

Legislative Appropriations Request

For Fiscal Year 2020 and 2021

**Submitted to the
Office of the Governor, Budget Division,
and the Legislative Budget Board**

by

University of Houston

**Date of 2nd Submission
October, 2018**

Table of Contents

Page

| | |
|---|----|
| Administrator’s Statement | 1 |
| UHS/UH Organizational Chart | 7 |
| Summaries of Request | |
| Base Request by Strategy..... | 8 |
| Base Request by Method of Finance..... | 12 |
| Base Request by Object of Expense..... | 18 |
| Base Request by Object of Outcomes..... | 19 |
| Exceptional Item Request..... | 22 |
| Total Request by Strategy..... | 23 |
| Total Request Objective Outcomes..... | 27 |
| Strategy Requests | |
| Instructional and Operations Support | |
| Operations Support..... | 31 |
| Staff Group Insurance Premiums..... | 36 |
| Workers Compensation Insurance..... | 38 |
| Texas Public Education Grants..... | 40 |
| Hold Harmless..... | 42 |
| Infrastructure Support | |
| Education and General Space Support..... | 44 |
| Instructional Support Special Item Support | |
| College of Pharmacy..... | 47 |
| Research Special Item Support | |
| Tier 1 – Complex Systems Research Cluster..... | 50 |
| Tier 1 – Energy Research Cluster..... | 53 |
| William P. Hobby School of Public Affairs..... | 56 |
| Public Service Special Item Support | |
| Small Business Development Center..... | 59 |
| Tier 1 – Health Sciences Research Cluster..... | 62 |
| Tier 1 – Education and Community Advancement..... | 65 |

| Strategy Request (Continued) | <u>Page</u> |
|--|--------------------|
| Institutional Support Special Item Support | |
| Institutional Enhancement..... | 68 |
| Research Funds | |
| CORE Research Fund..... | 70 |
| 3.A.1 Program-Level Request Schedule..... | 74 |
| | |
| Exceptional Item Request | |
| College of Medicine Funding..... | 75 |
| Restore Non-Formula Reductions..... | 77 |
| Hurricane Harvey Disaster Relief Funding..... | 79 |
| New Law Center Building..... | 81 |
| | |
| Historically Underutilized Business Supporting Schedule..... | 89 |
| | |
| Homeland Security Funding..... | 91 |
| | |
| Estimated Funds Outside the Institution’s Bill Pattern..... | 93 |
| | |
| 10 Percent Biennial Base Reduction Options..... | 94 |
| | |
| Summary of Requests for Capital Project Financing..... | 100 |
| | |
| Supporting Schedules | |
| Schedule 1A Other Educational and General Income..... | 101 |
| Schedule 2 Selected Educational, General and Other Funds..... | 104 |
| Schedule 3A Staff Group Insurance Data Elements (ERS)..... | 105 |
| Schedule 4 Computation of OASI..... | 108 |
| Schedule 5 Calculation of Retirement Proportionality and ORP Differential..... | 109 |
| Schedule 6 Constitutional Capital Funding..... | 110 |
| Schedule 7 Personnel..... | 111 |

Supporting Schedules (Continued)

Page

Schedule 8A Tuition Revenue Bond Projects..... 113
Schedule 8B Tuition Revenue Bond Issuance History..... 114
Schedule 9 Non-Formula Support 115

Schedules Not Included

| | | |
|---|---|----------------------------------|
| Agency Code: 730 | Agency Name: University of Houston | Date: October 19, 2018 |
| <p>For the schedules identified below, the University of Houston either has no information to report or the schedule is not applicable. Accordingly, these schedules have been excluded from the U.H. Legislative Appropriations Request for the 2020-21 biennium</p> | | |
| Number | Name | |
| 2C.1 | Operating Costs Detail – Base Request | |
| 3B | Rider Revisions and Additions Request | |
| 3C | Rider Appropriations and Unexpended Balance Request | |
| 5A - 5E | Capital Budget Project Schedules | |
| 6B | Current Biennium One-time Expenditure Schedule | |
| 6C | Federal Funds Supporting Schedule | |
| 6D | Federal Funds Tracking Schedule | |
| 6E | Estimated Revenue Collections Supporting Schedule | |
| 6F.a - 6F.b | Advisory Committee Supporting Schedule – Part A & B | |
| 7A - 7B | Indirect & Direct Administrative and Support Costs | |
| Schedule 3B | Staff Group Insurance Data Elements (UT/A&M) | |
| Schedule 3D | Group Insurance Data Elements (Supplemental) | |
| Schedule 8A | Tuition Revenue Bond Projects | |
| Schedule 8C | Revenue Capacity for Tuition Revenue Bond Projects (See UHSA) | |
| Schedule 8D | Tuition Revenue Bond Request by Project (See UHSA) | |

Administrator's Statement

10/17/2018 8:17:30AM

86th Regular Session, Agency Submission, Version 1
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730 University of Houston

University of Houston Overview and Goals

Founded in 1927 as a small junior college, the University of Houston (UH) has grown into a nationally competitive, Tier One university that generates more than \$160 million in annual research and enrolls over 46,000 students from almost every state and from 130 countries. Ranked among the Top 1% of universities worldwide, UH has been recognized for its academics, affordability, diversity and research. The University's number one priority is student success. UH graduates have higher than average early career salaries, and are ranked among the top five for social mobility in the United States. Nearly 40% of our undergraduates receive Pell Grants. At UH, access and excellence go hand-in-hand.

UH is the flagship of the University of Houston System and its principal doctoral degree-granting institution. UH is also the primary supplier of college-educated workers in Greater Houston, and its research activity fuels the regional/state economy. Located in Houston, the "Energy Capital of the World," UH reflects the diversity, vibrancy and international reputation of the city and state it serves. Hurricane Harvey tested our students and the university community, but we returned to class not long after — Houston and Cougar Strong.

Decade of Transformation

In the past decade, under the leadership of President Renu Khator, the University of Houston has grown in key areas of student success, research, facilities, athletics and community service. While it maintains a metropolitan focus, UH is now a highly selective, international institution, with enrollment increasing from approximately 35,000 to 46,000 students. The University attracts more academically competitive students. UH's median SAT score is 1218 — 163 points higher than in 2008. UH's freshman graduation rate rose from 43% to 59%. In FY 2016, Phi Beta Kappa established a chapter at UH, reflecting the university's increasing academic competitiveness.

Achieving Student Success for a Diverse Population

UH is the second most diverse public research university in the United States, according to U.S. News & World Report. Achieving academic excellence for a diverse student body is central to its mission and identity. To that end, UH improved on various measures during the 2017-18 academic year:

- Total degrees awarded: 10,283
- Freshman first-year retention rate: 85%
- Freshman six-year graduation rate: 59%
- Freshman median SAT: 1208
- Course completion rate: 97%

UH's student population is unique among public research institutions in Texas. It is one of the very few Tier One universities the federal government has designated as a Hispanic Serving Institution. No ethnic group constitutes a majority of the student body. In FY 2018, the student population was 31% Hispanic, 26% White, 21% Asian-American, 10% African-American, and 9% international. Many of UH's students are first generation college attendees who come from economically disadvantaged households and work while pursuing their education.

UH has implemented innovative, effective student success programs. For example, through the UHin4 program, freshmen are guaranteed a flat tuition rate for four years as long as they earn 30 semester credit hours per year and remain in academic good standing. Since the program began in 2014, freshman participation has increased from 49% to over 70%. These students are also performing better than non-UHin4 students in key areas of performance:

Administrator's Statement

10/17/2018 8:17:30AM

86th Regular Session, Agency Submission, Version 1
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730 University of Houston

| | UHin4 (FTIC) | Non-UHin4 (FTIC) |
|---|--------------|------------------|
| % Completing 30 SCH in First Year | 83% | 67% |
| % Completing 60 SCH by End of Second Year | 77% | 56% |
| Average GPA by End of Second Year | 3.04 | 2.81 |

UHin4 is transforming UH, creating a culture of success that will lead to higher graduation rates over time. In 2017, UH won the Texas Higher Education Coordinating Board's Star Award for UHin4 for helping meet the goals of 60X30TX.

Committed to National Competitiveness: Building the Powerhouse

Thanks to support from the Texas Legislature, the University of Houston reached a major milestone when it achieved Tier One status in 2011. As UH continues to progress, it aims for greater levels of excellence consistent with the Association of American Universities (AAU). Rising to these levels will occur only if UH increases external research funding and enhances its academic programs. To that end, UH achieved record highs last year on the following national competitiveness measures:

- Total research expenditures: \$162M
- Number of scholarly citations: 105,039
- Doctorates awarded: 369
- Graduate programs ranked in the top 50 nationally: 11

UH has also achieved success in several other key areas of national competitiveness:

- UH has been identified as a Top American Research University since 2012 by the Center for Measuring University Performance;
- UH was ranked among the top 1% of institutions of higher education in 2018 by the Center for World University Rankings;
- Royalty revenue has grown from \$1M during 2008 to \$34M during 2017. UH is the top royalty-earning institution in the nation without a medical school;
- The National Academy of Inventors ranked UH/UH System 67th in the world and 2nd in Texas for utility patents in 2017; and
- UH counts 21 members of the National Academy of Sciences or Engineering as faculty.

Of course, to reach new levels of excellence and meet student growth, UH also requires first-rate facilities. UH has more than 160 buildings — over 14 million square feet. We have launched a \$100 million multi-year capital renewal plan to renovate some of the university's oldest and most important academic buildings, some of which date back to the World War II era. Moreover, thanks to TRBs approved in 2015, UH broke ground on academic buildings in Sugar Land and Katy. These sites will enhance the university's ability to reach students in two of the fastest growing regions of the Houston metropolitan area. The University of Houston is grateful to the Legislature for this support.

A Great Value for Students

As UH has grown over the past ten years, we have made significant efforts to ensure college affordability and strategically invest our financial resources. These include:

- UHin4, the four-year, fixed-rate tuition plan;
- Cougar Promise, which covers tuition and fees to undergraduates with family incomes of \$45,000 or less, and will be increased to \$50,000 starting with the 2019 school year;

Administrator's Statement

10/17/2018 8:17:30AM

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

730 University of Houston

- Implementing performance-based funding, through which colleges are rewarded for improvements in student success and national competitiveness;
- Increasing the endowment to \$745 million (+28%);
- Increasing annual giving to \$138 million (+183%); and
- Reducing administrative costs (from 10.4% in FY 2000 to 6.8% in FY 2017).

UH has been nationally recognized for its affordability and return on investment for students :

- The U.S. Department of Education named UH an Affordable Four-Year School with Good Outcomes;
- Princeton Review named UH a “College that Pays You Back” and “College that Creates Futures”;
- UH students earn nearly \$50,000 during their early career years — much higher than the national average;
- Average student debt is lower than state and national averages; and
- The Brookings Institute ranked the UH/UH System 5th nationally for social mobility and socially-beneficial research.

Committed to Community Advancement: A Highly Engaged Community Partner

Among Texas universities, UH stands out as a metropolitan university whose mission is focused on advancing the community in which it is located. Houston is a national model for ethnic, socioeconomic and cultural diversity, and UH is a highly engaged community partner. Highlights of the university’s efforts include:

- teachHOUSTON, which prepares undergraduates to teach in STEM fields. Nearly all graduates teach in Greater Houston, most in high-need schools;
- Third Ward Initiative, which focuses on improving the education, health, arts and economy of UH’s shared local neighborhood;
- The development, deployment and growth of the SURE program that was awarded the 2017 Community Impact Award by the Governor of Texas;
- Houston Public Media, which provides public broadcasting and radio. During Hurricane Harvey, a local network television station relocated to HPM facilities to stay on air when their studios flooded; and the
- Optometry Clinic and Speech, Language, and Hearing Clinic (a United Way partnership), which both provide indigent care.

UH 2020-21 Legislative Priorities

None of the accomplishments the University of Houston has made would have been possible without strong support from the Texas Legislature . Looking to the 86th session, UH will seek state investment necessary to continue the momentum and support that has transformed the university and the community that it serves. UH is asking that the Legislature consider the following priorities:

I. ADEQUATE AND FAIR FUNDING FOR STUDENTS

1. Stable Base Formula Funding

Formula funding is the foundation of the state’s public universities. Without stable, reliable base formula funding, Texas universities will not be able to meet the needs of their students or adequately plan for growth. While the statewide formula funding rate for the FY 2018-19 biennium (\$55.39) was 1% higher than the previous biennium, in inflation-adjusted dollars it was 25% lower than the rate for the FY 2010-11 biennium (\$62.19). It is essential to close this gap. For the upcoming biennium, the University of Houston encourages the Legislature to provide funding consistent with the 2010-11 rate.

2. Restoration of Non-Formula Support Item Funding

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Non-formula support funding has enabled the University of Houston to achieve its Tier One goals, provide public service and generate economic development. Much of this funding is for energy and health sciences research — two vital sectors of the local and state economy. This funding is especially important to universities like UH that do not have access to the Permanent University Fund. In total, UH lost over \$11M in non-formula support for the 2018-19 biennium. UH encourages the Legislature, as much as is possible, to restore this reduction.

3. Financial Aid/TEXAS Grants

State funding for financial aid is essential to expand access to higher education and facilitate student graduation. This is especially true at the University of Houston, where the education of many of our students is not paid for by their families. Rather, students typically work to pay for college and rely heavily on financial aid. The University of Houston encourages the Legislature to increase funding for TEXAS Grants.

4. Hazlewood Exemptions

UH is committed to supporting veterans and their families by expanding opportunities for them to earn a college degree. To that end, UH funded \$9.2 million Hazlewood exemptions in FY 2017 — 5% more than the prior year and 263% more than FY 2010. Simply put, Hazlewood costs are unsustainable. Absent full state coverage, funding for these exemptions must be attained through other resources, including tuition paid by other students. As the Comptroller of Public Accounts noted in August 2016, public colleges and universities are losing tuition revenue due to the exemption, and as a result "students without Hazlewood benefits are subsidizing those who have them." UH recommends that the state appropriate resources to cover all Hazlewood exemptions or modify the exemption.

5. Equity Funding for Health-Related Programs

Currently, there is considerable disparity between the funding for health-related programs (pharmacy, nursing) that are funded through the health sciences formula versus those that are funded through the formula for general academic institutions (GAIs). Pharmacy programs at the general academic institutions (including the University of Houston, University of Texas at Austin, and Texas Southern University) receive significantly less funding on a per student basis for delivering the same curriculum. To achieve equity, the University of Houston encourages the Legislature to maintain funding for UH's pharmacy non-formula support item and to increase funding for nursing programs at GAIs.

II. BEYOND TIER ONE

1. College of Medicine

UH requests funding to establish a College of Medicine (COM) focused on preparing primary care doctors to practice in underserved urban and rural communities and building on interdisciplinary health research already underway at the university. The 85th Legislature requested that UH conduct a study about the need for a medical school. Based on those results, the University of Houston System Board of Regents approved the establishment of the COM in August 2017.

This is a fundamental need for Houston and Texas. Although Houston is home to the Texas Medical Center and it has grown by four million people since the last medical school was established in 1972, Texas ranks 47th out of 50 states in primary care physicians per resident. To keep up with population growth, Texas will need more than 6,000 new primary care physicians by 2030. The College of Medicine will help meet this workforce need, especially for groups and areas that need greater attention. For example, the college will educate and graduate more under-represented minorities, so physicians better reflect the communities they serve. After it is fully established, the anticipated enrollment is 480 students and 130 faculty and support staff.

UH has already laid the groundwork for this endeavor:

Administrator's Statement

10/17/2018 8:17:30AM

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

730 University of Houston

- Along with UH’s hospital partner, HCA Healthcare, the COM will add nearly 400 new resident positions by 2024. This will address the state’s need for more residency slots so graduates stay in Texas to practice.
- UH has a facility in place for start-up administration and student instruction as well as a funding plan for a new building.
- UH has business and operating plans for the first ten years.
- Philanthropic support for the tuition for the potential first batch of COM students

2. Hurricane Harvey

Hurricane Harvey had a devastating effect on the Gulf Coast Region and the University of Houston was not immune from the damage caused by the storm . Almost two-thirds of the University of Houston buildings experienced some damage during the storm with additional costs associated with safely maintaining the campus during the storm and caring for the students who rode out the storm in campus dorms. Total costs of Hurricane Harvey to the University of Houston are approximately \$32 million with expected insurance coverage at only \$12 million. The University of Houston is requesting funds to help defray the remaining costs as a result of the storm.

| Exceptional Items | Biennial Request |
|---|------------------|
| College of Medicine | \$20,000,000 |
| Restoration of Non-Formula Support Item Funding | \$11,080,047 |
| Hurricane Harvey | \$20,288,883 |

3. State Funding for Research Programs

Combined, the Core Research Support Fund, the Texas Research Incentive Program, the National Research University Fund, and the Governor's University Research Initiative constitute an innovative and powerful tool for enhancing and developing more nationally-competitive Tier One research universities. They are of critical importance to the continued growth of Texas' economy and advancement in significant areas of research and industry. They are also the primary resources through which UH and other universities not supported by the Permanent University Fund pursue their national competitiveness and Tier One goals . The University of Houston recommends increased appropriations to each of these funds.

4. Small Business Development Centers

Small Business Development Centers (SBDCs) are important engines for economic development. A recent independent impact study reported that the UH SBDC contributed to the creation of approximately 5,000 new jobs, \$300 million in sales, and \$38.5 million in tax revenue. The University of Houston encourages the Legislature to continue its support for SBDCs, especially since they generate tax revenue and are essentially “cost neutral.” In addition, UH recommends all SBDCs be grouped into a new, separate budget structure in Article III. This would recognize their affiliation with institutions of higher education and the fact they are distinct from other items appropriated to these institutions.

III. Tuition Revenue Bonds

The UH Law Center is a world-class institution, yet its current facility prevents the Center from being ranked consistently among the top 50 law schools in the nation. With 54% of the Law Center’s current space at underground level, students experience a constant, negative cycle of storm damage and water intrusion. The University of Houston is seeking support to construct a five-story, 17,000-square-foot academic building to house the UH Law Center to drastically improve its learning environment

Administrator's Statement

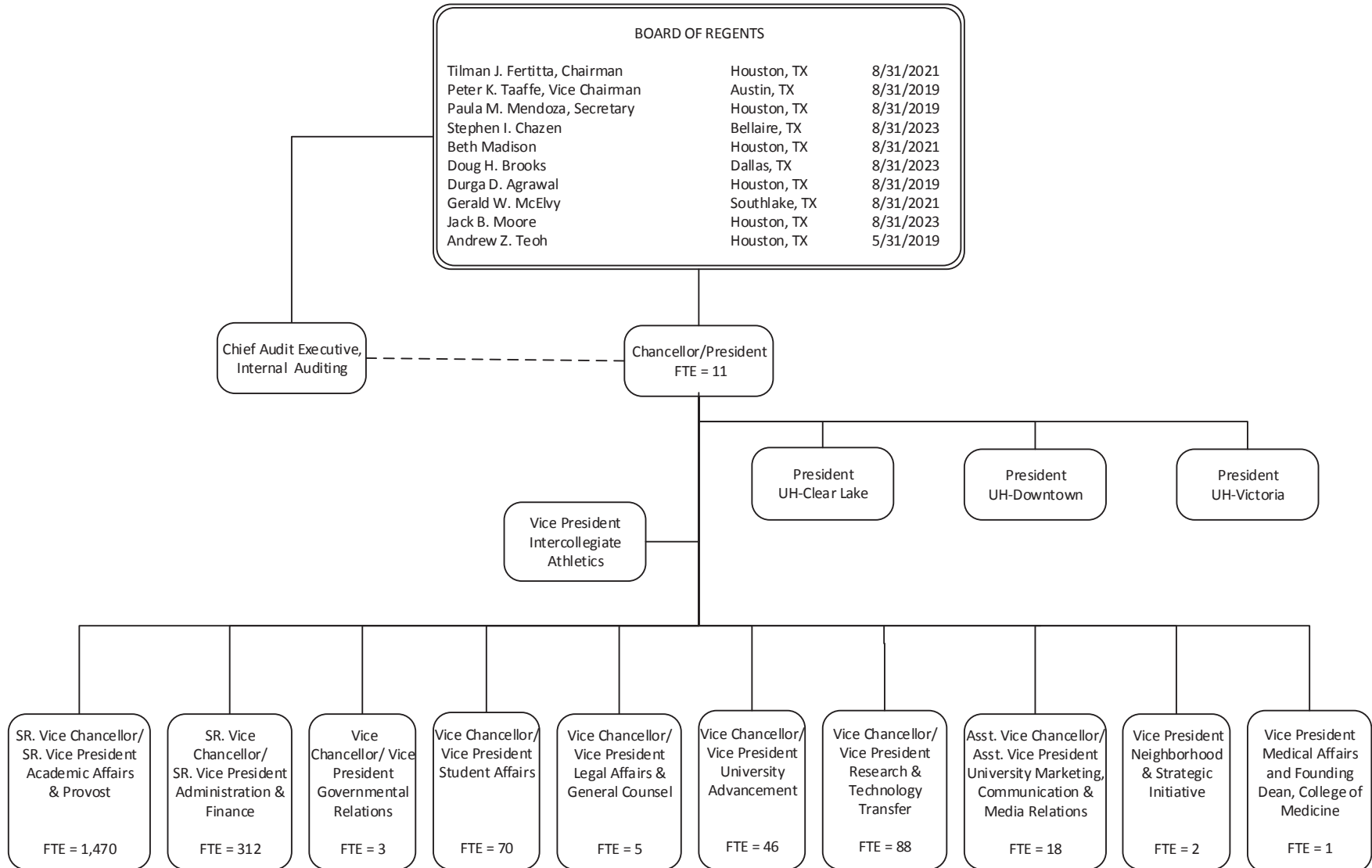
10/17/2018 8:17:30AM

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

730 University of Houston

and better reflect the Law Center's reputation. The building is expected to be funded through a combination of tuition revenue bonds and philanthropy. The building will be designed for a modern, integrative curriculum featuring an updated library with more electronic sources, space facilitating on-campus studying for students, and distinctive state-of-the-art events space, all of which will improve student success. The current facility is one of the oldest non-adapted law school buildings in the nation, and has no central entrance, making it difficult for visitors to find their destination. Located deep in the building's basement, the clinical program, which annually provides millions of dollars of pro bono legal services to Houston, is challenging for the public to access. The facility is also largely non-compliant with the Americans with Disabilities Act (ADA), having been built before enactment. With completion of this project, it is expected that the UH Law Center will experience a positive "rankings effect" in the decade following completion of the project, expected to improve by ten to twenty spots in the national rankings.

University of Houston System/University of Houston



FTE budgeted in FY2019 from Appropriated Funds; UH= 2,014 FTE, UHSA= 12 FTE, TOTAL= 2,026 FTE

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2.A. Summary of Base Request by Strategy

86th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

730 University of Houston

| Goal / Objective / STRATEGY | Exp 2017 | Est 2018 | Bud 2019 | Req 2020 | Req 2021 |
|--|----------------------|----------------------|----------------------|---------------------|---------------------|
| 1 Provide Instructional and Operations Support | | | | | |
| 1 <i>Provide Instructional and Operations Support</i> | | | | | |
| 1 OPERATIONS SUPPORT (1) | 159,241,683 | 181,672,875 | 180,029,836 | 0 | 0 |
| 3 STAFF GROUP INSURANCE PREMIUMS | 8,909,833 | 11,431,875 | 12,000,000 | 12,000,000 | 12,000,000 |
| 4 WORKERS' COMPENSATION INSURANCE | 388,254 | 436,390 | 342,931 | 342,931 | 342,931 |
| 6 TEXAS PUBLIC EDUCATION GRANTS | 7,829,854 | 8,093,450 | 8,336,252 | 8,527,986 | 8,729,247 |
| 8 HOLD HARMLESS | 0 | 5,300,000 | 5,300,000 | 5,300,000 | 5,300,000 |
| TOTAL, GOAL 1 | \$176,369,624 | \$206,934,590 | \$206,009,019 | \$26,170,917 | \$26,372,178 |
| 2 Provide Infrastructure Support | | | | | |
| 1 <i>Provide Operation and Maintenance of E&G Space</i> | | | | | |
| 1 E&G SPACE SUPPORT (1) | 14,606,513 | 12,316,027 | 13,560,247 | 0 | 0 |
| 2 TUITION REVENUE BOND RETIREMENT | 0 | 0 | 0 | 0 | 0 |

(1) - Formula funded strategies are not requested in 2020-21 because amounts are not determined by institutions.

2.A. Summary of Base Request by Strategy

10/17/2018 8:17:30AM

86th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

730 University of Houston

| Goal / Objective / STRATEGY | | Exp 2017 | Est 2018 | Bud 2019 | Req 2020 | Req 2021 |
|-----------------------------|---|--------------|--------------|--------------|----------|----------|
| TOTAL, GOAL | 2 | \$14,606,513 | \$12,316,027 | \$13,560,247 | \$0 | \$0 |

3 Provide Non-formula Support

1 INSTRUCTIONAL SUPPORT

1 COLLEGE OF PHARMACY

0 4,131,908 5,000,000 5,000,000 5,000,000

2 Research

1 COMPLEX SYSTEMS RESEARCH CLUSTER

461,537 351,457 449,663 449,663 449,663

2 ENERGY RESEARCH CLUSTER

3,289,308 2,660,099 2,324,892 2,324,892 2,324,892

3 HOBBY SCHOOL OF PUBLIC AFFAIRS

1,472,161 1,903,816 1,433,710 1,433,710 1,433,710

3 Public Service

1 SMALL BUSINESS DEVELOPMENT

2,851,292 2,551,344 2,221,704 2,221,704 2,221,704

2 HEALTH SCIENCES RESEARCH CLUSTER

2,601,534 2,143,126 1,445,114 1,445,114 1,445,114

3 EDUCATION & COMMUNITY ADVANCEMENT

1,024,433 1,005,016 750,422 750,422 750,422

4 INSTITUTIONAL SUPPORT

2.A. Summary of Base Request by Strategy

10/17/2018 8:17:30AM

86th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

730 University of Houston

| Goal / Objective / STRATEGY | Exp 2017 | Est 2018 | Bud 2019 | Req 2020 | Req 2021 |
|---|----------------------|----------------------|----------------------|---------------------|---------------------|
| 1 INSTITUTIONAL ENHANCEMENT | 6,340 | 1,802 | 3,349 | 2,429,295 | 2,429,295 |
| <u>5</u> <i>Exceptional Item Request</i> | | | | | |
| 1 EXCEPTIONAL ITEM REQUEST | 0 | 0 | 0 | 0 | 0 |
| TOTAL, GOAL 3 | \$11,706,605 | \$14,748,568 | \$13,628,854 | \$16,054,800 | \$16,054,800 |
| <u>6</u> Research Funds | | | | | |
| <u>3</u> <i>Core Research Support</i> | | | | | |
| 1 CORE RESEARCH SUPPORT | 10,568,981 | 10,229,443 | 10,416,961 | 0 | 0 |
| TOTAL, GOAL 6 | \$10,568,981 | \$10,229,443 | \$10,416,961 | \$0 | \$0 |
| TOTAL, AGENCY STRATEGY REQUEST | \$213,251,723 | \$244,228,628 | \$243,615,081 | \$42,225,717 | \$42,426,978 |
| TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST* | | | | \$0 | \$0 |
| GRAND TOTAL, AGENCY REQUEST | \$213,251,723 | \$244,228,628 | \$243,615,081 | \$42,225,717 | \$42,426,978 |

2.A. Summary of Base Request by Strategy

86th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

730 University of Houston

| Goal / Objective / STRATEGY | Exp 2017 | Est 2018 | Bud 2019 | Req 2020 | Req 2021 |
|---|----------------------|----------------------|----------------------|---------------------|---------------------|
| <u>METHOD OF FINANCING:</u> | | | | | |
| General Revenue Funds: | | | | | |
| 1 General Revenue Fund | 155,337,002 | 161,350,464 | 160,050,973 | 21,694,382 | 21,694,382 |
| SUBTOTAL | \$155,337,002 | \$161,350,464 | \$160,050,973 | \$21,694,382 | \$21,694,382 |
| General Revenue Dedicated Funds: | | | | | |
| 704 Est Bd Authorized Tuition Inc | 11,089,436 | 13,904,558 | 13,904,558 | 0 | 0 |
| 770 Est. Other Educational & General | 46,818,945 | 68,970,257 | 69,656,201 | 20,527,986 | 20,729,247 |
| SUBTOTAL | \$57,908,381 | \$82,874,815 | \$83,560,759 | \$20,527,986 | \$20,729,247 |
| Other Funds: | | | | | |
| 802 Lic Plate Trust Fund No. 0802, est | 6,340 | 3,349 | 3,349 | 3,349 | 3,349 |
| SUBTOTAL | \$6,340 | \$3,349 | \$3,349 | \$3,349 | \$3,349 |
| TOTAL, METHOD OF FINANCING | \$213,251,723 | \$244,228,628 | \$243,615,081 | \$42,225,717 | \$42,426,978 |

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. Summary of Base Request by Method of Finance
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2018 8:17:30AM

| Agency code: 730 | | Agency name: University of Houston | | | | |
|---|-----------------|---|-----------------|-----------------|-----------------|--|
| METHOD OF FINANCING | Exp 2017 | Est 2018 | Bud 2019 | Req 2020 | Req 2021 | |
| <u>GENERAL REVENUE</u> | | | | | | |
| <u>1</u> General Revenue Fund | | | | | | |
| <i>REGULAR APPROPRIATIONS</i> | | | | | | |
| Regular Appropriations from MOF Table (2016-17 GAA) | \$155,655,428 | \$0 | \$0 | \$0 | \$0 | |
| Regular Appropriations from MOF Table (2018-19 GAA) | \$0 | \$160,246,694 | \$160,050,973 | \$0 | \$0 | |
| Regular Appropriations | \$0 | \$0 | \$0 | \$21,694,382 | \$21,694,382 | |
| <i>UNEXPENDED BALANCES AUTHORITY</i> | | | | | | |
| House Bill 1000, Eighty-fourth Legislature, 2015 | \$785,344 | \$0 | \$0 | \$0 | \$0 | |
| House Bill 1000, Eighty-fourth Legislature, 2015 | \$(1,103,770) | \$0 | \$0 | \$0 | \$0 | |
| House Bill 1000, Eighty-fourth Legislature, 2015 | \$0 | \$1,103,770 | \$0 | \$0 | \$0 | |

2.B. Summary of Base Request by Method of Finance
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2018 8:17:30AM

| | | | | | | |
|--|--|----------------------|------------------------------|----------------------|---------------------|---------------------|
| Agency code: | 730 | Agency name: | University of Houston | | | |
| METHOD OF FINANCING | | Exp 2017 | Est 2018 | Bud 2019 | Req 2020 | Req 2021 |
| <u>GENERAL REVENUE</u> | | | | | | |
| TOTAL, | General Revenue Fund | \$155,337,002 | \$161,350,464 | \$160,050,973 | \$21,694,382 | \$21,694,382 |
| TOTAL, ALL | GENERAL REVENUE | \$155,337,002 | \$161,350,464 | \$160,050,973 | \$21,694,382 | \$21,694,382 |
| <u>GENERAL REVENUE FUND - DEDICATED</u> | | | | | | |
| <u>704</u> | GR Dedicated - Estimated Board Authorized Tuition Increases Account No. 704 | | | | | |
| | <i>REGULAR APPROPRIATIONS</i> | | | | | |
| | Regular Appropriations from MOF Table (2016-17 GAA) | \$13,848,971 | \$0 | \$0 | \$0 | \$0 |
| | Regular Appropriations from MOF Table (2018-19 GAA) | \$0 | \$13,904,558 | \$13,904,558 | \$0 | \$0 |
| | <i>BASE ADJUSTMENT</i> | | | | | |
| | Revised Revenue Receipts | \$(2,759,535) | \$0 | \$0 | \$0 | \$0 |
| TOTAL, | GR Dedicated - Estimated Board Authorized Tuition Increases Account No. 704 | \$11,089,436 | \$13,904,558 | \$13,904,558 | \$0 | \$0 |

770 GR Dedicated - Estimated Other Educational and General Income Account No. 770

2.B. Summary of Base Request by Method of Finance
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2018 8:17:30AM

| Agency code: 730 | Agency name: University of Houston | | | | |
|--|---|--------------|--------------|--------------|--------------|
| METHOD OF FINANCING | Exp 2017 | Est 2018 | Bud 2019 | Req 2020 | Req 2021 |
| <u>GENERAL REVENUE FUND - DEDICATED</u> | | | | | |
| <i>REGULAR APPROPRIATIONS</i> | | | | | |
| Regular Appropriations from MOF Table (2016-17 GAA) | \$65,124,346 | \$0 | \$0 | \$0 | \$0 |
| Comments: The amounts in FY2017 include the hiring freeze dollars that were retained with authorization from the Governor's Office on September 29th, 2017. | | | | | |
| Regular Appropriations from MOF Table (2018-19 GAA) | \$0 | \$68,970,257 | \$69,656,201 | \$0 | \$0 |
| Regular Appropriations | \$0 | \$0 | \$0 | \$20,527,986 | \$20,729,247 |
| <i>BASE ADJUSTMENT</i> | | | | | |
| Revised Revenue Receipts | \$(7,938,308) | \$0 | \$0 | \$0 | \$0 |
| Comments: Our GRD collection fell short of the bill estimate by 7.9M. There was a general decrease in enrollment across the board. | | | | | |
| Adjustment to expended | \$(10,367,093) | \$0 | \$0 | \$0 | \$0 |

2.B. Summary of Base Request by Method of Finance
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2018 8:17:30AM

| | | | | | | |
|--|--|-----------------|------------------------------|-----------------|-----------------|-----------------|
| Agency code: | 730 | Agency name: | University of Houston | | | |
| METHOD OF FINANCING | | Exp 2017 | Est 2018 | Bud 2019 | Req 2020 | Req 2021 |
| <u>GENERAL REVENUE FUND - DEDICATED</u> | | | | | | |
| | Comments: The 10.3m is the result of the institution recalibrated salaries to be more commensurate with current available funding sources. In subsequent years we have determined additional adjustments will be necessary to correct the funding trajectory. | | | | | |
| TOTAL, | GR Dedicated - Estimated Other Educational and General Income Account No. 770 | | | | | |
| | | \$46,818,945 | \$68,970,257 | \$69,656,201 | \$20,527,986 | \$20,729,247 |
| TOTAL GENERAL REVENUE FUND - DEDICATED - 704, 708 & 770 | | | | | | |
| | | \$57,908,381 | \$82,874,815 | \$83,560,759 | \$20,527,986 | \$20,729,247 |
| TOTAL, ALL | GENERAL REVENUE FUND - DEDICATED | | | | | |
| | | \$57,908,381 | \$82,874,815 | \$83,560,759 | \$20,527,986 | \$20,729,247 |
| TOTAL, | GR & GR-DEDICATED FUNDS | | | | | |
| | | \$213,245,383 | \$244,225,279 | \$243,611,732 | \$42,222,368 | \$42,423,629 |
| <u>OTHER FUNDS</u> | | | | | | |
| 802 | License Plate Trust Fund Account No. 0802 | | | | | |
| | <i>REGULAR APPROPRIATIONS</i> | | | | | |
| | Regular Appropriations from MOF Table (2018-19 GAA) | | | | | |
| | | \$0 | \$3,349 | \$3,349 | \$0 | \$0 |
| | Regular Appropriations | | | | | |
| | | \$0 | \$0 | \$0 | \$3,349 | \$3,349 |

2.B. Summary of Base Request by Method of Finance
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2018 8:17:30AM

| Agency code: 730 | | Agency name: University of Houston | | | | |
|---|--|---|----------------------|----------------------|---------------------|---------------------|
| METHOD OF FINANCING | | Exp 2017 | Est 2018 | Bud 2019 | Req 2020 | Req 2021 |
| <u>OTHER FUNDS</u> | | | | | | |
| <i>RIDER APPROPRIATION</i> | | | | | | |
| Art III, Sec. 60, Special Provisions Higher Education, Texas Collegiate License | | | | | | |
| | | \$3,349 | \$0 | \$0 | \$0 | \$0 |
| <i>BASE ADJUSTMENT</i> | | | | | | |
| Adjustment-Revised Revenue Receipts | | | | | | |
| | | \$2,991 | \$0 | \$0 | \$0 | \$0 |
| TOTAL, | License Plate Trust Fund Account No. 0802 | \$6,340 | \$3,349 | \$3,349 | \$3,349 | \$3,349 |
| TOTAL, ALL | OTHER FUNDS | \$6,340 | \$3,349 | \$3,349 | \$3,349 | \$3,349 |
| GRAND TOTAL | | \$213,251,723 | \$244,228,628 | \$243,615,081 | \$42,225,717 | \$42,426,978 |

2.B. Summary of Base Request by Method of Finance
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2018 8:17:30AM

| METHOD OF FINANCING | Exp 2017 | Est 2018 | Bud 2019 | Req 2020 | Req 2021 |
|---|----------------|----------------|----------------|----------------|----------------|
| Agency code: 730 Agency name: University of Houston | | | | | |
| FULL-TIME-EQUIVALENT POSITIONS | | | | | |
| REGULAR APPROPRIATIONS | | | | | |
| Regular Appropriations from MOF Table (2016-17 GAA) | 2,544.7 | 0.0 | 0.0 | 0.0 | 0.0 |
| Regular Appropriations from MOF Table (2018-19 GAA) | 0.0 | 1,989.2 | 1,989.2 | 0.0 | 0.0 |
| Regular Appropriations | 0.0 | 0.0 | 0.0 | 2,014.0 | 2,014.0 |
| UNAUTHORIZED NUMBER OVER (BELOW) CAP | | | | | |
| Unauthorized number over (below) CAP | (466.2) | 24.8 | 24.8 | 0.0 | 0.0 |
| TOTAL, ADJUSTED FTES | 2,078.5 | 2,014.0 | 2,014.0 | 2,014.0 | 2,014.0 |

**NUMBER OF 100% FEDERALLY FUNDED
 FTEs**

2.C. Summary of Base Request by Object of Expense
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2018 8:17:31AM

730 University of Houston

| OBJECT OF EXPENSE | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|-------------------------------------|----------------------|----------------------|----------------------|---------------------|---------------------|
| 1001 SALARIES AND WAGES | \$70,780,238 | \$68,026,096 | \$68,502,471 | \$7,172,934 | \$7,172,934 |
| 1002 OTHER PERSONNEL COSTS | \$2,290,465 | \$2,303,902 | \$2,488,648 | \$2,127 | \$2,127 |
| 1005 FACULTY SALARIES | \$114,274,260 | \$124,459,616 | \$122,167,411 | \$1,446,398 | \$1,446,398 |
| 1010 PROFESSIONAL SALARIES | \$1,555,714 | \$2,805,224 | \$1,924,841 | \$1,451,909 | \$1,451,909 |
| 2001 PROFESSIONAL FEES AND SERVICES | \$192,120 | \$263,953 | \$220,707 | \$140,037 | \$140,037 |
| 2002 FUELS AND LUBRICANTS | \$986 | \$1,376 | \$1,489 | \$0 | \$0 |
| 2003 CONSUMABLE SUPPLIES | \$361,800 | \$342,240 | \$352,599 | \$242,260 | \$242,260 |
| 2004 UTILITIES | \$1,488,110 | \$15,340,731 | \$15,367,746 | \$45,992 | \$45,992 |
| 2005 TRAVEL | \$60,462 | \$11,636 | \$9,942 | \$9,942 | \$9,942 |
| 2006 RENT - BUILDING | \$1,293,433 | \$1,616,862 | \$1,769,479 | \$16,237 | \$16,237 |
| 2007 RENT - MACHINE AND OTHER | \$96,804 | \$188,215 | \$186,065 | \$61,516 | \$61,516 |
| 2008 DEBT SERVICE | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2009 OTHER OPERATING EXPENSE | \$19,781,439 | \$27,519,165 | \$29,756,918 | \$28,768,859 | \$28,970,120 |
| 3001 CLIENT SERVICES | \$30,000 | \$56,894 | \$7,913 | \$2,433,859 | \$2,433,859 |
| 5000 CAPITAL EXPENDITURES | \$1,045,892 | \$1,292,718 | \$858,852 | \$433,647 | \$433,647 |
| OOE Total (Excluding Riders) | \$213,251,723 | \$244,228,628 | \$243,615,081 | \$42,225,717 | \$42,426,978 |
| OOE Total (Riders) | | | | | |
| Grand Total | \$213,251,723 | \$244,228,628 | \$243,615,081 | \$42,225,717 | \$42,426,978 |

2.D. Summary of Base Request Objective Outcomes
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

10/17/2018 8:17:31AM

730 University of Houston

| Goal/ Objective / Outcome | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|--|----------|----------|----------|---------|---------|
| 1 Provide Instructional and Operations Support | | | | | |
| 1 Provide Instructional and Operations Support | | | | | |
| KEY 1 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 6 Yrs | 53.70% | 59.10% | 61.00% | 62.00% | 63.00% |
| 2 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 6 Yrs | 50.70% | 58.67% | 60.00% | 61.00% | 62.00% |
| 3 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 6 Yrs | 51.10% | 55.40% | 57.00% | 59.00% | 62.00% |
| 4 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 6 Yrs | 45.00% | 48.23% | 50.00% | 52.00% | 54.00% |
| 5 % 1st-time, Full-time, Degree-seeking Other Frsh Earn Degree in 6 Yrs | 61.30% | 67.73% | 64.19% | 65.12% | 66.03% |
| KEY 6 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 4 Yrs | 32.50% | 33.70% | 36.70% | 39.70% | 41.70% |
| 7 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 4 Yrs | 37.60% | 36.50% | 39.70% | 42.70% | 44.70% |
| 8 % 1st-time, Full-time, Degree-seeking Hispanic Frsh Earn Degree in 4 Y | 25.40% | 28.10% | 33.10% | 36.10% | 40.10% |
| 9 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 4 Yrs | 27.20% | 30.73% | 32.73% | 34.73% | 36.73% |
| 10 % 1st-time, Full-time, Degree-seeking Other Frsh Earn Degree in 4 Yrs | 36.20% | 37.43% | 41.03% | 45.03% | 49.03% |
| KEY 11 Persistence Rate 1st-time, Full-time, Degree-seeking Frsh after 1 Yr | 84.90% | 86.10% | 87.00% | 88.00% | 88.00% |
| 12 Persistence 1st-time, Full-time, Degree-seeking White Frsh after 1 Yr | 80.40% | 81.63% | 82.00% | 82.00% | 82.00% |

2.D. Summary of Base Request Objective Outcomes
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

10/17/2018 8:17:31AM

730 University of Houston

| <i>Goal/ Objective / Outcome</i> | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|---|-----------------|-----------------|-----------------|----------------|----------------|
| 13 Persistence 1st-time, Full-time, Degree-seeking Hisp Frsh after 1 Yr | 82.40% | 83.13% | 83.00% | 83.00% | 83.00% |
| 14 Persistence 1st-time, Full-time, Degree-seeking Black Frsh after 1 Yr | 83.20% | 82.97% | 83.00% | 84.00% | 84.00% |
| 15 Persistence 1st-time, Full-time, Degree-seeking Other Frsh after 1 Yr | 90.40% | 92.00% | 92.00% | 92.00% | 92.00% |
| 16 Percent of Semester Credit Hours Completed | 96.81% | 96.90% | 96.55% | 96.42% | 96.29% |
| KEY 17 Certification Rate of Teacher Education Graduates | 90.90% | 91.40% | 84.00% | 85.00% | 85.00% |
| 18 Percentage of Underprepared Students Satisfy TSI Obligation in Math | 81.74% | 83.50% | 83.00% | 83.00% | 83.00% |
| 19 Percentage of Underprepared Students Satisfy TSI Obligation in Writing | 87.01% | 88.30% | 88.30% | 88.30% | 88.30% |
| 20 Percentage of Underprepared Students Satisfy TSI Obligation in Reading | 92.45% | 88.42% | 88.42% | 88.42% | 88.42% |
| KEY 21 % of Baccalaureate Graduates Who Are 1st Generation College Graduates | 49.69% | 48.50% | 48.50% | 48.50% | 48.50% |
| KEY 22 Percent of Transfer Students Who Graduate within 4 Years | 62.20% | 59.27% | 60.27% | 61.27% | 62.27% |
| KEY 23 Percent of Transfer Students Who Graduate within 2 Years | 22.10% | 23.00% | 24.00% | 25.00% | 26.00% |
| KEY 24 % Lower Division Semester Credit Hours Taught by Tenured/Tenure-Track | 22.30% | 23.10% | 24.00% | 24.00% | 24.00% |
| KEY 25 State Licensure Pass Rate of Law Graduates | 85.44% | 83.78% | 86.00% | 87.00% | 88.00% |

2.D. Summary of Base Request Objective Outcomes
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

10/17/2018 8:17:31AM

730 University of Houston

| <i>Goal/ Objective / Outcome</i> | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|--|-----------------|-----------------|-----------------|----------------|----------------|
| KEY 26 State Licensure Pass Rate of Engineering Graduates | 78.00% | 85.30% | 82.00% | 82.00% | 83.00% |
| KEY 27 State Licensure Pass Rate of Pharmacy Graduates | 99.02% | 90.00% | 90.00% | 90.00% | 90.00% |
| KEY 28 Dollar Value of External or Sponsored Research Funds (in Millions) | 137.35 | 141.00 | 143.00 | 145.00 | 147.00 |
| 29 External Research Funds As Percentage Appropriated for Research | 791.08% | 807.00% | 820.00% | 830.00% | 840.00% |

2.E. Summary of Exceptional Items Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/17/2018
 TIME : 8:17:31AM

Agency code: 730

Agency name: University of Houston

| Priority | Item | 2020 | | | 2021 | | | Biennium | |
|---|----------------------------------|------------------------|---------------------|-------------|---------------------|---------------------|-------------|---------------------|---------------------|
| | | GR and GR/GR Dedicated | All Funds | FTEs | GR and GR Dedicated | All Funds | FTEs | GR and GR Dedicated | All Funds |
| 1 | College of Medicine Funding | \$10,000,000 | \$10,000,000 | 71.0 | \$10,000,000 | \$10,000,000 | 93.0 | \$20,000,000 | \$20,000,000 |
| 2 | Restore Non-Formula Reductions | \$5,547,021 | \$5,547,021 | | \$5,547,021 | \$5,547,021 | | \$11,094,042 | \$11,094,042 |
| 3 | Hurricane Harvey Disaster Relief | \$20,288,883 | \$20,288,883 | | \$0 | \$0 | | \$20,288,883 | \$20,288,883 |
| 4 | New Law Center Building | \$5,231,073 | \$5,231,073 | | \$5,231,073 | \$5,231,073 | | \$10,462,146 | \$10,462,146 |
| Total, Exceptional Items Request | | \$41,066,977 | \$41,066,977 | 71.0 | \$20,778,094 | \$20,778,094 | 93.0 | \$61,845,071 | \$61,845,071 |

Method of Financing

| | | | | | | | | |
|-----------------------------|---------------------|---------------------|--|---------------------|---------------------|--|---------------------|---------------------|
| General Revenue | \$41,066,977 | \$41,066,977 | | \$20,778,094 | \$20,778,094 | | \$61,845,071 | \$61,845,071 |
| General Revenue - Dedicated | | | | | | | | |
| Federal Funds | | | | | | | | |
| Other Funds | | | | | | | | |
| | \$41,066,977 | \$41,066,977 | | \$20,778,094 | \$20,778,094 | | \$61,845,071 | \$61,845,071 |

Full Time Equivalent Positions

71.0

93.0

Number of 100% Federally Funded FTEs

2.F. Summary of Total Request by Strategy
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/17/2018
 TIME : 8:17:31AM

Agency code: 730 Agency name: University of Houston

| Goal/Objective/STRATEGY | Base 2020 | Base 2021 | Exceptional 2020 | Exceptional 2021 | Total Request 2020 | Total Request 2021 |
|---|----------------------|----------------------|-----------------------------|-----------------------------|-------------------------------|-------------------------------|
| 1 Provide Instructional and Operations Support | | | | | | |
| 1 <i>Provide Instructional and Operations Support</i> | | | | | | |
| 1 OPERATIONS SUPPORT | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 3 STAFF GROUP INSURANCE PREMIUMS | 12,000,000 | 12,000,000 | 0 | 0 | 12,000,000 | 12,000,000 |
| 4 WORKERS' COMPENSATION INSURANCE | 342,931 | 342,931 | 0 | 0 | 342,931 | 342,931 |
| 6 TEXAS PUBLIC EDUCATION GRANTS | 8,527,986 | 8,729,247 | 0 | 0 | 8,527,986 | 8,729,247 |
| 8 HOLD HARMLESS | 5,300,000 | 5,300,000 | 0 | 0 | 5,300,000 | 5,300,000 |
| TOTAL, GOAL 1 | \$26,170,917 | \$26,372,178 | \$0 | \$0 | \$26,170,917 | \$26,372,178 |
| 2 Provide Infrastructure Support | | | | | | |
| 1 <i>Provide Operation and Maintenance of E&G Space</i> | | | | | | |
| 1 E&G SPACE SUPPORT | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 TUITION REVENUE BOND RETIREMENT | 0 | 0 | 5,231,073 | 5,231,073 | 5,231,073 | 5,231,073 |
| TOTAL, GOAL 2 | \$0 | \$0 | \$5,231,073 | \$5,231,073 | \$5,231,073 | \$5,231,073 |

2.F. Summary of Total Request by Strategy
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/17/2018
 TIME : 8:17:31AM

Agency code: 730 Agency name: University of Houston

| Goal/Objective/STRATEGY | Base 2020 | Base 2021 | Exceptional 2020 | Exceptional 2021 | Total Request 2020 | Total Request 2021 |
|--|----------------------|----------------------|-----------------------------|-----------------------------|-------------------------------|-------------------------------|
| 3 Provide Non-formula Support | | | | | | |
| 1 <i>INSTRUCTIONAL SUPPORT</i> | | | | | | |
| 1 COLLEGE OF PHARMACY | \$5,000,000 | \$5,000,000 | \$0 | \$0 | \$5,000,000 | \$5,000,000 |
| 2 <i>Research</i> | | | | | | |
| 1 COMPLEX SYSTEMS RESEARCH CLUSTER | 449,663 | 449,663 | 0 | 0 | 449,663 | 449,663 |
| 2 ENERGY RESEARCH CLUSTER | 2,324,892 | 2,324,892 | 0 | 0 | 2,324,892 | 2,324,892 |
| 3 HOBBY SCHOOL OF PUBLIC AFFAIRS | 1,433,710 | 1,433,710 | 0 | 0 | 1,433,710 | 1,433,710 |
| 3 <i>Public Service</i> | | | | | | |
| 1 SMALL BUSINESS DEVELOPMENT | 2,221,704 | 2,221,704 | 0 | 0 | 2,221,704 | 2,221,704 |
| 2 HEALTH SCIENCES RESEARCH CLUSTER | 1,445,114 | 1,445,114 | 0 | 0 | 1,445,114 | 1,445,114 |
| 3 EDUCATION & COMMUNITY ADVANCEMENT | 750,422 | 750,422 | 0 | 0 | 750,422 | 750,422 |
| 4 <i>INSTITUTIONAL SUPPORT</i> | | | | | | |
| 1 INSTITUTIONAL ENHANCEMENT | 2,429,295 | 2,429,295 | 0 | 0 | 2,429,295 | 2,429,295 |
| 5 <i>Exceptional Item Request</i> | | | | | | |
| 1 EXCEPTIONAL ITEM REQUEST | 0 | 0 | 35,835,904 | 15,547,021 | 35,835,904 | 15,547,021 |
| TOTAL, GOAL 3 | \$16,054,800 | \$16,054,800 | \$35,835,904 | \$15,547,021 | \$51,890,704 | \$31,601,821 |

2.F. Summary of Total Request by Strategy
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/17/2018
 TIME : 8:17:31AM

Agency code: 730 Agency name: University of Houston

| Goal/Objective/STRATEGY | Base 2020 | Base 2021 | Exceptional 2020 | Exceptional 2021 | Total Request 2020 | Total Request 2021 |
|---|----------------------|----------------------|-----------------------------|-----------------------------|-------------------------------|-------------------------------|
| 6 Research Funds | | | | | | |
| 3 Core Research Support | | | | | | |
| 1 CORE RESEARCH SUPPORT | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| TOTAL, GOAL 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| TOTAL, AGENCY STRATEGY REQUEST | \$42,225,717 | \$42,426,978 | \$41,066,977 | \$20,778,094 | \$83,292,694 | \$63,205,072 |
| TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST | | | | | | |
| GRAND TOTAL, AGENCY REQUEST | \$42,225,717 | \$42,426,978 | \$41,066,977 | \$20,778,094 | \$83,292,694 | \$63,205,072 |

2.F. Summary of Total Request by Strategy
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/17/2018
 TIME : 8:17:31AM

| Agency code: 730 | | Agency name: University of Houston | | | | |
|---|----------------------|------------------------------------|-----------------------------|-----------------------------|-------------------------------|-------------------------------|
| <i>Goal/Objective/STRATEGY</i> | Base 2020 | Base 2021 | Exceptional 2020 | Exceptional 2021 | Total Request 2020 | Total Request 2021 |
| General Revenue Funds: | | | | | | |
| 1 General Revenue Fund | \$21,694,382 | \$21,694,382 | \$41,066,977 | \$20,778,094 | \$62,761,359 | \$42,472,476 |
| | \$21,694,382 | \$21,694,382 | \$41,066,977 | \$20,778,094 | \$62,761,359 | \$42,472,476 |
| General Revenue Dedicated Funds: | | | | | | |
| 704 Est Bd Authorized Tuition Inc | 0 | 0 | 0 | 0 | 0 | 0 |
| 770 Est. Other Educational & General | 20,527,986 | 20,729,247 | 0 | 0 | 20,527,986 | 20,729,247 |
| | \$20,527,986 | \$20,729,247 | \$0 | \$0 | \$20,527,986 | \$20,729,247 |
| Other Funds: | | | | | | |
| 802 Lic Plate Trust Fund No. 0802, est | 3,349 | 3,349 | 0 | 0 | 3,349 | 3,349 |
| | \$3,349 | \$3,349 | \$0 | \$0 | \$3,349 | \$3,349 |
| TOTAL, METHOD OF FINANCING | \$42,225,717 | \$42,426,978 | \$41,066,977 | \$20,778,094 | \$83,292,694 | \$63,205,072 |
| FULL TIME EQUIVALENT POSITIONS | 2,014.0 | 2,014.0 | 71.0 | 93.0 | 2,085.0 | 2,107.0 |

2.G. Summary of Total Request Objective Outcomes
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/17/2018
 Time: 8:17:31AM

Agency code: 730 Agency name: University of Houston

Goal/ Objective / Outcome

| | BL 2020 | BL 2021 | Excp 2020 | Excp 2021 | Total Request 2020 | Total Request 2021 |
|---|------------|------------|--------------|--------------|--------------------------|--------------------------|
| 1 Provide Instructional and Operations Support | | | | | | |
| 1 <i>Provide Instructional and Operations Support</i> | | | | | | |
| KEY 1 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 6 Yrs | | | | | | |
| | 62.00% | 63.00% | | | 62.00% | 63.00% |
| 2 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 6 Yrs | | | | | | |
| | 61.00% | 62.00% | | | 61.00% | 62.00% |
| 3 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 6 Yrs | | | | | | |
| | 59.00% | 62.00% | | | 59.00% | 62.00% |
| 4 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 6 Yrs | | | | | | |
| | 52.00% | 54.00% | | | 52.00% | 54.00% |
| 5 % 1st-time, Full-time, Degree-seeking Other Frsh Earn Degree in 6 Yrs | | | | | | |
| | 65.12% | 66.03% | | | 65.12% | 66.03% |
| KEY 6 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 4 Yrs | | | | | | |
| | 39.70% | 41.70% | | | 39.70% | 41.70% |
| 7 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 4 Yrs | | | | | | |
| | 42.70% | 44.70% | | | 42.70% | 44.70% |
| 8 % 1st-time, Full-time, Degree-seeking Hispanic Frsh Earn Degree in 4 Y | | | | | | |
| | 36.10% | 40.10% | | | 36.10% | 40.10% |

2.G. Summary of Total Request Objective Outcomes
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/17/2018
 Time: 8:17:31AM

Agency code: 730

Agency name: University of Houston

Goal/ Objective / Outcome

| | BL 2020 | BL 2021 | Excp 2020 | Excp 2021 | Total Request 2020 | Total Request 2021 |
|--|------------|------------|--------------|--------------|--------------------------|--------------------------|
| 9 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 4 Yrs | 34.73% | 36.73% | | | 34.73% | 36.73% |
| 10 % 1st-time, Full-time, Degree-seeking Other Frsh Earn Degree in 4 Yrs | 45.03% | 49.03% | | | 45.03% | 49.03% |
| KEY 11 Persistence Rate 1st-time, Full-time, Degree-seeking Frsh after 1 Yr | 88.00% | 88.00% | | | 88.00% | 88.00% |
| 12 Persistence 1st-time, Full-time, Degree-seeking White Frsh after 1 Yr | 82.00% | 82.00% | | | 82.00% | 82.00% |
| 13 Persistence 1st-time, Full-time, Degree-seeking Hisp Frsh after 1 Yr | 83.00% | 83.00% | | | 83.00% | 83.00% |
| 14 Persistence 1st-time, Full-time, Degree-seeking Black Frsh after 1 Yr | 84.00% | 84.00% | | | 84.00% | 84.00% |
| 15 Persistence 1st-time, Full-time, Degree-seeking Other Frsh after 1 Yr | 92.00% | 92.00% | | | 92.00% | 92.00% |
| 16 Percent of Semester Credit Hours Completed | 96.42% | 96.29% | | | 96.42% | 96.29% |
| KEY 17 Certification Rate of Teacher Education Graduates | 85.00% | 85.00% | | | 85.00% | 85.00% |

2.G. Summary of Total Request Objective Outcomes
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/17/2018
 Time: 8:17:31AM

Agency code: 730

Agency name: University of Houston

Goal/ Objective / Outcome

| | BL 2020 | BL 2021 | Excp 2020 | Excp 2021 | Total Request 2020 | Total Request 2021 |
|---|------------|------------|--------------|--------------|--------------------------|--------------------------|
| 18 Percentage of Underprepared Students Satisfy TSI Obligation in Math | 83.00% | 83.00% | | | 83.00% | 83.00% |
| 19 Percentage of Underprepared Students Satisfy TSI Obligation in Writing | 88.30% | 88.30% | | | 88.30% | 88.30% |
| 20 Percentage of Underprepared Students Satisfy TSI Obligation in Reading | 88.42% | 88.42% | | | 88.42% | 88.42% |
| KEY 21 % of Baccalaureate Graduates Who Are 1st Generation College Graduates | 48.50% | 48.50% | | | 48.50% | 48.50% |
| KEY 22 Percent of Transfer Students Who Graduate within 4 Years | 61.27% | 62.27% | | | 61.27% | 62.27% |
| KEY 23 Percent of Transfer Students Who Graduate within 2 Years | 25.00% | 26.00% | | | 25.00% | 26.00% |
| KEY 24 % Lower Division Semester Credit Hours Taught by Tenured/Tenure-Track | 24.00% | 24.00% | | | 24.00% | 24.00% |
| KEY 25 State Licensure Pass Rate of Law Graduates | 87.00% | 88.00% | | | 87.00% | 88.00% |
| KEY 26 State Licensure Pass Rate of Engineering Graduates | 82.00% | 83.00% | | | 82.00% | 83.00% |

2.G. Summary of Total Request Objective Outcomes
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/17/2018

Time: 8:17:31AM

Agency code: 730

Agency name: University of Houston

Goal/ Objective / Outcome

| | BL 2020 | BL 2021 | Excp 2020 | Excp 2021 | Total Request 2020 | Total Request 2021 |
|---|------------|------------|--------------|--------------|--------------------------|--------------------------|
| KEY 27 State Licensure Pass Rate of Pharmacy Graduates | 90.00% | 90.00% | | | 90.00% | 90.00% |
| KEY 28 Dollar Value of External or Sponsored Research Funds (in Millions) | 145.00 | 147.00 | | | 145.00 | 147.00 |
| 29 External Research Funds As Percentage Appropriated for Research | 830.00% | 840.00% | | | 830.00% | 840.00% |

730 University of Houston

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Service Categories:

Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 ⁽¹⁾ | BL 2021 ⁽¹⁾ |
|------------------------------------|---|------------|------------|------------|------------------------|------------------------|
| Output Measures: | | | | | | |
| 1 | Number of Undergraduate Degrees Awarded | 6,749.00 | 7,199.00 | 7,532.00 | 7,881.00 | 8,248.00 |
| 2 | Number of Minority Graduates | 3,400.00 | 3,629.00 | 3,798.00 | 3,976.00 | 4,162.00 |
| 3 | Number of Underprepared Students Who Satisfy TSI Obligation in Math | 84.00 | 65.00 | 65.00 | 65.00 | 65.00 |
| 4 | Number of Underprepared Students Who Satisfy TSI Obligation in Writing | 67.00 | 55.00 | 55.00 | 55.00 | 55.00 |
| 5 | Number of Underprepared Students Who Satisfy TSI Obligation in Reading | 49.00 | 38.00 | 38.00 | 38.00 | 38.00 |
| 6 | Number of Two-Year College Transfers Who Graduate | 2,379.00 | 2,405.00 | 2,577.00 | 2,761.00 | 2,958.00 |
| Efficiency Measures: | | | | | | |
| KEY 1 | Administrative Cost As a Percent of Operating Budget | 6.84% | 6.82% | 6.80% | 6.80% | 6.80% |
| KEY 2 | Avg Cost of Resident Undergraduate Tuition and Fees for 15 SCH | 5,516.00 | 5,616.00 | 5,821.00 | 5,937.00 | 6,056.00 |
| Explanatory/Input Measures: | | | | | | |
| 1 | Student/Faculty Ratio | 21.00 | 21.00 | 20.00 | 20.00 | 20.00 |
| 2 | Number of Minority Students Enrolled | 18,828.00 | 19,205.00 | 19,973.00 | 20,546.00 | 21,119.00 |
| 3 | Number of Community College Transfers Enrolled | 13,461.00 | 14,135.00 | 14,343.00 | 14,784.00 | 15,224.00 |
| 4 | Number of Semester Credit Hours Completed | 514,419.00 | 520,592.00 | 550,280.00 | 569,803.00 | 590,026.00 |

(1) - Formula funded strategies are not requested in 2020-21 because amounts are not determined by institutions.

730 University of Houston

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Service Categories:

Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 ⁽¹⁾ | BL 2021 ⁽¹⁾ |
|----------------------------|---|---------------|---------------|---------------|------------------------|------------------------|
| 5 | Number of Semester Credit Hours | 534,378.00 | 540,791.00 | 568,995.00 | 589,548.00 | 611,179.00 |
| 6 | Number of Students Enrolled as of the Twelfth Class Day | 45,364.00 | 46,045.00 | 48,150.00 | 49,726.00 | 51,386.00 |
| KEY 7 | Average Student Loan Debt | 23,746.00 | 23,800.00 | 23,300.00 | 23,300.00 | 23,000.00 |
| KEY 8 | Percent of Students with Student Loan Debt | 49.60 % | 50.00 % | 49.50 % | 49.00 % | 48.50 % |
| KEY 9 | Average Financial Aid Award Per Full-Time Student | 11,941.00 | 12,060.00 | 12,181.00 | 12,303.00 | 12,426.00 |
| KEY 10 | Percent of Full-Time Students Receiving Financial Aid | 78.00 % | 78.00 % | 78.00 % | 79.00 % | 79.00 % |
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$46,348,272 | \$46,683,231 | \$45,254,855 | \$0 | \$0 |
| 1002 | OTHER PERSONNEL COSTS | \$2,276,706 | \$2,276,706 | \$2,460,019 | \$0 | \$0 |
| 1005 | FACULTY SALARIES | \$108,426,957 | \$115,668,723 | \$115,913,845 | \$0 | \$0 |
| 1010 | PROFESSIONAL SALARIES | \$437,691 | \$437,691 | \$472,932 | \$0 | \$0 |
| 2001 | PROFESSIONAL FEES AND SERVICES | \$57,945 | \$129,915 | \$32,323 | \$0 | \$0 |
| 2002 | FUELS AND LUBRICANTS | \$0 | \$587 | \$634 | \$0 | \$0 |
| 2003 | CONSUMABLE SUPPLIES | \$49,448 | \$205,527 | \$59,998 | \$0 | \$0 |
| 2004 | UTILITIES | \$1,395,427 | \$15,289,468 | \$15,321,754 | \$0 | \$0 |
| 2005 | TRAVEL | \$300 | \$0 | \$0 | \$0 | \$0 |
| 2006 | RENT - BUILDING | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2007 | RENT - MACHINE AND OTHER | \$17,139 | \$300 | \$324 | \$0 | \$0 |

(1) - Formula funded strategies are not requested in 2020-21 because amounts are not determined by institutions.

730 University of Houston

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Service Categories:

Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 ⁽¹⁾ | BL 2021 ⁽¹⁾ |
|--|------------------------------------|----------------------|----------------------|----------------------|------------------------|------------------------|
| 2009 | OTHER OPERATING EXPENSE | \$209,298 | \$420,673 | \$448,263 | \$0 | \$0 |
| 3001 | CLIENT SERVICES | \$22,500 | \$0 | \$0 | \$0 | \$0 |
| 5000 | CAPITAL EXPENDITURES | \$0 | \$560,054 | \$64,889 | \$0 | \$0 |
| TOTAL, OBJECT OF EXPENSE | | \$159,241,683 | \$181,672,875 | \$180,029,836 | \$0 | \$0 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$118,072,989 | \$118,321,838 | \$116,805,329 | \$0 | \$0 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$118,072,989 | \$118,321,838 | \$116,805,329 | \$0 | \$0 |
| Method of Financing: | | | | | | |
| 704 | Est Bd Authorized Tuition Inc | \$11,089,436 | \$13,904,558 | \$13,904,558 | \$0 | \$0 |
| 770 | Est. Other Educational & General | \$30,079,258 | \$49,444,932 | \$49,319,949 | \$0 | \$0 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED) | | \$41,168,694 | \$63,349,490 | \$63,224,507 | \$0 | \$0 |
| Method of Financing: | | | | | | |
| 802 | Lic Plate Trust Fund No. 0802, est | \$0 | \$1,547 | \$0 | \$0 | \$0 |
| SUBTOTAL, MOF (OTHER FUNDS) | | \$0 | \$1,547 | \$0 | \$0 | \$0 |

(1) - Formula funded strategies are not requested in 2020-21 because amounts are not determined by institutions.

730 University of Houston

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Service Categories:

Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 ⁽¹⁾ | BL 2021 ⁽¹⁾ |
|--|-------------|----------------------|----------------------|----------------------|------------------------|------------------------|
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$159,241,683 | \$181,672,875 | \$180,029,836 | \$0 | \$0 |
| FULL TIME EQUIVALENT POSITIONS: | | 1,525.0 | 1,525.2 | 1,484.4 | 1,534.4 | 1,534.4 |

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Instruction and Operations Formula provides funding for faculty salaries, departmental operating expense, library, instructional administration, research enhancement, student services and institutional support. The funds are distributed on a weighted semester credit hour basis. The rate per weighted semester credit hour is established by the Legislature each biennium.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

(1) - Formula funded strategies are not requested in 2020-21 because amounts are not determined by institutions.

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2018 8:17:31AM

730 University of Houston

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Service Categories:

Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 ⁽¹⁾ | BL 2021 ⁽¹⁾ |
|------|-------------|----------|----------|----------|------------------------|------------------------|
|------|-------------|----------|----------|----------|------------------------|------------------------|

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | BIENNIAL | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|-----------------|---------------------------------------|---|
| Base Spending (Est 2018 + Bud 2019) | Baseline Request (BL 2020 + BL 2021) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$361,702,711 | \$0 | \$(361,702,711) | \$(361,702,711) | Formula funded strategies are not requested in 2020-2021 because amounts are not determined by institutions |
| | | | <u>\$(361,702,711)</u> | Total of Explanation of Biennial Change |

(1) - Formula funded strategies are not requested in 2020-21 because amounts are not determined by institutions.

730 University of Houston

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 3 Staff Group Insurance Premiums

Service Categories:

Service: 06 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|--|----------------------------------|--------------------|---------------------|---------------------|---------------------|---------------------|
| Objects of Expense: | | | | | | |
| 2009 | OTHER OPERATING EXPENSE | \$8,909,833 | \$11,431,875 | \$12,000,000 | \$12,000,000 | \$12,000,000 |
| TOTAL, OBJECT OF EXPENSE | | \$8,909,833 | \$11,431,875 | \$12,000,000 | \$12,000,000 | \$12,000,000 |
| Method of Financing: | | | | | | |
| 770 | Est. Other Educational & General | \$8,909,833 | \$11,431,875 | \$12,000,000 | \$12,000,000 | \$12,000,000 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED) | | \$8,909,833 | \$11,431,875 | \$12,000,000 | \$12,000,000 | \$12,000,000 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$12,000,000 | \$12,000,000 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$8,909,833 | \$11,431,875 | \$12,000,000 | \$12,000,000 | \$12,000,000 |

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

This strategy is to provide proportional share of staff group insurance premiums paid from Other Educational and General funds.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2018 8:17:31AM

730 University of Houston

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 3 Staff Group Insurance Premiums

Service Categories:

Service: 06 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|-----------------|---------------------------------------|---|
| Base Spending (Est 2018 + Bud 2019) | Baseline Request (BL 2020 + BL 2021) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$23,431,875 | \$24,000,000 | \$568,125 | \$568,125 | Changes due to timing of expenditures |
| | | | \$568,125 | Total of Explanation of Biennial Change |

730 University of Houston

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 4 Workers' Compensation Insurance

Service Categories:

Service: 06 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|--|-------------------------|------------------|------------------|------------------|------------------|------------------|
| Objects of Expense: | | | | | | |
| 2009 | OTHER OPERATING EXPENSE | \$388,254 | \$436,390 | \$342,931 | \$342,931 | \$342,931 |
| TOTAL, OBJECT OF EXPENSE | | \$388,254 | \$436,390 | \$342,931 | \$342,931 | \$342,931 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$388,254 | \$436,390 | \$342,931 | \$342,931 | \$342,931 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$388,254 | \$436,390 | \$342,931 | \$342,931 | \$342,931 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$342,931 | \$342,931 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$388,254 | \$436,390 | \$342,931 | \$342,931 | \$342,931 |

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

The strategy funds the Worker's Compensation payments related to Educational and General funds.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2018 8:17:31AM

730 University of Houston

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 4 Workers' Compensation Insurance

Service Categories:

Service: 06 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | BIENNIAL | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|------------|---------------------------------------|---|
| Base Spending (Est 2018 + Bud 2019) | Baseline Request (BL 2020 + BL 2021) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$779,321 | \$685,862 | \$(93,459) | \$(93,459) | Changes due to timing of expenditures |
| | | | \$(93,459) | Total of Explanation of Biennial Change |

730 University of Houston

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 6 Texas Public Education Grants

Service Categories:

Service: 20 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|--|----------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Objects of Expense: | | | | | | |
| 2009 | OTHER OPERATING EXPENSE | \$7,829,854 | \$8,093,450 | \$8,336,252 | \$8,527,986 | \$8,729,247 |
| TOTAL, OBJECT OF EXPENSE | | \$7,829,854 | \$8,093,450 | \$8,336,252 | \$8,527,986 | \$8,729,247 |
| Method of Financing: | | | | | | |
| 770 | Est. Other Educational & General | \$7,829,854 | \$8,093,450 | \$8,336,252 | \$8,527,986 | \$8,729,247 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED) | | \$7,829,854 | \$8,093,450 | \$8,336,252 | \$8,527,986 | \$8,729,247 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$8,527,986 | \$8,729,247 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$7,829,854 | \$8,093,450 | \$8,336,252 | \$8,527,986 | \$8,729,247 |

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

This strategy represents tuition set aside for the Texas Public Education Grants program as required by Section 56.033 of the Texas Education Code.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2018 8:17:31AM

730 University of Houston

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 6 Texas Public Education Grants

Service Categories:

Service: 20 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|---|-----------------|---------------------------------------|--|
| <u>Base Spending (Est 2018 + Bud 2019)</u> | <u>Baseline Request (BL 2020 + BL 2021)</u> | <u>CHANGE</u> | <u>\$ Amount</u> | <u>Explanation(s) of Amount (must specify MOFs and FTEs)</u> |
| \$16,429,702 | \$17,257,233 | \$827,531 | \$827,531 | Due to enrollment estimates |
| | | | \$827,531 | Total of Explanation of Biennial Change |

730 University of Houston

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 8 Hold Harmless

Service Categories:

Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|--|-------------------------|------------|--------------------|--------------------|--------------------|--------------------|
| Objects of Expense: | | | | | | |
| 2009 | OTHER OPERATING EXPENSE | \$0 | \$5,300,000 | \$5,300,000 | \$5,300,000 | \$5,300,000 |
| TOTAL, OBJECT OF EXPENSE | | \$0 | \$5,300,000 | \$5,300,000 | \$5,300,000 | \$5,300,000 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$0 | \$5,300,000 | \$5,300,000 | \$5,300,000 | \$5,300,000 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$0 | \$5,300,000 | \$5,300,000 | \$5,300,000 | \$5,300,000 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$5,300,000 | \$5,300,000 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$0 | \$5,300,000 | \$5,300,000 | \$5,300,000 | \$5,300,000 |

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

Hold Harmless funding is used to support the university's general operations-most importantly, the delivery of instruction and support services to students.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

730 University of Houston

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 8 Hold Harmless

Service Categories:

Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|---|-----------------|---------------------------------------|--|
| <u>Base Spending (Est 2018 + Bud 2019)</u> | <u>Baseline Request (BL 2020 + BL 2021)</u> | <u>CHANGE</u> | <u>\$ Amount</u> | <u>Explanation(s) of Amount (must specify MOFs and FTEs)</u> |
| \$10,600,000 | \$10,600,000 | \$0 | \$0 | No |
| | | | <u>\$0</u> | Total of Explanation of Biennial Change |

730 University of Houston

GOAL: 2 Provide Infrastructure Support
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space
 STRATEGY: 1 Educational and General Space Support

Service Categories:
 Service: 10 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 ⁽¹⁾ | BL 2021 ⁽¹⁾ |
|---------------------------------|--------------------------------------|---------------------|---------------------|---------------------|------------------------|------------------------|
| Efficiency Measures: | | | | | | |
| 1 | Space Utilization Rate of Classrooms | 34.00 | 34.00 | 34.00 | 35.00 | 35.00 |
| 2 | Space Utilization Rate of Labs | 25.00 | 25.00 | 25.00 | 26.00 | 26.00 |
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$14,450,287 | \$12,072,544 | \$13,296,473 | \$0 | \$0 |
| 1002 | OTHER PERSONNEL COSTS | \$1,150 | \$23,368 | \$25,315 | \$0 | \$0 |
| 1005 | FACULTY SALARIES | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2001 | PROFESSIONAL FEES AND SERVICES | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2002 | FUELS AND LUBRICANTS | \$986 | \$789 | \$855 | \$0 | \$0 |
| 2003 | CONSUMABLE SUPPLIES | \$922 | \$3,243 | \$3,514 | \$0 | \$0 |
| 2004 | UTILITIES | \$140 | \$0 | \$0 | \$0 | \$0 |
| 2007 | RENT - MACHINE AND OTHER | \$0 | \$2,857 | \$3,095 | \$0 | \$0 |
| 2009 | OTHER OPERATING EXPENSE | \$153,028 | \$185,142 | \$200,571 | \$0 | \$0 |
| 5000 | CAPITAL EXPENDITURES | \$0 | \$28,084 | \$30,424 | \$0 | \$0 |
| TOTAL, OBJECT OF EXPENSE | | \$14,606,513 | \$12,316,027 | \$13,560,247 | \$0 | \$0 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$14,606,513 | \$12,316,027 | \$13,560,247 | \$0 | \$0 |

(1) - Formula funded strategies are not requested in 2020-21 because amounts are not determined by institutions.

730 University of Houston

GOAL: 2 Provide Infrastructure Support
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space
 STRATEGY: 1 Educational and General Space Support

Service Categories:
 Service: 10 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 ⁽¹⁾ | BL 2021 ⁽¹⁾ |
|--|-------------|---------------------|---------------------|---------------------|------------------------|------------------------|
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$14,606,513 | \$12,316,027 | \$13,560,247 | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$14,606,513 | \$12,316,027 | \$13,560,247 | \$0 | \$0 |
| FULL TIME EQUIVALENT POSITIONS: | | 370.9 | 318.1 | 341.0 | 341.0 | 341.0 |

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Infrastructure Support formula distributes funding associated with plant-related formulas and utilities. This formula is driven by the predicted square feet for universities' educational and general activities produced by the Coordinating Board Space Projection Model. The portion of the formula related to utilities is adjusted to reflect differences in unit costs for purchased utilities, including electricity, natural gas, water and wastewater, and thermal energy.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

(1) - Formula funded strategies are not requested in 2020-21 because amounts are not determined by institutions.

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2018 8:17:31AM

730 University of Houston

GOAL: 2 Provide Infrastructure Support
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space
 STRATEGY: 1 Educational and General Space Support

Service Categories:
 Service: 10 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 ⁽¹⁾ | BL 2021 ⁽¹⁾ |
|------|-------------|----------|----------|----------|------------------------|------------------------|
|------|-------------|----------|----------|----------|------------------------|------------------------|

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | BIENNIAL | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|----------------|---------------------------------------|---|
| Base Spending (Est 2018 + Bud 2019) | Baseline Request (BL 2020 + BL 2021) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$25,876,274 | \$0 | \$(25,876,274) | \$(25,876,274) | Formula funded strategies are not requested in 2020-2021 because amounts are not determined by institutions |
| | | | <u>\$(25,876,274)</u> | Total of Explanation of Biennial Change |

(1) - Formula funded strategies are not requested in 2020-21 because amounts are not determined by institutions.

730 University of Houston

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 1 INSTRUCTIONAL SUPPORT
 STRATEGY: 1 College of Pharmacy

Service Categories:

Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|--|--------------------------------|------------|--------------------|--------------------|--------------------|--------------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$0 | \$23,549 | \$1,702,126 | \$1,702,126 | \$1,702,126 |
| 1002 | OTHER PERSONNEL COSTS | \$0 | \$0 | \$0 | \$0 | \$0 |
| 1005 | FACULTY SALARIES | \$0 | \$2,442,783 | \$0 | \$0 | \$0 |
| 1010 | PROFESSIONAL SALARIES | \$0 | \$795,980 | \$440,875 | \$440,875 | \$440,875 |
| 2001 | PROFESSIONAL FEES AND SERVICES | \$0 | \$7,456 | \$93,685 | \$93,685 | \$93,685 |
| 2003 | CONSUMABLE SUPPLIES | \$0 | \$13,783 | \$173,188 | \$173,188 | \$173,188 |
| 2009 | OTHER OPERATING EXPENSE | \$0 | \$524,178 | \$2,179,717 | \$2,179,717 | \$2,179,717 |
| 5000 | CAPITAL EXPENDITURES | \$0 | \$324,179 | \$410,409 | \$410,409 | \$410,409 |
| TOTAL, OBJECT OF EXPENSE | | \$0 | \$4,131,908 | \$5,000,000 | \$5,000,000 | \$5,000,000 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$0 | \$4,131,908 | \$5,000,000 | \$5,000,000 | \$5,000,000 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$0 | \$4,131,908 | \$5,000,000 | \$5,000,000 | \$5,000,000 |

730 University of Houston

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 1 INSTRUCTIONAL SUPPORT
 STRATEGY: 1 College of Pharmacy

Service Categories:

Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|--|-------------|------------|--------------------|--------------------|--------------------|--------------------|
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$5,000,000 | \$5,000,000 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$0 | \$4,131,908 | \$5,000,000 | \$5,000,000 | \$5,000,000 |
| FULL TIME EQUIVALENT POSITIONS: | | 0.0 | 2.0 | 0.0 | 0.0 | 0.0 |

STRATEGY DESCRIPTION AND JUSTIFICATION:

Currently, Pharmacy programs funded through the formula for General Academic Institutions (GAI) - University of Houston, UT-Austin, Texas Southern University - receive fewer resources on a per student basis than Pharmacy programs funded through the formula for Health-Related Institutions (HRI) - Texas Tech, Texas A&M, University of North Texas. In 2000, the funding levels for these two types of Pharmacy programs were approximately equal when the GAI weighting was 13.43. Today, however, the funding formula has a weight of 4.03 which results in significant funding inequity. For example, if HRI and GAI Pharmacy programs both have 500 professional Pharmacy students, the HRI-based program would receive \$7,955,000 and the GAI-based program would receive \$2,765,000 annually. When added to resources appropriated through the formula for General Academic Institutions, funding for this special item provides the UH College of Pharmacy with resources that partially close this gap.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The University of Houston College of Pharmacy (UHCOP) has been a member of the world's largest medical center, the Texas Medical Center (TMC) for over 30 years. UHCOP students and faculty deliver countless essential pharmaceutical care services throughout the TMC, regional Federally Qualified Health Centers (FQHC) that provide essential health care services to the state's neediest patients, as well as health care hospitals, clinics and pharmacies across Texas. UHCOP researchers collaborate with a myriad of world-class researchers, located within the many member institutions of the TMC and beyond, in order to develop new life-saving therapies and new impactful discoveries. For example, IV Busulfex developed by a UHCOP faculty member is now used in 65% of myeloid leukemia patients undergoing bone marrow transplant.

730 University of Houston

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 1 INSTRUCTIONAL SUPPORT
 STRATEGY: 1 College of Pharmacy

Service Categories:
 Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | BIENNIAL | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|-----------|---------------------------------------|---|
| Base Spending (Est 2018 + Bud 2019) | Baseline Request (BL 2020 + BL 2021) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$9,131,908 | \$10,000,000 | \$868,092 | \$868,092 | Changes due to timing of expenditures |
| | | | \$868,092 | Total of Explanation of Biennial Change |

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2018 8:17:31AM

730 University of Houston

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 2 Research
 STRATEGY: 1 Complex Systems Research Cluster

Service Categories:
 Service: 21 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|--|--------------------------|------------------|------------------|------------------|------------------|------------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$443,090 | \$324,826 | \$415,592 | \$415,592 | \$415,592 |
| 1002 | OTHER PERSONNEL COSTS | \$11,447 | \$0 | \$0 | \$0 | \$0 |
| 1005 | FACULTY SALARIES | \$0 | \$0 | \$0 | \$0 | \$0 |
| 1010 | PROFESSIONAL SALARIES | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2003 | CONSUMABLE SUPPLIES | \$0 | \$6,800 | \$8,701 | \$8,701 | \$8,701 |
| 2007 | RENT - MACHINE AND OTHER | \$0 | \$6,210 | \$7,945 | \$7,945 | \$7,945 |
| 2009 | OTHER OPERATING EXPENSE | \$0 | \$12,621 | \$16,146 | \$16,146 | \$16,146 |
| 3001 | CLIENT SERVICES | \$7,000 | \$1,000 | \$1,279 | \$1,279 | \$1,279 |
| 5000 | CAPITAL EXPENDITURES | \$0 | \$0 | \$0 | \$0 | \$0 |
| TOTAL, OBJECT OF EXPENSE | | \$461,537 | \$351,457 | \$449,663 | \$449,663 | \$449,663 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$461,537 | \$351,457 | \$449,663 | \$449,663 | \$449,663 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$461,537 | \$351,457 | \$449,663 | \$449,663 | \$449,663 |

730 University of Houston

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 2 Research
 STRATEGY: 1 Complex Systems Research Cluster

Service Categories:

Service: 21 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|--|-------------|------------------|------------------|------------------|------------------|------------------|
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$449,663 | \$449,663 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$461,537 | \$351,457 | \$449,663 | \$449,663 | \$449,663 |
| FULL TIME EQUIVALENT POSITIONS: | | 7.6 | 6.3 | 8.6 | 8.6 | 8.6 |

STRATEGY DESCRIPTION AND JUSTIFICATION:

UH has established the Research Preeminence: 50 in 5 Initiative to increase visibility as a nationally recognized research university, with a goal of increasing all forms of scholarly output, including research expenditures, by 50% in 5 years. Reaching these objectives should produce the kind of outcomes associated with membership in the prestigious Association of American Universities. We use non-formula funding to support UH interdisciplinary programs including the Texas Institute for Measurement, Evaluation & Statistics (TIMES), the Center for Advanced Computing & Data Science, & the Data Science Institute to provide high quality statistics, data processing & computing to the research community. Student success is facilitated through the involvement of undergraduate/graduate students in research programs at TIMES. Students get hands on experience with advanced quantitative methods. Investments in these programs enable UH to leverage industry & government funding to: (1) propel UH to the next level of research success; (2) facilitate training of the next generation of scientists & engineers, who, in turn, will create new businesses & jobs; (3) support UH's partnerships with major economic sectors in Texas. Industry relies on a data-savvy work force. Producing dozens of MS/MA degrees, industrial partnerships & inter-disciplinary research programs result in joint ventures & intellectual property impacting economic development & job creation through its impact on student success. In FY17, the university's total research expenditures in the data sciences totaled \$7.76M.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

730 University of Houston

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 2 Research
 STRATEGY: 1 Complex Systems Research Cluster

Service Categories:
 Service: 21 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

The state of Texas has recognized that increasing the number of nationally competitive research universities is critical to the state's economic future. With more preeminent research universities, Texas will draw more top students, scientists and scholars to the state; improve the quality of its workforce, particularly in the sciences and engineering; and facilitate research partnerships with industry that lead to economic development. In 2011, the Carnegie Foundation for the Advancement of Teaching classified the University of Houston as a Tier One research institution. In 2012 UH was identified as a Top American Research University by the Center for Measuring University Performance. Higher goals can be reached through the 50 in 5 Initiative. These achievements would not have been possible without strong financial support from the state, including funding for the university's complex systems research programs appropriated through this special item. To continue its drive for research preeminence, and to maximize the impact of its research programs on economic development, job creation, and student success (preparing the work force), UH needs continued special item support.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|-----------------|---------------------------------------|---|
| Base Spending (Est 2018 + Bud 2019) | Baseline Request (BL 2020 + BL 2021) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$801,120 | \$899,326 | \$98,206 | \$98,206 | Changes due to timing of expenditures |
| | | | \$98,206 | Total of Explanation of Biennial Change |

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2018 8:17:31AM

730 University of Houston

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 2 Research
 STRATEGY: 2 Energy Research Cluster

Service Categories:

Service: 21 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|---------------------------------|--------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$1,754,846 | \$1,438,717 | \$1,258,064 | \$1,258,064 | \$1,258,064 |
| 1002 | OTHER PERSONNEL COSTS | \$0 | \$100 | \$87 | \$87 | \$87 |
| 1005 | FACULTY SALARIES | \$421,955 | \$421,955 | \$368,972 | \$368,972 | \$368,972 |
| 1010 | PROFESSIONAL SALARIES | \$579,355 | \$579,355 | \$506,607 | \$506,607 | \$506,607 |
| 2001 | PROFESSIONAL FEES AND SERVICES | \$1,000 | \$0 | \$0 | \$0 | \$0 |
| 2003 | CONSUMABLE SUPPLIES | \$93,353 | \$43,735 | \$38,243 | \$38,243 | \$38,243 |
| 2004 | UTILITIES | \$29,189 | \$0 | \$0 | \$0 | \$0 |
| 2005 | TRAVEL | \$48,363 | \$6,692 | \$5,852 | \$5,852 | \$5,852 |
| 2006 | RENT - BUILDING | \$46,967 | \$18,568 | \$16,237 | \$16,237 | \$16,237 |
| 2007 | RENT - MACHINE AND OTHER | \$2,410 | \$8,145 | \$5,933 | \$5,933 | \$5,933 |
| 2009 | OTHER OPERATING EXPENSE | \$288,404 | \$116,257 | \$101,659 | \$101,659 | \$101,659 |
| 3001 | CLIENT SERVICES | \$500 | \$0 | \$0 | \$0 | \$0 |
| 5000 | CAPITAL EXPENDITURES | \$22,966 | \$26,575 | \$23,238 | \$23,238 | \$23,238 |
| TOTAL, OBJECT OF EXPENSE | | \$3,289,308 | \$2,660,099 | \$2,324,892 | \$2,324,892 | \$2,324,892 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$3,289,308 | \$2,660,099 | \$2,324,892 | \$2,324,892 | \$2,324,892 |

730 University of Houston

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 2 Research
 STRATEGY: 2 Energy Research Cluster

Service Categories:

Service: 21 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|--|-------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$3,289,308 | \$2,660,099 | \$2,324,892 | \$2,324,892 | \$2,324,892 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$2,324,892 | \$2,324,892 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$3,289,308 | \$2,660,099 | \$2,324,892 | \$2,324,892 | \$2,324,892 |
| FULL TIME EQUIVALENT POSITIONS: | | 37.6 | 28.2 | 35.0 | 35.0 | 35.0 |

STRATEGY DESCRIPTION AND JUSTIFICATION:

UH has established the Research Preeminence: 50 in 5 Initiative to increase visibility as a nationally recognized research university, with a goal of increasing all forms of scholarly output, including research expenditures, by 50% in 5 years. Reaching these objectives should produce outcomes associated with membership in the prestigious Association of American Universities (AAU). We use non-formula funding to support UH interdisciplinary programs in energy including the Advanced Manufacturing Institute (AMI) & the Texas Center for Superconductivity at UH (TcSUH). Investments in these research & training programs enable the university to leverage external funding from industry & the federal government to (1) propel UH to the next level of research success in energy sciences with extensions to health; (2) facilitate training of the next generation of scientists & engineers, who, in turn, will create new businesses & jobs; (3) support the university's partnerships with major economic sectors in the Houston region, in particular the energy industry & the Texas Medical Center. The research in AMI & TcSUH is collaborative, drawing on both its researchers' diverse strengths & the university's location in the global energy capital, aiming to solve some of the world's greatest energy & health challenges & provide leadership & vision to industry & government. In FY 2017, the university's total research expenditures in energy programs totaled \$34.48 million.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

730 University of Houston

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 2 Research
 STRATEGY: 2 Energy Research Cluster

Service Categories:
 Service: 21 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

The state of Texas has recognized that increasing the number of nationally competitive research universities is critical to the state's economic future. With more preeminent research universities, Texas will draw more top students, scientists and scholars to the state; improve the quality of its workforce, particularly in the sciences and engineering; and facilitate research partnerships with industry that lead to economic development. In 2011, the Carnegie Foundation for the Advancement of Teaching classified the University of Houston as a Tier One research institution. In 2012 UH was identified as a Top American Research University by the Center for Measuring University Performance. Higher goals can be reached through the 50 in 5 Initiative. These achievements would not have been possible without strong financial support from the state, including funding for the university's energy research programs appropriated through this special item. To continue its drive for research preeminence, and to maximize the impact of its research programs on economic development, job creation, and student success (preparing the work force), UH needs continued special item support.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|---|-----------------|---------------------------------------|--|
| <u>Base Spending (Est 2018 + Bud 2019)</u> | <u>Baseline Request (BL 2020 + BL 2021)</u> | <u>CHANGE</u> | <u>\$ Amount</u> | <u>Explanation(s) of Amount (must specify MOFs and FTEs)</u> |
| \$4,984,991 | \$4,649,784 | \$(335,207) | \$(335,207) | Changes due to timing of expenditures |
| | | | \$(335,207) | Total of Explanation of Biennial Change |

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2018 8:17:31AM

730 University of Houston

GOAL: 3 Provide Non-formula Support

OBJECTIVE: 2 Research

STRATEGY: 3 William P. Hobby School of Public Affairs

Service Categories:

Service: 19

Income: A.2

Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|--|--------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$751,797 | \$785,725 | \$666,437 | \$666,437 | \$666,437 |
| 1002 | OTHER PERSONNEL COSTS | \$0 | \$0 | \$0 | \$0 | \$0 |
| 1005 | FACULTY SALARIES | \$358,936 | \$821,391 | \$566,966 | \$566,966 | \$566,966 |
| 1010 | PROFESSIONAL SALARIES | \$16,619 | \$39,512 | \$23,358 | \$23,358 | \$23,358 |
| 2001 | PROFESSIONAL FEES AND SERVICES | \$4,000 | \$0 | \$0 | \$0 | \$0 |
| 2003 | CONSUMABLE SUPPLIES | \$13,395 | \$0 | \$0 | \$0 | \$0 |
| 2005 | TRAVEL | \$6,569 | \$3,586 | \$3,041 | \$3,041 | \$3,041 |
| 2007 | RENT - MACHINE AND OTHER | \$18,886 | \$0 | \$0 | \$0 | \$0 |
| 2009 | OTHER OPERATING EXPENSE | \$301,959 | \$202,102 | \$170,515 | \$170,515 | \$170,515 |
| 3001 | CLIENT SERVICES | \$0 | \$51,500 | \$3,393 | \$3,393 | \$3,393 |
| TOTAL, OBJECT OF EXPENSE | | \$1,472,161 | \$1,903,816 | \$1,433,710 | \$1,433,710 | \$1,433,710 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$1,472,161 | \$1,903,816 | \$1,433,710 | \$1,433,710 | \$1,433,710 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$1,472,161 | \$1,903,816 | \$1,433,710 | \$1,433,710 | \$1,433,710 |

730 University of Houston

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 2 Research
 STRATEGY: 3 William P. Hobby School of Public Affairs

Service Categories:
 Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|--|-------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$1,433,710 | \$1,433,710 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$1,472,161 | \$1,903,816 | \$1,433,710 | \$1,433,710 | \$1,433,710 |
| FULL TIME EQUIVALENT POSITIONS: | | 12.9 | 14.4 | 18.9 | 18.9 | 18.9 |

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Hobby School of Public Affairs (HSPA) was established in 2016. The Master of Public Policy (MPP) program prepares graduate students for careers in the public and private sectors through the development of quantitative skills and knowledge of theory and ethics, the use of multidisciplinary technical abilities, summer internships, and teaching soft skills such as teamwork. The HSPA's current seven dual degree programs and accelerated 4+1 programs allow students the opportunity to earn quality degrees at a lower cost and in less time. The HSPA addresses the reality that Houston was the only major city in the nation without a public affairs school at a major public research university, and it leverages the city's diverse population, its status as an economic leader in the global economy, and its ability to serve as a laboratory for policy innovation. Through real-world research projects, graduate student training, undergraduate internship and professional training programs, community partnerships, conferences, workshops and special events, HSPA connects decision makers with objective analyses and graduates appropriate for serving local, state, and national entities within the public realm and the private sector. HSPA's distinctive education, research and outreach mission allows for new avenues for private funding and federal grants.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

730 University of Houston

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 2 Research Service Categories:
 STRATEGY: 3 William P. Hobby School of Public Affairs Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

A report by the McKinsey Global Institute states there is a shortage of 140,000 to 190,000 people with advanced analytical skills and a lack of 1.5 million managers and analysts with the skills to analyze data to make effective decisions. Funding for HSPA ensures that the demand for an appropriately trained workforce is met. Without special item funding, HSPA would terminate much of its present research and other on-going projects such as the National Science Foundation (NSF) funded longitudinal study on the impact of Hurricane Harvey, and would not be able to meet its research obligations with its partners or obtain grants from funding entities such as the NSF. Additional dual degree programs would be delayed or abandoned. Education, training and leadership programs in Houston, Austin and Washington would be curtailed or abolished, decreasing public service and employment opportunities for undergraduate and graduate students, as well as providing governments with highly trained staff. Community partnerships and projects would be discontinued. The nationally accredited Certified Public Manager Program would cease at UH and in the Houston area. The elimination of HSPA's major programs would result in a multi-million dollar loss of private funding of its unique programs as well as positive visibility for UH, Houston and the state of Texas.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|-----------------|---------------------------------------|---|
| Base Spending (Est 2018 + Bud 2019) | Baseline Request (BL 2020 + BL 2021) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$3,337,526 | \$2,867,420 | \$(470,106) | \$(470,106) | Changes due to timing of expenditures |
| | | | \$(470,106) | Total of Explanation of Biennial Change |

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2018 8:17:31AM

730 University of Houston

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 3 Public Service
 STRATEGY: 1 University of Houston Small Business Development Center

Service Categories:
 Service: 13 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|--|--------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$2,488,401 | \$2,057,346 | \$1,848,858 | \$1,848,858 | \$1,848,858 |
| 1002 | OTHER PERSONNEL COSTS | \$0 | \$0 | \$0 | \$0 | \$0 |
| 1005 | FACULTY SALARIES | \$473 | \$528 | \$50 | \$50 | \$50 |
| 1010 | PROFESSIONAL SALARIES | \$66,659 | \$213,655 | \$131,902 | \$131,902 | \$131,902 |
| 2001 | PROFESSIONAL FEES AND SERVICES | \$48,750 | \$48,427 | \$40,231 | \$40,231 | \$40,231 |
| 2003 | CONSUMABLE SUPPLIES | \$10,897 | \$13,947 | \$12,534 | \$12,534 | \$12,534 |
| 2004 | UTILITIES | \$42,318 | \$50,794 | \$45,646 | \$45,646 | \$45,646 |
| 2007 | RENT - MACHINE AND OTHER | \$40,879 | \$60,128 | \$47,522 | \$47,522 | \$47,522 |
| 2009 | OTHER OPERATING EXPENSE | \$112,856 | \$106,519 | \$94,961 | \$94,961 | \$94,961 |
| 5000 | CAPITAL EXPENDITURES | \$40,059 | \$0 | \$0 | \$0 | \$0 |
| TOTAL, OBJECT OF EXPENSE | | \$2,851,292 | \$2,551,344 | \$2,221,704 | \$2,221,704 | \$2,221,704 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$2,851,292 | \$2,551,344 | \$2,221,704 | \$2,221,704 | \$2,221,704 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$2,851,292 | \$2,551,344 | \$2,221,704 | \$2,221,704 | \$2,221,704 |

730 University of Houston

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 3 Public Service
 STRATEGY: 1 University of Houston Small Business Development Center

Service Categories:
 Service: 13 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|--|-------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$2,221,704 | \$2,221,704 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$2,851,292 | \$2,551,344 | \$2,221,704 | \$2,221,704 | \$2,221,704 |
| FULL TIME EQUIVALENT POSITIONS: | | 41.1 | 32.8 | 40.8 | 40.8 | 40.8 |

STRATEGY DESCRIPTION AND JUSTIFICATION:

The University of Houston Small Business Development Center was established in 1984 under a cooperative agreement with the US Small Business Administration. As one of the preeminent SBDCs in the country and a flagship of small business assistance in Texas, the UH SBDC's central focus is providing quality assistance to the small business community by increasing growth and survivability. The UH SBDC accomplishes its mission by providing free one-on-one business counseling, low-cost business seminars, government procurement assistance, international trade, and technology development. The UH SBDC has had a very successful positive economic impact on Houston and the surrounding communities, particularly in the area of job creation. An annual independent impact study completed in 2017 for businesses assisted in 2015 showed that clients who received five or more hours of counseling from the SBDC generated over \$303.1 million in new sales and 4,923 new jobs. In addition, the same survey indicated that SBDC assistance helped clients obtain \$171.9 million in start-up and expansion capital, and generate new tax revenues of \$38.5 million, of which \$25.8 million went to the State of Texas.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

730 University of Houston

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 3 Public Service Service Categories:
 STRATEGY: 1 University of Houston Small Business Development Center Service: 13 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

The Small Business Development Center special line item funding is used as a cash match to federal contracts. Without this funding from the State, over \$3 million in federal funds and approximately \$1.2 million in other local community funds and program income would be lost annually. State and local economic impact in terms of job creation and tax revenues due to the loss of SBDC services would be felt in the Houston-Galveston area as well as in the surrounding communities served by our 10 university and community college network partners.

The loss of SBDC services to the Houston and Gulf Coast business communities would have a dramatic negative impact as start-up entrepreneurs and existing business owners throughout our 32 county territory would be unable to access low-cost professional business services impacting sales growth, job creation, and capital expansion. The combination of the above factors would cause declining tax revenues and job expansion, with our two most recent impact studies suggesting possible cumulative loss of approximately \$52 million in state tax revenues as well as approximately 10,000 new jobs over the biennium.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|-----------------|---------------------------------------|---|
| Base Spending (Est 2018 + Bud 2019) | Baseline Request (BL 2020 + BL 2021) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$4,773,048 | \$4,443,408 | \$(329,640) | \$(329,640) | Changes due to timing of expenditures |
| | | | \$(329,640) | Total of Explanation of Biennial Change |

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2018 8:17:31AM

730 University of Houston

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 3 Public Service
 STRATEGY: 2 Health Sciences Research Cluster

Service Categories:
 Service: 21 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|---------------------------------|--------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$1,572,912 | \$1,241,764 | \$958,884 | \$958,884 | \$958,884 |
| 1002 | OTHER PERSONNEL COSTS | \$0 | \$2,566 | \$1,981 | \$1,981 | \$1,981 |
| 1005 | FACULTY SALARIES | \$180,573 | \$180,573 | \$139,437 | \$139,437 | \$139,437 |
| 1010 | PROFESSIONAL SALARIES | \$383,900 | \$641,115 | \$296,446 | \$296,446 | \$296,446 |
| 2001 | PROFESSIONAL FEES AND SERVICES | \$36,351 | \$22,317 | \$6,121 | \$6,121 | \$6,121 |
| 2002 | FUELS AND LUBRICANTS | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2003 | CONSUMABLE SUPPLIES | \$89,166 | \$12,368 | \$9,485 | \$9,485 | \$9,485 |
| 2004 | UTILITIES | \$16,342 | \$0 | \$0 | \$0 | \$0 |
| 2005 | TRAVEL | \$5,230 | \$1,358 | \$1,049 | \$1,049 | \$1,049 |
| 2006 | RENT - BUILDING | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2007 | RENT - MACHINE AND OTHER | \$4,289 | \$150 | \$116 | \$116 | \$116 |
| 2009 | OTHER OPERATING EXPENSE | \$133,008 | \$40,915 | \$31,595 | \$31,595 | \$31,595 |
| 5000 | CAPITAL EXPENDITURES | \$179,763 | \$0 | \$0 | \$0 | \$0 |
| TOTAL, OBJECT OF EXPENSE | | \$2,601,534 | \$2,143,126 | \$1,445,114 | \$1,445,114 | \$1,445,114 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$2,601,534 | \$2,143,126 | \$1,445,114 | \$1,445,114 | \$1,445,114 |

730 University of Houston

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 3 Public Service
 STRATEGY: 2 Health Sciences Research Cluster

Service Categories:

Service: 21 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|--|-------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$2,601,534 | \$2,143,126 | \$1,445,114 | \$1,445,114 | \$1,445,114 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$1,445,114 | \$1,445,114 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$2,601,534 | \$2,143,126 | \$1,445,114 | \$1,445,114 | \$1,445,114 |
| FULL TIME EQUIVALENT POSITIONS: | | 31.6 | 27.4 | 28.0 | 28.0 | 28.0 |

STRATEGY DESCRIPTION AND JUSTIFICATION:

UH has established the Research Preeminence: 50 in 5 Initiative to increase visibility as a nationally recognized research university, with a goal of increasing all forms of scholarly output, including research expenditures, by 50% in 5 years. Reaching these objectives should produce outcomes associated with membership in the prestigious Association of American Universities. We use non-formula funding to support UH interdisciplinary programs in health, including the Health Research Institute & Animal Care Operations. These investments enable UH to leverage external funding from industry & government to: (1) propel faculty to the next level of health research success; (2) train the next generation of scientists & engineers, who, in turn, will create new businesses & jobs; (3) support student success through training outside the classroom. Health Sciences funding supports the infrastructure & operations behind UH health-related research programs that addresses biological, behavioral, psychological & social determinants of health affecting Houston & Texas. Through equitable partnerships with community stakeholders, elected officials & university-wide research initiatives we are taking a multilevel approach (e.g., individual, interpersonal, community, societal) to developing new technologies, discover novel treatments & disseminating prevention strategies. In FY17, the university's total research expenditures in health sciences totaled \$42.25M.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

730 University of Houston

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 3 Public Service
 STRATEGY: 2 Health Sciences Research Cluster

Service Categories:
 Service: 21 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

The state of Texas has recognized that increasing the number of nationally competitive research universities is critical to the state's economic future. With more preeminent research universities, Texas will draw more top students, scientists and scholars to the state; improve the quality of its workforce, particularly in the sciences and engineering; and facilitate research partnerships with industry that lead to economic development. In 2011, the Carnegie Foundation for the Advancement of Teaching classified the University of Houston as a Tier One research institution. In 2012 UH was identified as a Top American Research University by the Center for Measuring University Performance. Higher goals can be reached through the 50 in 5 Initiative. These achievements would not have been possible without strong financial support from the state, including funding for the university's health sciences research programs appropriated through this special item. To continue its drive for research preeminence, and to maximize the impact of its research programs on economic development, job creation, and student success (preparing the work force), UH needs continued special item support.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|-----------------|---------------------------------------|---|
| Base Spending (Est 2018 + Bud 2019) | Baseline Request (BL 2020 + BL 2021) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$3,588,240 | \$2,890,228 | \$(698,012) | \$(698,012) | Changes due to timing of expenditures |
| | | | \$(698,012) | Total of Explanation of Biennial Change |

730 University of Houston

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 3 Public Service
 STRATEGY: 3 Education and Community Advancement

Service Categories:
 Service: 18 Income: A.2 Age: B.1

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|--|-----------------------|--------------------|--------------------|------------------|------------------|------------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$437,956 | \$425,613 | \$322,973 | \$322,973 | \$322,973 |
| 1002 | OTHER PERSONNEL COSTS | \$80 | \$80 | \$59 | \$59 | \$59 |
| 1005 | FACULTY SALARIES | \$503,045 | \$476,396 | \$370,973 | \$370,973 | \$370,973 |
| 1010 | PROFESSIONAL SALARIES | \$71,490 | \$97,916 | \$52,721 | \$52,721 | \$52,721 |
| 2003 | CONSUMABLE SUPPLIES | \$7,168 | \$148 | \$109 | \$109 | \$109 |
| 2004 | UTILITIES | \$4,694 | \$469 | \$346 | \$346 | \$346 |
| 3001 | CLIENT SERVICES | \$0 | \$4,394 | \$3,241 | \$3,241 | \$3,241 |
| TOTAL, OBJECT OF EXPENSE | | \$1,024,433 | \$1,005,016 | \$750,422 | \$750,422 | \$750,422 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$1,024,433 | \$1,005,016 | \$750,422 | \$750,422 | \$750,422 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$1,024,433 | \$1,005,016 | \$750,422 | \$750,422 | \$750,422 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$750,422 | \$750,422 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$1,024,433 | \$1,005,016 | \$750,422 | \$750,422 | \$750,422 |
| FULL TIME EQUIVALENT POSITIONS: | | 14.4 | 15.1 | 7.3 | 7.3 | 7.3 |

730 University of Houston

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 3 Public Service Service Categories:
 STRATEGY: 3 Education and Community Advancement Service: 18 Income: A.2 Age: B.1

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

STRATEGY DESCRIPTION AND JUSTIFICATION:

UH has developed education and community advancement programs that address the major challenges facing our cities and state. Community advancement is also central to the university’s goals: UH will commit to fulfilling regional and state workforce needs while becoming the primary engine of social, economic, and intellectual development.

Funding primarily supports teachHouston and various public policy outreach programs. teachHouston is the University’s STEM teacher preparation program. A collaboration between the College of Natural Sciences and Mathematics, the College of Education, and local school districts, the program aims to combat the shortage of qualified math and science teachers. To date, teachHOUSTON has graduated 258 teachers. Annually, 90% of our graduates enter teaching, of which 95% remain in the Greater Houston Area, most in high-need schools. The Center for Public Policy and key Hobby School programs serve the Houston region and the state of Texas through research, public service training and community outreach. Through research projects, undergraduate internship programs, a management program for mid-career professionals, community partnerships, workshops and special events, these programs connect decision makers with objective analyses and graduates appropriate for serving local, state and national entities. More than 1600 students have completed internships, and many now serve in key positions in government and the private sector.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

730 University of Houston

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 3 Public Service Service Categories:
 STRATEGY: 3 Education and Community Advancement Service: 18 Income: A.2 Age: B.1

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

Currently, many students are not being taught by teachers qualified to teach in STEM content areas. Furthermore, half of Houston ISD teachers leave the profession within four years. As 80% of jobs will require STEM skills, the need for a diversified and expansive STEM workforce must include individuals from underserved populations. teachHOUSTON answers this need by preparing highly qualified STEM teachers from diverse backgrounds.

The Center for Public Policy produces social science research to assist decision makers and community leaders through reports and public presentations on topics ranging from gentrification in the Third Ward to demographic and economic forecasts. Longitudinal studies such as the study on Hurricane Harvey’s impact measures how people are affected by government policies. The impact of Hobby Fellows, Leland Fellows and the Civic Houston Internship Program is found not only in the number of interns but alumni including elected officials as well as government staff. Community advancement projects such as public lectures and training programs appeal to the general public and serve as a bridge between community and university.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|-----------------|---------------------------------------|---|
| Base Spending (Est 2018 + Bud 2019) | Baseline Request (BL 2020 + BL 2021) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$1,755,438 | \$1,500,844 | \$(254,594) | \$(254,594) | Changes due to timing of expenditures |
| | | | \$(254,594) | Total of Explanation of Biennial Change |

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2018 8:17:31AM

730 University of Houston

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 4 INSTITUTIONAL SUPPORT
 STRATEGY: 1 Institutional Enhancement

Service Categories:

Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|--|------------------------------------|----------------|----------------|----------------|--------------------|--------------------|
| Objects of Expense: | | | | | | |
| 2009 | OTHER OPERATING EXPENSE | \$6,340 | \$1,802 | \$3,349 | \$3,349 | \$3,349 |
| 3001 | CLIENT SERVICES | \$0 | \$0 | \$0 | \$2,425,946 | \$2,425,946 |
| TOTAL, OBJECT OF EXPENSE | | \$6,340 | \$1,802 | \$3,349 | \$2,429,295 | \$2,429,295 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$0 | \$0 | \$0 | \$2,425,946 | \$2,425,946 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$0 | \$0 | \$0 | \$2,425,946 | \$2,425,946 |
| Method of Financing: | | | | | | |
| 802 | Lic Plate Trust Fund No. 0802, est | \$6,340 | \$1,802 | \$3,349 | \$3,349 | \$3,349 |
| SUBTOTAL, MOF (OTHER FUNDS) | | \$6,340 | \$1,802 | \$3,349 | \$3,349 | \$3,349 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$2,429,295 | \$2,429,295 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$6,340 | \$1,802 | \$3,349 | \$2,429,295 | \$2,429,295 |
| FULL TIME EQUIVALENT POSITIONS: | | | | | | |

730 University of Houston

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 4 INSTITUTIONAL SUPPORT
 STRATEGY: 1 Institutional Enhancement

Service Categories:

Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

STRATEGY DESCRIPTION AND JUSTIFICATION:

Institutional enhancement resources are used to fund the core operations of the university, including the delivery of instruction, support for students, support for the university’s research activities and general administration. They are essential to achievement of the university’s goals and its effective operation.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|---|-----------------|---------------------------------------|---|
| <u>Base Spending (Est 2018 + Bud 2019)</u> | <u>Baseline Request (BL 2020 + BL 2021)</u> | <u>CHANGE</u> | <u>\$ Amount</u> | <u>Explanation(s) of Amount (must specify MOFs and FTEs)</u> |
| \$5,151 | \$4,858,590 | \$4,853,439 | \$4,853,439 | Formula funded strategies are not requested in 2020-2021 because amounts are not determined by institutions |
| | | | \$4,853,439 | Total of Explanation of Biennial Change |

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2018 8:17:31AM

730 University of Houston

GOAL: 6 Research Funds
 OBJECTIVE: 3 Core Research Support
 STRATEGY: 1 Core Research Support

Service Categories:
 Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|---------------------------------|--------------------------------|---------------------|---------------------|---------------------|------------|------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$2,532,677 | \$2,972,781 | \$2,778,209 | \$0 | \$0 |
| 1002 | OTHER PERSONNEL COSTS | \$1,082 | \$1,082 | \$1,187 | \$0 | \$0 |
| 1005 | FACULTY SALARIES | \$4,382,321 | \$4,447,267 | \$4,807,168 | \$0 | \$0 |
| 1010 | PROFESSIONAL SALARIES | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2001 | PROFESSIONAL FEES AND SERVICES | \$44,074 | \$55,838 | \$48,347 | \$0 | \$0 |
| 2003 | CONSUMABLE SUPPLIES | \$97,451 | \$42,689 | \$46,827 | \$0 | \$0 |
| 2004 | UTILITIES | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2005 | TRAVEL | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2006 | RENT - BUILDING | \$1,246,466 | \$1,598,294 | \$1,753,242 | \$0 | \$0 |
| 2007 | RENT - MACHINE AND OTHER | \$13,201 | \$110,425 | \$121,130 | \$0 | \$0 |
| 2009 | OTHER OPERATING EXPENSE | \$1,448,605 | \$647,241 | \$530,959 | \$0 | \$0 |
| 3001 | CLIENT SERVICES | \$0 | \$0 | \$0 | \$0 | \$0 |
| 5000 | CAPITAL EXPENDITURES | \$803,104 | \$353,826 | \$329,892 | \$0 | \$0 |
| TOTAL, OBJECT OF EXPENSE | | \$10,568,981 | \$10,229,443 | \$10,416,961 | \$0 | \$0 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$10,568,981 | \$10,229,443 | \$10,416,961 | \$0 | \$0 |

730 University of Houston

GOAL: 6 Research Funds
 OBJECTIVE: 3 Core Research Support
 STRATEGY: 1 Core Research Support

Service Categories:
 Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|--|-------------|---------------------|---------------------|---------------------|------------|------------|
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$10,568,981 | \$10,229,443 | \$10,416,961 | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$10,568,981 | \$10,229,443 | \$10,416,961 | \$0 | \$0 |
| FULL TIME EQUIVALENT POSITIONS: | | 37.4 | 44.5 | 50.0 | 0.0 | 0.0 |

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Core Research Support Fund is established to provide funding to promote increased research capacity at those institutions designated as an emerging research university under the Higher Education Coordinating Board's (THECB) accountability system. Funding is to be expended for the support and maintenance of educational and general activities, including research and student services, that promote increased research capacity. A legislatively determined amount of funding is appropriated to eligible institutions as follows: (1) 50 percent based on the average amount of restricted research funds expended by each institution per year for the three preceding state fiscal years as reported to THECB; and (2) 50 percent based on the average amount of total research funds expended by each institution per year for the three preceding state fiscal years as reported to THECB.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

These funds support increased research capacity and are distributed on a formula basis.

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2018 8:17:31AM

730 University of Houston

GOAL: 6 Research Funds
 OBJECTIVE: 3 Core Research Support
 STRATEGY: 1 Core Research Support

Service Categories:
 Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|-----------------|---------------------------------------|---|
| Base Spending (Est 2018 + Bud 2019) | Baseline Request (BL 2020 + BL 2021) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$20,646,404 | \$0 | \$(20,646,404) | \$(20,646,404) | Formula funded strategies are not requested in 2020-2021 because amounts are not determined by institutions |
| | | | \$(20,646,404) | Total of Explanation of Biennial Change |

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2018 8:17:31AM

SUMMARY TOTALS:

| | | | | | |
|---|----------------------|----------------------|----------------------|---------------------|---------------------|
| OBJECTS OF EXPENSE: | \$213,251,723 | \$244,228,628 | \$243,615,081 | \$42,225,717 | \$42,426,978 |
| METHODS OF FINANCE (INCLUDING RIDERS): | | | | \$42,225,717 | \$42,426,978 |
| METHODS OF FINANCE (EXCLUDING RIDERS): | \$213,251,723 | \$244,228,628 | \$243,615,081 | \$42,225,717 | \$42,426,978 |
| FULL TIME EQUIVALENT POSITIONS: | 2,078.5 | 2,014.0 | 2,014.0 | 2,014.0 | 2,014.0 |

3.A.1 PROGRAM-LEVEL REQUEST SCHEDULE
86th Regular Session, Agency Submission, Version 1

| Agency Code: 730 | | Agency: University of Houston | | | | | | | | |
|--|-----------|-----------------------------------|---------------|---------------------------------|----------------------|---------------------|---------------------|---------------------|------------------------|----------------|
| Date: October 2018 | | | | | 18-19 | Requested | Requested | Biennial Total | Biennial Difference | |
| Goal | Goal Name | Strategy | Strategy Name | Program Name | Base | 2020 | 2021 | 20-21 | \$ | % |
| 1 Instruction/Operations | | | | | | | | | | |
| | 1.11 | Operations Support | | Operations Support | \$361,702,711 | \$0 | \$0 | \$0 | (\$361,702,711) | -100.0% |
| | 1.13 | Staff Group Insurance | | Staff Group Insurance | 23,431,875 | 12,000,000 | 12,000,000 | 24,000,000 | \$568,125 | 2.4% |
| | 1.14 | Workers' Compensation Insurance | | Workers' Compensation Insuran | 779,321 | 342,931 | 342,931 | 685,862 | (\$93,459) | -12.0% |
| | 1.16 | Texas Public Education Grants | | Texas Public Education Grants | 16,429,702 | 8,527,986 | 8,729,247 | 17,257,233 | \$827,531 | 5.0% |
| | 1.18 | Hold Harmless | | Hold Harmless | 10,600,000 | 5,300,000 | 5,300,000 | 10,600,000 | \$0 | 0.0% |
| Total Goal Instructional and Operations Support | | | | | 412,943,609 | 26,170,917 | 26,372,178 | 52,543,095 | (\$360,400,514) | -87.3% |
| 2 Infrastructure Support | | | | | | | | | | |
| | 2.11 | E&G Space Support | | E&G Space Support | 25,876,274 | 0 | 0 | 0 | (\$25,876,274) | -100.0% |
| Total Goal Infrastructure Support | | | | | 25,876,274 | - | - | - | (\$25,876,274) | -100.0% |
| 3 Special Item Support | | | | | | | | | | |
| | 3.11 | Pharmacy | | Pharmacy | 9,131,908 | 5,000,000 | 5,000,000 | 10,000,000 | \$868,092 | 9.5% |
| | 3.21 | Complex System Research Cluster | | Complex System Research Clust | 801,120 | 449,663 | 449,663 | 899,326 | \$98,206 | 12.3% |
| | 3.22 | Energy Research Cluster | | Energy Research Cluster | 4,984,991 | 2,324,892 | 2,324,892 | 4,649,784 | (\$335,207) | -6.7% |
| | 3.23 | Hobby School of Public Affairs | | Hobby School of Public Affairs | 3,337,526 | 1,433,710 | 1,433,710 | 2,867,420 | (\$470,106) | -14.1% |
| | 3.31 | Small Business Development | | Small Business Development | 4,773,048 | 2,221,704 | 2,221,704 | 4,443,408 | (\$329,640) | -6.9% |
| | 3.32 | Health Sciences Research Cluster | | Health Sciences Research Cluste | 3,588,240 | 1,445,114 | 1,445,114 | 2,890,228 | (\$698,012) | -19.5% |
| | 3.33 | Education & Community Advancement | | Education & Community Advanc | 1,755,438 | 750,422 | 750,422 | 1,500,844 | (\$254,594) | -14.5% |
| | 3.41 | Institutional Enhancement | | Institutional Enhancement | 5,151 | 2,429,295 | 2,429,295 | 4,858,590 | \$4,853,439 | 94223.2% |
| Total Goal Special Item Support | | | | | 28,377,422 | 16,054,800 | 16,054,800 | 32,109,600 | \$3,732,178 | 13.2% |
| 6 Research Funds | | | | | | | | | | |
| | 6.31 | Core Research Support | | Core Research Support | 20,646,404 | 0 | 0 | 0 | (\$20,646,404) | -100.0% |
| Total Goal Research Support | | | | | 20,646,404 | - | - | - | (\$20,646,404) | -100.0% |
| Grand Total | | | | | \$487,843,709 | \$42,225,717 | \$42,426,978 | \$84,652,695 | (403,191,014) | 25.9% |

4.A. Exceptional Item Request Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/17/2018
 TIME: 8:18:19AM

Agency code: 730 Agency name: University of Houston

| CODE | DESCRIPTION | Excp 2020 | Excp 2021 |
|--|--|---------------------|---------------------|
| | Item Name: College of Medicine Funding Item Priority: 1 IT Component: No Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies: 03-05-01 Exceptional Item Request | | |
| OBJECTS OF EXPENSE: | | | |
| 1001 | SALARIES AND WAGES | 5,348,871 | 5,009,292 |
| 1002 | OTHER PERSONNEL COSTS | 1,604,662 | 1,502,787 |
| 2009 | OTHER OPERATING EXPENSE | 3,046,467 | 3,487,921 |
| TOTAL, OBJECT OF EXPENSE | | \$10,000,000 | \$10,000,000 |
| METHOD OF FINANCING: | | | |
| 1 | General Revenue Fund | 10,000,000 | 10,000,000 |
| TOTAL, METHOD OF FINANCING | | \$10,000,000 | \$10,000,000 |
| FULL-TIME EQUIVALENT POSITIONS (FTE): | | 71.00 | 93.00 |

DESCRIPTION / JUSTIFICATION:

Analysis of publicly available National Resident Matching Program (NRMP) data indicates that in 2016 only 20% of all Texas allopathic medical school graduates matched residencies that would ultimately result in their practicing in primary care specialties, despite the significant shortage of these in Texas, which is consistent with the national trend.

Notably, there have been no new medical schools in Houston since 1972 despite significant population growth. The need for primary care physicians permeates both rural and urban areas of Texas, including the underserved areas of the Houston metropolis. Because of its long history of involvement with programs related to health professions and health sciences, UH recognizes this critical unmet need, and could address it through the creation of the new College of Medicine embracing a community-based model and a primary care focus. The community-based College of Medicine would feature an innovative curriculum focused on primary care, community and population health, behavioral and mental health and the care of communities with significant health and healthcare disparities.

The Houston region is unique in its ability to address these challenges. The University of Houston is a Carnegie-designated Tier One research institution, centered just a few miles from the Texas Medical Center, the largest and most advanced health care system in the world. In addition, there are two other medical schools in the city and more throughout the state where collaboration and partnerships could be established to meet the health care demands of the region and beyond. The University of Houston has an enormous opportunity and potential to leverage these advantages, shaping the future of health care through the development of a unique medical education enterprise.

EXTERNAL/INTERNAL FACTORS:

The Greater Houston Area enjoys the presence of several large and excellent health systems. Despite the current existence of two medical schools in Houston, there is ample clinical teaching capacity within the current healthcare systems to accommodate additional medical students and residents from a third medical school.

4.A. Exceptional Item Request Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/17/2018
 TIME: 8:18:19AM

Agency code: 730 Agency name: University of Houston

| CODE | DESCRIPTION | Excp 2020 | Excp 2021 |
|------|-------------|-----------|-----------|
|------|-------------|-----------|-----------|

The University of Houston will develop teaching methods based on a team-based fully integrated community health care model with a medical school, community health clinics, and inter-disciplinary fully integrated hospitals in a comprehensive university setting.

As a major employer in the Houston community, it is critical that the University of Houston maintains its ability to be a national leader in research, provide expanding opportunities to change lives while being a catalyst within its own community.

The new College of Medicine is seen as important to the University’s ability to contribute to the economic development of the region and state. The new College of Medicine will enhance the University’s ability to attract and retain faculty, to secure and increase research funding and to conduct translational research that transforms knowledge and creativity into economic growth for the state. Ultimately the new College of Medicine will allow the University of Houston to strengthen its competitive position among its aspirational peers. In addition, the new College of Medicine will allow the University of Houston to continue its commitment to diversity, to embracing change, and to being a strong community partner in serving the region and impacting the world.

PCLS TRACKING KEY:

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

8 years (\$5M/per biennium)

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

| | 2022 | 2023 | 2024 |
|--|-------------|-------------|-------------|
| | \$2,500,000 | \$2,500,000 | \$2,500,000 |

4.A. Exceptional Item Request Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/17/2018
 TIME: 8:18:19AM

Agency code: 730 Agency name: University of Houston

| CODE | DESCRIPTION | Excp 2020 | Excp 2021 |
|-----------------------------------|---|--------------------|--------------------|
| | Item Name: Restore Non-Formula Reductions Item Priority: 2 IT Component: No Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies: 03-05-01 Exceptional Item Request | | |
| OBJECTS OF EXPENSE: | | | |
| 2009 | OTHER OPERATING EXPENSE | 5,547,021 | 5,547,021 |
| TOTAL, OBJECT OF EXPENSE | | \$5,547,021 | \$5,547,021 |
| METHOD OF FINANCING: | | | |
| 1 | General Revenue Fund | 5,547,021 | 5,547,021 |
| TOTAL, METHOD OF FINANCING | | \$5,547,021 | \$5,547,021 |

DESCRIPTION / JUSTIFICATION:

These funds are not new funds but rather a restoration of non-formula support items that were reduced for the 2018-2019 biennium. If not restored, the impact would be taken primarily from special items that are used to support UH's research enterprise (energy, health sciences, complex systems, education and community advancement) as well as the Hobby School of Public Affairs. In addition, it would limit funding for university operations.

Enhancing UH's stature as a nationally recognized Tier One research university requires investment in its research programs. Funding for these items is used to develop the infrastructure and support the operations of UH's research programs in order to leverage external funding from the federal government, industry, and other grant making institutions. Restoring these funds would allow UH to leverage additional research dollars and advance its position as a Tier One institution.

The Hobby School of Public Affairs provides non-partisan policy analysis and research for the region and state. Until recently, Houston was the only major city in the nation without a public affairs school at a major public research university. Restoring funds for this special item would allow the school to conduct surveys, public policy projects, and other research that will assist in continuing its mission to establish itself as a school of public affairs, a transition which began in 2015.

Finally, more funds would also be available to fund operations that support the university's primary mission: the delivery of educational programs.

EXTERNAL/INTERNAL FACTORS:

The state of Texas has recognized that increasing the number of nationally competitive Tier One research universities is critical to the state's economic future. With more Tier One universities, Texas will draw more top students, scientists and scholars to the state; improve the quality of its workforce; and facilitate research partnerships with industry that lead to economic development.

Reducing special item funding would slow the university's research efforts and progress as a nationally competitive research institution. Many of these reductions are in areas of research excellence like energy and health sciences that are critical to the region and state's economy. Finally, this reduction would slow the development of the Hobby School of Public Affairs and limit university operations during a period of record enrollment. UH encourages the Legislature, as much as is possible, to restore the

4.A. Exceptional Item Request Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/17/2018
 TIME: 8:18:19AM

Agency code: 730

Agency name:
University of Houston

| CODE | DESCRIPTION | Excp 2020 | Excp 2021 |
|------|-------------|-----------|-----------|
|------|-------------|-----------|-----------|

reduction. Should these funds not be restored, we ask to retain the hold harmless funds from the current biennium.
PCLS TRACKING KEY:

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

To maintain Special Item Funding

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

| 2022 | 2023 | 2024 |
|-------------|-------------|-------------|
| \$5,547,021 | \$5,547,021 | \$5,547,021 |

4.A. Exceptional Item Request Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/17/2018
 TIME: 8:18:19AM

Agency code: 730 Agency name: University of Houston

| CODE | DESCRIPTION | Excp 2020 | Excp 2021 |
|------|---|-----------|-----------|
| | Item Name: Hurricane Harvey Disaster Relief Funding Item Priority: 3 IT Component: No Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies: 03-05-01 Exceptional Item Request | | |

OBJECTS OF EXPENSE:

| | | | |
|---------------------------------|----------------------|---------------------|------------|
| 5000 | CAPITAL EXPENDITURES | 20,288,883 | 0 |
| TOTAL, OBJECT OF EXPENSE | | \$20,288,883 | \$0 |

METHOD OF FINANCING:

| | | | |
|-----------------------------------|----------------------|---------------------|------------|
| 1 | General Revenue Fund | 20,288,883 | 0 |
| TOTAL, METHOD OF FINANCING | | \$20,288,883 | \$0 |

DESCRIPTION / JUSTIFICATION:

The University of Houston requests funding for Hurricane Harvey Disaster Relief. Hurricane Harvey moved rapidly from a tropical depression to a major hurricane in 40 hours, and made landfall in south-central Texas on August 25, 2017 as a Category 4 with 130 mph winds and heavy rain. Hurricane Harvey stalled over south Texas for days as a weakening hurricane and tropical storm, creating catastrophic flooding with more than 50 inches of rain in the Houston area in less than one week. The University of Houston incurred damages at 81 of 127 buildings on campus. Damages were incurred from flooding, rain, and wind, rendering many classroom, administrative, and student spaces both unsafe and unusable, and requiring substantial remediation and restoration to resume and continue normal business operations. The University was able to resume operations within one week, but the costs of debris removal, cleaning, and repairs was by necessity taken from funding sources intended for other purposes, including student success.

EXTERNAL/INTERNAL FACTORS:

Funds expended for recovery were existing funds that were diverted from the University's mission to deliver academic programs and help to ensure student success. 81 of 127 owned buildings on campus were impacted, and without repair the University would not be able to fulfill its mission as effectively. Insurance and federal funding are not expected to cover all costs of repair, recovery, and ongoing administrative requirements that have been or will be incurred. In addition, the recovery of losses from FEMA, in particular, often takes an extended period of time to recover. Without restoring the funds used for remediation and restoration more expediently, funding for University student success initiatives are strained. If the University receives support from the state in the form of this exceptional item request, and we subsequently receive funding from FEMA, we will reconcile and ensure no duplication of benefits has occurred and return any difference to the state.

PCLS TRACKING KEY:

4.A. Exceptional Item Request Schedule
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/17/2018
TIME: 8:18:19AM

Agency code: 730 Agency name:
University of Houston

| CODE | DESCRIPTION | Excp 2020 | Excp 2021 |
|------|-------------|-----------|-----------|
|------|-------------|-----------|-----------|

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

None

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

| 2022 | 2023 | 2024 |
|------|------|------|
| \$0 | \$0 | \$0 |

4.A. Exceptional Item Request Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/17/2018
 TIME: 8:18:19AM

Agency code: 730 Agency name: University of Houston

| | | | |
|-------------|--------------------|------------------|------------------|
| CODE | DESCRIPTION | Excp 2020 | Excp 2021 |
|-------------|--------------------|------------------|------------------|

Item Name: New Law Center Building
Item Priority: 4
IT Component: No
Anticipated Out-year Costs: Yes
Involve Contracts > \$50,000: No
Includes Funding for the Following Strategy or Strategies: 02-01-02 Tuition Revenue Bond Retirement

OBJECTS OF EXPENSE:

| | | | |
|---------------------------------|--------------|------------------|------------------|
| 2008 | DEBT SERVICE | 5,231,073 | 5,231,073 |
| TOTAL, OBJECT OF EXPENSE | | 5,231,073 | 5,231,073 |

METHOD OF FINANCING:

| | | | |
|-----------------------------------|----------------------|------------------|------------------|
| 1 | General Revenue Fund | 5,231,073 | 5,231,073 |
| TOTAL, METHOD OF FINANCING | | 5,231,073 | 5,231,073 |

DESCRIPTION / JUSTIFICATION:

While the principal and interest debt service for new tuition revenue bonds is being requested here, any amounts appropriated should be at UH System Administration. Interest rate assumptions used for Debt Service Estimates at 6% for 20 years as provided by Texas Public Finance Authority.

EXTERNAL/INTERNAL FACTORS:

Maintaining quality in our classrooms, laboratories, libraries and equipment is critical to achieving the University of Houston's goals of academic and research excellence. And as the number of students and faculty on campus grows, so too does the need for expanded and better infrastructure. The quality of facilities will be a particular challenge for UH in the coming years. A facilities condition audit has revealed major renovation and maintenance needs that the university must address. These include general maintenance and repair of campus buildings, as well as repurposing of facilities that are no longer suitable for their intended use. Funding capital construction projects either through direct appropriation or through tuition revenue bonds is vital for addressing some of these needs.

PCLS TRACKING KEY:

4.A. Exceptional Item Request Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/17/2018**
 TIME: **8:18:19AM**

Agency code: **730**

Agency name:
University of Houston

| <u>CODE</u> | <u>DESCRIPTION</u> | <u>Excp 2020</u> | <u>Excp 2021</u> |
|-------------|--------------------|------------------|------------------|
|-------------|--------------------|------------------|------------------|

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

None

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

| | <u>2022</u> | <u>2023</u> | <u>2024</u> |
|--|-------------|-------------|-------------|
| | \$0 | \$0 | \$0 |

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/17/2018**
 TIME: **8:18:19AM**

Agency code: **730** Agency name: **University of Houston**

| Code | Description | Excp 2020 | Excp 2021 |
|---|-------------------------|---------------------|---------------------|
| Item Name: College of Medicine Funding | | | |
| Allocation to Strategy: 3-5-1 Exceptional Item Request | | | |
| OBJECTS OF EXPENSE: | | | |
| 1001 | SALARIES AND WAGES | 5,348,871 | 5,009,292 |
| 1002 | OTHER PERSONNEL COSTS | 1,604,662 | 1,502,787 |
| 2009 | OTHER OPERATING EXPENSE | 3,046,467 | 3,487,921 |
| TOTAL, OBJECT OF EXPENSE | | \$10,000,000 | \$10,000,000 |
| METHOD OF FINANCING: | | | |
| 1 | General Revenue Fund | 10,000,000 | 10,000,000 |
| TOTAL, METHOD OF FINANCING | | \$10,000,000 | \$10,000,000 |
| FULL-TIME EQUIVALENT POSITIONS (FTE): | | 71.0 | 93.0 |

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/17/2018**
 TIME: **8:18:19AM**

Agency code: **730** Agency name: **University of Houston**

| Code | Description | Excp 2020 | Excp 2021 |
|---|-------------------------|--------------------|--------------------|
| Item Name: Restore Non-Formula Reductions | | | |
| Allocation to Strategy: 3-5-1 Exceptional Item Request | | | |
| OBJECTS OF EXPENSE: | | | |
| 2009 | OTHER OPERATING EXPENSE | 5,547,021 | 5,547,021 |
| TOTAL, OBJECT OF EXPENSE | | \$5,547,021 | \$5,547,021 |
| METHOD OF FINANCING: | | | |
| 1 | General Revenue Fund | 5,547,021 | 5,547,021 |
| TOTAL, METHOD OF FINANCING | | \$5,547,021 | \$5,547,021 |

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/17/2018
 TIME: 8:18:19AM

Agency code: 730 Agency name: University of Houston

| Code | Description | Excp 2020 | Excp 2021 |
|---|----------------------|---------------------|------------|
| Item Name: Hurricane Harvey Disaster Relief Funding | | | |
| Allocation to Strategy: 3-5-1 Exceptional Item Request | | | |
| OBJECTS OF EXPENSE: | | | |
| 5000 | CAPITAL EXPENDITURES | 20,288,883 | 0 |
| TOTAL, OBJECT OF EXPENSE | | \$20,288,883 | \$0 |
| METHOD OF FINANCING: | | | |
| 1 | General Revenue Fund | 20,288,883 | 0 |
| TOTAL, METHOD OF FINANCING | | \$20,288,883 | \$0 |

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/17/2018**
 TIME: **8:18:19AM**

Agency code: **730** Agency name: **University of Houston**

| Code | Description | Excp 2020 | Excp 2021 |
|-----------------------------------|---------------------------------------|--------------------|--------------------|
| Item Name: | New Law Center Building | | |
| Allocation to Strategy: | 2-1-2 Tuition Revenue Bond Retirement | | |
| OBJECTS OF EXPENSE: | | | |
| | 2008 DEBT SERVICE | 5,231,073 | 5,231,073 |
| TOTAL, OBJECT OF EXPENSE | | \$5,231,073 | \$5,231,073 |
| METHOD OF FINANCING: | | | |
| | 1 General Revenue Fund | 5,231,073 | 5,231,073 |
| TOTAL, METHOD OF FINANCING | | \$5,231,073 | \$5,231,073 |

4.C. Exceptional Items Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/17/2018
TIME: 8:18:19AM

Agency Code: **730** Agency name: **University of Houston**

GOAL: 2 Provide Infrastructure Support

OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space

STRATEGY: 2 Tuition Revenue Bond Retirement

Service Categories:

Service: 10 Income: A.2 Age: B.3

| CODE DESCRIPTION | Exp 2020 | Exp 2021 |
|-------------------------|-----------------|-----------------|
|-------------------------|-----------------|-----------------|

OBJECTS OF EXPENSE:

| | | |
|-------------------|-----------|-----------|
| 2008 DEBT SERVICE | 5,231,073 | 5,231,073 |
|-------------------|-----------|-----------|

| | | |
|----------------------------------|--------------------|--------------------|
| Total, Objects of Expense | \$5,231,073 | \$5,231,073 |
|----------------------------------|--------------------|--------------------|

METHOD OF FINANCING:

| | | |
|------------------------|-----------|-----------|
| 1 General Revenue Fund | 5,231,073 | 5,231,073 |
|------------------------|-----------|-----------|

| | | |
|---------------------------------|--------------------|--------------------|
| Total, Method of Finance | \$5,231,073 | \$5,231,073 |
|---------------------------------|--------------------|--------------------|

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

New Law Center Building

4.C. Exceptional Items Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/17/2018
TIME: 8:18:19AM

Agency Code: **730** Agency name: **University of Houston**

GOAL: 3 Provide Non-formula Support

OBJECTIVE: 5 Exceptional Item Request

STRATEGY: 1 Exceptional Item Request

Service Categories:

Service: 19 Income: A.2 Age: B.3

| CODE DESCRIPTION | Exp 2020 | Exp 2021 |
|-------------------------|-----------------|-----------------|
|-------------------------|-----------------|-----------------|

OBJECTS OF EXPENSE:

| | | |
|----------------------------------|---------------------|---------------------|
| 1001 SALARIES AND WAGES | 5,348,871 | 5,009,292 |
| 1002 OTHER PERSONNEL COSTS | 1,604,662 | 1,502,787 |
| 2009 OTHER OPERATING EXPENSE | 8,593,488 | 9,034,942 |
| 5000 CAPITAL EXPENDITURES | 20,288,883 | 0 |
| Total, Objects of Expense | \$35,835,904 | \$15,547,021 |

METHOD OF FINANCING:

| | | |
|---------------------------------|---------------------|---------------------|
| 1 General Revenue Fund | 35,835,904 | 15,547,021 |
| Total, Method of Finance | \$35,835,904 | \$15,547,021 |

FULL-TIME EQUIVALENT POSITIONS (FTE):

| | | |
|--|------|------|
| | 71.0 | 93.0 |
|--|------|------|

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

College of Medicine Funding

Restore Non-Formula Reductions

Hurricane Harvey Disaster Relief Funding

6.A. Historically Underutilized Business Supporting Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/17/2018
 Time: 8:18:20AM

Agency Code: 730 Agency: University of Houston

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year 2016 - 2017 HUB Expenditure Information

| Statewide HUB Goals | Procurement Category | % Goal | HUB Expenditures FY 2016 | | | Total Expenditures FY 2016 | | HUB Expenditures FY 2017 | | | Total Expenditures FY 2017 | |
|------------------------|---------------------------|--------|--------------------------|--------|---------------------|----------------------------------|----------|--------------------------|-----------|---------------------|----------------------------------|------|
| | | | % Actual | Diff | Actual \$ | % Goal | % Actual | Diff | Actual \$ | % Goal | % Actual | Diff |
| 11.2% | Heavy Construction | 11.2 % | 0.0% | -11.2% | \$0 | \$0 | 11.2 % | 0.0% | -11.2% | \$0 | \$0 | |
| 21.1% | Building Construction | 21.1 % | 10.0% | -11.1% | \$10,501,598 | \$105,125,943 | 21.1 % | 27.5% | 6.4% | \$12,412,975 | \$45,074,674 | |
| 32.9% | Special Trade | 32.9 % | 27.0% | -5.9% | \$6,080,672 | \$22,528,377 | 32.9 % | 4.1% | -28.8% | \$3,177,034 | \$78,235,898 | |
| 23.7% | Professional Services | 23.7 % | 31.9% | 8.2% | \$179,274 | \$562,221 | 23.7 % | 23.1% | -0.6% | \$239,721 | \$1,036,059 | |
| 26.0% | Other Services | 26.0 % | 11.4% | -14.6% | \$8,581,561 | \$75,388,788 | 26.0 % | 11.3% | -14.7% | \$8,755,778 | \$77,560,960 | |
| 21.1% | Commodities | 21.1 % | 22.3% | 1.2% | \$15,652,339 | \$70,206,384 | 21.1 % | 20.7% | -0.4% | \$13,683,835 | \$66,015,424 | |
| | Total Expenditures | | 15.0% | | \$40,995,444 | \$273,811,713 | | 14.3% | | \$38,269,343 | \$267,923,015 | |

B. Assessment of Fiscal Year 2016 - 2017 Efforts to Meet HUB Procurement Goals

Attainment:

In FY2016, the University of Houston attained the statewide HUB goal in two out of five procurement categories that had expenditures, and one out of five categories with expenditures in FY2017.

Applicability:

The University of Houston does not build roads or bridges so the Heavy Construction category is not applicable to UH.

Factors Affecting Attainment:

In both FY2016 and FY2017, the UH HUB goals for Other Services were not met in large part because those categories included contracts awarded to non-HUB vendors following a competitive procurement process in which HUB vendors were invited to participate. In FY2016, the Building Construction and Special Trade HUB goal was not met due to a decrease in HUB subcontracting during this period. In FY17 Special Trade decreased as a result of specialized subcontracting opportunities that could not be fulfilled by HUB vendors.

"Good-Faith" Efforts:

The university has made a concentrated effort to increase HUB spending in the areas of Building Construction, Special Trade, Other Services and Commodity Purchasing. In regards to Building Construction and Special Trade, the university hosts a Construction Fair at the start of each fiscal year. The goal of the construction fair is to continue fostering long-term relationships between HUB vendors who provide special trade services, construction services and architectural/engineer services, with university prime contractors in order to promote economic opportunities and growth for our HUB vendors. In FY16 the university implemented an internal HUB utilization goal for individual departments in an effort to increase HUB expenditures in the area of Commodity Purchasing and Other Services. In FY2016 the goal was met for commodity purchasing and .37% shy of being achieved in FY17. In moving forward additional internal outreach efforts will be

6.A. Historically Underutilized Business Supporting Schedule
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/17/2018**
Time: **8:18:20AM**

Agency Code: **730** Agency: **University of Houston**

made, including facilitating a procurement needs assessment by department, that identifies the department's specific vision and aligns them with the appropriate HUB vendor.

6.G HOMELAND SECURITY FUNDING SCHEDULE - PART A TERRORISM

DATE: 10/17/2018
TIME: 8:18:20AM

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **730** Agency name: **University of Houston**

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|----------------------------------|------------------------------|------------------|------------------|------------------|------------------|------------------|
| OBJECTS OF EXPENSE | | | | | | |
| 1001 | SALARIES AND WAGES | \$104,745 | \$126,209 | \$130,000 | \$130,000 | \$130,000 |
| 2002 | FUELS AND LUBRICANTS | \$46,761 | \$27,109 | \$50,000 | \$50,000 | \$50,000 |
| 2009 | OTHER OPERATING EXPENSE | \$122,431 | \$114,564 | \$125,000 | \$125,000 | \$125,000 |
| TOTAL, OBJECTS OF EXPENSE | | \$273,937 | \$267,882 | \$305,000 | \$305,000 | \$305,000 |
| METHOD OF FINANCING | | | | | | |
| 8888 | Local/Not Appropriated Funds | \$273,937 | \$267,882 | \$305,000 | \$305,000 | \$305,000 |
| | Subtotal, MOF (Other Funds) | \$273,937 | \$267,882 | \$305,000 | \$305,000 | \$305,000 |
| TOTAL, METHOD OF FINANCE | | \$273,937 | \$267,882 | \$305,000 | \$305,000 | \$305,000 |

FULL-TIME-EQUIVALENT POSITIONS

NO FUNDS WERE PASSED THROUGH TO LOCAL ENTITIES

NO FUNDS WERE PASSED THROUGH TO OTHER STATE AGENCIES OR INSTITUTIONS OF HIGHER EDUCATION

USE OF HOMELAND SECURITY FUNDS

The FY2018 expenses reflects updating the mandated dispatch radio system along with replacing several vehicles for the police, security and EHLS that were needed for the staff to be able to respond quickly and efficiently as emergencies arise. Various trainings such as qualifications at the range, gasoline, bullet proof vests were purchased, AED supplies, were also included in the expenses, etc.

6.G HOMELAND SECURITY FUNDING SCHEDULE - PART B NATURAL OR MAN-MADE DISASTERS

DATE: 10/17/2018
TIME: 8:18:20AM

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **730** Agency name: **University of Houston**

| CODE | DESCRIPTION | Exp 2017 | Est 2018 | Bud 2019 | BL 2020 | BL 2021 |
|----------------------------------|---------------------------------------|------------------|------------------|--------------------|--------------------|--------------------|
| OBJECTS OF EXPENSE | | | | | | |
| 1001 | SALARIES AND WAGES | \$188,614 | \$206,429 | \$210,000 | \$210,000 | \$210,000 |
| 2002 | FUELS AND LUBRICANTS | \$46,761 | \$30,951 | \$40,000 | \$40,000 | \$40,000 |
| 2003 | CONSUMABLE SUPPLIES | \$500 | \$500 | \$500 | \$500 | \$500 |
| 2009 | OTHER OPERATING EXPENSE | \$142,256 | \$137,640 | \$150,000 | \$150,000 | \$150,000 |
| 5000 | CAPITAL EXPENDITURES | \$477,520 | \$517,424 | \$775,000 | \$775,000 | \$775,000 |
| TOTAL, OBJECTS OF EXPENSE | | \$855,651 | \$892,944 | \$1,175,500 | \$1,175,500 | \$1,175,500 |
| METHOD OF FINANCING | | | | | | |
| 1 | General Revenue Fund | \$378,131 | \$375,520 | \$400,500 | \$400,500 | \$400,500 |
| | Subtotal, MOF (General Revenue Funds) | \$378,131 | \$375,520 | \$400,500 | \$400,500 | \$400,500 |
| 8888 | Local/Not Appropriated Funds | \$477,520 | \$517,424 | \$775,000 | \$775,000 | \$775,000 |
| | Subtotal, MOF (Other Funds) | \$477,520 | \$517,424 | \$775,000 | \$775,000 | \$775,000 |
| TOTAL, METHOD OF FINANCE | | \$855,651 | \$892,944 | \$1,175,500 | \$1,175,500 | \$1,175,500 |

FULL-TIME-EQUIVALENT POSITIONS

NO FUNDS WERE PASSED THROUGH TO LOCAL ENTITIES

NO FUNDS WERE PASSED THROUGH TO OTHER STATE AGENCIES OR INSTITUTIONS OF HIGHER EDUCATION

USE OF HOMELAND SECURITY FUNDS

The FY18 operating expenses reflect: 1) Replacement for several vehicles for Police and Security so that staff can respond quickly and efficiently as emergencies arise. 2) Phase 2 of camera upgrades as a continued effort to improve monitoring activities of building access points. 3) Replaced ride out equipment needed for EHLS, Police, Security, Emergency management.
4) Purchase of tactical operational equipment for Police use.

6.H. Estimate Total Of All Funds Outside the General Appropriations Bill Act Pattern Schedule 2018 - 19 and 2020 - 21 Biennial

Agency Code: 00730
 Agency Name: University of Houston

| | 2018-19 Biennium | | | | 2020-21 Biennium | | | |
|--|-------------------------|-------------------------|-------------------------|---------------------|-------------------------|-------------------------|-------------------------|---------------------|
| | FY 2018 Revenue | FY 2019 Revenue | Biennium Total | Percent of Total | FY 2020 Revenue | FY 2021 Revenue | Biennium Total | Percent of Total |
| APPROPRIATED SOURCES INSIDE THE BILL PATTERN | | | | | | | | |
| State Appropriations (excluding HEGI & State Paid Fringes) | \$ 165,929,316 | \$ 165,350,973 | \$ 331,280,289 | | \$ 165,350,973 | \$ 165,350,973 | \$ 330,701,946 | |
| Tuition and Fees (net of Discounts and Allowances) | 90,424,150 | 91,650,532 | 182,074,682 | | 91,650,532 | 91,650,532 | 183,301,064 | |
| Endowment and Interest Income | 249,508 | 595,380 | 844,888 | | 595,380 | 595,380 | 1,190,760 | |
| Total | <u>256,602,974</u> | <u>257,596,885</u> | <u>514,199,859</u> | <u>21.1%</u> | <u>257,596,885</u> | <u>257,596,885</u> | <u>515,193,770</u> | <u>20.8%</u> |
| APPROPRIATED SOURCES OUTSIDE THE BILL PATTERN | | | | | | | | |
| State Appropriations (HEGI & State Paid Fringes) | \$ 35,233,029 | \$ 36,657,940 | \$ 71,890,969 | | \$ 36,657,940 | \$ 36,657,940 | \$ 73,315,880 | |
| Higher Education Assistance Funds | 52,770,054 | 52,770,054 | 105,540,108 | | 52,770,054 | 52,770,054 | 105,540,108 | |
| Sales and Services of Educational Activities (net) | 4,700,000 | 4,700,000 | 9,400,000 | | 4,700,000 | 4,700,000 | 9,400,000 | |
| Total | <u>92,703,083</u> | <u>94,127,994</u> | <u>186,831,077</u> | <u>7.7%</u> | <u>94,127,994</u> | <u>94,127,994</u> | <u>188,255,988</u> | <u>7.6%</u> |
| NON-APPROPRIATED SOURCES | | | | | | | | |
| Tuition and Fees (net of Discounts and Allowances) | 402,298,925 | 415,769,213 | 818,068,138 | | 415,769,213 | 415,769,213 | 831,538,426 | |
| Federal Grants and Contracts | 142,692,435 | 149,744,071 | 292,436,506 | | 149,744,071 | 149,744,071 | 299,488,142 | |
| State Grants and Contracts | 18,332,858 | 18,565,573 | 36,898,431 | | 18,565,573 | 18,565,573 | 37,131,146 | |
| Local Government Grants and Contracts | 36,698,519 | 34,320,146 | 71,018,665 | | 34,320,146 | 34,320,146 | 68,640,292 | |
| Private Gifts and Grants | 51,039,472 | 48,653,971 | 99,693,443 | | 48,653,971 | 48,653,971 | 97,307,942 | |
| Endowment and Interest Income | 25,303,893 | 27,092,405 | 52,396,298 | | 27,092,405 | 27,092,405 | 54,184,810 | |
| Sales and Services of Educational Activities (net) | 51,272,630 | 67,126,869 | 118,399,499 | | 67,126,869 | 67,126,869 | 134,253,738 | |
| Auxiliary Enterprises (net) | 116,593,406 | 123,547,143 | 240,140,549 | | 123,547,143 | 123,547,143 | 247,094,286 | |
| Other Income | 1,095,906 | 959,906 | 2,055,812 | | 959,906 | 959,906 | 1,919,812 | |
| Total | <u>845,328,044</u> | <u>885,779,297</u> | <u>1,731,107,341</u> | <u>71.2%</u> | <u>885,779,297</u> | <u>885,779,297</u> | <u>1,771,558,594</u> | <u>71.6%</u> |
| TOTAL SOURCES | <u>\$ 1,194,634,101</u> | <u>\$ 1,237,504,176</u> | <u>\$ 2,432,138,277</u> | <u>100.0%</u> | <u>\$ 1,237,504,176</u> | <u>\$ 1,237,504,176</u> | <u>\$ 2,475,008,352</u> | <u>100.0%</u> |

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/17/2018

Time: 8:18:20AM

Agency code: 730 Agency name: University of Houston

| Item Priority and Name/ <u>Method of Financing</u> | REVENUE LOSS | | | REDUCTION AMOUNT | | | PROGRAM AMOUNT | | TARGET |
|---|--------------|------|-------------------|------------------|------|-------------------|----------------|------|-------------------|
| | 2020 | 2021 | Biennial Total | 2020 | 2021 | Biennial Total | 2020 | 2021 | Biennial Total |

1 Hobby School of Public Affairs

Category: Programs - Service Reductions (Contracted)

Item Comment: Special item funding is used to support some of the University of Houston's most important research and public service programs. Therefore, the impact of a 15.7% reduction would adversely affect the university's ability to enhance research productivity and achieve its other Tier One goals.

Strategy: 1-1-1 Operations Support

General Revenue Funds

| | | | | | | | | | |
|------------------------------------|------------|------------|------------|------------------|------------------|------------------|--|--|--|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$224,341 | \$224,341 | \$448,682 | | | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$224,341 | \$224,341 | \$448,682 | | | |
| Item Total | \$0 | \$0 | \$0 | \$224,341 | \$224,341 | \$448,682 | | | |

FTE Reductions (From FY 2020 and FY 2021 Base Request)

2 50 in 5 Initiative - Complex Systems (Data Sciences)

Category: Programs - Service Reductions (Contracted)

Item Comment: Special item funding is used to support some of the University of Houston's most important research and public service programs. Therefore, the impact of a 15.7% reduction would adversely affect the university's ability to enhance research productivity and achieve its other Tier One goals.

Strategy: 1-1-1 Operations Support

General Revenue Funds

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/17/2018

Time: 8:18:20AM

Agency code: **730** Agency name: **University of Houston**

| Item Priority and Name/ <u>Method of Financing</u> | REVENUE LOSS | | | REDUCTION AMOUNT | | | PROGRAM AMOUNT | | TARGET |
|---|--------------|------------|-------------------|------------------|-----------------|-------------------|----------------|------|-------------------|
| | 2020 | 2021 | Biennial Total | 2020 | 2021 | Biennial Total | 2020 | 2021 | Biennial Total |
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$70,362 | \$70,362 | \$140,724 | | | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$70,362 | \$70,362 | \$140,724 | | | |
| Item Total | \$0 | \$0 | \$0 | \$70,362 | \$70,362 | \$140,724 | | | |

FTE Reductions (From FY 2020 and FY 2021 Base Request)

3 50 in 5 Initiative – Energy Research

Category: Programs - Service Reductions (Contracted)

Item Comment: Special item funding is used to support some of the University of Houston's most important research and public service programs. Therefore, the impact of a 15.7% reduction would adversely affect the university's ability to enhance research productivity and achieve its other Tier One goals.

Strategy: 1-1-1 Operations Support

General Revenue Funds

| | | | | | | | | | |
|------------------------------------|------------|------------|------------|------------------|------------------|------------------|--|--|--|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$363,790 | \$363,790 | \$727,580 | | | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$363,790 | \$363,790 | \$727,580 | | | |
| Item Total | \$0 | \$0 | \$0 | \$363,790 | \$363,790 | \$727,580 | | | |

FTE Reductions (From FY 2020 and FY 2021 Base Request)

4 50 in 5 Initiative - Health Sciences

Category: Programs - Service Reductions (Contracted)

Item Comment: Special item funding is used to support some of the University of Houston's most important research and public service programs. Therefore, the impact of a 15.7.0% reduction would adversely affect the university's ability to enhance research productivity and achieve its other Tier One goals.

Strategy: 1-1-1 Operations Support

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/17/2018
Time: 8:18:20AM

Agency code: 730 Agency name: University of Houston

| Item Priority and Name/ Method of Financing | REVENUE LOSS | | | REDUCTION AMOUNT | | | PROGRAM AMOUNT | | TARGET |
|--|--------------|------------|-------------------|------------------|------------------|-------------------|----------------|------|-------------------|
| | 2020 | 2021 | Biennial Total | 2020 | 2021 | Biennial Total | 2020 | 2021 | Biennial Total |
| <u>General Revenue Funds</u> | | | | | | | | | |
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$226,126 | \$226,126 | \$452,252 | | | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$226,126 | \$226,126 | \$452,252 | | | |
| Item Total | \$0 | \$0 | \$0 | \$226,126 | \$226,126 | \$452,252 | | | |

FTE Reductions (From FY 2020 and FY 2021 Base Request)

5 Education and Community Advancement TEACH HOUSTON

Category: Programs - Service Reductions (Contracted)

Item Comment: Special item funding is used to support some of the University of Houston's most important research and public service programs. Therefore, the impact of a 15.7% reduction would adversely affect the university's ability to enhance research productivity and achieve its other Tier One goals.

Strategy: 1-1-1 Operations Support

General Revenue Funds

| | | | | | | | | | |
|------------------------------------|------------|------------|------------|------------------|------------------|------------------|--|--|--|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$117,423 | \$117,423 | \$234,846 | | | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$117,423 | \$117,423 | \$234,846 | | | |
| Item Total | \$0 | \$0 | \$0 | \$117,423 | \$117,423 | \$234,846 | | | |

FTE Reductions (From FY 2020 and FY 2021 Base Request)

6 College of Pharmacy

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/17/2018

Time: 8:18:20AM

Agency code: **730** Agency name: **University of Houston**

| Item Priority and Name/ <u>Method of Financing</u> | REVENUE LOSS | | | REDUCTION AMOUNT | | | PROGRAM AMOUNT | | TARGET |
|---|--------------|------|-------------------|------------------|------|-------------------|----------------|------|-------------------|
| | 2020 | 2021 | Biennial Total | 2020 | 2021 | Biennial Total | 2020 | 2021 | Biennial Total |

Category: Programs - Service Reductions (Contracted)

Item Comment: Special item funding is used to support some of the University of Houston's most important research and public service programs. Therefore, the impact of a 15.7% reduction would adversely affect the university's ability to enhance research productivity and achieve its other Tier One goals.

Strategy: 1-1-1 Operations Support

General Revenue Funds

| | | | | | | | | | |
|------------------------------------|------------|------------|------------|------------------|------------------|--------------------|--|--|--|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$782,380 | \$782,380 | \$1,564,760 | | | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$782,380 | \$782,380 | \$1,564,760 | | | |
| Item Total | \$0 | \$0 | \$0 | \$782,380 | \$782,380 | \$1,564,760 | | | |

FTE Reductions (From FY 2020 and FY 2021 Base Request)

7 Institutional Enhancement

Category: Programs - Service Reductions (Contracted)

Item Comment: In addition to supporting the University of Houston's research and community advancement goals, core funding is used to support the university's general operations-most importantly, the delivery of instruction and support services to students. Therefore, 4.8% reductions in these core funding items would negatively impact everything the university is working to accomplish on behalf of the state- enhancing student access and success improving program quality, increasing research productivity, and being of service to the community.

Strategy: 1-1-1 Operations Support

General Revenue Funds

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/17/2018

Time: 8:18:20AM

Agency code: **730** Agency name: **University of Houston**

| Item Priority and Name/ <u>Method of Financing</u> | REVENUE LOSS | | | REDUCTION AMOUNT | | | PROGRAM AMOUNT | | TARGET |
|---|--------------|------------|-------------------|------------------|------------------|-------------------|----------------|------|-------------------|
| | 2020 | 2021 | Biennial Total | 2020 | 2021 | Biennial Total | 2020 | 2021 | Biennial Total |
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$115,759 | \$115,758 | \$231,517 | | | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$115,759 | \$115,758 | \$231,517 | | | |
| Item Total | \$0 | \$0 | \$0 | \$115,759 | \$115,758 | \$231,517 | | | |

FTE Reductions (From FY 2020 and FY 2021 Base Request)

8 Hold Harmless

Category: Programs - Service Reductions (Contracted)

Item Comment: In addition to supporting the University of Houston's research and community advancement goals, Hold Harmless funding is used to support the university's general operations-most importantly, the delivery of instruction and support services to students. Therefore, 4.8% reductions in these Hold Harmless funding items would negatively impact everything the university is working to accomplish on behalf of the state- enhancing student access and success improving program quality, increasing research productivity, and being of service to the community.

Strategy: 1-1-1 Operations Support

General Revenue Funds

| | | | | | | | | | |
|------------------------------------|------------|------------|------------|------------------|------------------|------------------|--|--|--|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$252,895 | \$252,895 | \$505,790 | | | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$252,895 | \$252,895 | \$505,790 | | | |
| Item Total | \$0 | \$0 | \$0 | \$252,895 | \$252,895 | \$505,790 | | | |

FTE Reductions (From FY 2020 and FY 2021 Base Request)

9 Worker's Compensation Insurance

Category: Programs - Service Reductions (Contracted)

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/17/2018

Time: 8:18:20AM

Agency code: **730** Agency name: **University of Houston**

| Item Priority and Name/ <u>Method of Financing</u> | REVENUE LOSS | | | REDUCTION AMOUNT | | | PROGRAM AMOUNT | | TARGET |
|---|--------------|------|-------------------|------------------|------|-------------------|----------------|------|-------------------|
| | 2020 | 2021 | Biennial Total | 2020 | 2021 | Biennial Total | 2020 | 2021 | Biennial Total |

Item Comment: In addition to supporting the University of Houston’s research and community advancement goals, core funding is used to support the university’s general operations-most importantly, the delivery of instruction and support services to students. Therefore, 4.8% reductions in these core funding items would negatively impact everything the university is working to accomplish on behalf of the state- enhancing student access and success improving program quality, increasing research productivity, and being of service to the community.

Strategy: 1-1-1 Operations Support

General Revenue Funds

| | | | | | | | | | |
|------------------------------------|------------|------------|------------|-----------------|-----------------|-----------------|--|--|--|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$16,363 | \$16,363 | \$32,726 | | | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$16,363 | \$16,363 | \$32,726 | | | |
| Item Total | \$0 | \$0 | \$0 | \$16,363 | \$16,363 | \$32,726 | | | |

FTE Reductions (From FY 2020 and FY 2021 Base Request)

AGENCY TOTALS

| | | | | | | | | | |
|------------------------------|------------|------------|------------|--------------------|--------------------|--------------------|--|--|--------------------|
| General Revenue Total | | | | \$2,169,439 | \$2,169,438 | \$4,338,877 | | | \$4,338,877 |
| Agency Grand Total | \$0 | \$0 | \$0 | \$2,169,439 | \$2,169,438 | \$4,338,877 | | | \$4,338,877 |

Difference, Options Total Less Target

Agency FTE Reductions (From FY 2020 and FY 2021 Base Request)

| | | | | | | | | | |
|------------------------|--|--|--|--------------------|--------------------|--------------------|--|--|--|
| Article Total | | | | \$2,169,439 | \$2,169,438 | \$4,338,877 | | | |
| Statewide Total | | | | \$2,169,439 | \$2,169,438 | \$4,338,877 | | | |

8. Summary of Requests for Capital Project Financing

| Agency Code: 730 | Agency: University of Houston | | Prepared by: Barbara Duarte | | | | | | | | | |
|------------------|-------------------------------|--|-----------------------------|-----------------|----------------------|-------------|----------------------------------|------------|----------------------|--|-------------------------|----------------------------|
| Date: 07/31/2018 | | | Amount Requested | | | | | | | | | |
| Project ID # | Capital Expenditure Category | Project Description | Project Category | | | | 2020-2021 Total Amount Requested | MOF Code # | MOF Requested | 2020-2021 Estimated Debt Service (If Applicable) | Debt Service MOF Code # | Debt Service MOF Requested |
| | | | New Construction | Health & Safety | Deferred Maintenance | Maintenance | | | | | | |
| 1 | 7341 | New Law Center Building & Complex Renovation | \$ 90,000,000 | | | | \$ 60,000,000 | GR 001 | Tuition Revenue Bond | \$ 10,462,146 | GR 001 | General Revenue Fund |
| | | | | | | | | | | | | |
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86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

| 730 University of Houston | | | | | |
|---|--------------------|--------------------|--------------------|--------------------|--------------------|
| | Act 2017 | Act 2018 | Bud 2019 | Est 2020 | Est 2021 |
| Gross Tuition | | | | | |
| Gross Resident Tuition | 62,061,216 | 64,687,443 | 66,628,066 | 68,160,512 | 69,769,100 |
| Gross Non-Resident Tuition | 53,274,703 | 51,517,865 | 53,063,401 | 54,283,859 | 55,564,958 |
| Gross Tuition | 115,335,919 | 116,205,308 | 119,691,467 | 122,444,371 | 125,334,058 |
| Less: Resident Waivers and Exemptions (excludes Hazlewood) | (789,357) | (915,178) | (942,633) | (964,314) | (987,072) |
| Less: Non-Resident Waivers and Exemptions | (25,571,735) | (25,754,075) | (26,526,697) | (27,136,811) | (27,777,240) |
| Less: Hazlewood Exemptions | (1,459,197) | (1,489,994) | (1,534,694) | (1,569,992) | (1,607,044) |
| Less: Board Authorized Tuition Increases (TX. Educ. Code Ann. Sec. 54.008) | (13,759,088) | (13,989,443) | (14,409,126) | (14,740,536) | (15,088,413) |
| Less: Tuition increases charged to doctoral students with hours in excess of 100 (TX. Educ. Code Ann. Sec. 54.012) | 0 | 0 | 0 | 0 | 0 |
| Less: Tuition increases charged to undergraduate students with excessive hours above degree requirements. (TX. Educ. Code Ann. Sec. 61.0595) | 0 | 0 | 0 | 0 | 0 |
| Less: Tuition rebates for certain undergraduates (TX. Educ. Code Ann. Sec. 54.0065) | (147,000) | (142,000) | (146,260) | (149,624) | (153,155) |
| Plus: Tuition waived for Students 55 Years or Older (TX. Educ. Code Ann. Sec. 54.013) | 23,178 | 22,339 | 23,009 | 23,538 | 24,094 |
| Less: Tuition for repeated or excessive hours (TX. Educ. Code Ann. Sec. 54.014) | (2,040,246) | (2,003,118) | (2,063,212) | (2,110,665) | (2,160,477) |
| Plus: Tuition waived for Texas Grant Recipients (TX. Educ. Code Ann. Sec. 56.307) | 0 | 0 | 0 | 0 | 0 |
| Subtotal | 71,592,474 | 71,933,839 | 74,091,854 | 75,795,967 | 77,584,751 |
| Less: Transfer of funds for Texas Public Education Grants Program (Tex. Educ. Code Ann. Sec. 56c) and for Emergency Loans (Tex. Educ. Code Ann. Sec. 56d) | (7,829,854) | (8,093,450) | (8,336,252) | (8,527,986) | (8,729,247) |
| Less: Transfer of Funds (2%) for Physician/Dental Loans (Medical Schools) | 0 | 0 | 0 | 0 | 0 |
| Less: Statutory Tuition (Tx. Educ. Code Ann. Sec. 54.051) Set Aside for Doctoral Incentive Loan Repayment Program (Tx. Educ. Code Ann. Sec. 56.095) | 0 | 0 | 0 | 0 | 0 |
| Less: Other Authorized Deduction | | | | | |
| Net Tuition | 63,762,620 | 63,840,389 | 65,755,602 | 67,267,981 | 68,855,504 |

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

| 730 University of Houston | | | | | |
|--|-------------------|-------------------|-------------------|-------------------|-------------------|
| | Act 2017 | Act 2018 | Bud 2019 | Est 2020 | Est 2021 |
| Student Teaching Fees | 0 | 0 | 0 | 0 | 0 |
| Special Course Fees | 0 | 0 | 0 | 0 | 0 |
| Laboratory Fees | 86,111 | 87,059 | 88,000 | 88,000 | 88,000 |
| Subtotal, Tuition and Fees (Formula Amounts for Health-Related Institutions) | 63,848,731 | 63,927,448 | 65,843,602 | 67,355,981 | 68,943,504 |
| OTHER INCOME | | | | | |
| Interest on General Funds: | | | | | |
| Local Funds in State Treasury | 691,793 | 1,412,823 | 1,500,000 | 1,500,000 | 1,500,000 |
| Funds in Local Depositories, e.g., local amounts | 0 | 0 | 0 | 0 | 0 |
| Other Income (Itemize) | | | | | |
| Subtotal, Other Income | 691,793 | 1,412,823 | 1,500,000 | 1,500,000 | 1,500,000 |
| Subtotal, Other Educational and General Income | 64,540,524 | 65,340,271 | 67,343,602 | 68,855,981 | 70,443,504 |
| Less: O.A.S.I. Applicable to Educational and General Local Funds Payrolls | (3,476,030) | (3,501,925) | (3,682,842) | (3,682,842) | (3,682,842) |
| Less: Teachers Retirement System and ORP Proportionality for Educational and General Funds | (3,371,805) | (3,380,968) | (3,542,197) | (3,542,197) | (3,542,197) |
| Less: Staff Group Insurance Premiums | (8,909,833) | (11,431,875) | (12,000,000) | (12,000,000) | (12,000,000) |
| Total, Other Educational and General Income (Formula Amounts for General Academic Institutions) | 48,782,856 | 47,025,503 | 48,118,563 | 49,630,942 | 51,218,465 |
| Reconciliation to Summary of Request for FY 2017-2019 | | | | | |
| Plus: Transfer of Funds for Texas Public Education Grants Program and Physician Loans | 7,829,854 | 8,093,450 | 8,336,252 | 8,527,986 | 8,729,247 |
| Plus: Transfer of Funds 2% for Physician/Dental Loans (Medical Schools) | 0 | 0 | 0 | 0 | 0 |
| Plus: Transfer of Funds for Cancellation of Student Loans of Physicians | 0 | 0 | 0 | 0 | 0 |
| Plus: Organized Activities | 0 | 0 | 0 | 0 | 0 |
| Plus: Staff Group Insurance Premiums | 8,909,833 | 11,431,875 | 12,000,000 | 12,000,000 | 12,000,000 |
| Plus: Board-authorized Tuition Income | 13,759,088 | 13,989,443 | 14,409,126 | 14,740,536 | 15,088,413 |
| Plus: Tuition Increases Charged to Doctoral Students with Hours in Excess of 100 | 0 | 0 | 0 | 0 | 0 |

| 730 University of Houston | | | | | |
|---|-------------------|-------------------|-------------------|-------------------|-------------------|
| | Act 2017 | Act 2018 | Bud 2019 | Est 2020 | Est 2021 |
| Plus: Tuition Increases Charged to Undergraduate Students with Excessive Hours above Degree Requirements (TX. Educ. Code Ann. Sec. 61.0595) | 0 | 0 | 0 | 0 | 0 |
| Plus: Tuition rebates for certain undergraduates (TX Educ.Code Ann. Sec. 54.0065) | 147,000 | 142,000 | 142,260 | 149,624 | 153,155 |
| Plus: Tuition for repeated or excessive hours (TX. Educ. Code Ann. Sec. 54.014) | 2,040,246 | 2,003,118 | 2,063,212 | 2,110,665 | 2,160,477 |
| Less: Tuition Waived for Students 55 Years or Older | (23,178) | (22,339) | (23,009) | (23,538) | (24,094) |
| Less: Tuition Waived for Texas Grant Recipients | 0 | 0 | 0 | 0 | 0 |
| Total, Other Educational and General Income Reported on Summary of Request | 81,445,699 | 82,663,050 | 85,046,404 | 87,136,215 | 89,325,663 |

Schedule 2: Selected Educational, General and Other Funds

10/17/2018 8:58:55AM

86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

| 730 University of Houston | | | | | |
|---|--------------------|--------------------|--------------------|--------------------|--------------------|
| | Act 2017 | Act 2018 | Bud 2019 | Est 2020 | Est 2021 |
| General Revenue Transfers | | | | | |
| Transfer from Coordinating Board for Texas College Work Study Program (2017, 2018, 2019) | 232,502 | 248,201 | 243,504 | 0 | 0 |
| Transfer from Coordinating Board for Professional Nursing Shortage Reduction Program | 290,000 | 111,066 | 0 | 0 | 0 |
| Transfer of GR Group Insurance Premium from Comptroller (UT and TAMU Components only) | 0 | 0 | 0 | 0 | 0 |
| Less: Transfer to Other Institutions | 0 | 0 | 0 | 0 | 0 |
| Less: Transfer to Department of Health, Disproportionate Share - State-Owned Hospitals (2017, 2018, 2019) | 0 | 0 | 0 | 0 | 0 |
| Other (Itemize) | | | | | |
| License Plate Scholarship | 3,349 | 3,349 | 3,349 | 0 | 0 |
| National Research & University Fund | 9,541,222 | 7,590,868 | 0 | 0 | 0 |
| Other: Fifth Year Accounting Scholarship | 47,132 | 48,371 | 48,500 | 0 | 0 |
| Texas Grants | 21,754,577 | 22,908,868 | 23,000,000 | 0 | 0 |
| B-on-Time Program | 2,128,722 | 1,249,752 | 1,000,000 | 0 | 0 |
| Texas Research Incentive Program | 0 | 0 | 0 | 0 | 0 |
| Less: Transfer to System Administration | 0 | 0 | 0 | 0 | 0 |
| GME Expansion | 0 | 0 | 0 | 0 | 0 |
| Subtotal, General Revenue Transfers | 33,997,504 | 32,160,475 | 24,295,353 | 0 | 0 |
| General Revenue HEF for Operating Expenses | 52,770,054 | 52,770,054 | 52,770,054 | 52,770,054 | 52,770,054 |
| Transfer from Available University Funds (UT, A&M and Prairie View A&M Only) | 0 | 0 | 0 | 0 | 0 |
| Other Additions (Itemize) | | | | | |
| Increase Capital Projects - Educational and General Funds | 0 | 0 | 0 | 0 | 0 |
| Transfer from Department of Health, Disproportionate Share - State-owned Hospitals (2017, 2018, 2019) | 0 | 0 | 0 | 0 | 0 |
| Transfers from Other Funds, e.g., Designated funds transferred for educational and general activities (Itemize) | 0 | 0 | 0 | 0 | 0 |
| Other (Itemize) | | | | | |
| Gross Designated Tuition (Sec. 54.0513) | 260,691,758 | 277,136,318 | 282,921,205 | 282,921,205 | 282,921,205 |
| Indirect Cost Recovery (Sec. 145.001(d)) | 20,406,869 | 22,284,300 | 19,243,192 | 22,284,300 | 23,398,515 |

730 University of Houston

| | E&G Enrollment | GR Enrollment | GR-D/OEGI Enrollment | Total E&G (Check) | Local Non-E&G |
|----------------------------------|----------------|---------------|----------------------|-------------------|---------------|
| GR & GR-D Percentages | | | | | |
| GR % | | 71.23% | | | |
| GR-D/Other % | | 28.77% | | | |
| Total Percentage | | 100.00% | | | |
| FULL TIME ACTIVES | | | | | |
| 1a Employee Only | 1,156 | 823 | 333 | 1,156 | 1,795 |
| 2a Employee and Children | 327 | 233 | 94 | 327 | 415 |
| 3a Employee and Spouse | 263 | 187 | 76 | 263 | 221 |
| 4a Employee and Family | 329 | 234 | 95 | 329 | 352 |
| 5a Eligible, Opt Out | 9 | 6 | 3 | 9 | 25 |
| 6a Eligible, Not Enrolled | 90 | 64 | 26 | 90 | 220 |
| Total for This Section | 2,174 | 1,547 | 627 | 2,174 | 3,028 |
| PART TIME ACTIVES | | | | | |
| 1b Employee Only | 26 | 19 | 7 | 26 | 48 |
| 2b Employee and Children | 3 | 2 | 1 | 3 | 4 |
| 3b Employee and Spouse | 2 | 1 | 1 | 2 | 7 |
| 4b Employee and Family | 3 | 2 | 1 | 3 | 8 |
| 5b Eligible, Opt Out | 0 | 0 | 0 | 0 | 2 |
| 6b Eligible, Not Enrolled | 21 | 15 | 6 | 21 | 90 |
| Total for This Section | 55 | 39 | 16 | 55 | 159 |
| Total Active Enrollment | 2,229 | 1,586 | 643 | 2,229 | 3,187 |

730 University of Houston

| | E&G Enrollment | GR Enrollment | GR-D/OEGI Enrollment | Total E&G (Check) | Local Non-E&G |
|-----------------------------------|----------------|---------------|----------------------|-------------------|---------------|
| FULL TIME RETIREES by ERS | | | | | |
| 1c Employee Only | 0 | 0 | 0 | 0 | 0 |
| 2c Employee and Children | 0 | 0 | 0 | 0 | 0 |
| 3c Employee and Spouse | 0 | 0 | 0 | 0 | 0 |
| 4c Employee and Family | 0 | 0 | 0 | 0 | 0 |
| 5c Eligible, Opt Out | 0 | 0 | 0 | 0 | 0 |
| 6c Eligible, Not Enrolled | 0 | 0 | 0 | 0 | 0 |
| Total for This Section | 0 | 0 | 0 | 0 | 0 |
| PART TIME RETIREES by ERS | | | | | |
| 1d Employee Only | 0 | 0 | 0 | 0 | 0 |
| 2d Employee and Children | 0 | 0 | 0 | 0 | 0 |
| 3d Employee and Spouse | 0 | 0 | 0 | 0 | 0 |
| 4d Employee and Family | 0 | 0 | 0 | 0 | 0 |
| 5d Eligible, Opt Out | 0 | 0 | 0 | 0 | 0 |
| 6d Eligible, Not Enrolled | 0 | 0 | 0 | 0 | 0 |
| Total for This Section | 0 | 0 | 0 | 0 | 0 |
| Total Retirees Enrollment | 0 | 0 | 0 | 0 | 0 |
| TOTAL FULL TIME ENROLLMENT | | | | | |
| 1e Employee Only | 1,156 | 823 | 333 | 1,156 | 1,795 |
| 2e Employee and Children | 327 | 233 | 94 | 327 | 415 |
| 3e Employee and Spouse | 263 | 187 | 76 | 263 | 221 |
| 4e Employee and Family | 329 | 234 | 95 | 329 | 352 |
| 5e Eligible, Opt Out | 9 | 6 | 3 | 9 | 25 |
| 6e Eligible, Not Enrolled | 90 | 64 | 26 | 90 | 220 |
| Total for This Section | 2,174 | 1,547 | 627 | 2,174 | 3,028 |

730 University of Houston

| | E&G Enrollment | GR Enrollment | GR-D/OEGI Enrollment | Total E&G (Check) | Local Non-E&G |
|-------------------------------|---------------------------|----------------------|-----------------------------|------------------------------|--------------------------|
| TOTAL ENROLLMENT | | | | | |
| 1f Employee Only | 1,182 | 842 | 340 | 1,182 | 1,843 |
| 2f Employee and Children | 330 | 235 | 95 | 330 | 419 |
| 3f Employee and Spouse | 265 | 188 | 77 | 265 | 228 |
| 4f Employee and Family | 332 | 236 | 96 | 332 | 360 |
| 5f Eligible, Opt Out | 9 | 6 | 3 | 9 | 27 |
| 6f Eligible, Not Enrolled | 111 | 79 | 32 | 111 | 310 |
| Total for This Section | 2,229 | 1,586 | 643 | 2,229 | 3,187 |

Schedule 4: Computation of OASI
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency 730 University of Houston

| Proportionality Percentage Based on Comptroller Accounting Policy Statement #011, Exhibit 2 | 2017 | | 2018 | | 2019 | | 2020 | | 2021 | |
|---|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|
| | <u>% to Total</u> | <u>Allocation of OASI</u> | <u>% to Total</u> | <u>Allocation of OASI</u> | <u>% to Total</u> | <u>Allocation of OASI</u> | <u>% to Total</u> | <u>Allocation of OASI</u> | <u>% to Total</u> | <u>Allocation of OASI</u> |
| General Revenue (% to Total) | 71.8194 | \$8,858,802 | 71.2278 | \$8,669,286 | 71.2278 | \$9,117,158 | 71.2278 | \$9,117,158 | 71.2278 | \$9,117,158 |
| Other Educational and General Funds (% to Total) | 28.1806 | \$3,476,030 | 28.7722 | \$3,501,925 | 28.7722 | \$3,682,842 | 28.7722 | \$3,682,842 | 28.7722 | \$3,682,842 |
| Health-Related Institutions Patient Income (% to Total) | 0.0000 | \$0 | 0.0000 | \$0 | 0.0000 | \$0 | 0.0000 | \$0 | 0.0000 | \$0 |
| Grand Total, OASI (100%) | 100.0000 | \$12,334,832 | 100.0000 | \$12,171,211 | 100.0000 | \$12,800,000 | 100.0000 | \$12,800,000 | 100.0000 | \$12,800,000 |

Schedule 5: Calculation of Retirement Proportionality and ORP Differential

10/17/2018 8:58:55AM

86th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

730 University of Houston

| Description | Act 2017 | Act 2018 | Bud 2019 | Est 2020 | Est 2021 |
|--|----------------|----------------|----------------|----------------|----------------|
| Proportionality Amounts | | | | | |
| Gross Educational and General Payroll - Subject To TRS Retirement | 83,948,382 | 80,834,338 | 88,235,294 | 88,235,294 | 88,235,294 |
| Employer Contribution to TRS Retirement Programs | 5,708,490 | 5,496,735 | 6,000,000 | 6,000,000 | 6,000,000 |
| Gross Educational and General Payroll - Subject To ORP Retirement | 94,795,424 | 94,758,803 | 95,623,000 | 95,623,000 | 95,623,000 |
| Employer Contribution to ORP Retirement Programs | 6,256,498 | 6,254,081 | 6,311,178 | 6,311,178 | 6,311,178 |
| Proportionality Percentage | | | | | |
| General Revenue | 71.8194 % | 71.2278 % | 71.2278 % | 71.2278 % | 71.2278 % |
| Other Educational and General Income | 28.1806 % | 28.7722 % | 28.7722 % | 28.7722 % | 28.7722 % |
| Health-related Institutions Patient Income | 0.0000 % | 0.0000 % | 0.0000 % | 0.0000 % | 0.0000 % |
| Proportional Contribution | | | | | |
| Other Educational and General Proportional Contribution (Other E&G percentage x Total Employer Contribution to Retirement Programs) | 3,371,805 | 3,380,968 | 3,542,197 | 3,542,197 | 3,542,197 |
| HRI Patient Income Proportional Contribution (HRI Patient Income percentage x Total Employer Contribution To Retirement Programs) | 0 | 0 | 0 | 0 | 0 |
| Differential | | | | | |
| Differential Percentage | 1.9000 % | 1.9000 % | 1.9000 % | 1.9000 % | 1.9000 % |
| Gross Payroll Subject to Differential - Optional Retirement Program | 17,883,895 | 17,359,947 | 17,705,263 | 17,705,263 | 17,705,263 |
| Total Differential | 339,794 | 329,839 | 336,400 | 336,400 | 336,400 |

Schedule 6: Constitutional Capital Funding
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

10/17/2018 8:58:56AM

| 730 University of Houston | | | | | |
|---------------------------------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| Activity | Act 2017 | Act 2018 | Bud 2019 | Est 2020 | Est 2021 |
| A. PUF Bond Proceeds Allocation | 0 | 0 | 0 | 0 | 0 |
| Project Allocation | | | | | |
| Library Acquisitions | 0 | 0 | 0 | 0 | 0 |
| Construction, Repairs and Renovations | 0 | 0 | 0 | 0 | 0 |
| Furnishings & Equipment | 0 | 0 | 0 | 0 | 0 |
| Computer Equipment & Infrastructure | 0 | 0 | 0 | 0 | 0 |
| Reserve for Future Consideration | 0 | 0 | 0 | 0 | 0 |
| Other (Itemize) | | | | | |
| B. HEF General Revenue Allocation | 52,770,054 | 52,770,054 | 52,770,054 | 52,770,054 | 52,770,054 |
| Project Allocation | | | | | |
| Library Acquisitions | 0 | 0 | 0 | 0 | 0 |
| Construction, Repairs and Renovations | 52,770,054 | 52,770,054 | 52,770,054 | 52,770,054 | 52,770,054 |
| Furnishings & Equipment | 0 | 0 | 0 | 0 | 0 |
| Computer Equipment & Infrastructure | 0 | 0 | 0 | 0 | 0 |
| Reserve for Future Consideration | 0 | 0 | 0 | 0 | 0 |
| HEF for Debt Service | 0 | 0 | 0 | 0 | 0 |
| Other (Itemize) | | | | | |

Schedule 7: Personnel
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/17/2018
Time: 8:58:56AM

Agency code: **730** Agency name: **University of Houston**

| | Actual 2017 | Actual 2018 | Budgeted 2019 | Estimated 2020 | Estimated 2021 |
|---|-----------------|-----------------|------------------|-------------------|-------------------|
| Part A. | | | | | |
| FTE Postions | | | | | |
| Directly Appropriated Funds (Bill Pattern) | | | | | |
| Educational and General Funds Faculty Employees | 900.8 | 936.9 | 936.9 | 936.9 | 936.9 |
| Educational and General Funds Non-Faculty Employees | 1,177.7 | 1,077.1 | 1,077.1 | 1,077.1 | 1,077.1 |
| Subtotal, Directly Appropriated Funds | 2,078.5 | 2,014.0 | 2,014.0 | 2,014.0 | 2,014.0 |
| Non Appropriated Funds Employees | 4,822.7 | 4,752.7 | 4,867.6 | 4,867.6 | 4,867.6 |
| Subtotal, Other Funds & Non-Appropriated | 4,822.7 | 4,752.7 | 4,867.6 | 4,867.6 | 4,867.6 |
| GRAND TOTAL | 6,901.2 | 6,766.7 | 6,881.6 | 6,881.6 | 6,881.6 |
| | | | | | |
| Part B. | | | | | |
| Personnel Headcount | | | | | |
| Directly Appropriated Funds (Bill Pattern) | | | | | |
| Educational and General Funds Faculty Employees | 923.0 | 1,013.0 | 1,118.0 | 1,118.0 | 1,118.0 |
| Educational and General Funds Non-Faculty Employees | 1,393.0 | 1,089.0 | 1,113.0 | 1,113.0 | 1,113.0 |
| Subtotal, Directly Appropriated Funds | 2,316.0 | 2,102.0 | 2,231.0 | 2,231.0 | 2,231.0 |
| Non Appropriated Funds Employees | 8,693.0 | 8,644.0 | 8,679.0 | 8,679.0 | 8,679.0 |
| Subtotal, Non-Appropriated | 8,693.0 | 8,644.0 | 8,679.0 | 8,679.0 | 8,679.0 |
| GRAND TOTAL | 11,009.0 | 10,746.0 | 10,910.0 | 10,910.0 | 10,910.0 |

Schedule 7: Personnel
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/17/2018
 Time: 8:58:56AM

Agency code: **730** Agency name: **University of Houston**

| | Actual 2017 | Actual 2018 | Budgeted 2019 | Estimated 2020 | Estimated 2021 |
|---|----------------------|----------------------|----------------------|----------------------|----------------------|
| PART C. | | | | | |
| Salaries | | | | | |
| Directly Appropriated Funds (Bill Pattern) | | | | | |
| Educational and General Funds Faculty Employees | \$115,853,906 | \$116,275,788 | \$115,835,358 | \$115,835,358 | \$115,835,358 |
| Educational and General Funds Non-Faculty Employees | \$72,997,025 | \$71,186,133 | \$77,854,914 | \$77,854,914 | \$77,854,914 |
| Subtotal, Directly Appropriated Funds | \$188,850,931 | \$187,461,921 | \$193,690,272 | \$193,690,272 | \$193,690,272 |
| Non Appropriated Funds Employees | \$297,367,305 | \$301,807,802 | \$321,515,566 | \$321,515,566 | \$321,515,566 |
| Subtotal, Non-Appropriated | \$297,367,305 | \$301,807,802 | \$321,515,566 | \$321,515,566 | \$321,515,566 |
| GRAND TOTAL | \$486,218,236 | \$489,269,723 | \$515,205,838 | \$515,205,838 | \$515,205,838 |

Agency 730 University of Houston

| | | | | |
|-----------------------------------|--|---|---------------------------|---|
| Project Priority: | Project Code: | Tuition Revenue Bond Request | Total Project Cost | Cost Per Total Gross Square Feet |
| 1 | 1 | \$ 60,000,000 | \$ 90,000,000 | \$ 500 |
| Name of Proposed Facility: | Project Type: | | | |
| New Law Center Building | New Construction | | | |
| Location of Facility: | Type of Facility: | | | |
| Main Campus | Academic | | | |
| Project Start Date: | Project Completion Date: | | | |
| 09/01/2019 | 08/31/2022 | | | |
| Gross Square Feet: | Net Assignable Square Feet in Project | | | |
| 180,000 | 117,000 | | | |

Project Description

The University of Houston will construct a five-story building, to improve its learning environment to reflect the reputation of UH and the Law Center. The building will be better designed for an integrative curriculum featuring a library with more electronic sources, on-campus study space, and a distinctive events space, all of which will improve student success. The current facility is one of the oldest non-adapted law school buildings in the U.S., and has no central entrance making it difficult for visitors to find their destination. Located deep in the basement, the clinical program, which annually provides millions of dollars of pro bono legal services to Houston, is difficult for the public to access. The facility is also largely noncompliant with the Americans with Disabilities Act (ADA), having been built before enactment. With completion of this project, it is expected that UHLC will see a positive “rankings effect” and improve by ten to twenty spots in the national rankings.

Schedule 8B: Tuition Revenue Bond Issuance History

10/17/2018 8:58:56AM

86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

730 University of Houston

| Authorization Date | Authorization Amount | Issuance Date | Issuance Amount | Authorized Amount Outstanding as of 08/31/2018 | Proposed Issuance Date for Outstanding Authorization | Proposed Issuance Amount for Outstanding Authorization |
|--------------------|----------------------|-----------------|-----------------|--|--|--|
| 1997 | \$12,000,000 | Feb 10 1999 | \$12,000,000 | | | |
| | | <i>Subtotal</i> | \$12,000,000 | \$0 | | |
| 2001 | \$51,000,000 | Oct 9 2002 | \$51,000,000 | | Aug 31 2018 | \$0 |
| | | <i>Subtotal</i> | \$51,000,000 | \$0 | | |
| 2003 | \$25,000,000 | Jun 16 2004 | \$25,000,000 | | Aug 31 2018 | \$0 |
| | | <i>Subtotal</i> | \$25,000,000 | \$0 | | |
| 2006 | \$57,600,000 | Feb 4 2009 | \$57,600,000 | | Aug 31 2018 | \$0 |
| | | <i>Subtotal</i> | \$57,600,000 | \$0 | | |
| 2016 | \$117,000,000 | Feb 8 2017 | \$63,000,000 | | Aug 31 2018 | \$0 |
| | | Feb 16 2017 | \$54,000,000 | | | |
| | | <i>Subtotal</i> | \$117,000,000 | \$0 | | |
| | | | | | Aug 31 2018 | \$0 |

730 University of Houston

50 in 5 Initiative - Complex Systems (Data Sciences)

| | |
|--|-----------|
| (1) Year Non-Formula Support Item First Funded: | 2012 |
| Year Non-Formula Support Item Established: | 2012 |
| Original Appropriation: | \$690,000 |

(2) Mission:

As part of its drive to increase visibility as a nationally recognized research university, UH has established the Research Preeminence: 50 in 5 initiative, with a goal of increasing all forms of scholarly output, including research expenditures, by 50% in 5 years. Reaching these objectives should produce the kind of outcomes associated with membership in the prestigious Association of American Universities (AAU). We use non-formula funding to support UH interdisciplinary programs including the Texas Institute for Measurement, Evaluation and Statistics (TIMES) and the Center for Advanced Computing and Data Science (CACDS). TIMES and CACDS work together to provide high quality statistics, data processing, and computing to the UH research community, with impacts on economic development and job creation through grant and support programs. Student success is facilitated through the involvement of undergraduate and graduate students in different research programs at TIMES, where students get hands on experience with advanced quantitative methods and through the teaching program at CACDS. Investments in these programs enable UH to leverage external funding from industry and the federal government to: (1) propel UH to the next level of research success; (2) facilitate training of the next generation of scientists and engineers, who, in turn, will create new businesses and jobs; (3) support the university's partnerships with major economic sectors in the Houston region and state.

(3) (a) Major Accomplishments to Date:

TIMES: In 2017 TIMES received \$551,066 in state support and produced \$7.7M in externally funded research. The Texas Center for Learning Disabilities was renewed in 2017 by NIH for 5 years of funding (years 11-16); the Borders Trade and Immigration Institute was funded in 2015 by the Department of Homeland Security. TIMES attracted federal awards for research that involve a consortium of Texas universities and the Texas Education Agency. TIMES' grant-related programs create job opportunities locally and through its impact on other universities. The research programs address enhanced education and child development programs vital for long-term economic development. These programs provide opportunities for enhanced educational opportunities by providing training at the undergraduate and graduate levels.

CACDS: A support organization, CACDS makes available to UH and the region advanced computing hardware and helps users access these resources through training courses and consultation. It helps graduate students gain proficiency in high-performance computing; teaches courses on advanced computing to undergraduates; develops training activities for the Houston work force. CACDS created a partnership with HP Enterprises for the acquisition of a new computer delivered in April 2017 at a substantial discount. The Center has established a certificate program in High-Performance Computing directed to graduate students. All are well-attended.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

730 University of Houston

TIMES is actively seeking opportunities to bring additional national research centers and interdisciplinary grants to UH, either as the lead institution, or as a collaborating partner with other Texas institutions. Natural opportunities for TIMES lie in education and health, particularly around the achievement of high risk students and the use of animal models of learning and behavior to study and treat health conditions involving and drug dependency; stress and its influence on complex behaviors; the development of robotic prosthetics to treat amputees; the use of neural stimulators to treat Parkinson's Disease, and the use of facial recognition and advanced predictive analytics in border security.

CACDS activities will be folded into the university's newly formed Data Science Institute (DSI). Established late 2017, the DSI is rooted in the extensive competencies available at UH and will extend its reach with new hires, new degree and non-degree educational programs, and partnerships with local industries and the city itself. Houston industry will be able to rely on a steady supply of data-savvy workers and will have access to world-class facilities and expertise. Within the next two years, this effort will produce dozens of degrees and industrial partnerships. Interdisciplinary research programs will follow, resulting in joint ventures and intellectual property development that impacts economic development and job creation through its impact on student success.

(4) Funding Source Prior to Receiving Non-Formula Support Funding:

No

(5) Formula Funding:

N/A

(6) Category:

Research Support

(7) Transitional Funding:

N

(8) Non-General Revenue Sources of Funding:

| Fiscal Year | Amount | Source |
|-------------|----------|--|
| 2017 | \$09.80M | Federal/State/Local government, Industry, other grant-making organizations |
| 2018 | \$10.50M | Federal/State/Local government, Industry, other grant-making organizations |
| 2019 | \$11.03M | Federal/State/Local government, Industry, other grant-making organizations |
| 2020 | \$11.87M | Federal/State/Local government, Industry, other grant-making organizations |
| 2021 | \$12.46M | Federal/State/Local government, Industry, other grant-making organizations |
| 2022 | \$13.09M | Federal/State/Local government, Industry, other grant-making organizations |

(2019-2021 projections)

(9) Impact of Not Funding:

730 University of Houston

TIMES: State funds provide support for the university staff who provide financial management, the managers of the wet lab and animal behavior core facilities, and the information technology infrastructure for the research laboratories. Operational costs, such as software licenses, computer hardware, and other technology resources, are covered from recovered IDC and residual fund accounts. Staff reductions are planned for FY2019 as residual funds are depleted; IDC from 2018 award activity is insufficient to fund administrative staff that cannot be grant funded. TIMES won't exist if the state appropriation is reduced further. Assuming a similar mix of grants and IDC distribution, TIMES would need to increase grant support by 156% to replace \$358K in lost support. However, increasing grant support by 156% without increasing the cost of operations to support that research is impossible.

CACDS: There is no model for paying for CACDS services to the UH research community. These costs cannot be charged to grants and CACDS does not submit grants or collect IDC. It simply provides essential support to the UH research community and to the region. The costs of actually buying and maintaining equipment, paying the staff to maintain the equipment and consult with users, and otherwise providing essential high performance computing to the University cannot be maintained without the state support.

(10) Non-Formula Support Needed on Permanent Basis/Discontinuu

Permanent

(11) Non-Formula Support Associated with Time Frame:

No

(12) Benchmarks:

No

(13) Performance Reviews:

Yes. Both CACDS and TIMES are reviewed annually. Review criteria are undergoing redevelopment to establish benchmarks and return on investment criteria to be implemented during the FY19 review cycle.

730 University of Houston

50 in 5 Initiative – Energy Research

| | |
|--|-------------|
| (1) Year Non-Formula Support Item First Funded: | 2008 |
| Year Non-Formula Support Item Established: | 2008 |
| Original Appropriation: | \$2,500,000 |

(2) Mission:

As part of its drive to increase visibility as a nationally recognized research university, UH has established the Research Preeminence: 50 in 5 initiative, with a goal of increasing all forms of scholarly output, including research expenditures, by 50% in 5 years. Reaching these objectives should produce outcomes associated with membership in the prestigious Association of American Universities (AAU). We use non-formula funding to support UH interdisciplinary programs in energy including the Advanced Manufacturing Institute (AMI) and the Texas Center for Superconductivity at UH (TcSUH). Investments in these research and training programs enable the university to leverage external funding from industry and the federal government to (1) propel UH to the next level of research success in energy sciences with extensions to health; (2) facilitate training of the next generation of scientists and engineers, who, in turn, will create new businesses and jobs; (3) support the university's partnerships with major economic sectors in the Houston region, in particular the energy industry and the Texas Medical Center. This research in AMI and TcSUH is collaborative, drawing on both its researchers' diverse strengths and the university's location in the global energy capital, aiming to solve some of the world's greatest energy and health challenges and provide leadership and vision to industry and government.

(3) (a) Major Accomplishments to Date:

AMI received a \$4.5M project from the U.S. Department of Energy Advanced Manufacturing Office to develop advanced superconductor wire technologies. AMI developed new processes to improve the current-carrying performance of superconductor wires by four-fold; a three-fold improvement in the precursor material use efficiency that has a first-order impact on the superconductor wire cost; an in-line X-ray Diffraction tool for real-time monitoring of the quality of the superconductor wire as it is being manufactured. AMI is supporting the superconductor industry through advanced analytical testing. Over 30 graduate and undergraduate students use AMI's facilities.

In FY13 to FY17, TcSUH received about \$3M per year in state appropriations and each year leveraged it for \$8M in grants/contracts, \$437,186 (licensing revenue, etc.) and \$688,331 (gifts). TcSUH had significant economic impact, creating jobs statewide in areas of superconductivity, energy, and health. Our discoveries since 1987 helped put Texas and UH on the international map for materials science and research. We average per year 44 Invention Disclosures and 9 issued patents. Our technology has 10 licensees with 6 spin-off companies. We have recruited stellar faculty to UH and Texas and have a large number of industry partners, and collaboration agreements that bring significant funding to Texas. TcSUH hosts conferences and workshops K-12 STEM training and community involvement, with significant student involvement.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

730 University of Houston

AMI and TeSUH will continue to spur economic development, job creations, and student success.

AMI will focus on the \$4.5M program from the Department of Energy to scale up the advanced superconductor wire performance. This achievement will spur commercialization of superconductors. AMI will work with industry partners to rapidly commercialize its wire manufacturing technologies through licensing and small business spin-offs. AMI will develop new manufacturing technologies including roll-to-roll (R2R) manufacturing, advanced battery manufacturing, smart contact lens manufacturing, and lab-scale “button cells” to full format prototype cells.

TeSUH expects to develop (a) New higher temperature superconductors; (b) HTS cooled by liquid hydrogen super energy highway demonstration with a U.S. company that would simultaneously transport electricity and hydrogen for use in fuel cell generators; (c) REBCO superconductor films on flexible YSZ substrates; (d) new oil recovery field technology; (e) improved GaAs solar cells on flexible metal substrates; and (f) advanced batteries for energy storage, including flexible batteries, magnesium batteries as a safer alternative to problem-plagued lithium ion batteries, sodium ion batteries, and the use of quinones continue building a large-scale, long-term interdisciplinary research program addressing materials development in cancer and health.

(4) Funding Source Prior to Receiving Non-Formula Support Funding:

N/A

(5) Formula Funding:

N/A

(6) Category:

Research Support

(7) Transitional Funding:

N

(8) Non-General Revenue Sources of Funding:

| Fiscal Year | Amount | Source |
|-------------|--------|--------|
|-------------|--------|--------|

| | | |
|------|----------|--|
| 2017 | \$21.50M | Federal/State/Local government, Industry, other grant-making organizations |
| 2018 | \$22.58M | Federal/State/Local government, Industry, other grant-making organizations |
| 2019 | \$23.60M | Federal/State/Local government, Industry, other grant-making organizations |
| 2020 | \$24.77M | Federal/State/Local government, Industry, other grant-making organizations |
| 2021 | \$26.01M | Federal/State/Local government, Industry, other grant-making organizations |
| 2022 | \$27.31M | Federal/State/Local government, Industry, other grant-making organizations |

(2019-2021 projections)

(9) Impact of Not Funding:

730 University of Houston

AMI: The funds provided by the non-formula support item is used for the required cost share for the \$4.5M program funded by the U.S. Department of Energy. AMI has a cost share commitment of over \$700k in this program in the next two years. Reducing or not funding this item will risk losing this funding from DOE. AMI does not have other funding sources to continue the program.

TcSUH: Funding supports research in the areas of superconductivity, energy, and health at TcSUH, and the central facilities for materials characterization for all UH researchers. It also supports education, community outreach, and administration. The FY2018 budget was reduced 35% from the previous year. The substantial reduction in research funding has resulted in reduction of the number of research personnel (graduate students and postdoctoral fellows) that TcSUH supports in FY2018. We reduced the number of staff positions that support Center activities and reduced the level of support for senior research faculty. TcSUH capabilities to support researchers with major instrumentation and our activities in education and outreach also have been reduced, as well as our ability to stimulate generation of external awards through funding preliminary studies, and to recruit high quality faculty and students. Continued funding is essential for maintaining our position as one of the most premier HTS materials R&D centers in the world and the preeminent position held by UH, Texas, and the U.S. in this field

(10) Non-Formula Support Needed on Permanent Basis/Discontinuu

Permanent

(11) Non-Formula Support Associated with Time Frame:

NO

(12) Benchmarks:

NO

(13) Performance Reviews:

Yes. The Advanced Manufacturing Institute and the Texas Center for Superconductivity at UH are reviewed annually. Review criteria are undergoing redevelopment to establish benchmarks and return on investment criteria to be implemented during the FY19 review cycle.

730 University of Houston

50 in 5 Initiative - Health Sciences

| | |
|--|-------------|
| (1) Year Non-Formula Support Item First Funded: | 2012 |
| Year Non-Formula Support Item Established: | 2012 |
| Original Appropriation: | \$2,217,500 |

(2) Mission:

UH has established the Research Preeminence: 50 in 5 Initiative, with a goal of increasing all forms of scholarly output, including research expenditures, by 50% in 5 years. The Health Sciences item funding supports the infrastructure and operations behind UH's health-related research programs. We use non-formula funding to support interdisciplinary programs including the Center for Advanced Computing and Data Science (CACDS), Health Research Institute (HRI) and Animal Care Operations (ACO). CACDS supports Bioinformatics, the science of collecting and analyzing complex biological data such as genetic codes; computer aided molecular design; and bio-molecular engineering. HRI conducts cutting-edge research that informs novel prevention and intervention strategies that mitigate a broad range of health disparities. ACO provides professional veterinary medical and husbandry services and will enhance animal welfare and well-being as they promote and support breakthroughs in biomedical research. Non-formula support enables the UH to leverage external funding from industry and the federal government to:(1) propel faculty to the next level of research success in health;(2) facilitate training of the next generation of scientists and engineers, who, in turn, will create new businesses and jobs;(3) support student success through training outside the classroom.

(3) (a) Major Accomplishments to Date:

CACDS offers instruction to enable full utilization of its computational/visualization resources. A total of 276 students have taken one or more CACDS training courses. CACDS re-instituted a faculty seed grant program to assist faculty in computationally based research that facilitates federal, state, or corporate research funding. Eight proposals were funded in the past two years. ACO provides veterinary and husbandry services to support animal research in biomedical and behavioral research. ACO maintains a variety of species in two primary vivariums that maintain the animal population needed for the UH research program. HRI leveraged \$170,000 in state support to \$4.6M in state/federal research funding. It supported 3 community engagement projects: (A) Taking Texas Tobacco Free works with over 250 behavioral health clinics to implement a tobacco-free workplace program, disseminating treatment resources and trainings to over 5,000 employees and outreach programming to over 70,000 people.(B) Project TOUCH – Treating Obesity in Underserved Communities in Houston provides free prevention and health screenings to over 1,000 residents in Houston's Third Ward and East End, disseminating prevention materials to over 10,000 residents, and implementing a free diabetes prevention program to over 200 residents. (C) UHAND – UH and MD Anderson Partnership to Address Cancer Disparities works to reduce cancer disparities and increase diversity in cancer disparity research.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

CACDS activities will become part of the university's newly formed Data Science Institute (DSI) which will lead to new degree and non-degree educational programs and partnerships with local industries and the City itself. Houston industry will be able to rely on a data-savvy workforce and will have access to world-class facilities and expertise. This effort will produce dozens of MS/MA degrees and industrial partnerships. Major inter-disciplinary research programs will quickly follow, resulting in joint ventures and intellectual property development that impacts economic development and job creation through its impact on student success. ACO will maintain continual AAALAC (humane animal treatment) accreditation. Animal Care Operations also expects to reopen an older animal facility that was damaged during Hurricane Harvey. HRI will grow in three primary areas: (A) membership, (B) Center of Excellence Grant, and (C) scholarship.

730 University of Houston

(4) Funding Source Prior to Receiving Non-Formula Support Funding:

No

(5) Formula Funding:

None

(6) Category:

Research Support

(7) Transitional Funding:

N

(8) Non-General Revenue Sources of Funding:

| Fiscal Year | Amount | Source |
|-------------|----------|--|
| 2017 | \$30.00M | Federal/State/Local government, Industry, other grant-making organizations |
| 2018 | \$31.92M | Federal/State/Local government, Industry, other grant-making organizations |
| 2019 | \$32.20M | Federal/State/Local government, Industry, other grant-making organizations |
| 2020 | \$34.86M | Federal/State/Local government, Industry, other grant-making organizations |
| 2021 | \$36.60M | Federal/State/Local government, Industry, other grant-making organizations |
| 2022 | \$38.43M | Federal/State/Local government, Industry, other grant-making organizations |

(2019-2021 projections)

(9) Impact of Not Funding:

Center for Advanced Computing and Data Science: The costs of buying and maintaining equipment, paying the staff to maintain equipment and consult with users, and otherwise providing essential high performance computing to the University cannot be maintained without the state support. Animal Care Operations: Special item funding is used for salaries. Not funding this item will enviably lead to cost cutting initiatives and a reduction of services offered to the biomedical science researchers and make grants less competitive. Health Research Institute: Without the HRI's staffing and space UH would not have the dedicated infrastructure to ensure that interdisciplinary health research is taking place across colleges. UH would not have the expertise and dedicated infrastructure to engage in activities that promote health education and rapidly disseminate research findings into marginalized and underserved communities. Moreover, the capacity to disseminate culturally informed prevention and treatment programs would cease to exist.

(10) Non-Formula Support Needed on Permanent Basis/Discontinuu

Permanent

730 University of Houston

(11) Non-Formula Support Associated with Time Frame:

No

(12) Benchmarks:

No

(13) Performance Reviews:

Yes. The Center for Advanced Data Computing Science, Animal Care Operations and HEALTH Research Institute are reviewed annually. Review criteria are undergoing redevelopment to establish benchmarks and return on investment criteria to be implemented during the FY 19 review cycle.

730 University of Houston

College of Medicine Funding

| | |
|--|------|
| (1) Year Non-Formula Support Item First Funded: | 2020 |
| Year Non-Formula Support Item Established: | 2020 |
| Original Appropriation: | \$0 |

(2) Mission:

The UH College of Medicine will be accountable for improving the overall health and healthcare of the population of not only Greater Houston but also other urban and rural areas of Texas that face significant doctor shortages by:

- Educating a diverse group of physicians who will provide compassionate, high-value (high quality at reasonable cost) care to patients, families and communities, with a focus on primary care and other needed physician specialties, such as psychiatry and general surgery.
- Conducting interdisciplinary research to find innovative solutions to problems in health and healthcare.
- Providing integrated, evidence-based, high-value care delivered to patients by inter-professional teams.
- Engaging, collaborating with, and empowering patient populations and community partners to improve their health and healthcare.

(3) (a) Major Accomplishments to Date:

- Completed study which confirmed the need for a medical school in Houston with a focus on primary care.
- Obtained UH Board of Regents approval of medical degree and to pursue approval by the Texas Higher Education Coordinating Board for a medical degree program.
- Began search for leadership positions, including naming the founding dean, and hiring three associate deans.
- Identified the initial classroom and lab space in our Health and Biomedical corridor.
- To date, the university has raised \$9.1M in gifts, with a \$40M target over several years.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

- LCME application will be submitted (December 2018)
- SACS approval of medical degree sought (November 2018)
- Expecting Texas Higher Education Coordinating Board approval of medical degree (October 2018)
- Expecting LCME preliminary accreditation awarded (October 2019)
- Finalizing an agreement with a hospital partner for residency fulfillment.
- First class admitted (July/August 2020)
- Evaluating options for a permanent Medical School facility

(4) Funding Source Prior to Receiving Non-Formula Support Funding:

Local funds (i.e. royalty income and gifts).

730 University of Houston

(5) Formula Funding:

N/A

(6) Category:

Start-Up

(7) Transitional Funding:

Y

(8) Non-General Revenue Sources of Funding:

| Fiscal Year | Amount | Source |
|-------------|-------------|---|
| 2018 | \$1,192,750 | Local funds (i.e. royalty income and gifts) |
| 2019 | \$6,647,138 | Local funds (i.e. royalty income and gifts) |
| 2020 | \$5,251,010 | Local funds (i.e. royalty income and gifts) |
| 2021 | \$9,849,022 | Local funds (i.e. royalty income and gifts) |

(9) Impact of Not Funding:

Without this funding support, the University of Houston will not be able to establish this medical school to address the primary health care needs of Texas residents. There is a shortage of physicians in Texas, as it lags well behind all but a handful of states in terms of physicians per capita and most critically, primary care physicians. The state of Texas has 190.8 active patient care physicians per 100,000 population, compared to the U.S. national average of 234.7, ranking 42nd out of 50 states on this ratio; Texas would need 11,838 additional active patient care physicians to achieve the national average active patient care physician to population ratio today. The state of Texas has 65.1 active patient care primary care physicians per 100,000 population, compared to the U.S. national average of 82.5, ranking 47th out of 50 states on this ratio; Texas would need 4,686 additional active patient care primary care physicians to achieve the national average active patient care primary care physician to population ratio today. A significant number of Texas counties continue to be classified as Medically Underserved Areas (MUAs)/Populations (MUPs) and Primary Care Health Professional Shortage Areas (HPSAs). Even large urban counties like Harris County, continue to have geographic communities that are classified as medically underserved and suffering from a shortage of primary care health professionals in their communities.

(10) Non-Formula Support Needed on Permanent Basis/Discontinuu

No

(11) Non-Formula Support Associated with Time Frame:

10 years (\$40M)

(12) Benchmarks:

N/A

730 University of Houston

(13) Performance Reviews:

N/A

730 University of Houston

Education and Community Advancement

| | |
|--|-------------|
| (1) Year Non-Formula Support Item First Funded: | 2012 |
| Year Non-Formula Support Item Established: | 2007 |
| Original Appropriation: | \$1,151,509 |

(2) Mission:

The Education and Community Advancement item is used to develop the infrastructure and support the operations of UH's education and community advancement research programs, in order to leverage external funding. Funding primarily supports teachHouston and public policy outreach programs. teachHOUSTON is a STEM teacher preparation program that addresses the critical need for highly qualified STEM teachers in Texas. It is a collaboration between UH and local school districts. The program produces diverse teachers with solid content knowledge for enhancing student learning which will ultimately increase the number of students from underrepresented groups entering into STEM majors and professions. The Center for Public Policy, Survey Research Institute, Hobby Fellows-Austin, Leland Fellows-Washington, the Civic Houston Internship Program, the Certified Public Manager Program, Civitas and the Civic Engagement Boot Camp are evidence of the Hobby School of Public Affairs' dedication to objective public policy research, leadership development and community engagement. The research programs provide policy analysis to decision makers in the region and state while training graduate students for the workforce. The internship programs prepare students for careers in public service while the management program focuses on mid-career professionals.

(3) (a) Major Accomplishments to Date:

teachHOUSTON, which was initiated in 2007 with 14 students, currently has 350 students enrolled. To date, teachHOUSTON has graduated 258 STEM teachers and is changing the face of regional public education. Annually, 90% of our graduates enter teaching, of which 95% teach in the Greater Houston Area, most in high-need schools. Moreover, 88% of these teachers continue to teach beyond 5 years, and 69% are minorities. Approximately 150,000 students that have been taught by highly trained teachHOUSTON graduates. The Center for Public Policy was selected for the Inter-University Consortium of Political and Social Research while researchers have studied demographics, law enforcement, pension reform and voter identification, and obtained grants from federal, state and local entities. A NSF funded 5 year longitudinal survey on the impact of Hurricane Harvey was initiated in 2017. The Civitas program focuses on ethics in policy research and practice, and hosted a symposium on opioid abuse in 2018. Hobby Fellows and Leland Fellows provide undergraduates full-time paid legislative internships. The Civic Houston Internship Program has placed more than 1400 interns, with alumni serving in government. The nationally accredited Certified Public Manager program serves working professionals in the region while the Civic Engagement Boot Camp promotes community service.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

teachHOUSTON will work on recruitment and retention with a target of graduating 40 STEM teachers each year. Additionally, teachHOUSTON will formally partner with the computer science department and solicit grant funding for scholarships, internships, and professional development that integrates STEM with computer science principles. The program will also launch a Noyce Professional Development and Mentoring Institute (NPDMI) during the summer to connect graduates and undergraduates which will provide ~30 hours of professional development and has the potential to impact 47 campuses in the Houston area. The Survey Research Institute will expand its capabilities allowing for projects with universities, government and the private sector. Civitas will increase its public offerings including symposia and lectures. Leadership training will continue through governmental internships in Houston, Austin, and Washington as well as with the Certified Public Manager Program. Partnerships with local governments and non-profit organizations will expand through community research projects, special events and student placements. The Civic Engagement Boot Camp will conduct multiple sessions on voter participation, elections and Houston government.

730 University of Houston

(4) Funding Source Prior to Receiving Non-Formula Support Funding:

Government grants from local and federal sources and contributions from private entities.

(5) Formula Funding:

None

(6) Category:

Public Service

(7) Transitional Funding:

N

(8) Non-General Revenue Sources of Funding:

| Fiscal Year | Amount | Source |
|-------------|---------|--|
| 2018 | \$39.8M | Federal/State/Local government, Industry, other grant-making organizations |
| 2019 | \$41.2M | Federal/State/Local government, Industry, other grant-making organizations |
| 2020 | \$42.7M | Federal/State/Local government, Industry, other grant-making organizations |
| 2021 | \$44.2M | Federal/State/Local government, Industry, other grant-making organizations |

(9) Impact of Not Funding:

teachHOUSTON is the University of Houston's only secondary STEM teacher training program. Important issues facing education include not only the recruitment and preparation of high quality teachers, but also retaining them in the profession. The teaching profession experiences turnover at a greater rate than other professions in the U.S. and is prevalent in the city of Houston with 50% of teachers in Houston ISD leaving the profession in four years. Without special item funding, the teachHOUSTON program will have to reduce the number of course offerings due to decreased support of faculty which will ultimately result in a lower number of STEM teachers produced. Without special item funding, the Hobby School's research, leadership and outreach programs would be drastically reduced. Such a reduction would result in a loss of basic public policy research on our region such as the study of Hurricane Harvey's impact. The polling and visualization capabilities offered to public and private sector entities would be discontinued. Training and leadership programs would be abolished, decreasing public service and employment opportunities for students that would result in an elimination of trained professionals. Community partnerships and projects would be discontinued and the nationally accredited Certified Public Manager Program would cease in Houston. Pursuit of research funding through external grants and private philanthropy for internships would halt.

(10) Non-Formula Support Needed on Permanent Basis/Discontinuu

Permanent

730 University of Houston

(11) Non-Formula Support Associated with Time Frame:

None

(12) Benchmarks:

No

(13) Performance Reviews:

teachHouston: Each semester program data is collected by program faculty and staff and includes the following: (a) course enrollment information, (b) student demographics, (c) academic advising information, (d) field-based course observations, and (e) begin and end of term survey data. Additionally, the program tracks teacher service time, school district of employment, and courses taught. The data is reviewed annually by the Program's Research Committee to ensure that program goals are met while maintaining fidelity to the program.

Public Policy: Hobby Fellows, Leland Fellows and the Civic Houston Internship Program are measured through (a) total number of interns, (b) student satisfaction (defined by surveys and instructor evaluations), (c) office evaluations and (d) job placements and advancements. The Certified Public Manager Program is measured by (a) number of participants and where they work, (b) student satisfaction (defined by surveys) and (d) job promotions. Measurements of research projects include (a) citations, (b) media coverage and (c) external funding. Community engagement is measured through the number of community outreach projects and attendance.

730 University of Houston

Hobby School of Public Affairs

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|--|-----------|
| (1) Year Non-Formula Support Item First Funded: | 2014 |
| Year Non-Formula Support Item Established: | 2014 |
| Original Appropriation: | \$200,000 |

(2) Mission:

The University of Houston seeks funding to expand the services of the Hobby School of Public Affairs (HSPA). HSPA provides an innovative, multi-disciplinary Master of Public Policy degree emphasizing quantitative methods with a solid foundation of ethics and applied skills, and its research division and public programs offer resources to assist government and businesses in making sound decisions and in recruiting trained employees. Through graduate education, research projects, undergraduate internships, professional training, dual degree options, and certificates, HSPA prepares its students with the skills necessary to excel in the real world of the 21st century.

(3) (a) Major Accomplishments to Date:

The Hobby School of Public Affairs offers a multi-disciplinary Master of Public Policy degree as well as a dual degree; provides analyses through the Center for Public Policy; conducts research training; conducts leadership training; and builds impactful community partnerships with community. The HSPA hired four tenured faculty in quantitative methods and ethics. MPP students completed capstone projects on social mobility. The HSPA was selected for the Inter-University Consortium of Political and Social Research. Researchers have conducted studies in state demographics, Hurricane Harvey, law enforcement, real estate, pension reform, and voter identification, and obtained grants from federal, state and local entities. Papers on work/life conflicts, firefighter stress and city performance have been done. Commentary by faculty and researchers have been carried by national and local media.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

Forty-three students are enrolled in the MPP program for fall 2018, with a goal of adding 30 new students in 2019. MPP students will research social mobility, education and trade. A dual degree with the UH Law Center as well as accelerated degrees with the Honors College, Psychology and Philosophy, and a graduate certificate in Global Energy, Development, and Sustainability will launch in fall 2018. An accelerated degree and dual degree in Economics, a graduate certificate in Public Policy and various graduate certificates with concentrations in Public Policy will begin in 2019. Leadership training will continue through civic engagement projects and governmental internships in Houston, Austin, and Washington. The HSPA will expand its partnerships with local governments and non-profit organizations through community research projects, certificate programs, special events and workshops. The EITM Institute will train nationwide PhD candidates in research methods. Increased private fundraising efforts will augment the opportunities allowed for graduate student support and the government internship programs as well as endowed chairs and professorships.

(4) Funding Source Prior to Receiving Non-Formula Support Funding:

Government grants from local and federal sources and contributions from private entities funded research and internships.

(5) Formula Funding:

None

730 University of Houston

(6) Category:

Instructional Support

(7) Transitional Funding:

N

(8) Non-General Revenue Sources of Funding:

| Fiscal Year | Amount | Source |
|-------------|-----------|---|
| 2018 | \$789,490 | Private foundations, corporations, individual contributions, state and federal agencies, endowment income institutions. |
| 2019 | \$939,490 | Private foundations, corporations, individual contributions, state and federal agencies, endowment income institutions. |
| 2020 | \$939,490 | Private foundations, corporations, individual contributions, state and federal agencies, endowment income institutions. |
| 2021 | \$939,490 | Private foundations, corporations, individual contributions, state and federal agencies, endowment income institutions. |

(9) Impact of Not Funding:

Without special item funding, the Hobby School of Public Affairs (HSPA) would terminate much of its present research and other on-going programs and projects, resulting in a loss of basic public policy research capability for the Houston region in addition to fewer learning opportunities for its students. It would not be able to meet its research obligations with partners such as Rice University nor funding entities such as the National Science Foundation. Dual degree programs with other UH departments would be delayed, resulting in less affordable degrees. Education, training and leadership programs would be curtailed or abolished, decreasing public service and employment opportunities for undergraduate and graduate students. Pursuit of research funding through external grants would cease. The elimination of the HSPA's major programs would result in a multi-million dollar loss of private funding of its unique programs as well as positive visibility for UH, Houston and the state of Texas.

(10) Non-Formula Support Needed on Permanent Basis/Discontinuu

Permanent

(11) Non-Formula Support Associated with Time Frame:

A reasonable timeframe for the special item funding for the Hobby School's academic programs is 10 years (beginning in fiscal year 2016). Continued special item funding is warranted for all non-academic activities such as the Center for Public Policy, Hobby Fellows-Austin, Leland Fellows- Washington, the Civic Houston Internship Program, the Survey Research Institute, the Civitas program, the Certified Public Manager Program, the Empirical Implications of Theoretical Models (EITM) Institute, the Inter-University Consortium of Political and Social Research, the Concept Visualization Lab, the longitudinal study of Hurricane Harvey's impact, the Civic Engagement Boot Camp, the Houston Chronicle Inside Policy and Politics blog, the Hobby Symposium Series, the Hobby School Fall Luncheon and other events and workshops.

730 University of Houston

(12) Benchmarks:

N/A

(13) Performance Reviews:

Student success will be measured through the following:

- Median GRE
- Graduate student acceptance rate
- Total graduate student enrollment
- Graduate course completion rate
- Total degrees awarded
- Graduates enrolled in post-masters courses
- Job obtainment
- Total undergraduate interns
- Student and intern satisfaction (defined by surveys and instructor evaluations)

Community Advancement will be measured through the following:

- Number of internship placements in Houston, Austin and Washington
- Total number of participants and graduates in the Certified Public Manager program
- Research awards and projects with community emphasis

National Recognition will be measured by the following:

- Number of national internship placements
- Number of national graduate employment placements in 1 year
- Number of nonresident students
- Number of resident students
- Amount of revenue produced through research awards by national organizations

Competitive Resources will be measured by the funds generated through the following:

- Total state appropriations per full time equivalent (FTE) student
 - Total expenditures per FTE student
 - Endowments
 - Total annual giving
 - Certifications and other programs
-

730 University of Houston

Hurricane Harvey Disaster Relief Funding

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|--|------|
| (1) Year Non-Formula Support Item First Funded: | 2020 |
| Year Non-Formula Support Item Established: | 2020 |
| Original Appropriation: | \$0 |

(2) Mission:

The University of Houston requests funding for Hurricane Harvey Disaster Relief. Hurricane Harvey created catastrophic wind damage and flooding with more than 50 inches of rainfall in less than a week. Damages from flooding, rain, and wind were incurred at 81 of 127 owned buildings which rendered many classroom, administrative, and student spaces both unsafe and unusable.

(3) (a) Major Accomplishments to Date:

The University was able to resume operations and begin teaching classes at less than full capacity within one week, by utilizing funding for other priorities that include student success for remediation, restoration, and repair. The University is working to complete all necessary repairs and remediation to allow full utilization of campus buildings. The University is also working with the State Office of Risk Management, the Federal Emergency Management Agency, and the Texas Division of Emergency Management to obtain available recovery for damages.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

The University expects to complete the restoration of all buildings and grounds within the next two years.

(4) Funding Source Prior to Receiving Non-Formula Support Funding:

Local University Funds

(5) Formula Funding:

None

(6) Category:

Instructional Support

(7) Transitional Funding:

N

(8) Non-General Revenue Sources of Funding:

Local University Funds

730 University of Houston

(9) Impact of Not Funding:

The University must remediate all water and mold damage and repair all damages to buildings. If funding is not received, the University would have to absorb the costs. As a result, funds will by necessity be diverted from critical academic programs and student success initiatives which could have a significant impact on student success.

(10) Non-Formula Support Needed on Permanent Basis/Discontinuu

One time only

(11) Non-Formula Support Associated with Time Frame:

One time only, FY2020.

(12) Benchmarks:

not applicable

(13) Performance Reviews:

not applicable

730 University of Houston

Restore Non-Formula Reductions

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|--|--------------|
| (1) Year Non-Formula Support Item First Funded: | 2020 |
| Year Non-Formula Support Item Established: | 2020 |
| Original Appropriation: | \$11,094,043 |

(2) Mission:

These funds are not new funds but rather a restoration of non-formula support items that were reduced for the 2018-2019 biennium.

(3) (a) Major Accomplishments to Date:

N/A

(3) (b) Major Accomplishments Expected During the Next 2 Years:

If restored, funds will be used to support research consistent with a nationally recognized tier-one research university as well as university operations.

(4) Funding Source Prior to Receiving Non-Formula Support Funding:

None

(5) Formula Funding:

None

(6) Category:

Research Support

(7) Transitional Funding:

N

(8) Non-General Revenue Sources of Funding:

None

(9) Impact of Not Funding:

The impact of not having these funds restored will diminish the university's ability to conduct important research consistent with a nationally recognized tier-one research university.

730 University of Houston

(10) Non-Formula Support Needed on Permanent Basis/Discontin

Permanent Basis

(11) Non-Formula Support Associated with Time Frame:

No

(12) Benchmarks:

N/A

(13) Performance Reviews:

N/A

730 University of Houston

Small Business Development Center

| | |
|--|-----------|
| (1) Year Non-Formula Support Item First Funded: | 1984 |
| Year Non-Formula Support Item Established: | 1984 |
| Original Appropriation: | \$200,000 |

(2) Mission:

The UH Small Business Development Centers provide the tools and resources to help small regional businesses start, grow, and succeed. Through two core services, free one-on-one business and management advising and low-cost business training seminars, the SBDC helps new entrepreneurs start their business, existing business owners attract customers, increase sales, and improve operations, and assists clients in obtaining capital to open or expand their business. The UH SBDC also offers specialized consulting and training in government contracting, assisting business owners obtain State, local, and federal government contracts.

(3) (a) Major Accomplishments to Date:

Through 15 service centers located within its 32 county Gulf Coast region, the UH SBDC has had a very successful positive economic impact on Houston and the surrounding communities, particularly in the area of job creation. An annual, independent impact study completed in calendar 2017, for businesses assisted in calendar 2015, showed that clients who received five or more hours of counseling from the SBDC:

- Created 4,923, new jobs, with a 2 year total from 2014 through 2015 of 10,256 new jobs
- Generated over \$303 million in new sales
- Retained 2,544 jobs. \$205.7 million in sales were saved
- Generated new tax revenues of \$38.5 million, consisting of \$25.8 million in State taxes, and \$12.7 million in federal taxes
- Obtained \$171.9 million in new capital financing

During FY 2017, the SBDC through all its programs and services:

- Provided 16,963 training hours over 462 classes to 5,657 entrepreneurs
- Provided 31,446 advising hours to 5,283 clients

(3) (b) Major Accomplishments Expected During the Next 2 Years:

Our purpose is to strengthen small businesses so that they will contribute to the economic vitality of our communities through job creation and retention. We continue to provide small companies with assistance in obtaining financing and government contracts to begin or expand their business, as well as help start-up and existing businesses evaluate and implement business solutions to improve survivability, growth potential, and new employment opportunities. The University of Houston Small Business Development Center (UH SBDC) Network has a strong history of meeting or exceeding all program objectives and milestones, and we anticipate again achieving high economic impact results. Our premier small business training facility offers educational programs for small business in a group setting. With a change in senior leadership in late 2017, the UH SBDC began renewing and forming new strategic alliances with local business partners to provide outreach more efficiently and effectively to the small businesses within our 32 county region. Our newest initiatives include a full-time, on-line business advisor and new webinar offerings to better serve our clients and attract the next generation of entrepreneurs including millennials and Gen Xers

730 University of Houston

(4) Funding Source Prior to Receiving Non-Formula Support Funding:

Federal and University funding.

(5) Formula Funding:

None

(6) Category:

Public Service

(7) Transitional Funding:

N

(8) Non-General Revenue Sources of Funding:

| Fiscal Year | Amount | Source |
|-------------|-------------|--|
| 2018 | \$3,064,011 | Federal & Local Government support |
| | \$1,180,000 | Private Sources, including partner match, program income |
| 2019 | \$3,064,011 | Federal & Local Government support |
| | \$1,180,000 | Private Sources, including partner match, program income |
| 2020 | \$3,064,011 | Federal & Local Government support |
| | \$1,180,000 | Private Sources, including partner match, program income |
| 2021 | \$3,064,011 | Federal & Local Government support |
| | \$1,180,000 | Private Sources, including partner match, program income |

(2018 – Program Income amount is a projection; all numbers for 2019-2021 are projections)

(9) Impact of Not Funding:

The UH SBDC would be unable to meet the cost-sharing provisions of its two core federal awards with a total funding loss to the SBDC network of more than \$4.2 million annually of non-general revenue funding, and would essentially result in the demise of the UH SBDC program. In addition to the current federal awards that require cost-sharing, our state funds have been used in the past to apply for additional federal funding from both the SBA and other federal agencies to assist small businesses. The loss of SBDC services to Houston and the Gulf Coast business community would have a negative impact, as thousands of start-up entrepreneurs and existing business owners, who cannot or would not seek business counseling from the private sector, would be unable to access professional business services, reducing local sales growth, job creation, and capital expansion. The combination of the above factors would certainly cause declining tax revenues of approximately \$52 million over the biennium, as well as the loss of existing jobs as well as approximately 10,000 new jobs.

730 University of Houston

(10) Non-Formula Support Needed on Permanent Basis/Discontinuu

Permanent. It could not be discontinued without the loss of \$4.2 million annually in non-general revenue funding or \$8.4 million over the biennium.

(11) Non-Formula Support Associated with Time Frame:

No

(12) Benchmarks:

None

(13) Performance Reviews:

The SBDC conducts an independent annual impact survey of its clients, collecting data on increases in sales, jobs created and retained, and capital financing received as a result of the advising services received from the SBDC. The survey completed in 2017 reflects that the benefit to cost ratio, or return for every dollar spent on the SBDC program was \$8.66 to \$1. The survey results show that state tax revenues generated by SBDC clients as a result of increased sales due to assistance from the SBDC of \$25.9 million is more than 11 times the current SBDC's state funding level of \$2.2 million.

The SBDC's federal funding agencies set annual key performance indicator (KPI) goals for the SBDC and its programs. Each center is required to meet their pro-rata share of these goals. The SBDC also conducts an annual programmatic reviews of all the centers to ensure that program objectives are being met. New SBDC clients receive an initial satisfaction survey to determine if their expectations were met. An annual follow-up client survey is also done with the same objective.

730 University of Houston

University of Houston College of Pharmacy

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|--|-------------|
| (1) Year Non-Formula Support Item First Funded: | 2018 |
| Year Non-Formula Support Item Established: | 2018 |
| Original Appropriation: | \$5,000,000 |

(2) Mission:

Currently, Pharmacy programs funded through the formula for General Academic Institutions (GAI) receive fewer resources on a per student basis than Pharmacy programs funded through the formula for Health-Related Institutions (HRI). In 2000, the funding levels for these two types of Pharmacy programs were approximately equal when the GAI weighting was 13.43. Today, however, the funding formula has a weight of 4.23 which results in significant funding inequity. For example, if HRI and GAI Pharmacy programs both have 500 professional Pharmacy students, the HRI-based program would receive \$7,955,000 and the GAI-based program would receive \$2,902,000 annually.

(3) (a) Major Accomplishments to Date:

The University of Houston College of Pharmacy (UHCOP) was founded in 1946 and is the State's second oldest Pharmacy Program which receives over 700 applications each year for 125 P-1 slots in the Doctor of Pharmacy Professional Program. The UHCOP passing-rate on both the NAPLEX (national board exam) and MPJE (national law) exams is 99%, which is the highest among the Texas pharmacy programs and the 3rd highest in the nation. The University of Houston College of Pharmacy has been a member of the Texas Medical Center (TMC) since 1980. UHCOP students and faculty deliver countless essential pharmaceutical care services throughout the TMC that provide essential health care services to the state's neediest patients. The College also recently established a UHCOP-RGV satellite program which provides faculty led advanced clinical training opportunities and health care services in the Rio Grande Valley. The UHCOP research program has become nationally competitive in a relatively short amount of time. The UHCOP research program is currently ranked #2 in the state and #26 nationally by the American Association of Colleges of Pharmacy (AACP). UHCOP researchers collaborate with other world-class researchers located within the many member institutions of the TMC and beyond, to develop new life-saving therapies and new impactful discoveries.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

The UHCOP will launch its new University of Houston Center for Drug Discovery (UHCDD). The vision of the UHCDD is to become a national leader and rich resource for drug discovery and development for the state of Texas, to accelerate the pathway of academic discovery to significant translational outcomes through interdisciplinary team science research and evaluation platforms, and to develop impactful educational and training programs. The UHCOP also plans to launch the Houston Accelerated Cancer Drug Development Core (HACDDC). The mission of the HACDDC is to establish a state-of-the-art accelerated cancer drug discovery and development core facility that serves the cancer research and treatment community in the State of Texas, focusing on developing novel therapeutics for pediatric malignancies. The UHCOP research program has been growing at an accelerated rate during the past two years in large part thanks to the non-formula funding provided by the state of Texas. The UHCOP expects that its research program will be rated in the top 20 in the nation out of 151 Colleges of Pharmacy in the United States in the next two years. The UHCOP plans to continue growing the UHCOP-RGV satellite program with additional faculty and students. The result of this growth will provide additional health care services for patients and future clinical pharmacists for a growing area of our state.

730 University of Houston

(4) Funding Source Prior to Receiving Non-Formula Support Funding:

N/A

(5) Formula Funding:

None

(6) Category:

Instructional Support

(7) Transitional Funding:

N

(8) Non-General Revenue Sources of Funding:

| Fiscal Year | Amount | Source |
|-------------------------------------|-------------|---|
| 2018 | \$5,569,773 | Private foundations, corporations, individual contributions, state and federal agencies |
| 2019 | \$5,569,773 | Private foundations, corporations, individual contributions, state and federal agencies |
| 2020 | \$5,569,773 | Private foundations, corporations, individual contributions, state and federal agencies |
| 2021 (2019-2021 are projections) | \$5,569,773 | Private foundations, corporations, individual contributions, state and federal agencies |

(9) Impact of Not Funding:

Without special item funding, the University of Houston College of Pharmacy would be forced to limit or terminate much of its present research growth and other on-going educational and clinical services projects, resulting in a loss of valuable healthcare and research resources for the state of Texas.

(10) Non-Formula Support Needed on Permanent Basis/Discontinuu

Permanent

(11) Non-Formula Support Associated with Time Frame:

No

(12) Benchmarks:

No

730 University of Houston

(13) Performance Reviews:

Yes, we monitor several quality metrics at the College and University levels. The metrics monitored by the University for 2017 are:

1. Total Research Expenditures - \$7,258,350
 2. Federal Research Expenditures - \$5,239,804
 3. Total Research Grant Awards - \$9,380,426 (#2 in Texas, #26 in Nation)
 4. Journal Articles Published - 90
 5. Doctoral Degrees Awarded - 15
 6. Pharm.D. Degrees Awarded - 107
 7. Pass Rate on NAPLEX (National Board Exam) Exam - 99.14% (#1 in Texas, #3 in Nation)
 8. Pass Rate on MPJE (National Law Exam) Exam – 99%
 9. Total Annual Giving (Cash Basis) - \$2,369,616
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