

Legislative Appropriations Request

For Fiscal Years 2014 and 2015

Submitted to the
Governor's Office of Budget, Planning and Policy
And the Legislative Budget Board

By

The University of Houston-Clear Lake

Date of 2nd Submission
October 2012

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**University of Houston-Clear Lake
Legislative Appropriations Request 2014 and 2015**

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Agency Code:	Agency Name:	Date:
759	University of Houston-Clear Lake	August, 2012
<p>For the schedules identified below, the University of Houston-Clear Lake either has not information to report or the schedule is not applicable. Accordingly, these schedules have been excluded from the University of Houston-Clear Lake Legislative Appropriations Request 2014-15 biennium.</p>		
Number	Name	
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Administrator's Statement

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Automated Budget and Evaluation System of Texas (ABEST)

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University Overview:

The University of Houston-Clear Lake (UHCL) was authorized in 1971 by House Bill 199 in the 62nd Texas Legislature. The measure came in the aftermath of a 1968 report by the Texas Higher Education Coordinating Board, Texas College and University System, calling for a second University of Houston campus to offer upper-level and graduate programs for students in the Houston metropolitan area. UHCL is situated on 524 acres midway between downtown Houston and the historic port of Galveston. The campus is adjacent to the Lyndon B. Johnson Space Center and Armand Bayou Nature Center. UHCL serves an advanced technology economy by providing undergraduate, graduate, and professional programs for over 8,100 students. Since its inception in 1974, over 55,000 degrees have been awarded, with over 2,300 awarded in 2010-2011.

UHCL primarily serves the Houston-Galveston metropolitan area of Texas, which includes the Gulf Coast Community College Consortium consisting of Alvin Community College, Brazosport College, College of the Mainland, Galveston College, Houston Community College System, Lee College, Lone Star College System, San Jacinto College District, and Wharton County Junior College.

An important asset of UHCL is the Bay Area Houston region itself. UHCL is the intellectual cornerstone of this highly educated and fast-growing region in Texas. Through its strong partnerships with the community, UHCL provides advanced educational programs, innovative training, and research opportunities to the region. The Bay Area economic base rests on key industries including aerospace, petrochemical, international trade, healthcare, tourism, and boating and recreation.

In the 2011 session of the Texas Legislature, the University of Houston-Clear Lake secured legislative authority for downward expansion in order to offer freshman and sophomore level courses. UHCL is planning to admit freshmen and sophomores in Fall 2014. Currently, UH-Clear Lake is an upper-level university offering junior, senior, and graduate level courses. In response to requests from area school districts, UHCL as a four-year university would work closely with both area school districts and community colleges to enhance the K-16 pipeline to increase the college-going and college graduation rates in our region. Of the 100 largest metro areas, Houston ranks 57th in the share of its residents with college degrees (28.4%) while Austin and Dallas rank 8th and 35th, respectively. Special attention would be focused on first-time in college students as well as under-represented groups including Hispanic and African-American students. Within a 10 mile radius of UHCL, 28.98% of those 25 and over had achieved a bachelor's degree or higher although for the Hispanic segment of the population in this area the figure was only 11.59%. The University of Houston-Clear Lake will work collaboratively with other institutions in the University of Houston System to enhance student access and success.

In the 2013 session, UHCL will submit an exceptional item funding request for resources needed to assist in preparations necessary to meet the needs of the incoming freshmen and sophomores. This request is consistent with Legislative funding provided for the three institutions that are the most recent to transition from upper level institutions. They are University of Texas-Tyler, Texas A&M-Texarkana and University of Houston-Victoria.

Mission Statement:

The University of Houston-Clear Lake is a student-centered, community-minded, partnership-oriented university that offers bachelor's, master's and selected doctoral programs to enhance the educational, economic and cultural environment of the Houston-Galveston metropolitan region. UH-Clear Lake serves a diverse student body with special emphasis on undergraduate transfer, graduate and international students. The university offers the highest quality instruction and nationally accredited academic programs designed to develop the critical thinking, creative, quantitative, leadership and communication skills of students. The university conducts applied and basic research and engages in community and professional service that support both the economic development and the quality of life of the area. The university is committed to community engagement through partnerships with educational institutions, businesses, government agencies and nonprofit organizations.

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10% Biennial Base Reduction:

UHCL's FY2012/FY2013 appropriation reduction was so severe that hold harmless funds were allocated in order to limit the reduction % to that of other institutions.

Due to the proposed FY2014/FY2015 10% budget reduction of \$632,924, almost 89% would be funded from cuts in these hold harmless funds that have been used to support academic initiatives. Loss of funding would have a negative impact on academic programs, delivery of instruction, student access and success. This would include the loss of four academic programs and a 20% reduction to summer school course offerings. Institutional Enhancement funding supports the university's general operations including student services. We recommend that the current level of funding be maintained.

Funding Requests for Fiscal Years 2014 and 2015:

Formula Funding

The ability of the state's universities to fund their operations (particularly instruction), maintain affordability, increase student success, and provide the highly skilled workforce Texas needs to succeed depends upon the Legislature's commitment to fund higher education through base formula funding. The University of Houston-Clear Lake, as a first priority, requests an increase in the current level of funding in order to improve formula funding for core instructional and academic support operations. These funds would assist the university in increasing the college-going and college-graduation rates for the region served by UH-Clear Lake. The funds are especially critical given the changing demographics of the region and the number of first-generation college students. Additional funds via formula funding would help mitigate future increases in tuition. In addition to formula funding, funding for TEXAS Grants and state work study is critical to expanding access to higher education and maintaining affordability. Currently, qualified students can receive up to \$7,400 per year in TEXAS Grants. The state should strive to maintain and expand this level of funding since UHCL's behavior is to award a maximum of \$5000 in order to provide awards to a greater number of students. While various forms of financial aid have been increased significantly, the additional costs due to higher tuition and fees that students experience when they transition from a community college to a university is of growing concern.

Exceptional Items

Downward Expansion

During the 82nd Legislature (2011), UHCL received authority to offer lower division courses to freshman and sophomore students. This authority for downward expansion is a key component to expanding access to higher education and enhancing student success, which are key goals in the state's higher education plan Closing the Gaps by 2015. UHCL will join our UH System sister institutions by providing an additional entry point for access to higher education. As a system of institutions, we will be better able to meet the needs of our students in the greater Houston region by working closely with both area school districts and community colleges.

In preparation for serving freshmen and sophomores, efforts will focus on the establishment and funding of several aspects of student instruction and support that have previously not been a part of the campus and on the enhancement of several existing services. The highest priorities are curriculum development and the hiring of new faculty to teach core courses, development of a first year seminar, establishment of a testing center and staffing for a central advising center to guide students successfully through their first 45 hours. Expanding services in the following existing departments, Recruiting, Financial Aid and the Student Success Center (tutoring) are essential to meet the needs of these first time students and ensure a successful first year experience.

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An additional implementation obstacle is the shortage of appropriate space in the core of campus to house faculty, staff and these new departments. The planned addition of science labs to meet the core requirements and the need for larger classrooms, along with space needs mentioned above, necessitates moving many of the university's back office operations off-campus. These vacated spaces will be repurposed to meet the needs of this new population of students prior to their planned arrival in Fall 2014.

Center for Autism and Developmental Disabilities

UH-Clear Lake is requesting exceptional item funding for the Center for Autism and Developmental Disabilities which was established in 2008. The Center currently has contracts for services with local school districts as well as local and state agencies. The local school districts include Clear Creek ISD, Pasadena ISD and Pearland ISD. Goose Creek ISD and Channelview ISD have approached UHCL about possible contracts. At the present time, the demand for services outstrips the capacity to provide the services to an increased number of children afflicted with autism and other developmental disabilities. More than 200 families are currently waiting for services through the Center.

Funds for materials, equipment, student stipends and staff support will provide the Center with the much-needed infrastructure to attract additional funding from national agencies such as National Institutes of Health and the Department of Education and expand vital services to an increased number of children afflicted with autism and other developmental disabilities. With sustained support from the state of Texas and project funding from other sources, some of the additional services which the Center would be able to provide include: parent training workshops, expanded intensive therapy services to children below the age of 3 years, social skills training for adolescents and adults with high-functioning autism, web-based, multi-media services to provide training to teachers and parents across the state and nationwide, and expanded research into the science of disabilities and the best teaching methods for children afflicted with autism and other neurodevelopmental disabilities.

Houston Partnership for Environmental Studies

The Houston Partnership for Environmental Studies is a special item which funds the Environmental Institute of Houston (EIH), a joint project of UH-Clear Lake, University of Houston, agencies, businesses and environmental organizations. EIH provides research funding for faculty and students at both universities in four focus areas: pollution prevention, natural resource conservation, environmental public policy, and social/cultural issues related to the environment. The focus of current research is to empower communities with technical tools to increase resiliency to disasters such as chemical spills, storms, flooding, and drought, while minimizing losses to critical ecosystem services including water quality treatment, fisheries production, flood mitigation, and transportation. Additional funding will be used primarily to support additional staff engaged in research and outreach, to enhance faculty seed grant funding to increase competition for external funding, and to acquire more resources including laboratory and field research equipment.

Tuition Revenue Bonds

The University of Houston-Clear Lake requests tuition revenue bonds for a Science and Academic Support Building and a Student Access and Classroom Building.

Science and Academic Support Building

In an effort to meet UH-Clear Lake's commitment to student success and academic excellence for freshman and sophomore students, a new 160,000 gross square foot facility will be needed by spring 2017, following implementation of downward expansion in fall 2014. Enrollment is projected to increase approximately 21% from

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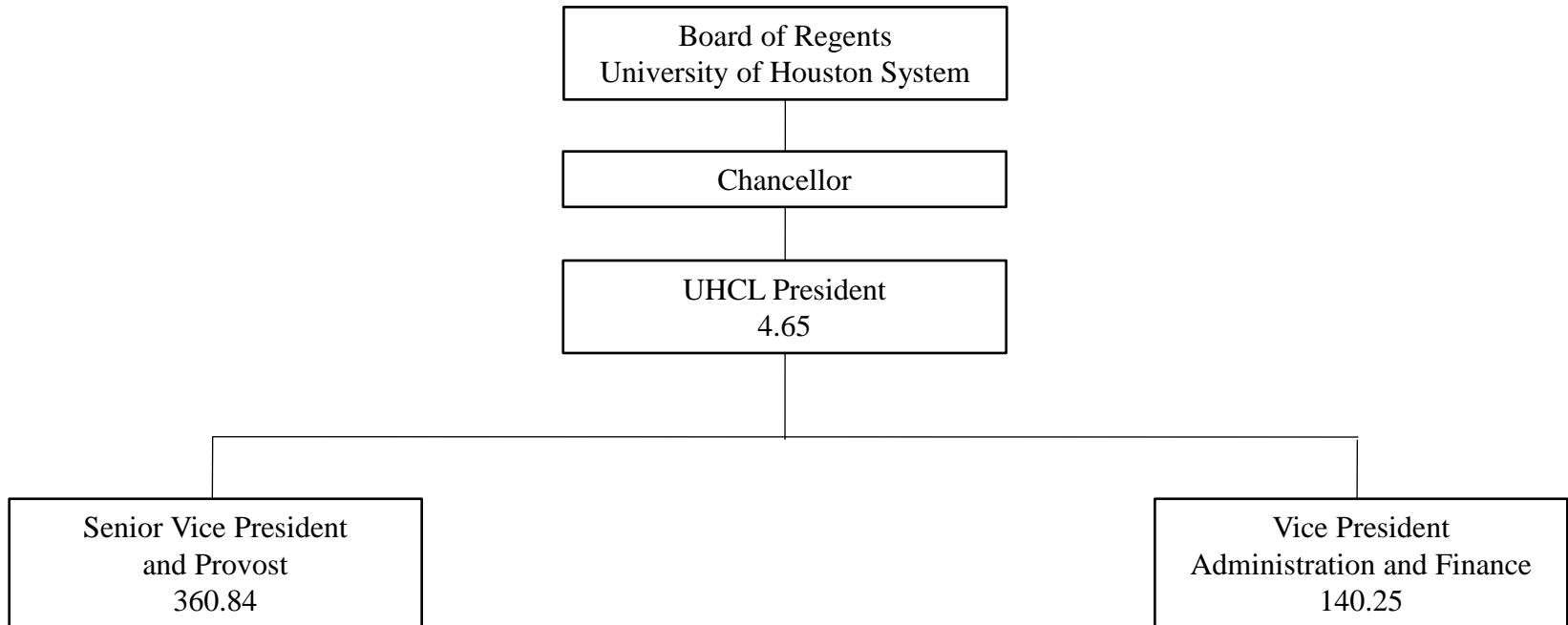
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current enrollment in the 1st 3 years of downward expansion. A major goal is to provide adequate teaching laboratories for freshman and sophomore science courses, provide two minimum 100-seat lecture halls to accommodate the larger core curriculum classes typically needed by undergraduates in the first two years, add permanent faculty and staff offices and provide additional facilities to support research and academic programs in the STEM fields, including the professional development of teachers and increasing the number of new science and math educators. In addition to construction of the Science and Academic Support Building, this project will require renovation of approximately 30,000 square feet of existing facilities to meet the space needs for staff functions required to support the downward expansion initiative. In the short term, temporary off-campus lease space can be utilized to provide office space for back-office functions to accommodate the on-campus space needs.

Student Access and Classroom Building

In an effort to meet UH-Clear Lake's commitment to student success and academic excellence for freshman and sophomore students, a new 117,500 gross square feet facility will be needed by fall 2018, following implementation of downward expansion in fall 2014. Enrollment is projected to increase approximately 21% from current enrollment by fall 2017. The Student Access and Classroom Building will address many needs of UHCL. The major goal is to establish a one-stop enrollment management center. Included in the space will be financial aid, admissions, student records, cashiers, an Academic Advising Center, a Testing Center and a large theatre style seating room for orientation and community functions, all in an exciting environment that is welcoming to first-time visitors and new students. By relocating the enrollment management functions currently housed in the Student Services and Classroom Building (SSCB), space will be released in the SSCB to allow student support services, required to meet the demands of our new freshmen and sophomores, room to grow. A second goal is to provide 30,000 square feet to add additional classrooms and faculty offices and establish a new location at the campus "front door" to house the Police Department.

University of Houston-Clear Lake Organizational Chart



2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
1 Provide Instructional and Operations Support					
1 Provide Instructional and Operations Support					
1 OPERATIONS SUPPORT (1)	33,434,225	30,991,349	31,260,704	0	0
3 STAFF GROUP INSURANCE PREMIUMS	1,596,293	1,533,305	1,533,305	1,533,305	1,533,305
4 WORKERS' COMPENSATION INSURANCE	106,745	115,000	115,000	193,454	193,454
6 TEXAS PUBLIC EDUCATION GRANTS	1,189,934	1,184,751	1,313,689	1,313,689	1,313,689
8 HOLD HARMLESS	0	0	0	0	0
TOTAL, GOAL 1	\$36,327,197	\$33,824,405	\$34,222,698	\$3,040,448	\$3,040,448
2 Provide Infrastructure Support					
1 Provide Operation and Maintenance of E&G Space					
1 E&G SPACE SUPPORT (1)	2,376,308	2,193,238	2,135,421	0	0
2 TUITION REVENUE BOND RETIREMENT	3,024,310	0	0	0	0

(1) - Formula funded strategies are not requested in 2014-15 because amounts are not determined by institutions.

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY		Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
TOTAL, GOAL	2	\$5,400,618	\$2,193,238	\$2,135,421	\$0	\$0
3 Provide Special Item Support						
2 Research Special Item Support						
1 HIGH TECHNOLOGIES LABORATORY		57,307	41,864	41,864	41,864	41,864
2 ENVIRONMENTAL STUDIES PARTNERSHIP		306,739	302,368	302,368	302,368	302,368
4 Institutional Support Special Item Support						
1 INSTITUTIONAL ENHANCEMENT		0	0	0	2,626,936	2,626,936
5 Exceptional Item Request						
1 EXCEPTIONAL ITEM REQUEST		0	0	0	0	0
TOTAL, GOAL	3	\$364,046	\$344,232	\$344,232	\$2,971,168	\$2,971,168
6 Research Funds						
1 Research Development Fund						
1 RESEARCH DEVELOPMENT FUND (2)		82,163	54,196	54,196	0	0

(2) - Research fund strategies are not requested because amounts are not determined by institutions.

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
TOTAL, GOAL 6	\$82,163	\$54,196	\$54,196	\$0	\$0
TOTAL, AGENCY STRATEGY REQUEST	\$42,174,024	\$36,416,071	\$36,756,547	\$6,011,616	\$6,011,616
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*				\$0	\$0
GRAND TOTAL, AGENCY REQUEST	\$42,174,024	\$36,416,071	\$36,756,547	\$6,011,616	\$6,011,616
<u>METHOD OF FINANCING:</u>					
General Revenue Funds:					
1 General Revenue Fund	29,175,837	23,313,520	23,140,530	3,164,622	3,164,622
SUBTOTAL	\$29,175,837	\$23,313,520	\$23,140,530	\$3,164,622	\$3,164,622
General Revenue Dedicated Funds:					
704 Bd Authorized Tuition Inc	2,811,600	2,853,726	2,539,400	0	0
770 Est Oth Educ & Gen Inco	10,186,587	10,248,825	11,076,617	2,846,994	2,846,994
SUBTOTAL	\$12,998,187	\$13,102,551	\$13,616,017	\$2,846,994	\$2,846,994
TOTAL, METHOD OF FINANCING	\$42,174,024	\$36,416,071	\$36,756,547	\$6,011,616	\$6,011,616

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. Summary of Base Request by Method of Finance
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Agency code: **759**

Agency name: **University of Houston - Clear Lake**

METHOD OF FINANCING		Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
<u>GENERAL REVENUE</u>						
<u>1</u> General Revenue Fund						
<i>REGULAR APPROPRIATIONS</i>						
	Regular Appropriations from MOF Table (2010-11 GAA)	\$31,949,128	\$0	\$0	\$0	\$0
	Regular Appropriations from MOF Table (2012-13 GAA)	\$0	\$23,313,520	\$23,140,530	\$3,164,622	\$3,164,622
	HB 4, 82nd Legislature, Regular Session, Sec 1(a), 5% and 2.5% GR Redu	\$(2,604,351)	\$0	\$0	\$0	\$0
	HB 4, 82nd Legislature, Regular Session, Sec 1(a), TRB Reductions	\$(176,128)	\$0	\$0	\$0	\$0
<i>UNEXPENDED BALANCES AUTHORITY</i>						
	Research Development Fund	\$7,188	\$0	\$0	\$0	\$0
TOTAL,	General Revenue Fund	\$29,175,837	\$23,313,520	\$23,140,530	\$3,164,622	\$3,164,622

2.B. Summary of Base Request by Method of Finance
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METHOD OF FINANCING	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
TOTAL, ALL GENERAL REVENUE	\$29,175,837	\$23,313,520	\$23,140,530	\$3,164,622	\$3,164,622

GENERAL REVENUE FUND - DEDICATED

704 GR Dedicated - Estimated Board Authorized Tuition Increases Account No. 704
REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2010-11 GAA)

\$2,830,450 \$0 \$0 \$0 \$0

Regular Appropriations from MOF Table (2012-13 GAA)

\$0 \$2,732,800 \$2,732,800 \$0 \$0

Revised Revenue Receipts

\$(18,850) \$120,926 \$(193,400) \$0 \$0

TOTAL, GR Dedicated - Estimated Board Authorized Tuition Increases Account No. 704

\$2,811,600 \$2,853,726 \$2,539,400 \$0 \$0

770 GR Dedicated - Estimated Other Educational and General Income Account No. 770
REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2010-11 GAA)

\$7,590,147 \$0 \$0 \$0 \$0

2.B. Summary of Base Request by Method of Finance
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Agency name: **University of Houston - Clear Lake**

METHOD OF FINANCING	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
<u>GENERAL REVENUE FUND - DEDICATED</u>					
Regular Appropriations from MOF Table (2012-13 GAA)	\$0	\$9,825,794	\$10,104,189	\$2,846,994	\$2,846,994
Revised Revenue Receipts	\$2,150,604	\$48,067	\$156,444	\$0	\$0
Adjustment to Expended	\$445,836	\$374,964	\$815,984	\$0	\$0
TOTAL, GR Dedicated - Estimated Other Educational and General Income Account No. 770	\$10,186,587	\$10,248,825	\$11,076,617	\$2,846,994	\$2,846,994
TOTAL GENERAL REVENUE FUND - DEDICATED - 704, 708 & 770	\$12,998,187	\$13,102,551	\$13,616,017	\$2,846,994	\$2,846,994
TOTAL, ALL GENERAL REVENUE FUND - DEDICATED	\$12,998,187	\$13,102,551	\$13,616,017	\$2,846,994	\$2,846,994
TOTAL, GR & GR-DEDICATED FUNDS	\$42,174,024	\$36,416,071	\$36,756,547	\$6,011,616	\$6,011,616

FEDERAL FUNDS

369 Federal American Recovery and Reinvestment Fund

REGULAR APPROPRIATIONS

2.B. Summary of Base Request by Method of Finance
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Agency code: **759** Agency name: **University of Houston - Clear Lake**

METHOD OF FINANCING	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
<u>FEDERAL FUNDS</u>					
Art XII, Sec. 30	\$0	\$0	\$0	\$0	\$0
TOTAL, Federal American Recovery and Reinvestment Fund	\$0	\$0	\$0	\$0	\$0
TOTAL, ALL FEDERAL FUNDS	\$0	\$0	\$0	\$0	\$0
GRAND TOTAL	\$42,174,024	\$36,416,071	\$36,756,547	\$6,011,616	\$6,011,616

FULL-TIME-EQUIVALENT POSITIONS

REGULAR APPROPRIATIONS

Regular Appropriations, from Bill Pattern 583.8 575.0 575.0 505.7 505.7

UNAUTHORIZED NUMBER OVER (BELOW) CAP

Unauthorized Amount over cap/ (amount below cap) (25.0) (52.0) (69.3) 0.0 0.0

TOTAL, ADJUSTED FTES 558.8 523.0 505.7 505.7 505.7

2.B. Summary of Base Request by Method of Finance
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Agency name: **University of Houston - Clear Lake**

METHOD OF FINANCING	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
NUMBER OF 100% FEDERALLY FUNDED FTEs	0.0	0.0	0.0	0.0	0.0

2.C. Summary of Base Request by Object of Expense
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OBJECT OF EXPENSE	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
1001 SALARIES AND WAGES	\$14,050,801	\$14,232,289	\$14,687,420	\$325,976	\$325,976
1002 OTHER PERSONNEL COSTS	\$642,263	\$545,136	\$509,659	\$0	\$0
1005 FACULTY SALARIES	\$20,657,170	\$18,236,632	\$18,281,137	\$0	\$0
2002 FUELS AND LUBRICANTS	\$1,314	\$0	\$0	\$0	\$0
2003 CONSUMABLE SUPPLIES	\$81,275	\$9,772	\$0	\$0	\$0
2004 UTILITIES	\$254,835	\$104,567	\$70,763	\$0	\$0
2005 TRAVEL	\$1,775	\$0	\$0	\$0	\$0
2007 RENT - MACHINE AND OTHER	\$13,905	\$8,331	\$0	\$0	\$0
2008 DEBT SERVICE	\$1,725,000	\$0	\$0	\$0	\$0
2009 OTHER OPERATING EXPENSE	\$4,615,449	\$3,260,887	\$3,207,568	\$5,685,640	\$5,685,640
3001 CLIENT SERVICES	\$250	\$0	\$0	\$0	\$0
5000 CAPITAL EXPENDITURES	\$129,987	\$18,457	\$0	\$0	\$0
OOE Total (Excluding Riders)	\$42,174,024	\$36,416,071	\$36,756,547	\$6,011,616	\$6,011,616
OOE Total (Riders)					
Grand Total	\$42,174,024	\$36,416,071	\$36,756,547	\$6,011,616	\$6,011,616

2.D. Summary of Base Request Objective Outcomes
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Goal/ Objective / Outcome	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
1 Provide Instructional and Operations Support					
1 Provide Instructional and Operations Support					
16 Percent of Semester Credit Hours Completed	94.50%	94.00%	94.00%	94.00%	94.00 %
KEY 17 Certification Rate of Teacher Education Graduates	95.30%	95.00%	95.00%	95.00%	95.00 %
KEY 21 % of Baccalaureate Graduates Who Are 1st Generation College Graduates	34.30%	30.00%	30.00%	30.00%	30.00 %
KEY 30 Dollar Value of External or Sponsored Research Funds (in Millions)	1.40	1.37	1.37	1.40	1.40
31 External or Sponsored Research Funds As a % of State Appropriations	312.81%	398.22%	395.73%	397.14%	397.14 %
32 External Research Funds as Percentage Appropriated for Research	466.10%	596.10%	596.10%	600.00%	600.00 %
KEY 33 Percent of Transfer Students Who Graduate within 4 Years	72.00%	72.00%	73.00%	73.00%	73.00 %
34 Graduation Rate-1st/Full-Time, Degree-Seeking White Transfers in 4 Yrs	72.90%	73.00%	74.00%	74.00%	74.00 %
35 Graduation Rate-1st/Full-Time, Degree-Seeking Hisp Transfers in 4 Yrs	71.60%	71.00%	71.00%	71.00%	71.00 %
36 Graduation Rate-1st/Full-Time, Degree-Seeking Black Transfers in 4 Yrs	67.60%	65.00%	65.00%	65.00%	65.00 %
37 Graduation Rate-1st/Full-Time, Degree-seeking Other Transfers in 4 Yrs	71.20%	69.00%	69.00%	69.00%	69.00 %
KEY 38 Percent of Transfer Students Who Graduate within 2 Years	31.30%	34.00%	34.00%	34.00%	34.00 %

2.D. Summary of Base Request Objective Outcomes
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

10/16/2012 9:47:30AM

759 University of Houston - Clear Lake

<i>Goal/ Objective / Outcome</i>	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
39 Graduation Rate-1st/Full-Time, Degree-Seeking White Transfers in 2 Yrs	34.30%	35.00%	35.00%	35.00%	35.00 %
40 Graduation Rate-1st/Full-Time, Degree-Seeking Hisp Transfers in 2 Yrs	22.00%	28.00%	28.00%	28.00%	28.00 %
41 Graduation Rate-1st/Full-Time, Degree-Seeking Black Transfers in 2 Yrs	25.00%	23.00%	23.00%	23.00%	23.00 %
42 Graduation Rate-1st/Full-Time, Degree-seeking Other Transfers in 2 Yrs	37.70%	35.00%	35.00%	35.00%	35.00 %
KEY 43 Persistence Rate-1st/Full-Time, Degree-Seeking Transfers after 1 Year	85.00%	84.00%	84.00%	84.00%	84.00 %
44 Persistence-1st/Full-Time, Degree-seeking White Transfers after 1Yr	85.30%	84.00%	84.00%	84.00%	84.00 %
45 Persistence-1st/Full-Time, Degree-seeking Hisp Transfers after 1 Year	87.60%	86.00%	86.00%	86.00%	86.00 %
46 Persistence - 1st/Full-Time, Degree-seeking Black Transfers after 1Yr	79.50%	75.00%	75.00%	75.00%	75.00 %
47 Persistence - 1st/Full-Time, Degree-seeking Other Transfers after 1Yr	80.30%	81.00%	81.00%	81.00%	81.00 %
48 % Endowed Professorships/ Chairs Unfilled All/ Part of Fiscal Year	0.00%	0.00%	0.00%	0.00%	0.00 %
49 Average No Months Endowed Chairs Remain Vacant	0.00	0.00	0.00	0.00	0.00

2.E. Summary of Exceptional Items Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/16/2012
 TIME : 9:47:30AM

Agency code: 759

Agency name: University of Houston - Clear Lake

Priority	Item	2014			2015			Biennium	
		GR and GR/GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds
1	Downward Expansion	\$5,297,000	\$5,297,000	27.8	\$3,097,010	\$3,097,010	36.5	\$8,394,010	\$8,394,010
2	Tuition Revenue Bond	\$10,154,385	\$10,154,385		\$10,154,385	\$10,154,385		\$20,308,770	\$20,308,770
3	Center for Autism	\$356,000	\$356,000	8.0	\$360,000	\$360,000	8.0	\$716,000	\$716,000
4	Environmental Studies	\$300,000	\$300,000	3.0	\$300,000	\$300,000	3.0	\$600,000	\$600,000
Total, Exceptional Items Request		\$16,107,385	\$16,107,385	38.8	\$13,911,395	\$13,911,395	47.5	\$30,018,780	\$30,018,780

Method of Financing

General Revenue	\$16,107,385	\$16,107,385		\$13,911,395	\$13,911,395		\$30,018,780	\$30,018,780
General Revenue - Dedicated								
Federal Funds								
Other Funds								
	\$16,107,385	\$16,107,385		\$13,911,395	\$13,911,395		\$30,018,780	\$30,018,780

Full Time Equivalent Positions

38.8

47.5

Number of 100% Federally Funded FTEs

0.0

0.0

2.F. Summary of Total Request by Strategy
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/16/2012
 TIME : 9:47:31AM

Agency code: 759 Agency name: University of Houston - Clear Lake

Goal/Objective/STRATEGY	Base 2014	Base 2015	Exceptional 2014	Exceptional 2015	Total Request 2014	Total Request 2015
1 Provide Instructional and Operations Support						
1 <i>Provide Instructional and Operations Support</i>						
1 OPERATIONS SUPPORT	\$0	\$0	\$0	\$0	\$0	\$0
3 STAFF GROUP INSURANCE PREMIUMS	1,533,305	1,533,305	0	0	1,533,305	1,533,305
4 WORKERS' COMPENSATION INSURANCE	193,454	193,454	0	0	193,454	193,454
6 TEXAS PUBLIC EDUCATION GRANTS	1,313,689	1,313,689	0	0	1,313,689	1,313,689
8 HOLD HARMLESS	0	0	0	0	0	0
TOTAL, GOAL 1	\$3,040,448	\$3,040,448	\$0	\$0	\$3,040,448	\$3,040,448
2 Provide Infrastructure Support						
1 <i>Provide Operation and Maintenance of E&G Space</i>						
1 E&G SPACE SUPPORT	0	0	0	0	0	0
2 TUITION REVENUE BOND RETIREMENT	0	0	10,154,385	10,154,385	10,154,385	10,154,385
TOTAL, GOAL 2	\$0	\$0	\$10,154,385	\$10,154,385	\$10,154,385	\$10,154,385
3 Provide Special Item Support						
2 <i>Research Special Item Support</i>						
1 HIGH TECHNOLOGIES LABORATORY	41,864	41,864	0	0	41,864	41,864
2 ENVIRONMENTAL STUDIES PARTNERSHIP	302,368	302,368	300,000	300,000	602,368	602,368
4 <i>Institutional Support Special Item Support</i>						
1 INSTITUTIONAL ENHANCEMENT	2,626,936	2,626,936	0	0	2,626,936	2,626,936
5 <i>Exceptional Item Request</i>						
1 EXCEPTIONAL ITEM REQUEST	0	0	5,653,000	3,457,010	5,653,000	3,457,010
TOTAL, GOAL 3	\$2,971,168	\$2,971,168	\$5,953,000	\$3,757,010	\$8,924,168	\$6,728,178

2.F. Summary of Total Request by Strategy
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/16/2012
 TIME : 9:47:31AM

Agency code: 759	Agency name: University of Houston - Clear Lake					
Goal/Objective/STRATEGY	Base 2014	Base 2015	Exceptional 2014	Exceptional 2015	Total Request 2014	Total Request 2015
6 Research Funds						
1 <i>Research Development Fund</i>						
1 RESEARCH DEVELOPMENT FUND	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL, GOAL 6	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL, AGENCY STRATEGY REQUEST	\$6,011,616	\$6,011,616	\$16,107,385	\$13,911,395	\$22,119,001	\$19,923,011
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST						
GRAND TOTAL, AGENCY REQUEST	\$6,011,616	\$6,011,616	\$16,107,385	\$13,911,395	\$22,119,001	\$19,923,011

2.F. Summary of Total Request by Strategy
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/16/2012
 TIME : 9:47:31AM

Agency code: 759		Agency name: University of Houston - Clear Lake				
Goal/Objective/STRATEGY	Base 2014	Base 2015	Exceptional 2014	Exceptional 2015	Total Request 2014	Total Request 2015
General Revenue Funds:						
1 General Revenue Fund	\$3,164,622	\$3,164,622	\$16,107,385	\$13,911,395	\$19,272,007	\$17,076,017
	\$3,164,622	\$3,164,622	\$16,107,385	\$13,911,395	\$19,272,007	\$17,076,017
General Revenue Dedicated Funds:						
704 Bd Authorized Tuition Inc	0	0	0	0	0	0
770 Est Oth Educ & Gen Inco	2,846,994	2,846,994	0	0	2,846,994	2,846,994
	\$2,846,994	\$2,846,994	\$0	\$0	\$2,846,994	\$2,846,994
TOTAL, METHOD OF FINANCING	\$6,011,616	\$6,011,616	\$16,107,385	\$13,911,395	\$22,119,001	\$19,923,011
FULL TIME EQUIVALENT POSITIONS	505.7	505.7	38.8	47.5	544.5	553.2

2.G. Summary of Total Request Objective Outcomes
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/16/2012
 Time: 9:47:31AM

Agency code: 759 Agency name: University of Houston - Clear Lake

Goal/ Objective / Outcome

		BL 2014	BL 2015	Excp 2014	Excp 2015	Total Request 2014	Total Request 2015
1	Provide Instructional and Operations Support						
1	<i>Provide Instructional and Operations Support</i>						
	16 Percent of Semester Credit Hours Completed						
		94.00%	94.00%			94.00%	94.00 %
KEY	17 Certification Rate of Teacher Education Graduates						
		95.00%	95.00%			95.00%	95.00 %
KEY	21 % of Baccalaureate Graduates Who Are 1st Generation College Graduates						
		30.00%	30.00%			30.00%	30.00 %
KEY	30 Dollar Value of External or Sponsored Research Funds (in Millions)						
		1.40	1.40			1.40	1.40
	31 External or Sponsored Research Funds As a % of State Appropriations						
		397.14%	397.14%			397.14%	397.14 %
	32 External Research Funds as Percentage Appropriated for Research						
		600.00%	600.00%			600.00%	600.00 %
KEY	33 Percent of Transfer Students Who Graduate within 4 Years						
		73.00%	73.00%			73.00%	73.00 %
	34 Graduation Rate-1st/Full-Time, Degree-Seeking White Transfers in 4 Yrs						
		74.00%	74.00%			74.00%	74.00 %

2.G. Summary of Total Request Objective Outcomes
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/16/2012
 Time: 9:47:31AM

Agency code: 759

Agency name: University of Houston - Clear Lake

Goal/ Objective / Outcome

	BL 2014	BL 2015	Excp 2014	Excp 2015	Total Request 2014	Total Request 2015
35 Graduation Rate-1st/Full-Time, Degree-Seeking Hisp Transfers in 4 Yrs	71.00%	71.00%			71.00%	71.00 %
36 Graduation Rate-1st/Full-Time, Degree-Seeking Black Transfers in 4 Yrs	65.00%	65.00%			65.00%	65.00 %
37 Graduation Rate-1st/Full-Time, Degree-seeking Other Transfers in 4 Yrs	69.00%	69.00%			69.00%	69.00 %
KEY 38 Percent of Transfer Students Who Graduate within 2 Years	34.00%	34.00%			34.00%	34.00 %
39 Graduation Rate-1st/Full-Time, Degree-Seeking White Transfers in 2 Yrs	35.00%	35.00%			35.00%	35.00 %
40 Graduation Rate-1st/Full-Time, Degree-Seeking Hisp Transfers in 2 Yrs	28.00%	28.00%			28.00%	28.00 %
41 Graduation Rate-1st/Full-Time, Degree-Seeking Black Transfers in 2 Yrs	23.00%	23.00%			23.00%	23.00 %
42 Graduation Rate-1st/Full-Time, Degree-seeking Other Transfers in 2 Yrs	35.00%	35.00%			35.00%	35.00 %
KEY 43 Persistence Rate-1st/Full-Time, Degree-Seeking Transfers after 1 Year	84.00%	84.00%			84.00%	84.00 %

2.G. Summary of Total Request Objective Outcomes
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/16/2012
 Time: 9:47:31AM

Agency code: 759 Agency name: University of Houston - Clear Lake

Goal/ Objective / Outcome	BL 2014	BL 2015	Excp 2014	Excp 2015	Total Request 2014	Total Request 2015
44 Persistence-1st/Full-Time, Degree-seeking White Transfers after 1Yr	84.00%	84.00%			84.00%	84.00 %
45 Persistence-1st/Full-Time, Degree-seeking Hisp Transfers after 1 Year	86.00%	86.00%			86.00%	86.00 %
46 Persistence - 1st/Full-Time, Degree-seeking Black Transfers after 1Yr	75.00%	75.00%			75.00%	75.00 %
47 Persistence - 1st/Full-Time, Degree-seeking Other Transfers after 1Yr	81.00%	81.00%			81.00%	81.00 %
48 % Endowed Professorships/ Chairs Unfilled All/ Part of Fiscal Year	0.00%	0.00%			0.00%	0.00 %
49 Average No Months Endowed Chairs Remain Vacant	0.00	0.00			0.00	0.00

3.A. Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/16/2012 9:47:31AM

759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Statewide Goal/Benchmark: 2 0
 Service Categories:
 Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014 ⁽¹⁾	BL 2015 ⁽¹⁾
Output Measures:						
1	Number of Undergraduate Degrees Awarded	1,197.00	1,194.00	1,196.00	1,198.00	1,215.00
2	Number of Minority Graduates	675.00	650.00	655.00	660.00	665.00
6	Number of Two-Year College Transfers Who Graduate	772.00	795.00	800.00	805.00	810.00
Efficiency Measures:						
KEY 1	Administrative Cost As a Percent of Operating Budget	13.10 %	12.20 %	12.20 %	12.30 %	12.30 %
Explanatory/Input Measures:						
1	Student/Faculty Ratio	16.00	15.40	15.00	15.00	15.00
2	Number of Minority Students Enrolled	2,639.00	2,755.00	2,802.00	2,927.00	3,051.00
3	Number of Community College Transfers Enrolled	3,288.00	3,363.00	3,293.00	3,346.00	3,399.00
4	Number of Semester Credit Hours Completed	64,716.00	65,189.00	65,189.00	65,615.00	66,271.00
5	Number of Semester Credit Hours	68,636.00	69,855.00	69,855.00	70,554.00	71,259.00
6	Number of Students Enrolled as of the Twelfth Class Day	8,099.00	8,185.00	8,185.00	8,267.00	8,350.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$11,840,492	\$11,832,160	\$12,322,139	\$0	\$0
1002	OTHER PERSONNEL COSTS	\$627,338	\$518,374	\$484,306	\$0	\$0
1005	FACULTY SALARIES	\$20,657,170	\$18,236,632	\$18,281,137	\$0	\$0

(1) - Formula funded strategies are not requested in 2014-15 because amounts are not determined by institutions.

3.A. Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/16/2012 9:47:31AM

759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Statewide Goal/Benchmark: 2 0
 Service Categories:
 Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014 ⁽¹⁾	BL 2015 ⁽¹⁾
2003	CONSUMABLE SUPPLIES	\$33,420	\$0	\$0	\$0	\$0
2004	UTILITIES	\$840	\$0	\$0	\$0	\$0
2005	TRAVEL	\$100	\$0	\$0	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$9,268	\$0	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$245,195	\$385,726	\$173,122	\$0	\$0
3001	CLIENT SERVICES	\$250	\$0	\$0	\$0	\$0
5000	CAPITAL EXPENDITURES	\$20,152	\$18,457	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$33,434,225	\$30,991,349	\$31,260,704	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$25,431,600	\$22,828,792	\$22,655,802	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$25,431,600	\$22,828,792	\$22,655,802	\$0	\$0
Method of Financing:						
704	Bd Authorized Tuition Inc	\$2,811,600	\$2,853,726	\$2,539,400	\$0	\$0
770	Est Oth Educ & Gen Inco	\$5,191,025	\$5,308,831	\$6,065,502	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$8,002,625	\$8,162,557	\$8,604,902	\$0	\$0

(1) - Formula funded strategies are not requested in 2014-15 because amounts are not determined by institutions.

3.A. Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/16/2012 9:47:31AM

759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Statewide Goal/Benchmark: 2 0
 Service Categories:
 Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014 ⁽¹⁾	BL 2015 ⁽¹⁾
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$33,434,225	\$30,991,349	\$31,260,704	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:		490.9	471.0	432.8	432.8	432.8

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Instruction and Operations Formula provides funding for faculty salaries, departmental operating expense, library, instructional administration, research enhancement, student services and institutional support. The funds are distributed on a weighted semester credit hour basis. The rate per weighted semester credit hour is established by the Legislature each biennium.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

(1) - Formula funded strategies are not requested in 2014-15 because amounts are not determined by institutions.

3.A. Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/16/2012 9:47:31AM

759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 3 Staff Group Insurance Premiums

Statewide Goal/Benchmark: 2 0
 Service Categories:
 Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$1,596,293	\$1,533,305	\$1,533,305	\$1,533,305	\$1,533,305
TOTAL, OBJECT OF EXPENSE		\$1,596,293	\$1,533,305	\$1,533,305	\$1,533,305	\$1,533,305
Method of Financing:						
770	Est Oth Educ & Gen Inco	\$1,596,293	\$1,533,305	\$1,533,305	\$1,533,305	\$1,533,305
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$1,596,293	\$1,533,305	\$1,533,305	\$1,533,305	\$1,533,305
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,533,305	\$1,533,305
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,596,293	\$1,533,305	\$1,533,305	\$1,533,305	\$1,533,305

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

This strategy is to provide proportional share of staff group insurance premiums paid from Other Educational and General funds.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

3.A. Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/16/2012 9:47:31AM

759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 4 Workers' Compensation Insurance

Statewide Goal/Benchmark: 2 0
 Service Categories:
 Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,240	\$0	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$105,505	\$115,000	\$115,000	\$193,454	\$193,454
TOTAL, OBJECT OF EXPENSE		\$106,745	\$115,000	\$115,000	\$193,454	\$193,454
Method of Financing:						
1	General Revenue Fund	\$80,980	\$86,300	\$86,300	\$193,454	\$193,454
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$80,980	\$86,300	\$86,300	\$193,454	\$193,454
Method of Financing:						
770	Est Oth Educ & Gen Inco	\$25,765	\$28,700	\$28,700	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$25,765	\$28,700	\$28,700	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$193,454	\$193,454
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$106,745	\$115,000	\$115,000	\$193,454	\$193,454
FULL TIME EQUIVALENT POSITIONS:						

3.A. Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/16/2012 9:47:31AM

759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 4 Workers' Compensation Insurance

Statewide Goal/Benchmark: 2 0
 Service Categories:
 Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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STRATEGY DESCRIPTION AND JUSTIFICATION:

The strategy funds the Worker's Compensation payments related to Educational and General funds.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

3.A. Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/16/2012 9:47:31AM

759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 6 Texas Public Education Grants

Statewide Goal/Benchmark: 2 0
 Service Categories:
 Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$1,189,934	\$1,184,751	\$1,313,689	\$1,313,689	\$1,313,689
TOTAL, OBJECT OF EXPENSE		\$1,189,934	\$1,184,751	\$1,313,689	\$1,313,689	\$1,313,689
Method of Financing:						
770	Est Oth Educ & Gen Inco	\$1,189,934	\$1,184,751	\$1,313,689	\$1,313,689	\$1,313,689
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$1,189,934	\$1,184,751	\$1,313,689	\$1,313,689	\$1,313,689
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,313,689	\$1,313,689
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,189,934	\$1,184,751	\$1,313,689	\$1,313,689	\$1,313,689

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

This strategy represents tuition set aside for the Texas Public Education Grants program as required by Section 56.033 of the Texas Education Code.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

3.A. Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/16/2012 9:47:31AM

759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 8 Hold Harmless

Statewide Goal/Benchmark: 3 0
 Service Categories:
 Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$0	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$0	\$0	\$0	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$0	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$0	\$0	\$0	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$0	\$0	\$0	\$0	\$0

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

UHCL received \$851,970 each year of the 2012-2013 biennium to hold UHCL at the average of all other intitutions of higher education. These funds are used to support the core functions of the university.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

3.A. Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/16/2012 9:47:31AM

759 University of Houston - Clear Lake

GOAL:	2	Provide Infrastructure Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Operation and Maintenance of E&G Space	Service Categories:		
STRATEGY:	1	Educational and General Space Support	Service: 10	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014 ⁽¹⁾	BL 2015 ⁽¹⁾
Efficiency Measures:						
1	Space Utilization Rate of Classrooms	29.00	31.00	31.30	31.60	31.90
2	Space Utilization Rate of Labs	20.00	22.00	22.20	22.40	22.70
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,973,590	\$2,071,805	\$2,039,305	\$0	\$0
1002	OTHER PERSONNEL COSTS	\$13,994	\$26,762	\$25,353	\$0	\$0
2002	FUELS AND LUBRICANTS	\$426	\$0	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$34,968	\$0	\$0	\$0	\$0
2004	UTILITIES	\$246,336	\$94,671	\$70,763	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$106,994	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$2,376,308	\$2,193,238	\$2,135,421	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$192,738	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$192,738	\$0	\$0	\$0	\$0
Method of Financing:						
770	Est Oth Educ & Gen Inco	\$2,183,570	\$2,193,238	\$2,135,421	\$0	\$0

(1) - Formula funded strategies are not requested in 2014-15 because amounts are not determined by institutions.

3.A. Strategy Request
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 Automated Budget and Evaluation System of Texas (ABEST)

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759 University of Houston - Clear Lake

GOAL: 2 Provide Infrastructure Support Statewide Goal/Benchmark: 2 0
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space Service Categories:
 STRATEGY: 1 Educational and General Space Support Service: 10 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014 ⁽¹⁾	BL 2015 ⁽¹⁾
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$2,183,570	\$2,193,238	\$2,135,421	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$2,376,308	\$2,193,238	\$2,135,421	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:		61.4	45.2	65.2	65.2	65.2

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Infrastructure Support formula distributes funding associated with plant-related formulas and utilities. This formula is driven by the predicted square feet for universities' educational and general activities produced by the Coordinating Board Space Projection Model. The portion of the formula related to utilities is adjusted to reflect differences in unit costs for purchased utilities, including electricity, natural gas, water and wastewater, and thermal energy.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

(1) - Formula funded strategies are not requested in 2014-15 because amounts are not determined by institutions.

3.A. Strategy Request
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759 University of Houston - Clear Lake

GOAL:	2	Provide Infrastructure Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Operation and Maintenance of E&G Space	Service Categories:		
STRATEGY:	2	Tuition Revenue Bond Retirement	Service: 10	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Objects of Expense:						
2008	DEBT SERVICE	\$1,725,000	\$0	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$1,299,310	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$3,024,310	\$0	\$0	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$3,024,310	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$3,024,310	\$0	\$0	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$3,024,310	\$0	\$0	\$0	\$0

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

Beginning FY12, University of Houston System Administration reports debt service for the Student Services Classroom Building and Arbor Building at University of Houston-Clear Lake.

3.A. Strategy Request
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759 University of Houston - Clear Lake

GOAL:	2	Provide Infrastructure Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Operation and Maintenance of E&G Space	Service Categories:		
STRATEGY:	2	Tuition Revenue Bond Retirement	Service: 10	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

3.A. Strategy Request
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759 University of Houston - Clear Lake

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 2 Research Special Item Support
 STRATEGY: 1 High Technologies Laboratory

Statewide Goal/Benchmark: 2 0
 Service Categories:
 Service: 21 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Objects of Expense:						
1001	SALARIES AND WAGES	\$23,585	\$33,073	\$37,778	\$37,778	\$37,778
2004	UTILITIES	\$354	\$377	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$6,450	\$8,414	\$4,086	\$4,086	\$4,086
5000	CAPITAL EXPENDITURES	\$26,918	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$57,307	\$41,864	\$41,864	\$41,864	\$41,864
Method of Financing:						
1	General Revenue Fund	\$57,307	\$41,864	\$41,864	\$41,864	\$41,864
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$57,307	\$41,864	\$41,864	\$41,864	\$41,864
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$41,864	\$41,864
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$57,307	\$41,864	\$41,864	\$41,864	\$41,864
FULL TIME EQUIVALENT POSITIONS:		1.3	1.6	1.8	1.8	1.8
STRATEGY DESCRIPTION AND JUSTIFICATION:						

3.A. Strategy Request
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759 University of Houston - Clear Lake

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	2	Research Special Item Support	Service Categories:		
STRATEGY:	1	High Technologies Laboratory	Service: 21	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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The High Technology Laboratory uses a collaborative model of research and development to enhance training and education of engineers, computer scientists, natural science, and to develop and transfer new technology to the Texas economy. The funds are spent on salaries, laboratory equipment and supplies needed to conduct research. The lab was created in FY83 as a project of the university and funded in FY84 as a special item. The mission is to integrate research and development activities in computer technology, information technology, electro-optical technology and telecommunications with the R&D needs of the U.S. Space Program.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

After the cancelation of NASA's Constellation Program by Obama administration and shifting the operational activities of NASA to private sectors, with the goal of focusing NASA's efforts more towards reaching planet Mars and beyond, there is an opportunity for NASA JSC to reestablish itself as a "Research and Development Center" rather than an "Operational Center". NASA/JSC can once again become our nation's hope for the future of the human exploration, research and development of space. The High Tech Lab provides an objective mechanism for conducting and reporting the needed research to reduce the Mission and Safety Critical risks of required computing and communications systems. Continued funding will ensure the continued development and support of joint research among NASA, UHCL, aerospace contractors and other industries. Any cyber sabotage or subversive acts will dramatically impact our region and ultimately economy of our State.

3.A. Strategy Request
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759 University of Houston - Clear Lake

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	2	Research Special Item Support	Service Categories:		
STRATEGY:	2	Houston Partnership for Environmental Studies	Service:	21	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Objects of Expense:						
1001	SALARIES AND WAGES	\$177,123	\$266,256	\$288,198	\$288,198	\$288,198
1002	OTHER PERSONNEL COSTS	\$931	\$0	\$0	\$0	\$0
2002	FUELS AND LUBRICANTS	\$701	\$0	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$5,584	\$288	\$0	\$0	\$0
2004	UTILITIES	\$7,305	\$9,519	\$0	\$0	\$0
2005	TRAVEL	\$1,675	\$0	\$0	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$4,637	\$7,789	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$58,560	\$18,516	\$14,170	\$14,170	\$14,170
5000	CAPITAL EXPENDITURES	\$50,223	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$306,739	\$302,368	\$302,368	\$302,368	\$302,368
Method of Financing:						
1	General Revenue Fund	\$306,739	\$302,368	\$302,368	\$302,368	\$302,368
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$306,739	\$302,368	\$302,368	\$302,368	\$302,368

3.A. Strategy Request
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759 University of Houston - Clear Lake

GOAL: 3 Provide Special Item Support Statewide Goal/Benchmark: 2 0
 OBJECTIVE: 2 Research Special Item Support Service Categories:
 STRATEGY: 2 Houston Partnership for Environmental Studies Service: 21 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$302,368	\$302,368
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$306,739	\$302,368	\$302,368	\$302,368	\$302,368
FULL TIME EQUIVALENT POSITIONS:		4.3	5.2	5.9	5.9	5.9

STRATEGY DESCRIPTION AND JUSTIFICATION:

This special item funds the Environmental Institute of Houston (EIH), a partnership among the University of Houston-Clear Lake, University of Houston, agencies, businesses and environmental organizations. EIH supports research, professional development for teachers & professionals, and broad based participatory efforts for environmental issue resolution. EIH provides research funding for faculty & students at both universities in four focus areas: pollution prevention, natural resource conservation, environmental public policy, and social/ cultural issues related to the environment. Balanced environmental education is an important activity and an outcome of this research effort. The focus of current research is to empower communities with technical tools to increase resiliency to disasters such as chemical spills, storms, flooding, and drought, while maximizing losses to critical ecosystem services including water quality treatment, fisheries production, flood mitigation, and transportation. Many state & federal agencies partner with EIH in research or education projects because our combined expertise enhances the services provided to the citizens of Texas. Ties with industry and environmental organizations permit EIH to facilitate dialog about environmental issues. EIH works toward a sustainable future by incorporating the views of all stakeholders and the breadth of university expertise from humanities to science. No other organization participating in resolution of environmental issues in Southeast Texas has the scientific & scholarly credibility and objectivity of EIH.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

3.A. Strategy Request
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759 University of Houston - Clear Lake

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	2	Research Special Item Support	Service Categories:		
STRATEGY:	2	Houston Partnership for Environmental Studies	Service:	21	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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Southeast Texas is a natural laboratory for studying the balance of economic development and environmental quality. Large industrial complexes are located adjacent to large human populations and surrounded by sensitive natural resources. The region has a long history of environmental disputes, but has gained a national reputation for broad-based consensus approaches to environmental planning and issue resolution. EIH is the major interface between the community and the UH System for participation in environmental discussions, e.g. bacteria reduction strategies. The emphasis on education reform has provided an impetus for enhancement of environmental education (EE) in Texas. EE is a strategy for improving student motivation and performance and enhance critical thinking skills needed for future science and technology careers. Agencies and businesses are less likely to have personnel to devote to environmental education activities. The services of EIH are in high demand for professional development of teachers and environmental professionals.

3.A. Strategy Request
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759 University of Houston - Clear Lake

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 4 Institutional Support Special Item Support
 STRATEGY: 1 Institutional Enhancement

Statewide Goal/Benchmark: 2 0
 Service Categories:
 Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$0	\$0	\$0	\$2,626,936	\$2,626,936
TOTAL, OBJECT OF EXPENSE		\$0	\$0	\$0	\$2,626,936	\$2,626,936
Method of Financing:						
1	General Revenue Fund	\$0	\$0	\$0	\$2,626,936	\$2,626,936
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$0	\$0	\$0	\$2,626,936	\$2,626,936
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$2,626,936	\$2,626,936
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$0	\$0	\$0	\$2,626,936	\$2,626,936

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

This strategy supports core functions of the university to include initiatives that impact student services and academic excellence.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

3.A. Strategy Request
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759 University of Houston - Clear Lake

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 5 Exceptional Item Request
 STRATEGY: 1 Exceptional Item Request

Statewide Goal/Benchmark: 2 0
 Service Categories:
 Service: NA Income: NA Age: NA

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Objects of Expense:						
1001	SALARIES AND WAGES	\$0	\$0	\$0	\$0	\$0
1005	FACULTY SALARIES	\$0	\$0	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$0	\$0	\$0	\$0	\$0
2005	TRAVEL	\$0	\$0	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$0	\$0	\$0	\$0	\$0
5000	CAPITAL EXPENDITURES	\$0	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$0	\$0	\$0	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$0	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$0	\$0	\$0	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$0	\$0	\$0	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:		0.0	0.0	0.0	0.0	0.0

3.A. Strategy Request
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759 University of Houston - Clear Lake

GOAL: 3 Provide Special Item Support
OBJECTIVE: 5 Exceptional Item Request
STRATEGY: 1 Exceptional Item Request

Statewide Goal/Benchmark: 2 0
Service Categories:
Service: NA Income: NA Age: NA

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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STRATEGY DESCRIPTION AND JUSTIFICATION:

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

3.A. Strategy Request
 83rd Regular Session, Agency Submission, Version 1
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759 University of Houston - Clear Lake

GOAL:	6	Research Funds	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Research Development Fund	Service Categories:		
STRATEGY:	1	Research Development Fund	Service: 21	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014 ⁽²⁾	BL 2015 ⁽²⁾
Objects of Expense:						
1001	SALARIES AND WAGES	\$34,771	\$28,995	\$0	\$0	\$0
2002	FUELS AND LUBRICANTS	\$187	\$0	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$7,303	\$9,484	\$0	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$0	\$542	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$7,208	\$15,175	\$54,196	\$0	\$0
5000	CAPITAL EXPENDITURES	\$32,694	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$82,163	\$54,196	\$54,196	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$82,163	\$54,196	\$54,196	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$82,163	\$54,196	\$54,196	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$82,163	\$54,196	\$54,196	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:		0.9	0.0	0.0	0.0	0.0

(2) - Research fund strategies are not requested because amounts are not determined by institutions.

3.A. Strategy Request
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759 University of Houston - Clear Lake

GOAL:	6	Research Funds			Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Research Development Fund			Service Categories:		
STRATEGY:	1	Research Development Fund			Service: 21	Income: A.2	Age: B.3
						(2)	(2)
CODE	DESCRIPTION		Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Research Development Fund is distributed among eligible institutions based on the average amount of restricted research funds expended by each institution per year for the three preceding fiscal years. The purpose of these funds is to promote research capacity.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

(2) - Research fund strategies are not requested because amounts are not determined by institutions.

3.A. Strategy Request

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SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$42,174,024	\$36,416,071	\$36,756,547	\$6,011,616	\$6,011,616
METHODS OF FINANCE (INCLUDING RIDERS):				\$6,011,616	\$6,011,616
METHODS OF FINANCE (EXCLUDING RIDERS):	\$42,174,024	\$36,416,071	\$36,756,547	\$6,011,616	\$6,011,616
FULL TIME EQUIVALENT POSITIONS:	558.8	523.0	505.7	505.7	505.7

(2) - Research fund strategies are not requested because amounts are not determined by institutions.

4.A. Exceptional Item Request Schedule
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/16/2012**
 TIME: **9:47:32AM**

Agency code: **759**

Agency name:

University of Houston - Clear Lake

CODE	DESCRIPTION	Excp 2014	Excp 2015
	Item Name: Downward Expansion		
	Item Priority: 1		
	Includes Funding for the Following Strategy or Strategies: 03-05-01 Exceptional Item Request		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	1,020,000	977,000
1005	FACULTY SALARIES	0	760,000
2003	CONSUMABLE SUPPLIES	53,000	65,000
2009	OTHER OPERATING EXPENSE	1,044,000	1,295,010
5000	CAPITAL EXPENDITURES	3,180,000	0
	TOTAL, OBJECT OF EXPENSE	\$5,297,000	\$3,097,010
METHOD OF FINANCING:			
1	General Revenue Fund	5,297,000	3,097,010
	TOTAL, METHOD OF FINANCING	\$5,297,000	\$3,097,010
	FULL-TIME EQUIVALENT POSITIONS (FTE):	27.80	36.50

DESCRIPTION / JUSTIFICATION:

During the 82nd Legislature (2011), UHCL received authority to offer lower division courses to freshman & sophomore students. This authority for downward expansion is a key component to expanding access to higher education & enhancing student success, which are key goals in the state's higher education plan Closing the Gaps by 2015. UHCL will join our UH System sister institutions by providing an additional entry point for access to higher education. As a system of institutions we will be better able to meet the needs of our students in the greater Houston region. This authority will provide UHCL the opportunity to recruit students from the local high schools & offer scholarship programs to attract high caliber students.

In preparation for this first year of admittance, efforts will focus on the establishment & funding of several aspects of student instruction & support that have previously not been a part of the campus & on the enhancement of several existing services. The highest priorities are curriculum development & the hiring of new faculty to teach core courses, development of a first year seminar, establishment of a testing center & staffing for a central advising center to guide students successfully through their first 45 hours. Expanding services in the following existing departments, Recruiting, Financial Aid & the Student Success Center (tutoring) are essential to meet the needs of these first time students & ensure a successful first year experience.

An additional implementation obstacle is the shortage of appropriate space in the core of campus to house faculty, staff & these new departments. The planned addition of science labs to meet the core requirements and the need for larger classrooms, along with space needs mentioned above, necessitates moving many of the university's back office operations off campus. These vacated spaces will be repurposed to meet the needs of this new population of students prior to their planned arrival in Fall 2014.

EXTERNAL/INTERNAL FACTORS:

4.A. Exceptional Item Request Schedule
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/16/2012
 TIME: 9:47:32AM

Agency code: 759 Agency name: University of Houston - Clear Lake

CODE	DESCRIPTION	Excp 2014	Excp 2015
	Item Name: Tuition Revenue Bond Item Priority: 2 Includes Funding for the Following Strategy or Strategies: 02-01-02 Tuition Revenue Bond Retirement		
OBJECTS OF EXPENSE:			
2008	DEBT SERVICE	10,154,385	10,154,385
	TOTAL, OBJECT OF EXPENSE	\$10,154,385	\$10,154,385
METHOD OF FINANCING:			
1	General Revenue Fund	10,154,385	10,154,385
	TOTAL, METHOD OF FINANCING	\$10,154,385	\$10,154,385

DESCRIPTION / JUSTIFICATION:

Debt Service Payment for 2 Buildings:

While the principal and interest debt service for new Tuition Revenue Bonds is being requested here, any amounts appropriated should be at UH System Administration.

Science and Academic Support Building: A new 160,000 gross sq. ft. facility will be needed by spring 2017, following implementation of downward expansion in fall 2014.

Enrollment is projected to increase approx. 21% from current enrollment by fall 2017. The projected space deficit in fall 2017 will exceed 33% of the available sq. footage.

UHCL exceeded the THECB targeted SUE combined score of 150 in fall 2011 and will continue to pursue high space utilization of this new facility. A major goal is to provide labs for science courses, provide two 100-seat lecture halls to accommodate large core curriculum classes, add faculty and staff offices and provide add'l facilities to support research and acad programs in the STEM fields. This project will require renovation of approx. 30,000 sq. ft. of existing facilities to meet the space needs for staff functions required to support this initiative.

Student Access and Classroom Building: A 117,500 sq. ft. bldg. will be needed by fall 2018. Enrollment is projected to increase approx. 21% from current enroll by fall 2017. With approval of priority 1 TRB item (the Science and Acad Support Bldg), the projected deficit will still exceed 12.6% of the available sq. footage. Completion of this bldg. will not completely eliminate the projected space deficit. A major goal for this bldg. is to establish a 1-stop enroll mgmt center. Includes fin aid, admissions, student records, cashiers, Acad Advising Ctr, Testing Ctr and theatre style seating room for orientation/community functions. Space vacated in Student Services Classrm Bldg will provide space for student support services. A 2nd goal is to provide 30,000 sq. ft. to add classrooms, faculty offices and relocate the Police Dept.

EXTERNAL/INTERNAL FACTORS:

Interest rate assumptions used for Debt Service estimates at 6% for 20 years as provided by Texas Public Finance Authority.

4.A. Exceptional Item Request Schedule
 83rd Regular Session, Agency Submission, Version 1
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DATE: 10/16/2012
 TIME: 9:47:32AM

Agency code: 759

Agency name:

University of Houston - Clear Lake

CODE	DESCRIPTION	Excp 2014	Excp 2015
	Item Name: Center for Autism and Developmental Disabilities		
	Item Priority: 3		
	Includes Funding for the Following Strategy or Strategies: 03-05-01 Exceptional Item Request		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	255,000	255,000
1005	FACULTY SALARIES	60,000	64,000
2003	CONSUMABLE SUPPLIES	10,000	10,000
2005	TRAVEL	6,000	6,000
2009	OTHER OPERATING EXPENSE	25,000	25,000
	TOTAL, OBJECT OF EXPENSE	\$356,000	\$360,000
METHOD OF FINANCING:			
1	General Revenue Fund	356,000	360,000
	TOTAL, METHOD OF FINANCING	\$356,000	\$360,000
	FULL-TIME EQUIVALENT POSITIONS (FTE):	8.00	8.00

DESCRIPTION / JUSTIFICATION:

Established in March 2008, the UHCL Center for Autism and Developmental Disabilities (CADD) is staffed by faculty and students in the Applied Behavior Analysis, School Psychology, and Family Therapy graduate programs. The Center's goals are to support research on autism and developmental disabilities, train current and future professionals to serve as leaders in the fields of psychology and education, and provide services to children and their families through partnerships with area school districts and community organizations. Current Center activities include school-based consultation for teachers of children with autism and developmental disabilities, clinic-based intensive behavior therapy for children with autism ages 3 to 8, clinic-based language therapy and parent training for individuals of any age with a neurodevelopmental disability, clinic-based assessment and intervention for severe behavior disorders, a parent education program for Spanish-speaking families, and comprehensive diagnostic assessments for children suspected of having a disability. The Center currently partners with a number of public and private agencies and foundations to provide these services, including the Texas Department of Assistive and Rehabilitative Services, Autism Speaks, the Brown Foundation, the Simmons Foundation, and the George and Mary Josephine Hamman Foundation.

Funds for materials, equipment, student stipends, and staff support will provide the Center with the much-needed infrastructure to attract additional funding from national agencies such as National Institutes of Health and the Department of Education and expand vital services to an increased number of children afflicted with autism and other developmental disabilities. More than 200 families are currently waiting for services through the Center. The school districts include Clear Creek ISD, Pasadena ISD and Pearland ISD. Goose Creek ISD and Channelview ISD have approached UHCL about possible contracts.

EXTERNAL/INTERNAL FACTORS:

4.A. Exceptional Item Request Schedule
83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/16/2012**
TIME: **9:47:32AM**

Agency code: **759**

Agency name:

University of Houston - Clear Lake

CODE	DESCRIPTION	Excp 2014	Excp 2015
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The focus on research and services for individuals with autism has exploded both nationally and locally. A recent report by the Centers for Disease Control and Prevention found that 1 in 88 children have an Autism Spectrum Disorder (ASD) and 1 in 6 children have a developmental disability. The Texas Health and Human Services Commission estimates that ~50,000 children in TX have an ASD, with the majority residing in the Gulf Coast and Metroplex Regions. The demand for services also is expected to increase in Texas as a result of a state law passed in 2007, which requires health plans to provide coverage for autistic children two to six years of age (Tex. Stat. Ann. Ins. § 1355.015). Nonetheless, Texas has a serious shortage of individuals who are qualified to work with this population. As the 4th most populous city in the U.S., Houston is well positioned to advance research, training, and treatment through a university-based Center for Autism and Developmental Disabilities. University of Houston-Clear Lake has already secured more than \$1 million in contracts from local school districts, foundations, and state agencies since August 2005 to provide treatment, training, and services to individuals with autism and their caregivers.

4.A. Exceptional Item Request Schedule
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/16/2012
 TIME: 9:47:32AM

Agency code: 759

Agency name:

University of Houston - Clear Lake

CODE	DESCRIPTION	Excp 2014	Excp 2015
	Item Name: Houston Partnership for Environmental Studies		
	Item Priority: 4		
	Includes Funding for the Following Strategy or Strategies: 03-02-02 Houston Partnership for Environmental Studies		
 OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	78,000	78,000
2009	OTHER OPERATING EXPENSE	20,000	20,000
5000	CAPITAL EXPENDITURES	202,000	202,000
	TOTAL, OBJECT OF EXPENSE	\$300,000	\$300,000
 METHOD OF FINANCING:			
1	General Revenue Fund	300,000	300,000
	TOTAL, METHOD OF FINANCING	\$300,000	\$300,000
	FULL-TIME EQUIVALENT POSITIONS (FTE):	3.00	3.00

DESCRIPTION / JUSTIFICATION:

This special item funds the Environmental Institute of Houston (EIH), a partnership among the University of Houston-Clear Lake, University of Houston, agencies, businesses & environmental organizations. EIH supports research, professional development for teachers & professionals, and broad based participatory efforts for environmental issue resolution. EIH provides research funding for faculty & students at both universities in four focus areas: pollution prevention, natural resource conservation, environmental public policy, & social/ cultural issues related to the environment. Balanced environmental education is an important activity & an outcome of this research effort. The focus of current research is to empower communities with technical tools to increase resiliency to disasters such as chemical spills, storms, flooding, & drought, while minimizing losses to critical ecosystem services including water quality treatment, fisheries production, flood mitigation, and transportation. Many state and federal agencies partner with EIH in research or education projects because our combined expertise enhances the services provided to the citizens of Texas. Ties with industry and environmental organizations permit EIH to facilitate dialog about environmental issues. EIH works toward a sustainable future by incorporating the views of all stakeholders and the breadth of university expertise from humanities to science. No other organization participating in resolution of environmental issues in Southeast Texas has the scientific and scholarly credibility and objectivity of EIH.

Local communities and educators have identified the need for additional infrastructure to enhance research and technical support in the areas of ecosystem analysis to address critical regional environmental problems including increasing community resiliency and maintaining ecosystem services. Addition of database staff alone and critical instrumentation would increase external funding by 50% alone.

EXTERNAL/INTERNAL FACTORS:

4.A. Exceptional Item Request Schedule
83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/16/2012**
TIME: **9:47:32AM**

Agency code: **759**

Agency name:

University of Houston - Clear Lake

CODE	DESCRIPTION	Excp 2014	Excp 2015
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Southeast Texas is a natural laboratory for studying the balance of economic development and environmental quality. Large industrial complexes are located adjacent to large human populations and surrounded by sensitive natural resources. The region has a long history of environmental disputes, but has gained a national reputation for broad-based consensus approaches to environmental planning and issue resolution. EIH is the major interface between the community and the UH System for participation in environmental discussions, e.g. bacteria reduction strategies. The emphasis on education reform has provided an impetus for enhancement of environmental education (EE) in Texas. EE is a strategy for improving student motivation and performance and enhance critical thinking skills needed for future science and technology careers. Agencies and businesses are less likely to have personnel to devote to environmental education activities. The services of EIH are in high demand for professional development of teachers and environmental professionals.

4.B. Exceptional Items Strategy Allocation Schedule
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/16/2012
 TIME: 9:47:32AM

Agency code: **759** Agency name: **University of Houston - Clear Lake**

Code	Description	Excp 2014	Excp 2015
Item Name: Downward Expansion			
Allocation to Strategy: 3-5-1 Exceptional Item Request			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	1,020,000	977,000
1005	FACULTY SALARIES	0	760,000
2003	CONSUMABLE SUPPLIES	53,000	65,000
2009	OTHER OPERATING EXPENSE	1,044,000	1,295,010
5000	CAPITAL EXPENDITURES	3,180,000	0
TOTAL, OBJECT OF EXPENSE		\$5,297,000	\$3,097,010
METHOD OF FINANCING:			
1 General Revenue Fund		5,297,000	3,097,010
TOTAL, METHOD OF FINANCING		\$5,297,000	\$3,097,010
FULL-TIME EQUIVALENT POSITIONS (FTE):		27.8	36.5

4.B. Exceptional Items Strategy Allocation Schedule
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/16/2012
 TIME: 9:47:32AM

Agency code: **759** Agency name: **University of Houston - Clear Lake**

Code	Description	Excp 2014	Excp 2015
Item Name: Tuition Revenue Bond			
Allocation to Strategy: 2-1-2 Tuition Revenue Bond Retirement			
OBJECTS OF EXPENSE:			
2008	DEBT SERVICE	10,154,385	10,154,385
TOTAL, OBJECT OF EXPENSE		\$10,154,385	\$10,154,385
METHOD OF FINANCING:			
1	General Revenue Fund	10,154,385	10,154,385
TOTAL, METHOD OF FINANCING		\$10,154,385	\$10,154,385

4.B. Exceptional Items Strategy Allocation Schedule
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/16/2012
 TIME: 9:47:32AM

Agency code: **759** Agency name: **University of Houston - Clear Lake**

Code	Description	Excp 2014	Excp 2015
Item Name: Center for Autism and Developmental Disabilities			
Allocation to Strategy: 3-5-1 Exceptional Item Request			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	255,000	255,000
1005	FACULTY SALARIES	60,000	64,000
2003	CONSUMABLE SUPPLIES	10,000	10,000
2005	TRAVEL	6,000	6,000
2009	OTHER OPERATING EXPENSE	25,000	25,000
TOTAL, OBJECT OF EXPENSE		\$356,000	\$360,000
METHOD OF FINANCING:			
1 General Revenue Fund		356,000	360,000
TOTAL, METHOD OF FINANCING		\$356,000	\$360,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		8.0	8.0

4.B. Exceptional Items Strategy Allocation Schedule
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/16/2012
 TIME: 9:47:32AM

Agency code: 759 Agency name: University of Houston - Clear Lake

Code	Description	Excp 2014	Excp 2015
Item Name: Houston Partnership for Environmental Studies			
Allocation to Strategy: 3-2-2 Houston Partnership for Environmental Studies			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	78,000	78,000
2009	OTHER OPERATING EXPENSE	20,000	20,000
5000	CAPITAL EXPENDITURES	202,000	202,000
TOTAL, OBJECT OF EXPENSE		\$300,000	\$300,000
METHOD OF FINANCING:			
1	General Revenue Fund	300,000	300,000
TOTAL, METHOD OF FINANCING		\$300,000	\$300,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		3.0	3.0

4.C. Exceptional Items Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/16/2012
TIME: 9:47:32AM

Agency Code: **759** Agency name: **University of Houston - Clear Lake**

GOAL: 2 Provide Infrastructure Support Statewide Goal/Benchmark: 2 - 0
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space Service Categories:
 STRATEGY: 2 Tuition Revenue Bond Retirement Service: 10 Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2014	Excp 2015
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OBJECTS OF EXPENSE:

2008	DEBT SERVICE	10,154,385	10,154,385
Total, Objects of Expense		\$10,154,385	\$10,154,385

METHOD OF FINANCING:

1	General Revenue Fund	10,154,385	10,154,385
Total, Method of Finance		\$10,154,385	\$10,154,385

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Tuition Revenue Bond

4.C. Exceptional Items Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/16/2012
TIME: 9:47:32AM

Agency Code: **759** Agency name: **University of Houston - Clear Lake**

GOAL: 3 Provide Special Item Support Statewide Goal/Benchmark: 2 - 0

OBJECTIVE: 2 Research Special Item Support Service Categories:

STRATEGY: 2 Houston Partnership for Environmental Studies Service: 21 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2014	Excp 2015
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	78,000	78,000
2009 OTHER OPERATING EXPENSE	20,000	20,000
5000 CAPITAL EXPENDITURES	202,000	202,000
Total, Objects of Expense	\$300,000	\$300,000
METHOD OF FINANCING:		
1 General Revenue Fund	300,000	300,000
Total, Method of Finance	\$300,000	\$300,000
FULL-TIME EQUIVALENT POSITIONS (FTE):	3.0	3.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Houston Partnership for Environmental Studies

4.C. Exceptional Items Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/16/2012
TIME: 9:47:32AM

Agency Code: **759** Agency name: **University of Houston - Clear Lake**

GOAL: 3 Provide Special Item Support Statewide Goal/Benchmark: 2 - 0

OBJECTIVE: 5 Exceptional Item Request Service Categories:

STRATEGY: 1 Exceptional Item Request Service: NA Income: NA Age: NA

CODE DESCRIPTION	Excp 2014	Excp 2015
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	1,275,000	1,232,000
1005 FACULTY SALARIES	60,000	824,000
2003 CONSUMABLE SUPPLIES	63,000	75,000
2005 TRAVEL	6,000	6,000
2009 OTHER OPERATING EXPENSE	1,069,000	1,320,010
5000 CAPITAL EXPENDITURES	3,180,000	0
Total, Objects of Expense	\$5,653,000	\$3,457,010

METHOD OF FINANCING:		
1 General Revenue Fund	5,653,000	3,457,010
Total, Method of Finance	\$5,653,000	\$3,457,010

FULL-TIME EQUIVALENT POSITIONS (FTE): 35.8 44.5

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Downward Expansion
 Center for Autism and Developmental Disabilities

6.A. Historically Underutilized Business Supporting Schedule
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/16/2012**
 Time: **9:47:33AM**

Agency Code: **759** Agency: **University of Houston - Clear Lake**

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year 2010 - 2011 HUB Expenditure Information

Statewide HUB Goals	Procurement Category	% Goal	HUB Expenditures FY 2010			Total Expenditures FY 2010		HUB Expenditures FY 2011			Total Expenditures FY 2011	
			% Actual	Diff	Actual \$	% Goal	% Actual	Diff	Actual \$	% Goal	% Actual	Diff
11.9%	Heavy Construction	0.0 %	0.0%	0.0%	\$0	\$0	0.0 %	0.0%	0.0%	\$0	\$0	
26.1%	Building Construction	22.5 %	22.5%	0.0%	\$294,160	\$1,305,639	13.2 %	13.2%	0.0%	\$367,413	\$2,773,852	
57.2%	Special Trade Construction	61.4 %	61.5%	0.1%	\$633,229	\$1,030,054	10.1 %	10.1%	0.0%	\$794,124	\$7,860,059	
20.0%	Professional Services	9.1 %	9.1%	0.0%	\$2,350	\$25,927	0.0 %	0.0%	0.0%	\$0	\$6,851	
33.0%	Other Services	18.9 %	19.0%	0.1%	\$664,425	\$3,504,164	20.6 %	20.6%	0.0%	\$696,906	\$3,380,853	
12.6%	Commodities	53.8 %	53.8%	0.0%	\$2,991,191	\$5,558,453	54.6 %	54.6%	0.0%	\$3,448,773	\$6,315,501	
	Total Expenditures		40.1%		\$4,585,355	\$11,424,237		26.1%		\$5,307,216	\$20,337,116	

B. Assessment of Fiscal Year 2010 - 2011 Efforts to Meet HUB Procurement Goals

Attainment:

The agency attained or exceeded two of five, or 40% of the applicable statewide HUB procurement goals in fiscal year 2010. The agency attained or exceeded one of five, of 20% of the applicable statewide HUB procurement goals in fiscal year 2011.

Applicability:

The "Heavy Construction" category does not apply to agency operations in fiscal year 2010 or 2011. The University of Houston System handles all heavy construction projects for the entire system and are not procured by agency.

Factors Affecting Attainment:

The "Special Trade" category for FY2010 subcontracting opportunities was awarded to a HUB with total expenditures of 61.4%. FY2011 building renovations was awarded to a non-hub and only had a 10.1% for HUB subcontracting opportunities. In the "Commodity" category the total spent with all HUBs for 2010 was 53.8% and 2011 was 54.6%. Overall expenditures with HUBs for the agency for 2010 was 40.1% and 2011 was 26.1%.

"Good-Faith" Efforts:

Agency sponsors annual HUB Fair to promote HUBs to University departments and within community. Included in Purchasing training is information regarding the use of HUBs when they are available. Exhibit at HUB procurement expos sponsored by the Houston Minority Business Council (HMBC) and City of Houston. Attend HUB discussion meetings to keep up with HUB regulations. FY2010 agency was ranked seventh (7th) for agencies spending more than \$5 million with the largest percentages spent with HUBs. Encouraged HUBs to become state certified.

University of Houston - Clear Lake (759)

6.H. Estimated Total of Agency Funds Inside and Outside the Institution's Bill Pattern

	2012 - 2013 Biennium				2014 - 2015 Biennium			
	FY 2012 Revenue	FY 2013 Revenue	Biennium Total	Percent of Total	FY 2014 Revenue	FY 2015 Revenue	Biennium Total	Percent of Total
APPROPRIATED SOURCES INSIDE THE BILL PATTERN								
State Appropriations (excluding HEGL and State Paid Benefits)	\$ 22,945,355	\$ 22,772,365	\$ 45,717,720		\$ 22,772,365	\$ 22,772,365	\$ 45,544,730	
Tuition and Fees (net of Discounts and Allowances)	13,542,329	13,779,632	27,321,961		13,779,632	13,779,632	27,559,264	
Endowment and Interest Income	45,000	45,000	90,000		45,000	45,000	90,000	
Sales and Services of Educational Activities (net)	23,000	23,000	46,000		23,000	23,000	46,000	
Sales and Services of Hospitals (net)	-	-	-		-	-	-	
Other Income	-	-	-		-	-	-	
Total	36,555,684	36,619,997	73,175,681	36.6%	36,619,997	36,619,997	73,239,994	36.5%
APPROPRIATED SOURCES OUTSIDE THE BILL PATTERN								
State Appropriations (including HEGL and State Paid Benefits)	6,146,764	6,298,252	12,445,016		6,298,252	6,298,252	12,596,504	
Higher Education Assistance Funds	5,214,167	5,214,167	10,428,334		5,214,167	5,214,167	10,428,334	
Available University Fund	-	-	-		-	-	-	
State Grants and Contracts	268,117	-	268,117		-	-	-	
Total	11,629,048	11,512,419	23,141,467	11.6%	11,512,419	11,512,419	23,024,838	11.5%
NON-APPROPRIATED SOURCES								
Tuition and Fees (net of Discounts and Allowances)	35,741,330	36,888,372	72,629,702		36,888,372	36,888,372	73,776,744	
Federal Grants and Contracts	11,573,304	11,518,825	23,092,129		11,518,825	11,518,825	23,037,650	
Local Government Grants and Contracts	-	-	-		-	-	-	
Private Gifts and Grants	455,115	281,175	736,290		281,175	281,175	562,350	
Endowment and Interest Income	822,688	562,023	1,384,711		562,023	562,023	1,124,046	
Sales and Services of Educational Activities (net)	1,209,821	1,245,621	2,455,442		1,245,621	1,245,621	2,491,242	
Sales and Services of Hospitals (net)	-	-	-		-	-	-	
Professional Fees (net)	-	-	-		-	-	-	
Auxiliary Enterprises (net)	1,526,014	1,488,914	3,014,928		1,488,914	1,488,914	2,977,828	
Other Income	244,888	232,792	477,680		232,792	232,792	465,584	
Total	51,573,160	52,217,722	103,790,882	51.9%	52,217,722	52,217,722	104,435,444	52.0%
TOTAL SOURCES	\$ 99,757,892	\$ 100,350,138	\$ 200,108,030	100.0%	\$ 100,350,138	\$ 100,350,138	\$ 200,700,276	100.0%

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/16/2012

Time: 9:47:33AM

Agency code: 759 Agency name: University of Houston - Clear Lake

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2014	2015	Biennial Total	2014	2015	Biennial Total	
1 High Technologies Laboratory							
Category: Programs - Service Reductions (Other)							
Item Comment: Reduction to operating expenses that are used for supporting new research activities. A reduction could impact the ability to leverage funding for new research.							
Strategy: 3-2-1 High Technologies Laboratory							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$4,500	\$4,500	\$9,000	
General Revenue Funds Total	\$0	\$0	\$0	\$4,500	\$4,500	\$9,000	
Item Total	\$0	\$0	\$0	\$4,500	\$4,500	\$9,000	
FTE Reductions (From FY 2014 and FY 2015 Base Request)							
2 Environmental Studies Partnership							
Category: Programs - Service Reductions (Other)							
Item Comment: The ability to compete for external funding would be severely compromised. Teacher training programs in environmental sciences would be cut which would directly affect the ability of teachers to administer science programs designed to meet the mandates of the "no child left behind" initiative. Valuable services to the community including technical outreach and applied research would be reduced. Based on these projected cuts there could be staff reductions which would include teacher trainers and scientific support staff.							
Strategy: 3-2-2 Houston Partnership for Environmental Studies							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$15,000	\$15,000	\$30,000	
General Revenue Funds Total	\$0	\$0	\$0	\$15,000	\$15,000	\$30,000	
Item Total	\$0	\$0	\$0	\$15,000	\$15,000	\$30,000	
FTE Reductions (From FY 2014 and FY 2015 Base Request)							

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/16/2012

Time: 9:47:33AM

Agency code: 759 Agency name: University of Houston - Clear Lake

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2014	2015	Biennial Total	2014	2015	Biennial Total	
3 Worker's Compensation Insurance							
Category: Administrative - Operating Expenses							
Item Comment: Reductions in coverage in the university comprehensive insurance program.							
Strategy: 1-1-4 Workers' Compensation Insurance							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$16,000	\$16,000	\$32,000	
General Revenue Funds Total	\$0	\$0	\$0	\$16,000	\$16,000	\$32,000	
Item Total	\$0	\$0	\$0	\$16,000	\$16,000	\$32,000	
FTE Reductions (From FY 2014 and FY 2015 Base Request)							
4 Hold Harmless							
Category: Programs - Service Reductions (Other)							
Item Comment: This funding has been critical to maintain core operations. Loss of funding would have a negative impact on academic programs, delivery of instruction, student access and success. This would include the loss of four academic programs and a 20% reduction to summer school offerings, which would increase time to graduation for students.							
Strategy: 1-1-8 Hold Harmless							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$280,000	\$281,924	\$561,924	
General Revenue Funds Total	\$0	\$0	\$0	\$280,000	\$281,924	\$561,924	
Item Total	\$0	\$0	\$0	\$280,000	\$281,924	\$561,924	
FTE Reductions (From FY 2014 and FY 2015 Base Request)							
AGENCY TOTALS							
General Revenue Total				\$315,500	\$317,424	\$632,924	\$632,924

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/16/2012

Time: 9:47:33AM

Agency code: 759 Agency name: University of Houston - Clear Lake

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2014	2015	Biennial Total	2014	2015	Biennial Total	
Agency Grand Total	\$0	\$0	\$0	\$315,500	\$317,424	\$632,924	
Difference, Options Total Less Target							
Agency FTE Reductions (From FY 2014 and FY 2015 Base Request)							

Schedule 1A: Other Educational and General Income

10/16/2012 9:47:34AM

83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

759 University of Houston - Clear Lake					
	Act 2011	Act 2012	Bud 2013	Est 2014	Est 2015
Gross Tuition					
Gross Resident Tuition	9,593,097	9,630,329	9,505,160	9,505,160	9,505,160
Gross Non-Resident Tuition	6,638,650	7,315,076	7,564,890	7,564,890	7,564,890
Gross Tuition	16,231,747	16,945,405	17,070,050	17,070,050	17,070,050
Less: Remissions and Exemptions	(2,674,446)	(3,259,274)	(3,291,218)	(3,391,218)	(3,491,218)
Less: Refunds	0	0	0	0	0
Less: Installment Payment Forfeits	0	0	0	0	0
Less: Board Authorized Tuition Increases (TX. Educ. Code Ann. Sec. 54.008)	(2,811,600)	(2,853,726)	(2,539,400)	(2,539,400)	(2,539,400)
Less: Tuition increases charged to doctoral students with hours in excess of 100 (TX. Educ. Code Ann. Sec. 54.012)	0	0	0	0	0
Less: Tuition increases charged to undergraduate students with excessive hours above degree requirements. (TX. Educ. Code Ann. Sec. 61.0595)	(114,464)	(205,017)	(101,530)	(101,530)	(101,530)
Less: Tuition rebates for certain undergraduates (TX. Educ. Code Ann. Sec. 54.0065)	0	0	0	0	0
Plus: Tuition waived for Students 55 Years or Older (TX. Educ. Code Ann. Sec. 54.013)	0	0	0	0	0
Less: Tuition for repeated or excessive hours (TX. Educ. Code Ann. Sec. 54.014)	(105,630)	(93,914)	(90,480)	(90,480)	(90,480)
Plus: Tuition waived for Texas Grant Recipients (TX. Educ. Code Ann. Sec. 56.307)	0	0	0	0	0
Subtotal	10,525,607	10,533,474	11,047,422	10,947,422	10,847,422
Less: Transfer of Tuition to Retirement of Indebtedness: 1) Skiles Act	0	0	0	0	0
Less: Transfer of funds for Texas Public Education Grants Program (Tex. Educ. Code Ann. Sec. 56e) and for Emergency Loans (Tex. Educ. Code Ann. Sec. 56d)	(1,189,934)	(1,184,751)	(1,313,689)	(1,313,689)	(1,313,689)
Less: Transfer of Funds (2%) for Emergency Loans (Medical Schools)	0	0	0	0	0
Less: Transfer of Funds for Repayment of Student Loans of Physicians (Tx. Educ. Code Ann. Sec. 61.539)	0	0	0	0	0
Less: Statutory Tuition (Tx. Educ. Code Ann. Sec. 54.051) Set Aside for Doctoral Incentive Loan Repayment Program (Tx. Educ. Code Ann. Sec. 56.095)	0	0	0	0	0

Schedule 1A: Other Educational and General Income

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759 University of Houston - Clear Lake					
	Act 2011	Act 2012	Bud 2013	Est 2014	Est 2015
Less: Other Authorized Deduction					
Net Tuition	9,335,673	9,348,723	9,733,733	9,633,733	9,533,733
Student Teaching Fees	0	0	0	0	0
Special Course Fees	0	0	0	0	0
Laboratory Fees	0	0	0	0	0
Subtotal, Tuition and Fees	9,335,673	9,348,723	9,733,733	9,633,733	9,533,733
OTHER INCOME					
Interest on General Funds:					
Local Funds in State Treasury	49,842	31,122	45,000	30,000	30,000
Funds in Local Depositories, e.g., local amounts	0	0	0	0	0
Other Income (Itemize)					
Miscellaneous Revenue	39,890	45,309	23,800	30,000	30,000
Subtotal, Other Income	89,732	76,431	68,800	60,000	60,000
Subtotal, Other Educational and General Income	9,425,405	9,425,154	9,802,533	9,693,733	9,593,733
Less: O.A.S.I. Applicable to Educational and General Local Funds Payrolls	(667,954)	(647,293)	(650,225)	(650,225)	(650,225)
Less: Teachers Retirement System and ORP Proportionality for Educational and General Funds	(426,728)	(387,682)	(397,374)	(397,374)	(397,374)
Less: Staff Group Insurance Premiums	(1,596,293)	(1,533,305)	(1,533,305)	(1,533,305)	(1,533,305)
Total, Other Educational and General Income	6,734,430	6,856,874	7,221,629	7,112,829	7,012,829
Reconciliation to Summary of Request for FY 2011-2011:					
Plus: Transfer of Tuition for Retirement of Indebtedness - Skiles Act	0	0	0	0	0
Plus: Transfer of Funds for Texas Public Education Grants Program and Emergency Loans	1,189,934	1,184,751	1,313,689	1,313,689	1,313,689
Plus: Transfer of Funds 2% for Emergency Loans (Medical Schools)	0	0	0	0	0
Plus: Transfer of Funds for Cancellation of Student Loans of Physicians	0	0	0	0	0
Plus: Organized Activities	0	0	0	0	0

Schedule 1A: Other Educational and General Income

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	Act 2011	Act 2012	Bud 2013	Est 2014	Est 2015
Plus: Staff Group Insurance Premiums	1,596,293	1,533,305	1,533,305	1,533,305	1,533,305
Plus: Board-authorized Tuition Income	2,811,600	2,853,726	2,539,400	2,539,400	2,539,400
Plus: Tuition Increases Charged to Doctoral Students with Hours in Excess of 100	0	0	0	0	0
Plus: Tuition Increases Charged to Undergraduate Students with Excessive Hours above Degree Requirements (TX. Educ. Code Ann. Sec. 61.0595)	114,464	205,017	101,530	101,530	101,530
Plus: Tuition rebates for certain undergraduates (TX Educ.Code Ann. Sec. 54.0065)	0	0	0	0	0
Plus: Tuition for repeated or excessive hours (TX. Educ. Code Ann. Sec. 54.014)	105,630	93,914	90,480	90,480	90,480
Less: Tuition Waived for Students 55 Years or Older	0	0	0	0	0
Less: Tuition Waived for Texas Grant Recipients	0	0	0	0	0
Total, Other Educational and General Income Reported on Summary of Request	12,552,351	12,727,587	12,800,033	12,691,233	12,591,233

Schedule 2: Selected Educational, General and Other Funds

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	Act 2011	Act 2012	Bud 2013	Est 2014	Est 2015
General Revenue Transfers					
Transfer from Coordinating Board for Advanced Research Program	0	0	0	0	0
Transfer from Coordinating Board for Texas College Work Study Program (2011, 2012, 2013)	36,793	32,848	0	0	0
Transfer from Coordinating Board for Professional Nursing Shortage Reduction Program	0	0	0	0	0
Transfer of GR Group Insurance Premium from Comptroller (UT and TAMU Components only)	0	0	0	0	0
Less: Transfer to Other Institutions	0	0	0	0	0
Less: Transfer to Department of Health, Disproportionate Share - State-Owned Hospitals (2011, 2012, 2013)	0	0	0	0	0
Other (Itemize)					
License Plate Insignia Scholarship	2,384	1,295	0	0	0
Other: Fifth Year Accounting Scholarship	76,252	20,217	0	0	0
Texas Grants	862,698	1,071,667	0	0	0
B-on-Time Program	31,791	130,962	169,615	0	0
Less: Transfer to System Administration	0	0	0	0	0
Subtotal, General Revenue Transfers	1,009,918	1,256,989	169,615	0	0
General Revenue HEF for Operating Expenses	5,214,167	5,214,167	5,214,167	5,214,167	5,214,167
Transfer from Available University Funds (UT, A&M and Prairie View A&M Only)	0	0	0	0	0
Other Additions (Itemize)					
Increase Capital Projects - Educational and General Funds	0	0	0	0	0
Transfer from Department of Health, Disproportionate Share - State-owned Hospitals (2011, 2012, 2013)	0	0	0	0	0
Transfers from Other Funds, e.g., Designated funds transferred for educational and general activities (Itemize)	0	0	0	0	0
Transfer from Coordinating Board for Incentive Funding	547,832	0	0	0	0
Other (Itemize)					
Gross Designated Tuition (Sec. 54.0513)	21,658,552	23,863,167	24,420,085	24,420,085	24,420,085
Indirect Cost Recovery (Sec. 145.001(d))	255,512	189,790	197,792	197,792	197,792

Schedule 2: Selected Educational, General and Other Funds

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	Act 2011	Act 2012	Bud 2013	Est 2014	Est 2015
Correctional Managed Care Contracts	0	0	0	0	0

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	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
GR & GR-D Percentages					
GR %	74.00%				
GR-D %	26.00%				
Total Percentage	100.00%				
FULL TIME ACTIVES					
1a Employee Only	286	212	74	286	148
2a Employee and Children	68	50	18	68	34
3a Employee and Spouse	75	56	20	75	20
4a Employee and Family	74	55	19	74	15
5a Eligible, Opt Out	0	0	0	0	1
6a Eligible, Not Enrolled	15	11	4	15	10
Total for This Section	518	384	135	518	228
PART TIME ACTIVES					
1b Employee Only	8	6	2	8	6
2b Employee and Children	2	1	1	2	1
3b Employee and Spouse	3	2	1	3	0
4b Employee and Family	4	3	1	4	0
5b Eligible, Opt Out	0	0	0	0	0
6b Eligible, Not Enrolled	1	1	0	1	10
Total for This Section	18	13	5	18	17
Total Active Enrollment	536	397	140	536	245

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	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
FULL TIME RETIREES by ERS					
1c Employee Only	0	0	0	0	0
2c Employee and Children	0	0	0	0	0
3c Employee and Spouse	0	0	0	0	0
4c Employee and Family	0	0	0	0	0
5c Eligible, Opt Out	0	0	0	0	0
6c Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	0	0	0	0	0
PART TIME RETIREES by ERS					
1d Employee Only	0	0	0	0	0
2d Employee and Children	0	0	0	0	0
3d Employee and Spouse	0	0	0	0	0
4d Employee and Family	0	0	0	0	0
5d Eligible, Opt Out	0	0	0	0	0
6d Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	0	0	0	0	0
Total Retirees Enrollment	0	0	0	0	0
TOTAL FULL TIME ENROLLMENT					
1e Employee Only	286	212	74	286	148
2e Employee and Children	68	50	18	68	34
3e Employee and Spouse	75	56	20	75	20
4e Employee and Family	74	55	19	74	15
5e Eligible, Opt Out	0	0	0	0	1
6e Eligible, Not Enrolled	15	11	4	15	10
Total for This Section	518	384	135	518	228

759 University of Houston - Clear Lake

	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
TOTAL ENROLLMENT					
1f Employee Only	294	218	76	294	154
2f Employee and Children	70	51	19	70	35
3f Employee and Spouse	78	58	21	78	20
4f Employee and Family	78	58	20	78	15
5f Eligible, Opt Out	0	0	0	0	1
6f Eligible, Not Enrolled	16	12	4	16	20
Total for This Section	536	397	140	536	245

Schedule 4: Computation of OASI
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Agency 759 University of Houston - Clear Lake

Proportionality Percentage Based on Comptroller Accounting Policy Statement #011, Exhibit 2	2011		2012		2013		2014		2015	
	% to Total	Allocation of OASI	% to Total	Allocation of OASI	% to Total	Allocation of OASI	% to Total	Allocation of OASI	% to Total	Allocation of OASI
General Revenue (% to Total)	73.17	\$1,821,634	74.00	\$1,842,295	74.00	\$1,850,640	74.00	\$1,850,640	74.00	\$1,850,640
Other Educational and General Funds (% to Total)	26.83	\$667,954	26.00	\$647,293	26.00	\$650,225	26.00	\$650,225	26.00	\$650,225
Health-Related Institutions Patient Income (% to Total)	0.00	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00	\$0
Grand Total, OASI (100%)	100.00	\$2,489,588	100.00	\$2,489,588	100.00	\$2,500,865	100.00	\$2,500,865	100.00	\$2,500,865

Schedule 5: Calculation of Retirement Proportionality and ORP Differential

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Description	Act 2011	Act 2012	Bud 2013	Est 2014	Est 2015
Proportionality Amounts					
Gross Educational and General Payroll - Subject To TRS Retirement	24,851,383	24,851,383	25,472,668	25,472,668	25,472,668
Employer Contribution to TRS Retirement Programs	1,590,489	1,491,083	1,528,360	1,528,360	1,528,360
Gross Educational and General Payroll - Subject To ORP Retirement	0	0	0	0	0
Employer Contribution to ORP Retirement Programs	0	0	0	0	0
Proportionality Percentage					
General Revenue	73.17 %	74.00 %	74.00 %	74.00 %	74.00 %
Other Educational and General Income	26.83 %	26.00 %	26.00 %	26.00 %	26.00 %
Health-related Institutions Patient Income	0.00 %	0.00 %	0.00 %	0.00 %	0.00 %
Proportional Contribution					
Other Educational and General Proportional Contribution (Other E&G percentage x Total Employer Contribution to Retirement Programs)	426,728	387,682	397,374	397,374	397,374
HRI Patient Income Proportional Contribution (HRI Patient Income percentage x Total Employer Contribution To Retirement Programs)	0	0	0	0	0
Differential					
Gross Payroll Subject to Differential - Optional Retirement Program	9,945,300	9,945,300	10,193,933	10,193,933	10,193,933
Total Differential	90,502	130,283	133,541	133,541	133,541

Schedule 6: Capital Funding
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759 University of Houston - Clear Lake					
Activity	Act 2011	Act 2012	Bud 2013	Est 2014	Est 2015
I. Balances as of Beginning of Fiscal Year					
A. PUF Bond Proceeds	0	0	0	0	0
B. HEF Bond Proceeds	0	0	0	0	0
C. HEF Annual Allocations	3,411,992	3,009,500	836,406	836,406	228,290
D. TR Bond Proceeds	0	0	0	0	0
E. Other Debt Proceeds (e.g. Patient Income)	0	0	0	0	0
II. Additions					
A. PUF Bond Proceeds Allocation	0	0	0	0	0
B. HEF General Revenue Appropriation	5,214,167	5,214,167	5,214,167	5,214,167	5,214,167
C. HEF Bond Proceeds	0	0	0	0	0
D. TR Bond Proceeds	0	0	0	0	0
E. Investment Income on PUF Bond Proceeds	0	0	0	0	0
F. Investment Income on HEF Bond Proceeds	0	0	0	0	0
G. Investment Income on TR Bond Proceeds	0	0	0	0	0
H. Other Debt Proceeds (e.g. Patient Income)	0	0	0	0	0
I. Other (Itemize)					
TR Bond Proceeds					
TRB Debt Service	3,200,441	0	0	0	0
III. Total Funds Available - PUF, HEF, and TRB	\$11,826,600	\$8,223,667	\$6,050,573	\$6,050,573	\$5,442,457
IV. Less: Deductions					
A. Expenditures (Itemize)					
University Infrastructure and Admin	3,250,810	4,884,666	2,023,884	2,432,000	2,023,884
Student Access and Success	835,751	973,866	1,615,000	1,815,000	1,815,000
Acad And Research Excellence/Nat'l Competitiveness	1,530,098	1,528,729	1,575,283	1,575,283	1,575,283
B. Annual Debt Service on PUF Bonds	0	0	0	0	0
C.1. Annual Debt Service on HEF Bonds - RFS Commercial Paper	0	0	0	0	0
C.2. Annual Debt Service on HEF Bonds - RFS Bonds, Series 2001	0	0	0	0	0
D. Annual Debt Service on TR Bonds	3,024,313	0	0	0	0
E. Annual Debt Service on Other Bonds (e.g. Patient Income)	0	0	0	0	0
F. Other (Itemize)					
TR Bond Proceeds					
TRB Debt Service Lapsed	176,128	0	0	0	0
Total, Deductions	\$8,817,100	\$7,387,261	\$5,214,167	\$5,822,283	\$5,414,167

Schedule 6: Capital Funding

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Activity	Act 2011	Act 2012	Bud 2013	Est 2014	Est 2015
V. Balances as of End of Fiscal Year					
A.PUF Bond Proceeds	0	0	0	0	0
B.HEF Bond Proceeds	0	0	0	0	0
C.HEF Annual Allocations	3,009,500	836,406	836,406	228,290	28,290
D.TR Bond Proceeds	0	0	0	0	0
E.Other Revenue (e.g. Patient Income)	0	0	0	0	0
	<u>\$3,009,500</u>	<u>\$836,406</u>	<u>\$836,406</u>	<u>\$228,290</u>	<u>\$28,290</u>

Schedule 7: Personnel
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Date: 10/16/2012
 Time: 9:47:36AM

Agency code: **759** Agency name: **University of Houston - Clear Lake**

	Actual 2011	Actual 2012	Budgeted 2013	Estimated 2014	Estimated 2015
Part A.					
FTE Postions					
Directly Appropriated Funds (Bill Pattern)					
Educational and General Funds Faculty Employees	246.6	211.8	188.3	188.3	188.3
Educational and General Funds Non-Faculty Employees	312.2	311.2	317.4	317.4	317.4
Subtotal, Directly Appropriated Funds	558.8	523.0	505.7	505.7	505.7
Non Appropriated Funds Employees	372.7	420.3	485.0	485.0	485.0
Subtotal, Other Funds & Non-Appropriated	372.7	420.3	485.0	485.0	485.0
GRAND TOTAL	931.5	943.3	990.7	990.7	990.7
Part B.					
Personnel Headcount					
Directly Appropriated Funds (Bill Pattern)					
Educational and General Funds Faculty Employees	289.0	289.0	251.0	251.0	251.0
Educational and General Funds Non-Faculty Employees	366.0	340.0	432.0	432.0	432.0
Subtotal, Directly Appropriated Funds	655.0	629.0	683.0	683.0	683.0
Non Appropriated Funds Employees	695.0	781.0	868.0	955.0	1,042.0
Subtotal, Non-Appropriated	695.0	781.0	868.0	955.0	1,042.0
GRAND TOTAL	1,350.0	1,410.0	1,551.0	1,638.0	1,725.0

Schedule 7: Personnel
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Date: 10/16/2012
 Time: 9:47:36AM

Agency code: **759** Agency name: **University of Houston - Clear Lake**

	Actual 2011	Actual 2012	Budgeted 2013	Estimated 2014	Estimated 2015
PART C.					
Salaries					
Directly Appropriated Funds (Bill Pattern)					
Educational and General Funds Faculty Employees	\$20,657,170	\$18,236,632	\$18,281,137	\$18,281,137	\$18,281,137
Educational and General Funds Non-Faculty Employees	\$14,693,064	\$14,777,425	\$15,197,079	\$15,197,079	\$15,197,079
Subtotal, Directly Appropriated Funds	\$35,350,234	\$33,014,057	\$33,478,216	\$33,478,216	\$33,478,216
Non Appropriated Funds Employees	\$15,195,748	\$17,521,913	\$18,690,693	\$18,690,693	\$18,690,693
Subtotal, Non-Appropriated	\$15,195,748	\$17,521,913	\$18,690,693	\$18,690,693	\$18,690,693
GRAND TOTAL	\$50,545,982	\$50,535,970	\$52,168,909	\$52,168,909	\$52,168,909

Schedule 8A: Tuition Revenue Bond Projects
 83rd Regular Session, Agency Submission, Version 1
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DATE: 10/16/2012
 TIME: 9:47:36AM

Agency 759 University of Houston - Clear Lake

Project Priority:	Project Code:	Tuition Revenue Bond Request	Total Project Cost	Cost Per Total Gross Square Feet
1	1	\$ 75,600,000	\$ 75,600,000	\$ 398
Name of Proposed Facility:		Project Type:		
Science and Academic Support Building		New Construction/Renov		
Location of Facility:		Type of Facility:		
University of Houston-Clear Lake		E&G		
Project Start Date:		Project Completion Date:		
01/01/2014		01/01/2017		
Gross Square Feet:		Net Assignable Square Feet in Project		
190,000		114,000		

Project Description

A new 160,000 gross sq. ft. facility will be needed by spring 2017, following implementation of downward expansion in fall 2014. Enrollment is projected to increase approx. 21% from current enrollment by fall 2017. The projected space deficit in fall 2017 will exceed 33% of the available sq. footage. With the addition of this building, UHCL will still be operating with a projected deficit in excess of 12.6% of available sq. footage. UHCL exceeded the THECB targeted SUE combined score of 150 in fall 2011 and will continue to pursue high space utilization of this new facility. A major goal is to provide labs for science courses, 2 100-seat lecture halls to accommodate larger core curriculum classes, add faculty and staff offices and provide add'l facilities to support research and academic programs in the STEM fields. This project will require renovation of approx. 30,000 sq. ft. of existing facilities to meet the space needs for staff functions required to support this initiative.

Schedule 8A: Tuition Revenue Bond Projects
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DATE: 10/16/2012
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Agency 759 University of Houston - Clear Lake

Project Priority: 2	Project Code: 2	Tuition Revenue Bond Request \$ 40,870,000	Total Project Cost \$ 40,870,000	Cost Per Total Gross Square Feet \$ 348
Name of Proposed Facility: Student Access and Classroom Building	Project Type: New Construction			
Location of Facility: University of Houston- Clear Lake	Type of Facility: E&G			
Project Start Date: 06/01/2015	Project Completion Date: 08/01/2018			
Gross Square Feet: 117,500	Net Assignable Square Feet in Project 70,500			

Project Description

A 117,500 sq. ft. bldg. will be needed by fall 2018. Enrollment is projected to increase approx. 21% from current enrollment by fall 2017. The projected space deficit in fall 2017 will exceed 33% of the available sq. footage. With approval of the priority 1 TRB item (the Science and Acad Support Bldg), the projected deficit will still exceed 12.6% of the available sq. footage. Completion of this bldg. will close, but not completely eliminate the projected space deficit. A major goal for this bldg. is to establish a 1-stop enroll mgmt center. Includes fin aid, admissions, student records, cashiers, Acad Advising Ctr, Testing Ctr and theatre style seating room for orientation/community functions. Space vacated in the Student Services Classroom Bldg will provide space for student support services. A 2nd goal is to provide 30,000 sq. ft. of add'l classrooms, faculty offices and relocate the Police Dept.

Schedule 8B: Tuition Revenue Bond Issuance History

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Authorization Date	Authorization Amount	Issuance Date	Issuance Amount	Authorized Amount Outstanding as of 08/31/2012	Proposed Issuance Date for Outstanding Authorization	Proposed Issuance Amount for Outstanding Authorization
2001	\$30,918,750	Oct 9 2002	\$30,918,000			
		<i>Subtotal</i>	\$30,918,000	\$750		
2006	\$10,604,808	Feb 4 2009	\$10,604,808			
		<i>Subtotal</i>	\$10,604,808	\$0		

759 University of Houston - Clear Lake

Special Item: 1 **Houston Environmental Studies Partnership**

(1) Year Special Item: 1994

(2) Mission of Special Item:

The mission is to help people in Houston and the Southeast and coastal Texas region participate more effectively in environmental improvement. Information and technology will be obtained and disseminated from research supported by the Environmental Institute of Houston (EIH) in critical areas including pollution prevention, natural resource conservation, and related public policy and societal issues. EIH will seek to expand balanced environmental education based on objective scholarship to empower the entire community to make sound decisions on environmental issues.

(3) (a) Major Accomplishments to Date:

EIH has developed significant partnerships among two universities and a variety of community organizations. 1. UH and UHCL researchers have leveraged over 290 small seed grants into over \$9.0 million in grant and contract funding. 2. Environmental educators on the EIH staff train more teachers in national Environmental Education (EE) curricula than any other university in Texas. 3. EIH staff manage stakeholder efforts on all significant issues in the Houston and Southeast Texas region: air pollution, water pollution, flooding and freshwater supply. 4. EIH researchers are actively working through interagency contracts with local planning and state agencies to conduct water and air quality monitoring in local watersheds and schools. EIH has also assisted through contracts and grants various state and federal agencies including TCEQ, TPWD, EPA, TRA, TWDB, USFWS, and Harris County ,Galveston Bay Estuary Program and Galveston Bay Foundation.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

The history of EIH has established a trajectory for future accomplishments. 1. More research projects focused on the critical issues of the Houston and Southeast Texas region, including helping state and local agencies and organizations solve water quantity and water quality problems affecting human health and aquatic organisms, 2. New environmental education efforts in air quality, science curriculum including training on a EIH curriculum for middle and high school teachers, 3. Expanded involvement with local and regional community organizations and state, national and international efforts dealing with local sustainability, resiliency, environmental and conservation issues, 4. Expanded use of the EIH website for dissemination of valuable information on regional issues, 5. Expanded GIS, laboratory and database support for local communities and 6. Increased matching extramural grants in the amount of \$500K to \$1M per year.

(4) Funding Source Prior to Receiving Special Item Funding:

Corporate gifts and recovered indirect costs.

(5) Formula Funding:

N

(6) Non-general Revenue Sources of Funding:

2008	\$650,000	Contract & Grants
	\$120,000	Donations
2009	\$727,000	Contracts & Grants
	\$ 6,000	Donations

Schedule 9: Special Item Information
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2010	\$725,000	Contracts & Grants
	\$ 6,000	Donations
2011	\$630,500	Contracts & Grants
	\$ 2,500	Donations
2012	\$412,591	Contracts & Grants
	\$ 25,825	Donations

(7) Consequences of Not Funding:

If the institute was not funded, UHCL/UH and the state will likely lose up to \$3M annually in non-general revenue funding secured by the various P1 investigators at UH, UHCL and EIH which are partially supported by state funds that enable EIH to maintain a minimum level of funding for supporting key staff and start-up grants. EIH is also involved with numerous (>15) projects that support local government, schools and the community at large. There is no other organization that provides these educational, outreach and technical support programs. A total of 1,800 teachers and over 118,000 K-12 students would not be provided essential STEM environmental education skills and training. A total of 28 faculty who received seed funds in the amount of \$340 generated over \$560K as a direct result of these start-up funds for their project during 2009 and 2010. Due to the 29.7% cut in funding a total of \$100K per year of external funds would not be generated. The bottom line is funds provided to EIH generate a 100 to 500% return in their investment in terms of additional federal and private grant/ contract funds. Various local, state and federal agencies provide funding to EIH to support applied research needs within the State of Texas. These project funds have provided support for over 30 graduate and 20 undergraduate students during most fiscal years.

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Special Item: 2 **High Technologies Laboratory**

(1) Year Special Item: 1984

(2) Mission of Special Item:

The lab was created in FY83 as a project of the university and funded in FY84 as a special item. The mission is to integrate research and development activities in computer technology, information technology, electro-optical technology and telecommunications with the R&D needs of the U.S. Space Program.

(3) (a) Major Accomplishments to Date:

UHCL formed a partnership with NASA and its contractors to propose to DARPA that NASA JSC and UHCL jointly become one of the first ten beta test sites in the world for the newly specified Ada language. We were to evaluate if it would be appropriate for use in developing the International Space Station government and academia in the evaluation of this capability. The team identified a potentially fatal flaw in the language specification - the omission of an adaptable runtime support environment. NASA then funded UHCL to lead another international research team to specify this critical element. The result led to the international recognition of UHCL as a leader in the new field of Software Engineering. NASA accepted the recommendations of the team and chose to use both the language and the runtime support environment for the development of the ISS. This assured that the lead center for ISS development would be JSC. NASA then funded UHCL to help create a MS degree in Software Engineering (SWEN) which was done in conjunction with the Software Engineering Institute at Carnegie Mellon University. The MS in SWEN program at UHCL was one of the first in the nation. NASA then funded UHCL in the creation of RICIS. RICIS resulted in attracting over \$70M of federal and private R&D dollars to the University of Texas.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

The additional funding requested will contribute to the continued development of the joint research among NASA, UHCL and the area aerospace contractors. Additionally, we will continue developing customized web-based training courses, developing virtual laboratories for web-based courses, and recruiting and mentoring STEM area workforce.

(4) Funding Source Prior to Receiving Special Item Funding:

1-time university funds were provided for start up.

(5) Formula Funding:

N

(6) Non-general Revenue Sources of Funding:

The vast majority of the estimated \$70M of High Tech Lab enabled R&D funds referenced above did not come from general revenue sources of the state of Texas. Instead, it came from NASA HQ (multiple codes), NASA JSC (multiple codes), DARPA, DOD, DISA, AJPO,DOE and many private industries including IBM, Boeing, Lockheed, Rockwell, et al.

(7) Consequences of Not Funding:

If this item is not funded, it could significantly impede the continued development of the joint research among NASA, UHCL and the area aerospace contractors.

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Special Item: 3 **Institutional Enhancement**

(1) Year Special Item: 2000

(2) Mission of Special Item:

The mission of Institutional Enhancement is to provide institutions the ability to address critical needs that could not be met with previous formula allocations.

(3) (a) Major Accomplishments to Date:

The funding of this special item has allowed UHCL to:

- establish new academic program initiatives
- refine marketing efforts by increasing effectiveness and efficiency through media usage
- improve processes and increase efficiency through the creation of new position, programs and activities.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

Continued funding would provide the ability to maintain and enhance the initiatives established in FY2000.

(4) Funding Source Prior to Receiving Special Item Funding:

N/A

(5) Formula Funding:

N

(6) Non-general Revenue Sources of Funding:

N/A

(7) Consequences of Not Funding:

Positions, programs and activities would need to be eliminated if other sources of funding could not be identified.

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Special Item: 4 **Downward Expansion**

(1) Year Special Item: 0

(2) Mission of Special Item:

To expand access to higher education and enhance student success by offering lower division courses to freshman and sophomore students. The highest priorities are curriculum development and the hiring of new faculty to teach core courses, development of a first year seminar, establishment of a testing center and staffing for a central advising center to guide students successfully through their first 45 hours.

(3) (a) Major Accomplishments to Date:

Development and implementation of marketing and recruiting efforts have begun. Admission standards have been established, curriculum has been developed, including new Coordinating Board core requirements and site visits have been made to former upper-level universities in Texas.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

The most significant will be the enrollment of the first ever freshman class at UHCL. In order to accomplish this, new faculty will be hired and provided appropriate office space. A new Advising Center and a new Testing Center, focused on the needs of first time students, will be established. The Student Assistance Center which includes a Math Center, a Writing Center and tutors will be expanded in order to meet our student success objectives. Additional Financial Aid advisors will be hired and trained.

(4) Funding Source Prior to Receiving Special Item Funding:

Institutional funds

(5) Formula Funding:

N/A

(6) Non-general Revenue Sources of Funding:

FY 2012 \$0; FY2013 \$600k from institutional funds; FY14 \$600,000 from institutional funds and requested gifts and grants (assumes full funding of the FY14 exceptional item request); FY15 \$2,300,000 from institutional funds and requested gifts and grants (assumes full funding of the FY15 exceptional item request)

(7) Consequences of Not Funding:

Inadequate funding will severely limit the number of students admitted to the first freshman class. There will be an inability to appropriately market this new educational opportunity for first time students. Space will not be available for the new advising center, the new testing center, the expanded student assistance center or for new faculty and staff. There will also be insufficient library resources that are required to meet the needs for freshman and sophomore students.

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Special Item: 5 **Center for Autism and Developmental Disabilities (CADD)**

(1) Year Special Item: 0

(2) Mission of Special Item:

Established in March 2008, the UHCL Center for Autism and Developmental Disabilities (CADD) is staffed by faculty and students in the Applied Behavior Analysis, School Psychology, and Family Therapy graduate programs. The Center's goals are to support research on autism and developmental disabilities, train current and future professionals to serve as leaders in the fields of psychology and education, and provide services to children and their families through partnerships with area school districts and community organizations. Current Center activities include school-based consultation for teachers of children with autism and developmental disabilities, clinic-based intensive behavior therapy for children with autism ages 3 to 8, clinic-based language therapy and parent training for individuals of any age with a neurodevelopmental disability, clinic-based assessment and intervention for severe behavior disorders, a parent education program for Spanish-speaking families, and comprehensive diagnostic assessments for children suspected of having a disability.

(3) (a) Major Accomplishments to Date:

Current Center activities include school-based consultation for teachers of children with autism and developmental disabilities, clinic-based intensive behavior therapy for children with autism ages 3 to 8, clinic-based language therapy and parent training for individuals of any age with a neurodevelopmental disability, clinic-based assessment and intervention for severe behavior disorders, a parent education program for Spanish-speaking families, and comprehensive diagnostic assessments for children suspected of having a disability. CADD has already secured more than \$1 million in contracts from local school districts, foundations, and state agencies to provide treatment, training, and services to individuals with autism and their caregivers. Faculty and students have published 15 articles on their research in peer-reviewed journals.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

With support from the state of Texas, we expect to attract additional funding from national agencies such as National Institutes of Health and the Department of Education to expand vital research and services to an increased number of children afflicted with autism and other developmental disabilities. We expect to offer more parent training workshops, expand intensive therapy services to children below the age of 3 years, provide therapy and conduct research on social skills training for adolescents and adults with high-functioning autism, create web-based, multi-media services to provide training to teachers and parents across the state and nationwide, and expand research into the science of disabilities and the best teaching methods for children afflicted with autism and other neurodevelopmental disabilities.

(4) Funding Source Prior to Receiving Special Item Funding:

Mental Health Mental Retardation Authority of Harris County, Texana Center, Autism Speaks, Pasadena ISD, Brown Foundation, Simmons Foundation, George and Mary Josephine Hamman Foundation.

(5) Formula Funding:

N/A

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(6) Non-general Revenue Sources of Funding:

FY 2012 and FY2013: Mental Health Mental Retardation Authority of Harris County (\$243,000), Texana Center (\$56,000), Pasadena ISD (\$76,000), Clear Creek ISD (\$56,000), Pearland ISD (\$56,000), Simmons Foundation (\$34,000), Rotary Club of Space Center (\$8,000), Autism Speaks (\$24,000), George and Mary Josephine Hamman Foundation (\$20,000), Fondren Foundation (\$24,000);

FY2014 and FY2015 projection: Mental Health Mental Retardation Authority of Harris County (\$243,000), Texana Center (\$56,000), Pasadena ISD (\$56,000), Clear Creek ISD (\$56,000), Pearland ISD (\$56,000)

(7) Consequences of Not Funding:

CADD will continue to rely on unpredictable, small grants and contracts from local agencies and private foundations. As such, it will be difficult to build the infrastructure needed to attract large grants and contracts. We will be unable to expand our research and services to meet the needs of thousands of children and adults with an Autism Spectrum Disorder or other neurodevelopmental disorder living in Texas.

Schedule 10A: Reconciliation of Formula Strategies to NACUBO Functions of Cost

Agency Code: 759		Agency Name: University of Houston-Clear Lake			
		Exp 2011	Est 2012	Bud 2013	
SUMMARY OF REQUEST FOR FY 2011-2013:					
1	A.1.1 Operations Support	\$ 33,434,225	\$ 30,857,961	\$ 31,260,704	
2	A.1.2. Teaching Experience Supplement	\$ -	\$ -	\$ -	
3	B.1.1 E&G Space Support	\$ 2,376,308	\$ 2,193,238	\$ 2,135,421	
4	Total, Formula Expenditures	\$ 35,810,533	\$ 33,051,199	\$ 33,396,125	
RECONCILIATION TO NACUBO FUNCTIONS OF COST					
5	Instruction	\$ 22,711,688	\$ 19,762,455	\$ 19,783,991	
	Research	\$ 312,546	\$ 286,996	\$ 255,707	
	Academic Support	\$ 3,070,969	\$ 3,586,920	\$ 3,558,698	
	Student Services	\$ 1,061,133	\$ 1,054,363	\$ 1,045,888	
	Institutional Support	\$ 6,182,897	\$ 6,167,227	\$ 6,616,420	
6	Subtotal	\$ 33,339,232	\$ 30,857,961	\$ 31,260,704	
7	Operation and Maintenance of Plant	\$ 2,224,967	\$ 2,098,567	\$ 2,064,658	
	Utilities	\$ 246,335	\$ 94,671	\$ 70,763	
8	Subtotal	\$ 2,471,302	\$ 2,193,238	\$ 2,135,421	
9	Total, Formula Expenditures by NACUBO Functions of Cost	\$ 35,810,533	\$ 33,051,199	\$ 33,396,125	
10	check = 0	(0)	0	0	

Schedule 10B: Object of Expense Detail for Formula Strategies and NACUBO Functions of Cost

Agency Code: 759		Agency Name: University of Houston-Clear Lake			
		Exp 2011	Est 2012	Bud 2013	
SUMMARY OF REQUEST FOR FY 2011-2013:					
1	A.1.1 Operations Support	\$ 33,434,225	\$ 30,857,961	\$	\$ 31,260,704
Objects of Expense:					
a)					
	1001	\$ 11,840,492	\$ 11,832,160	\$	12,322,139
	1002	\$ 627,338	\$ 518,374	\$	484,306
	1005	\$ 20,657,170	\$ 18,236,632	\$	18,281,137
	2001	\$ -			
	2003	\$ 33,420			
	2004	\$ 840			
	2005	\$ 100			
	2006	\$ -			
	2007	\$ 9,268			
	2009	\$ 245,195	\$ 270,795	\$	173,122
	3001	\$ 250			
	5000	\$ 20,152			
<i>Subtotal, Objects of Expense</i>		\$ 33,434,225	\$ 30,857,961	\$	31,260,704
	check = 0	\$ (0)	\$ -	\$	-
2	A.1.2 Teaching Experience Supplement	\$ -	\$ -	\$	-
Objects of Expense:					
b)					
<i>Subtotal, Objects of Expense</i>		\$ -	\$ -	\$	-
	check = 0	\$ -	\$ -	\$	-
3	B.1.1 E&G Space Support	\$ 2,376,308	\$ 2,193,238	\$	2,135,421
Objects of Expense:					
c)					
	1001	\$ 1,973,590	\$ 2,071,805	\$	2,039,305
	1002	\$ 13,994	\$ 26,762	\$	25,353
	2002	\$ 426			
	2003	\$ 34,968			

Schedule 10B: Object of Expense Detail for Formula Strategies and NACUBO Functions of Cost

	2004	\$	246,336	\$	94,671	\$	70,763
	2007	\$	-				
	2009	\$	106,994	\$	-		
<i>Subtotal, Objects of Expense</i>		\$	2,376,308	\$	2,193,238	\$	2,135,421
	check = 0	\$	(0)	\$	-	\$	-

RECONCILIATION TO NACUBO FUNCTIONS OF COST

Instruction	\$	22,711,688	\$	19,762,455	\$	19,783,991
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Objects of Expense:

d)

1001	\$	1,940,584	\$	1,517,893	\$	1,648,066
1002	\$	195,286	\$	156,075		
1005	\$	20,421,214	\$	18,036,967	\$	18,104,211
2001	\$	-				
2003	\$	1,115				
2004	\$	-				
2005	\$	100				
2006	\$	-				
2007	\$	-				
2009	\$	148,408	\$	51,520	\$	31,714
3001	\$	250				
5000	\$	4,732				

<i>Subtotal</i>		\$	22,711,688	\$	19,762,455	\$	19,783,991
	check = 0	\$	-	\$	-	\$	-

Research	\$	312,546	\$	286,996	\$	255,707
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Objects of Expense:

e)

1001	\$	240,750	\$	248,560	\$	248,560
1002	\$	7,560	\$	7,147	\$	7,147
1005	\$	44,001				
2001	\$	-				
2003	\$	800				
2004	\$	-				

Schedule 10B: Object of Expense Detail for Formula Strategies and NACUBO Functions of Cost

	2005	\$	-			
	2007	\$	-			
	2009	\$	19,112	\$	31,289	
	5000	\$	324			
<i>Subtotal</i>		\$	312,546	\$	286,996	\$ 255,707
	check = 0	\$	-	\$	-	\$ -
Academic Support		\$	3,070,969	\$	3,586,920	\$ 3,558,698
Objects of Expense:						
f)						
	1001	\$	2,744,164	\$	3,171,503	\$ 3,179,226
	1002	\$	89,970	\$	108,673	\$ 113,831
	1005	\$	191,955	\$	199,665	\$ 176,926
	2001					
	2003	\$	4,969			
	2004	\$	840			
	2005					
	2007	\$	3,250			
	2009	\$	20,723	\$	107,079	\$ 88,715
	5000	\$	15,097			
<i>Subtotal</i>		\$	3,070,969	\$	3,586,920	\$ 3,558,698
	check = 0	\$	-	\$	-	\$ -
Student Services		\$	1,061,133	\$	1,054,363	\$ 1,045,888
Objects of Expense:						
g)						
	1001	\$	1,025,422	\$	1,033,805	\$ 1,025,845
	1002	\$	23,465	\$	20,558	\$ 20,043
	2003	\$	903			
	2004	\$	-			
	2007	\$	6,017			
	2009	\$	5,326			
	5000	\$	-			
<i>Subtotal</i>		\$	1,061,133	\$	1,054,363	\$ 1,045,888
	check = 0	\$	-	\$	-	\$ -
Institutional Support		\$	6,182,897	\$	6,167,227	\$ 6,616,420

Schedule 10B: Object of Expense Detail for Formula Strategies and NACUBO Functions of Cost

Objects of Expense:

h)

1001	\$	5,889,571	\$	5,920,399	\$	6,280,442
1002	\$	221,667	\$	165,921	\$	283,285
2001	\$	-				
2003	\$	25,635				
2004	\$	-				
2005	\$	-				
2006	\$	-				
2007	\$	-				
2009	\$	46,023	\$	80,907	\$	52,693
5000	\$	-				

<i>Subtotal</i>		\$	6,182,897	\$	6,167,227	\$	6,616,420
	check = 0	\$	-	\$	-	\$	-

Operation and Maintenance of Plant	\$	2,224,967	\$	2,098,567	\$	2,064,658
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Objects of Expense:

i)

1001	\$	1,973,590	\$	2,011,805	\$	1,979,305
1002	\$	103,384	\$	86,762	\$	85,353
2002	\$	426				
2003	\$	34,968				
2007	\$	-				
2009	\$	112,597	\$	-		

<i>Subtotal, Objects of Expense</i>		\$	2,224,967	\$	2,098,567	\$	2,064,658
	check = 0	\$	-	\$	-	\$	-

Utilities	\$	246,335	\$	94,671	\$	70,763
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Objects of Expense:

j)

2004	\$	246,335	\$	94,671	\$	70,763
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<i>Subtotal, Objects of Expense</i>		\$	246,335	\$	94,671	\$	70,763
	check = 0	\$	-	\$	-	\$	-

Schedule 11: Educational, General and Other Fund Balances
 83rd Regular Session, Agency Submission

Agency Code: 759	Agency Name University of Houston - Clear Lake				
	Actual 2011	Actual 2012	Budgeted 2013	Estimated 2014	Estimated 2015
Balances as of Beginning of Fiscal Year					
Encumbered and Obligated	\$ 234,178	\$ 232,085	\$ 756,090	\$ 756,090	\$ 756,090
Unencumbered and Unobligated	\$ 2,325,083	\$ 1,121,858	\$ 1,462,574	\$ 1,462,574	\$ 1,462,574
Capital Projects - Legislative Appropriations					
Capital Projects - Other Educational and General Funds					

Schedules 11: Educational, General and Other Fund Balances and 12: Current and Local Fund (General) Balances were included only in the legislative appropriations bound paper copies submitted to the Governor's Office as requested by the Director of Budget, Planning and Policy, Office of the Governor.

Schedule 12: Current and Local Fund (General) Balances
 83rd Regular Session, Agency Submission

Agency Code: 759	Agency Name University of Houston - Clear Lake				
	Actual 2011	Actual 2012	Budgeted 2013	Estimated 2014	Estimated 2015
Balances as of Current Fund in State Treasury					
Encumbered and Obligated	\$ 741,333	\$ 731,153	\$ 700,000	\$ 700,000	\$ 700,000
Unencumbered and Unobligated	\$ 7,633,704	\$ 3,539,043	\$ 2,500,000	\$ 2,500,000	\$ 2,500,000
Interest Earned in State Treasury	\$ 49,842	\$ 31,122	\$ 45,000	\$ 30,000	\$ 30,000
Balance of Educational and General Funds in Local Depositories					
Encumbered and Obligated					
Unencumbered and Unobligated					
Interest Earned in Local Depositories					