	7,000.00
Science to Achieve Results (STAR) Research Programs	66.509	12,696.48	(12,696.48)	-
Graduate Research Fellowship	81.000	-	267.95	267.95
Office of Science Financial Assistance Program	81.049	333,227.58	(333,227.58)	-
Renewable Energy Research and Development	81.087	14,213.20	(14,213.20)	-
Funds for the Improvement of Postsecondary Education	81.116	158.23	(158.23)	-
Mathematics and Science Partnerships	84.266	-	3,093.52	3,093.52
S10-38: Delivery of Brefeldin A with Triazine Denominers	93.000	-	16,295.72	16,295.72
Special Programs for the Aging-Title IV and Title II-Discretionary Projects-RECOVERY	93.048	-	344,768.49	344,768.49
Occupational Safety and Health Program	93.262	-	0.01	0.01
Trans-NIH Recovery Act Research Support	93.701	12,948.70	(12,939.92)	8.78
Cardiovascular Diseases Research	93.837	-	22,680.74	22,680.74
Blood Diseases and Resources Research	93.839	-	23.22	23.22
Allergy, Immunology and Transplantation Research	93.855	-	898.59	898.59
Biomedical Research and Research Training	93.859	-	5,015.62	5,015.62
Aging Research	93.866	-	2,635.35	2,635.35
Geriatric Education Centers	93.969	-	1,408.71	1,408.71
2-1-1 Texas Database Analysis for Katrina-Rita Community Needs, 2005	97.000	-	11,086.26	11,086.26
Assistance to Firefighters Grant	97.044	-	0.85	0.85
Improve road Design Capabilities - Kosovo	98.000	-	224.65	224.65
		\$ 3,882,167.59	\$ 1,006,720.55	\$ 4,888,888.14

Deferred Revenue Explanation:

The deferred revenue consists of \$3,768,930.13 federal prepayment installments not yet earned and \$1,119,958.01 in revenues received but not expended as of August 31, 2012.

NOTE 8: SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM

Not Applicable

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TEXAS A&M RESEARCH FOUNDATION

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SCHEDULE THREE
TEXAS A&M RESEARCH FOUNDATION
SCHEDULE OF CASH & CASH EQUIVALENTS
FOR THE YEAR ENDED AUGUST 31, 2012

	<u>CURRENT YEAR TOTAL</u>
Cash & Cash Equivalents	
Current Assets	
Cash On Hand:	
Cash In Bank	\$ 1,525,600.49
Cash In State Treasury:	
Assets Held By System Offices-Current	3,699,173.79
Cash Equivalents	<u>11,427,804.66</u>
Total Current Cash and Cash Equivalents [Exhibit III]	<u>\$ 16,652,578.94</u>
Total Cash & Cash Equivalents [Exhibit V]	<u><u>\$ 16,652,578.94</u></u>

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SCHEDULE N-2
 TEXAS A&M RESEARCH FOUNDATION
 NOTE 2 - CAPITAL ASSETS
 FOR THE YEAR ENDED AUGUST 31, 2012

	BALANCE 9-1-11	ADJUSTMENTS	COMPLETED CIP
Non-Depreciable/Non-Amortizable Assets			
Land and Land Improvements	\$ 305,303.34	\$	\$
Total Non-Depreciable/Non-Amortizable Assets	\$ 305,303.34	\$	\$
Depreciable Assets			
Buildings	\$ 1,664,594.17	\$	\$
Furniture and Equipment	44,652,914.23		
Vehicles, Boats and Aircraft	27,536.94		
Total Depreciable Assets at Historical Cost	\$ 46,345,045.34	\$	\$
Less Accumulated Depreciation For:			
Buildings	\$ (1,281,611.05)	\$	\$
Furniture and Equipment	(31,807,049.64)		
Vehicles, Boats and Aircraft	(13,925.92)		
Total Accumulated Depreciation	\$ (33,102,586.61)	\$	\$
Depreciable Assets, Net	\$ 13,242,458.73	\$	\$
Amortizable Assets - Intangible			
Computer Software	\$ 1,179,737.63	\$	\$
Total Intangible Assets at Historical Cost	\$ 1,179,737.63	\$	\$
Less Accumulated Amortization For:			
Computer Software	\$ (1,043,912.44)	\$	\$
Total Accumulated Amortization	\$ (1,043,912.44)	\$	\$
Amortizable Assets-Net	\$ 135,825.19	\$	\$
Capital Assets, Net	\$ 13,683,587.26	\$	\$

<u>INC-INTERAGENCY TRANSACTIONS</u>	<u>DEC-INTERAGENCY TRANSACTIONS</u>	<u>ADDITIONS</u>	<u>DELETIONS</u>	<u>BALANCE 8-31-12</u>
\$	\$	\$	\$	\$ 305,303.34
\$	\$	\$	\$	\$ 305,303.34
\$	\$	\$ 2,591,064.99	\$ (1,618,974.69) (27,536.94)	\$ 1,664,594.17 45,625,004.53
\$	\$	\$ 2,591,064.99	\$ (1,646,511.63)	\$ 47,289,598.70
\$	\$	\$ (39,828.47) (2,665,943.43) (1,977.68)	\$ 1,309,652.07 15,903.60	\$ (1,321,439.52) (33,163,341.00)
\$	\$	\$ (2,707,749.58)	\$ 1,325,555.67	\$ (34,484,780.52)
\$	\$	\$ (116,684.59)	\$ (320,955.96)	\$ 12,804,818.18
\$	\$	\$	\$	\$ 1,179,737.63
\$	\$	\$	\$	\$ 1,179,737.63
\$	\$	\$ (73,656.29)	\$	\$ (1,117,568.73)
\$	\$	\$ (73,656.29)	\$	\$ (1,117,568.73)
\$	\$	\$ (73,656.29)	\$	\$ 62,168.90
\$	\$	\$ (190,340.88)	\$ (320,955.96)	\$ 13,172,290.42

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