

**STATE OF TEXAS
LEGISLATIVE APPROPRIATIONS REQUEST**

For Fiscal Years 2010 and 2011

Submitted to the
Governor's Office of Budget, Planning and Policy
and the Legislative Budget Board



Texas Tech University System Administration

August 13, 2008

TABLE OF CONTENTS

ADMINISTRATOR'S STATEMENT.....	1
ORGANIZATIONAL CHART	8
SUMMARY OF REQUEST	
Summary of Base Request by Strategy.....	9
Summary of Base Request by Method of Finance	10
Summary of Base Request by Object of Expense	12
Summary of Exceptional Items Request	13
Summary of Total Request by Strategy.....	14
Strategy Request.....	16
EXCEPTIONAL ITEM REQUEST	
Exceptional Item Request Schedule	20
Exceptional Items Strategy Allocation Schedule	22
Exceptional Items Strategy Request.....	24
SUPPORTING SCHEDULES	
Schedule 6.A. Historically Underutilized Business.....	26
Schedule 6.H. Estimated Total of All Agency Funds Outside of GAA Bill Patterns.....	27
Schedule 6.I. Estimated 10 Percent Biennial Base Reduction Operations Schedule.....	28

HIGHER EDUCATION SUPPORTING SCHEDULES

Schedule 2: Grand Total Educational, General and Other Funds.....	29
Schedule 3A: Staff Group Insurance Data Elements (ERS).....	31
Schedule 4: Computation of OASI.....	34
Schedule 5: Calculation of Retirement Proportionality of ORP Differential.....	35
Schedule 7: Current and Local Fund (General) Balances	36
Schedule 8: Personnel.....	37
Schedule 10B: Tuition Revenue Bond Issuance History.....	38
Schedule 10C: Revenue Capacity for Tuition Revenue Bond Projects.....	39
Schedule 11: Special Item Information.....	41

ADMINISTRATOR'S STATEMENT
81st Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/12/2008**
TIME: **10:30:11AM**
PAGE: **1** of **7**

Agency code: **768**

Agency name **Texas Tech University System Administration**

SYSTEM BACKGROUND

The Texas Tech University System (TTUS), created in 1999, is composed of one health related and two general academic institutions. The components are Texas Tech University System Administration (TTUSA); Texas Tech University (TTU); Texas Tech University Health Sciences Center (TTUHSC) and Angelo State University (ASU).

The System encompasses seven academic campuses and centers in Lubbock, Abilene, Amarillo, Fredericksburg, Highland Lakes, Junction, San Angelo and Seville, Spain; the seven locations of the health sciences campuses and centers are Lubbock, El Paso, Amarillo, Abilene, Permian Basin, Dallas and Marble Falls. The total enrollment across all components is more than 37,000 students. Headquartered in Lubbock, the System's control and direction is vested in a ten-member Board of Regents. The Chancellor, appointed by the Board of Regents, is the chief executive officer of the Texas Tech University System.

The Texas Tech University System and its components employ more than 18,000 faculty and staff. The annual combined budget of all components of the System totals more than \$1.3 billion; approximately \$350 million, including a HEF allocation of \$46.4, of these funds are provided by the state's General Revenue.

The Texas Tech University System is committed to providing the highest quality and most efficient resources and services to its components. Throughout all institutions and centers, the System strives to increase accessibility, strengthen academic quality and reputation, provide necessary financial aid, further the quality and availability of health care for all Texans, advance research, produce and commercialize new technologies, enhance instruction and infrastructure formula funding, and enhance resources, productivity and efficiency.

INSTITUTIONAL ORGANIZATION

The Texas Tech University System is governed by a ten-member Board of Regents appointed by the Governor. The board reviews major issues and establishes policy for the System and its components. The following individuals are members of the Texas Tech University System Board of Regents:

Board Member	Term Ends	Hometown
Mr. Larry Anders	January 31, 2011	Dallas, Texas
Mr. F. Scott Dueser	January 31, 2009	Abilene, Texas
Mr. Mark Griffin	January 31, 2011	Lubbock, Texas
Mr. L. Frederick "Rick" Francis	January 31, 2013	El Paso, Texas
Mr. John Scovell	January 31, 2013	Dallas, Texas
Mr. Dan Serna	January 31, 2011	Arlington, Texas
Mrs. Windy Sitton	January 31, 2009	Lubbock, Texas
Dr. Bob L. Stafford	January 31, 2009	Amarillo, Texas
Mr. Jerry Turner	January 31, 2011	Blanco, Texas
Ms. Kelli Stumbo (Student Regent)	May 31, 2009	Flower Mound, Texas

ADMINISTRATOR'S STATEMENT
81st Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/12/2008
TIME: 10:08:09AM
PAGE. 2 of 7

Agency code: 768 Agency name: Texas Tech University System Administration

INCREASING ACCESSIBILITY

Sufficient funding is necessary to support the Texas Tech University System's goal of increased enrollment and to further aid in fulfilling the statewide goals of Closing the Gaps. The System supports the Coordinating Board's request for additional base funding which will help fund enrollment growth and increased costs.

Texas Tech University's enrollment for the fall of 2007 was 28,260 students. The university set a record for freshmen enrollment with 4,515, up from 3,922 in the fall of 2006. In 2008, TTU will graduate its largest class ever with 6,750 students receiving diplomas.

Angelo State University's enrollment for the 2007 fall semester was 6,239 with freshman enrollment increasing by 108 students, from 2,074 to 2,182. In 2008, ASU expects to graduate 1,048 students, one of the largest graduating classes ever.

Texas Tech University Health Sciences Center's final 2007 fall enrollment number was 2,616, a six percent increase from the fall of 2006. In 2008, TTUHSC graduated one of their largest classes ever with 928 students receiving degrees.

STRENGTHENING ACADEMIC QUALITY AND REPUTATION

Graduates from our universities are known for their practicality, strong work ethic and ambition. The component institutions of the Texas Tech University System are preparing students for lifelong success personally, professionally and civically. Each university provides students with unique programs and opportunities that enhance their degree and give them the skills to be successful in life. This is a differential that sets the Texas Tech University System apart from other institutions.

By strengthening academic quality and reputation, once again our institutions will be proactive in assisting the State with their goal of increasing the number of nationally recognized programs.

Two years ago, Texas Tech University was authorized to shelter a chapter of Phi Beta Kappa. One of only three public universities in the State to hold this distinction, Texas Tech's core programs in the liberal arts and sciences are now judged to be among the top ten percent of all institutions in higher education. In addition, the Carnegie Foundation has recognized the accomplishments of Texas Tech University by classifying it as a Doctoral Research-Extensive University, one of only six in the state of Texas.

Angelo State University has a longstanding reputation for academic quality as exemplified by university acceptance rates that significantly exceed State averages for admission to medical, law and professional schools. ASU's Honors Program has a 100 percent acceptance rate to medical school. Angelo State University is implementing an active first-year experience program to address the academic and retention needs of underrepresented and first-generation college students.

In the Spring of 2008, the Texas Tech University Health Sciences Center Paul L. Foster School of Medicine in El Paso received accreditation from the Liaison Committee on Medication Education (LCME) The school is now authorized to enter into an agreement with the Texas Association of Advisors to the Health Professions to begin accepting applications for the first class of students which will be seated August 2009.

ADMINISTRATOR'S STATEMENT
81st Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/12/2008
TIME: 10:08:09AM
PAGE: 3 of 7

Agency code: 768

Agency name: Texas Tech University System Administration

FINANCIAL AID

As the cost of a four-year college education rises, universities must find ways to keep deserving families from being priced out of a quality education. The Texas Tech University System is committed to keeping higher education affordable and accessible to all families by providing necessary financial resources. In order for our institutions to increase the number of undergraduate degrees as reflected in the success goal of Closing the Gaps, adequate financial aid is imperative.

As an example of this commitment, the Texas Tech University System voted in May to freeze Texas Tech University tuition charges. Additionally, Texas Tech University's efforts to increase access to higher education include the Red Raider Guarantee. This program guarantees free tuition and mandatory fees up to 15 credit hours per semester to new and entering freshmen who are Texas residents and are enrolled full-time with family adjusted gross incomes that do not exceed \$40,000.

Angelo State University will soon implement a similar program to the Red Raider Guarantee, continuing a commitment to needy and worthy students which began in 1981 with the awarding of the first Carr Scholarships. Since then Angelo State has awarded more than \$52 million in Carr Scholarships to ASU students. Today, one of every six ASU students receives a Carr Scholarship.

Texas Tech University Health Sciences Center has ongoing efforts to help offset the rising cost of higher education across all of its academic campuses and centers. Multiple scholarship opportunities are available to students. Ten new scholarships, covering students' tuition and fees, will be available for the inaugural class of 40 for the Paul L Foster School of Medicine. These scholarships will be used as a recruiting tool to attract exceptional candidates.

IMPROVING THE QUALITY AND AVAILABILITY OF HEALTH CARE FOR ALL TEXANS

The Texas Tech University Health Sciences Center prides itself on investigating and treating complex health issues—especially those affecting historically underserved populations. Through partnerships with teaching hospitals, health care professionals and local communities, TTUHSC works toward solving the health care problems of West Texas and beyond through education, research and practice. The Texas Tech University Health Sciences Center has as its major objectives the provision of quality education and the development of academic, research, patient care, and community service programs to meet the health care needs of the 108 counties of West Texas, which comprise 48% of the land mass and 12% of the population of the total state.

The TTUHSC Paul L. Foster School of Medicine at El Paso is uniquely situated on the Texas/Mexico border. This environment provides major opportunities for research in border health. Essentially, the research initiative will encompass basic, translational and clinical research in cancer, diabetes, obesity, new and re-emerging infectious diseases and neurodegenerative diseases.

Angelo State University received approval from the Board of Regents, subject to the Higher Education Coordinating Board's approval, for the creation of the College of Nursing and Allied Health in May 2008. The new college will provide greater opportunities for ASU to expand its health care education programs and to further realize possible partnerships and joint programs with the Texas Tech University Health Sciences Center.

ADMINISTRATOR'S STATEMENT
81st Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/12/2008
TIME: 10:08:09AM
PAGE: 4 of 7

Agency code: 768

Agency name: **Texas Tech University System Administration**

ADVANCING RESEARCH

Each of our universities has research areas of unparalleled excellence that are leveraging their unique resources to provide solutions to complex problems impacting our nation and world. Research is essential to graduate education and has a dynamic impact on undergraduate programs. Being a leader in knowledge creation is critical to overall quality and prosperity in higher education. The Texas Tech University System strives to grow research across all its campuses.

The Texas Tech University System is dedicated to recruiting even more research-oriented faculty. Hiring researchers with proven histories of accomplishment who can also perform in the classroom is one step in enhancing our research objectives. Improving the research productivity of the Texas Tech University System components will allow our institutions to increase federal dollars to further the research goal in Closing the Gaps. However, to accomplish the overall goal of increasing research production will require a substantial allocation of additional funds.

Texas Tech University aspires to become one of the top 100 research and graduate education institutions in the country. TTU has established itself as a center of excellence in areas of specialized technology research. Advances in pulsed power, wind engineering, nanophotonics and cotton genetics are attracting the attention of leading scientists and providing the resources to solve some of today's most pressing challenges. Continuing excellence in these research areas and enhancing others is one of the strategic aims of Texas Tech University.

As a teaching-focused institution, Angelo State University stresses the importance of research in which students play an important role. Students work side-by-side with professors in externally funded projects in areas such as materials science, endangered flora, small mammal studies, and sheep and goat research. Internal funds are available to support individual student research projects across all disciplines as well as to support faculty research in which students may play an active role.

Research is an important component of any health sciences center and arguably the mission that is most important in determining the national status of a university. The Texas Tech University Health Sciences Center has expanded its research by increasing the number of National Institutes of Health grants. Research efforts have been raised in recruiting NIH researchers for recognition nationally as an institution of academic excellence.

TECHNOLOGY COMMERCIALIZATION

The Texas Tech University System is dedicated to helping researchers introduce new discoveries to the marketplace and creating an additional source of revenue for the universities. Through the Office of Technology Commercialization, intellectual property from researchers is taken to the commercial market to provide an income stream for System components as well as the inventor. The office's mission is to actively promote the concept and merits of invention, intellectual property, licensing, and technology transfer both on and off campus; and provide educational services. In addition, technology commercialization assists all System components, its employees and all its constituencies in any intellectual property issues, including contract negotiations and outreach.

In conjunction with determining patentability and commercial potential of research results, the office also determines marketability of promising new technologies to potential licensees in a timely fashion, promote, where appropriate, the formation of start up companies around new technology, and utilize all available resources of the System community, alumni, and the capital and business markets in this process.

ADMINISTRATOR'S STATEMENT
81st Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/12/2008
TIME: 10:08:09AM
PAGE: 5 of 7

Agency code: 768 Agency name: Texas Tech University System Administration

INFRASTRUCTURE FORMULA FUNDING

The Texas Tech University System supports the recommendations of additional funding for the infrastructure formulas for both general academic institutions and health-related institutions. Statewide, the amount appropriated in FY 2007 for the general academic institutions generated approximately 78.2% of the amount needed to meet the actual costs of infrastructure support for state supported space. The Coordinating Board recommended the infrastructure formula multiplier be increased to \$8.36 per predicted square foot for the general academic institutions and \$7.98 per predicted square foot for the health related institutions. This funding will help the System address energy costs which continue to rise even as we become more efficient with our energy use.

ENHANCING RESOURCES, PRODUCTIVITY AND EFFICIENCY

The System and its components are committed to utilizing the resources necessary to achieve its goals in combination with improved productivity, internal efficiencies and budget reallocations. In addition, the System will continue to share services across all institutions in order to reduce expenses.

The Texas Tech University System currently performs or coordinates many collaborative functions between the four components including strategic planning, fund raising, legal counsel, audit services, facilities planning and construction, investments, cash and debt management, risk management, communication services, technology commercialization and governmental relations.

MAJOR AREAS OF CONCERN

The major areas of concern in this appropriations request are those that directly affect Texas Tech University System's components ability to continue successfully its vital missions of teaching, research, and public service.

In preparation for responding to the 10 percent general revenue-related base reduction exercise, the System evaluated all general revenue funding and deemed every appropriated general revenue dollar critical to the missions of the Texas Tech University System. Because of limited alternatives, the System must take the proposed reduction from the System Office Operations Strategy. These proposed reductions would impact the core operations and delivery of services.

All positions deemed as security sensitive I and II are required to undergo background checks prior to beginning employment with Texas Tech University System. As of mid July 2008, all background checks are performed by a third party vendor that runs the checks against local, state and national databases in accordance with Texas Education Code, Section 51.215 and Texas Government Code, Section 411.097.

ADMINISTRATOR'S STATEMENT
81st Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/12/2008
TIME: 10:08:09AM
PAGE: 6 of 7

Agency code: 768

Agency name: Texas Tech University System Administration

FUNDING PRIORITIES

A top priority for the 80th Legislature will be to provide sufficient funds to cover the growth in enrollments statewide and the increased costs of operations. The funds should be added to the formulas for the additional students who have entered the system during the current biennium. The formula items primarily fund the core functions of the universities and health sciences center, such as instruction, administration and physical plant.

If sufficient funds are not added to the formula funding, there will be a negative impact on the quality of services provided through the core functions to institutions. A significant portion of this funding is allocated to faculty and staff salaries, while a very small portion is associated with other expenses such as maintenance/operations and other administrative costs. As a result, the ultimate impact will be in faculty and staff positions.

Included in this priority is a request to increase the formula funding rates to address inflation in order to maintain current purchasing power. This recommendation is included in the proposed formula changes from the Coordinating Board. To retain current personnel levels and to support the strategic hiring of faculty and staff, the System and its components will need adequate funding for salaries.

An additional priority is fully funding the appropriation for Higher Education Group Insurance, including increases in health care insurance premiums for all eligible employees. Finally, we request tuition revenue bonds be authorized and provide corresponding debt service to our component institutions.

ADMINISTRATOR'S STATEMENT
81st Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/12/2008
TIME: 10:08:09AM
PAGE: 7 of 7

Agency code: 768

Agency name: Texas Tech University System Administration

EXCEPTIONAL ITEMS

1. Equitable Funding for System Administration

Texas Tech University System Administration requests funding that is at the same level of comparable systems within the State. In terms of annual system wide expenditures, Texas Tech University System is the third largest system in the State. However, funding for TTUSA is fifth among the six university systems.

	2010	2011	Biennium Total
Amount Requested:	\$1,584,953	\$1,584,953	\$3,169,906

2. Technology Commercialization

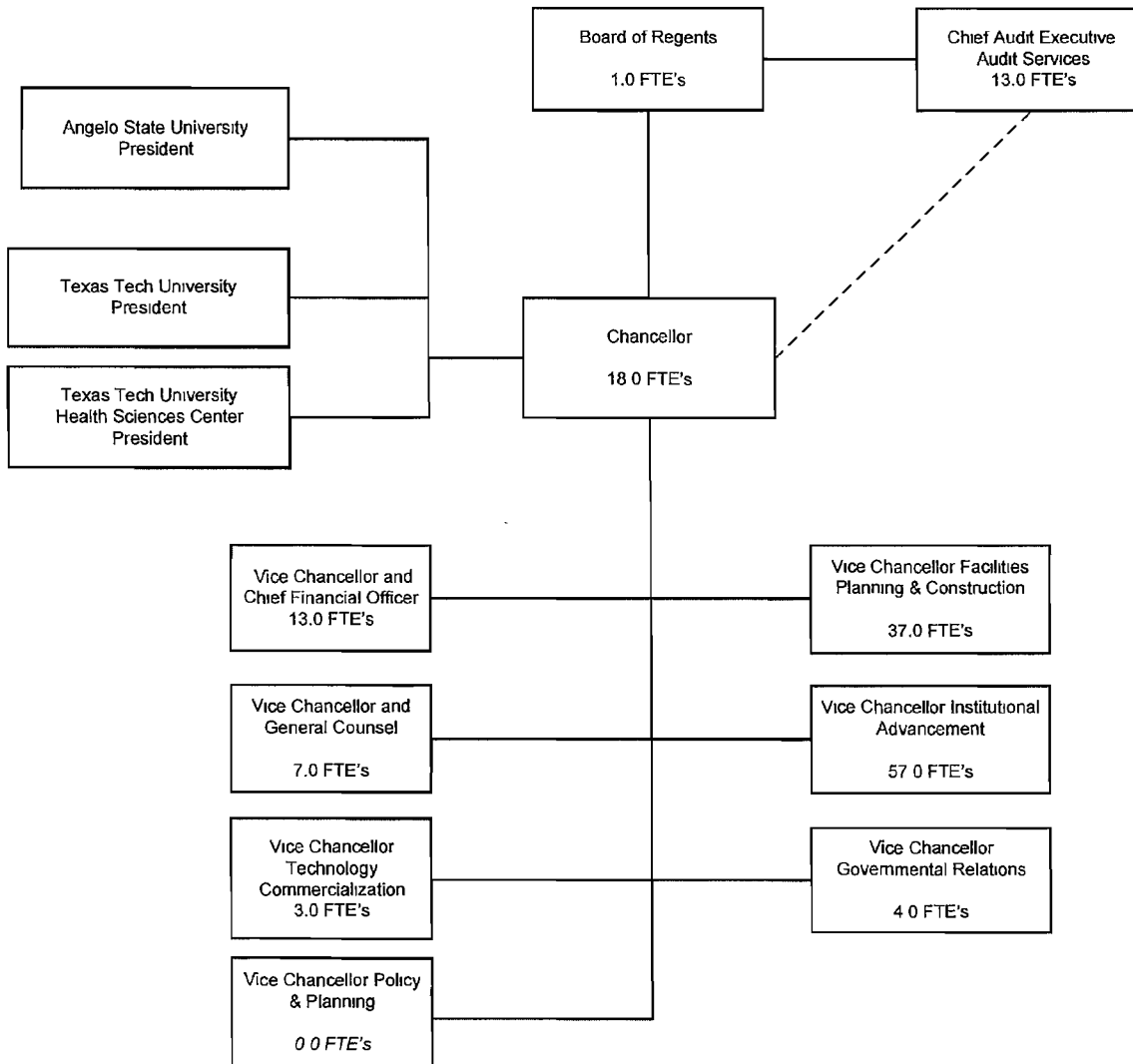
Funding would provide a mechanism to increase applied research funding through internal and external sources, improve recruitment and retention of world class researchers and top-tiered students and create a culture that fosters innovation and commercialization among faculty members.

	2010	2011	Biennium Total
Amount Requested:	\$1,500,000	\$2,000,000	\$3,500,000

The Texas Tech University System has fully embraced the statewide goals of Closing the Gaps in areas of participation, success, excellence and research. We are appreciative of the legislative support received to this point and graciously request additional resources in order to further accomplish the important mission of contributing to the state's higher education goals.

Texas Tech University System Administration 2008 Budget

Total FTE's – 163.81



2.A. SUMMARY OF BASE REQUEST BY STRATEGY
 81st Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/12/2008
 TIME: 9:32:39AM

Agency code. 768 Agency name. Texas Tech University System Administration

Goal / Objective / STRATEGY	Exp 2007	Est 2008	Bud 2009	Req 2010	Req 2011
1 Provide Instructional and Operations Support					
1 Provide Instructional and Operations Support					
11 SYSTEM OFFICE OPERATIONS	409,547	415,047	415,047	415,047	415,047
TOTAL, GOAL 1	\$409,547	\$415,047	\$415,047	\$415,047	\$415,047
3 Provide Special Item Support					
2 Research Special Item Support					
1 TECHNOLOGY COMMERCIALIZATION	0	0	0	0	0
TOTAL, GOAL 3	\$0	\$0	\$0	\$0	\$0
TOTAL, AGENCY STRATEGY REQUEST	\$409,547	\$415,047	\$415,047	\$415,047	\$415,047
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*				\$0	\$0
GRAND TOTAL, AGENCY REQUEST	\$409,547	\$415,047	\$415,047	\$415,047	\$415,047
METHOD OF FINANCING:					
General Revenue Funds:					
1 General Revenue Fund	409,547	415,047	415,047	415,047	415,047
SUBTOTAL	\$409,547	\$415,047	\$415,047	\$415,047	\$415,047
TOTAL, METHOD OF FINANCING	\$409,547	\$415,047	\$415,047	\$415,047	\$415,047

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE
 81st Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/12/2008
 TIME: 9:32:51AM

Agency code: 768		Agency name: Texas Tech University System Administration			
METHOD OF FINANCING	Exp 2007	Est 2008	Bud 2009	Req 2010	Req 2011
<u>GENERAL REVENUE</u>					
1 General Revenue Fund					
<i>REGULAR APPROPRIATIONS</i>					
Regular Appropriations from MOF Table					
	\$414,547	\$415,047	\$415,047	\$415,047	\$415,047
<i>RIDER APPROPRIATION</i>					
Article III, Section 54 (2008-2009 GAA) System Operations					
	\$0	\$3,169,907	\$0	\$0	\$0
Article III, Section 54, (2008-2009 GAA) System Operations Vetoed					
	\$0	\$(3,169,907)	\$0	\$0	\$0
<i>TRANSFERS</i>					
Art IX, Sec 5.09, Reductions for Commercial Air Travel (2006-07 GAA)					
	\$(5,000)	\$0	\$0	\$0	\$0
TOTAL, General Revenue Fund	\$409,547	\$415,047	\$415,047	\$415,047	\$415,047
TOTAL, ALL GENERAL REVENUE	\$409,547	\$415,047	\$415,047	\$415,047	\$415,047
GRAND TOTAL	\$409,547	\$415,047	\$415,047	\$415,047	\$415,047

2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE
 81st Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/12/2008
 TIME: 9:32:56AM

Agency code: 768 Agency name: Texas Tech University System Administration

METHOD OF FINANCING	Exp 2007	Est 2008	Bud 2009	Req 2010	Req 2011
<u>FULL-TIME-EQUIVALENT POSITIONS</u>					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table	160.2	120.2	120.2	120.0	120.0
UNAUTHORIZED NUMBER OVER (BELOW) CAP					
Unauthorized Number Over (Below) Cap	(79.2)	(20.2)	0.8	0.0	0.0
TOTAL, ADJUSTED FTES	81.0	100.0	121.0	120.0	120.0
NUMBER OF 100% FEDERALLY FUNDED					
FTEs	0.0	0.0	0.0	0.0	0.0

2.C. SUMMARY OF BASE REQUEST BY OBJECT OF EXPENSE
 81st Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/12/2008
 TIME: 9:33:13AM

Agency code: 768

Agency name: Texas Tech University System Administration

OBJECT OF EXPENSE	Exp 2007	Est 2008	Bud 2009	BL 2010	BL 2011
1001 SALARIES AND WAGES	\$409,547	\$415,047	\$415,047	\$415,047	\$415,047
2009 OTHER OPERATING EXPENSE	\$0	\$0	\$0	\$0	\$0
OOE Total (Excluding Riders)	\$409,547	\$415,047	\$415,047	\$415,047	\$415,047
OOE Total (Riders)					
Grand Total	\$409,547	\$415,047	\$415,047	\$415,047	\$415,047

2.E. SUMMARY OF EXCEPTIONAL ITEMS REQUEST
 81st Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/12/2008
 TIME : 9:33:30AM

Agency code: 768

Agency name: Texas Tech University System Administration

Priority	Item	2010			2011			Biennium	
		GR and GR/Dedicated	All Funds	FTEs	GR and GR/Dedicated	All Funds	FTEs	GR and GR/Dedicated	All Funds
3	Equitable Funding for System	\$1,584,953	\$1,584,953	0.0	\$1,584,953	\$1,584,953	0.0	\$3,169,906	\$3,169,906
4	Technology Commercialization	\$1,500,000	\$1,500,000		\$2,000,000	\$2,000,000		\$3,500,000	\$3,500,000
Total, Exceptional Items Request		\$3,084,953	\$3,084,953	0.0	\$3,584,953	\$3,584,953	0.0	\$6,669,906	\$6,669,906
Method of Financing									
	General Revenue	\$3,084,953	\$3,084,953		\$3,584,953	\$3,584,953		\$6,669,906	\$6,669,906
	General Revenue - Dedicated								
	Federal Funds								
	Other Funds								
		\$3,084,953	\$3,084,953		\$3,584,953	\$3,584,953		\$6,669,906	\$6,669,906
Full Time Equivalent Positions				0.0				0.0	
Number of 100% Federally Funded FTEs				0.0				0.0	

2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY
 81st Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/12/2008
 TIME : 9:33:43AM

Agency code: 768		Agency name: Texas Tech University System Administration				
Goal/Objective/STRATEGY	Base 2010	Base 2011	Exceptional 2010	Exceptional 2011	Total Request 2010	Total Request 2011
1 Provide Instructional and Operations Support						
<i>1 Provide Instructional and Operations Support</i>						
11 SYSTEM OFFICE OPERATIONS	\$415,047	\$415,047	\$1,584,953	\$1,584,953	\$2,000,000	\$2,000,000
TOTAL, GOAL 1	\$415,047	\$415,047	\$1,584,953	\$1,584,953	\$2,000,000	\$2,000,000
3 Provide Special Item Support						
<i>2 Research Special Item Support</i>						
1 TECHNOLOGY COMMERCIALIZATION	0	0	1,500,000	2,000,000	1,500,000	2,000,000
TOTAL, GOAL 3	\$0	\$0	\$1,500,000	\$2,000,000	\$1,500,000	\$2,000,000
TOTAL, AGENCY STRATEGY REQUEST	\$415,047	\$415,047	\$3,084,953	\$3,584,953	\$3,500,000	\$4,000,000
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST						
GRAND TOTAL, AGENCY REQUEST	\$415,047	\$415,047	\$3,084,953	\$3,584,953	\$3,500,000	\$4,000,000

2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY
 81st Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/12/2008
 TIME : 9:33:52AM

Agency code: 768 Agency name: Texas Tech University System Administration

Goal/Objective/STRATEGY	Base 2010	Base 2011	Exceptional 2010	Exceptional 2011	Total Request 2010	Total Request 2011
General Revenue Funds:						
1 General Revenue Fund	\$415,047	\$415,047	\$3,084,953	\$3,584,953	\$3,500,000	\$4,000,000
	\$415,047	\$415,047	\$3,084,953	\$3,584,953	\$3,500,000	\$4,000,000
TOTAL, METHOD OF FINANCING	\$415,047	\$415,047	\$3,084,953	\$3,584,953	\$3,500,000	\$4,000,000
FULL TIME EQUIVALENT POSITIONS	120.0	120.0	0.0	0.0	120.0	120.0

3.A. STRATEGY REQUEST
 81st Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/12/2008
 TIME: 9:34:04AM

Agency code: 768 Agency name: Texas Tech University System Administration

GOAL: 1 Provide Instructional and Operations Support Statewide Goal/Benchmark: 0 0
 OBJECTIVE: 1 Provide Instructional and Operations Support Service Categories:
 STRATEGY: 11 System Office Operations Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2007	Est 2008	Bud 2009	BL 2010	BL 2011
Objects of Expense:						
1001	SALARIES AND WAGES	\$409,547	\$415,047	\$415,047	\$415,047	\$415,047
TOTAL, OBJECT OF EXPENSE		\$409,547	\$415,047	\$415,047	\$415,047	\$415,047
Method of Financing:						
1	General Revenue Fund	\$409,547	\$415,047	\$415,047	\$415,047	\$415,047
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$409,547	\$415,047	\$415,047	\$415,047	\$415,047
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$415,047	\$415,047
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)					\$415,047	\$415,047
FULL TIME EQUIVALENT POSITIONS:		80.3	110.0	121.0	120.0	120.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Texas Tech University System (TTUS) is composed of one health related and two general academic institutions. The components are Texas Tech University System Administration; Texas Tech University; Texas Tech University Health Sciences Center and Angelo State University.

The System encompasses seven academic campuses and centers in Lubbock, Abilene, Amarillo, Fredericksburg, Highland Lakes, Junction, San Angelo and Seville, Spain; the seven locations of the health sciences campuses and centers are Lubbock, El Paso, Amarillo, Abilene, Permian Basin, Dallas and Marble Falls. The total enrollment across all components is more than 37,000 students. Headquartered in Lubbock, the System's control and direction is vested in a ten-member Board of Regents. The Chancellor, appointed by the Board of Regents, is the chief executive officer of the Texas Tech University System.

The System is committed to providing the highest quality and most efficient resources and services to its components. The System strives to increase accessibility, enhance instruction and infrastructure formula funding, provide necessary financial aid, produce and commercialize technologies, strengthen academic quality and reputation, advance research, improve productivity and efficiency, and further the quality and availability of health care for all Texans.

Texas Tech University System Administration has a direct legislative appropriation of \$415,047 for FY 2009. The majority of the system's budget is provided through charges for shared services to the TTU, ASU, and TTUHSC budgets.

3.A. STRATEGY REQUEST
 81st Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/12/2008
 TIME: 9:34:10AM

Agency code: 768 Agency name: Texas Tech University System Administration

GOAL:	1	Provide Instructional and Operations Support	Statewide Goal/Benchmark:	0	0
OBJECTIVE:	1	Provide Instructional and Operations Support	Service Categories:		
STRATEGY:	11	System Office Operations	Service:	19	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2007	Est 2008	Bud 2009	BL 2010	BL 2011
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Sufficient funding is necessary to support the Texas Tech University System's goal of increased enrollment and to further aid in fulfilling the statewide goals of Closing the Gaps.

Graduates from our universities are known for their practicality, strong work ethic and ambition. The component institutions of the Texas Tech University System are preparing students for lifelong success personally, professionally and civically.

As the cost of a four-year college education rises, universities must find ways to keep deserving families from being priced out of a quality education. The Texas Tech University System is committed to keeping higher education affordable and accessible to all families by providing necessary financial resources.

The Texas Tech University Health Sciences Center prides itself on investigating and treating complex health issues—especially those affecting historically underserved populations.

Each of our universities has research areas of unparalleled excellence that are leveraging their unique resources to provide solutions to complex problems impacting our nation and world.

The System and its components are committed to utilizing the resources necessary to achieve its goals in combination with improved productivity, internal efficiencies and budget reallocations. In addition, the System will continue to share services across all institutions in order to reduce expenses.

3.A. STRATEGY REQUEST
 81st Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/12/2008
 TIME: 9:34:10AM

Agency code: 768 Agency name: Texas Tech University System Administration

GOAL: 3 Provide Special Item Support

Statewide Goal/Benchmark: 0 0

OBJECTIVE: 2 Research Special Item Support

Service Categories:

STRATEGY: 1 Support of the TTUS Technology Commercialization Initiative

Service: NA Income: NA Age: NA

CODE	DESCRIPTION	Exp 2007	Est 2008	Bud 2009	BL 2010	BL 2011
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$0	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$0	\$0	\$0	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$0	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$0	\$0	\$0	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$0	\$0	\$0	\$0	\$0

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

3.A. STRATEGY REQUEST
81st Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/12/2008
TIME: 9:34:10AM

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$409,547	\$415,047	\$415,047	\$415,047	\$415,047
METHODS OF FINANCE (INCLUDING RIDERS):				\$415,047	\$415,047
METHODS OF FINANCE (EXCLUDING RIDERS):	\$409,547	\$415,047	\$415,047	\$415,047	\$415,047
FULL TIME EQUIVALENT POSITIONS:	80.3	110.0	121.0	120.0	120.0

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

DATE: 8/12/2008

81st Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

TIME: 9:34:47AM

Code	Description	Excp 2010	Excp 2011
Agency code:	768	Agency name:	Texas Tech University System Administration
Item Name: Equitable Funding for System Administration			
Allocation to Strategy: 1-1-11 System Office Operations			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	1,584,953	1,584,953
TOTAL, OBJECT OF EXPENSE		\$1,584,953	\$1,584,953
METHOD OF FINANCING:			
1	General Revenue Fund	1,584,953	1,584,953
TOTAL, METHOD OF FINANCING		\$1,584,953	\$1,584,953
FULL-TIME EQUIVALENT POSITIONS (FTE):		0.0	0.0

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

DATE: 8/12/2008

81st Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

TIME: 9:34:53AM

Agency code: 768 Agency name: Texas Tech University System Administration

Code	Description	Excp 2010	Excp 2011
Item Name: Technology Commercialization			
Allocation to Strategy: 3-2-1 Support of the TTUS Technology Commercialization Initiative			
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	1,500,000	2,000,000
TOTAL, OBJECT OF EXPENSE		\$1,500,000	\$2,000,000
METHOD OF FINANCING:			
1	General Revenue Fund	1,500,000	2,000,000
TOTAL, METHOD OF FINANCING		\$1,500,000	\$2,000,000

4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST
 81st Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/12/2008
TIME: 9:35:06AM

Agency Code: 768 Agency name: Texas Tech University System Administration

GOAL: 1 Provide Instructional and Operations Support Statewide Goal/Benchmark: 0 - 0
 OBJECTIVE: 1 Provide Instructional and Operations Support Service Categories:
 STRATEGY: 11 System Office Operations Service: 19 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2010	Excp 2011
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	1,584,953	1,584,953
Total, Objects of Expense	\$1,584,953	\$1,584,953

METHOD OF FINANCING:

1 General Revenue Fund	1,584,953	1,584,953
Total, Method of Finance	\$1,584,953	\$1,584,953

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Equitable Funding for System Administration

4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST
 81st Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/12/2008
TIME: 9:35:11AM

Agency Code: 768

Agency name: Texas Tech University System Administration

GOAL: 3 Provide Special Item Support

Statewide Goal/Benchmark: 0 - 0

OBJECTIVE: 2 Research Special Item Support

Service Categories:

STRATEGY: 1 Support of the TTUS Technology Commercialization Initiative

Service: NA Income: NA Age: NA

CODE DESCRIPTION	Excp 2010	Excp 2011
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OBJECTS OF EXPENSE:

2009 OTHER OPERATING EXPENSE	1,500,000	2,000,000
Total, Objects of Expense	\$1,500,000	\$2,000,000

METHOD OF FINANCING:

1 General Revenue Fund	1,500,000	2,000,000
Total, Method of Finance	\$1,500,000	\$2,000,000

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Technology Commercialization

6.A. HISTORICALLY UNDERUTILIZED BUSINESS SUPPORTING SCHEDULE
 81st Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/12/2008
 Time: 9:35:29AM

Agency Code: 768 Agency: Texas Tech University System Administration

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year 2006 - 2007 HUB Expenditure Information

Statewide HUB Goals	Procurement Category	HUB Expenditures FY 2006			Total Expenditures FY 2006	HUB Expenditures FY 2007			Total Expenditures FY 2007
		% Goal	% Actual	Actual \$		% Goal	% Actual	Actual \$	
26.1%	Building Construction	0.0 %	0.8%	\$500	\$62,537	0.0 %	90.9%	\$2,000	\$2,200
57.2%	Special Trade Construction	0.0 %	0.0%	\$0	\$300	0.0 %	11.5%	\$669	\$5,842
20.0%	Professional Services	0.0 %	0.0%	\$0	\$8,586	0.0 %	5.5%	\$260	\$4,760
33.0%	Other Services	33.0 %	44.6%	\$444,104	\$996,500	33.0 %	0.4%	\$3,338	\$780,255
12.6%	Commodities	12.6 %	25.5%	\$134,599	\$528,837	12.6 %	43.8%	\$198,253	\$452,725
	Total Expenditures		36.3%	\$579,203	\$1,596,760		16.4%	\$204,520	\$1,245,782

B. Assessment of Fiscal Year 2006 - 2007 Efforts to Meet HUB Procurement Goals

Attainment:

Texas Tech University System Administration (TTUSA) realized growth in Commodity Purchasing and exceeded the Statewide Goal of 12.60% by 12.8% in 2006 and by 31.1% in 2007.

Applicability:

Texas Tech University System Administration's primary expenditures categories are Other Services and Commodity Purchasing.

Factors Affecting Attainment:

The majority of purchases from the Texas Tech University System Administration are either internal office needs or many are proprietary purchases. Availability of HUB vendors in West Texas continues to be very limited for many commodities and services. Texas Tech University System will maintain its requirement for prime contractors to put forth a good faith effort in establishing contracts with HUBs as subcontractor, suppliers, and material providers for contracts of \$100,000 or more.

"Good-Faith" Efforts:

Texas Tech University System Administration provides HUB vendor names to purchasing employees on a monthly basis. In addition, TTUS mandates attendance at Economic Opportunity Forums throughout the State to acquaint HUB vendors with opportunities at the Texas Tech University System Administration, Texas Tech University, Texas Tech University Health Sciences Center, and Angelo State University. TTUS also participates in presentations to Small Business Development programs throughout the State. Finally, Texas Tech University System requires prime contractors to put forth a good faith effort on all contracts and assist with HUB Subcontracting Plan questions.

Schedule 6.H. ESTIMATED FUNDS OUTSIDE THE GAA
80th Regular Session, Agency Submission, Version 1

Texas Tech University System Administration (768)
Estimated Funds Outside the GAA
2008-09 and 2010-11 Biennia

	2008 - 2009 Biennium				2010 - 2011 Biennium			
	<u>FY 2008 Revenue</u>	<u>FY 2009 Revenue</u>	<u>Biennium Total</u>	<u>Percent of Total</u>	<u>FY 2010 Revenue</u>	<u>FY 2011 Revenue</u>	<u>Biennium Total</u>	<u>Percent of Total</u>
APPROPRIATED SOURCES (INSIDE THE GAA)								
State Appropriations	\$ 415,047	\$ 415,047	\$ 830,094		\$ 415,047	\$ 415,047	\$ 830,094	
State Grants and Contracts	-	-	-		-	-	-	
Research Excellence Funds (URF/TEF)	-	-	-		-	-	-	
Higher Education Assistance Funds	-	-	-		-	-	-	
Available University Fund	-	-	-		-	-	-	
Tuition and Fees (net of Discounts and Allowances)	-	-	-		-	-	-	
Federal Grants and Contracts	-	-	-		-	-	-	
Endowment and Interest Income	-	-	-		-	-	-	
Local Government Grants and Contracts	-	-	-		-	-	-	
Private Gifts and Grants	-	-	-		-	-	-	
Sales and Services of Educational Activities (net)	-	-	-		-	-	-	
Sales and Services of Hospitals (net)	-	-	-		-	-	-	
Other Income	-	-	-		-	-	-	
Total	<u>415,047</u>	<u>415,047</u>	<u>830,094</u>	<u>2.6%</u>	<u>415,047</u>	<u>415,047</u>	<u>830,094</u>	<u>2.6%</u>
NON-APPROPRIATED SOURCES (OUTSIDE THE GAA)								
State Grants and Contracts	-	-	-		-	-	-	
Tuition and Fees (net of Discounts and Allowances)	-	-	-		-	-	-	
Federal Grants and Contracts	-	-	-		-	-	-	
Endowment and Interest Income	3,250,000	3,706,264	6,956,264		3,708,264	3,708,264	7,416,528	
Local Government Grants and Contracts	-	-	-		-	-	-	
Private Gifts and Grants	-	-	-		-	-	-	
Sales and Services of Educational Activities (net)	-	-	-		-	-	-	
Sales and Services of Hospitals (net)	-	-	-		-	-	-	
Professional Fees (net)	2,634,910	2,214,729	4,849,639		2,214,729	2,214,729	4,429,458	
Auxiliary Enterprises (net)	-	-	-		-	-	-	
Transfers From Components - Appropriated Funds	5,096,188	5,096,188	10,192,376		5,096,188	5,096,188	10,192,376	
Other Income	4,364,538	4,681,391	9,045,929		4,681,391	4,681,391	9,362,782	
Total	<u>15,345,636</u>	<u>15,700,572</u>	<u>31,046,208</u>	<u>97.4%</u>	<u>15,700,572</u>	<u>15,700,572</u>	<u>31,401,144</u>	<u>97.4%</u>
TOTAL SOURCES	<u>\$ 15,760,683</u>	<u>\$ 16,115,619</u>	<u>\$ 31,876,302</u>	<u>100.0%</u>	<u>\$ 16,115,619</u>	<u>\$ 16,115,619</u>	<u>\$ 32,231,238</u>	<u>100.0%</u>

6.J. 10 Percent Biennial Base Reduction Options Schedule

Approved Reduction Amount

\$83,009

Agency Code: 768			Agency Name: Texas Tech University System Administration								
Rank	Reduction Item		Biennial Application of 10% Percent Reduction					FTE Reductions (FY 2010-11 Base Request Compared to Budgeted 2009)		Revenue Impact? Y/N	Cumulative GR-related reduction as a % of Approved Base
	Strat	Name	GR	GR-Dedicated	Federal	Other	All Funds	FY 08	FY 09		
1	A.1.1	SYSTEM OFFICE OPERATIONS	\$ 83,009				\$ 83,009	1.3	1.3	N	10.0%
2							\$ -				
3							\$ -				
4							\$ -				
5							\$ -				
6							\$ -				
7							\$ -				
8							\$ -				
9							\$ -				
10							\$ -				
11							\$ -				
12							\$ -				
Agency Biennial Total			\$ 83,009	\$ -	\$ -	\$ -	\$ 83,009	1.3	1.3		10.0%
Agency Biennial Total (GR + GR-D)				\$ 83,009							

Rank / Name
Explanation of Impact to Programs and Revenue Collections

1 A.1.1 SYSTEM OFFICE OPERATIONS
This reduction will necessitate decreases in the administrative area, impacting administrative services provided to Texas Tech University, Angelo State University, and Texas Tech University Health Sciences Center. Sufficient funding is needed to cover the growth in services by the administrative core functions of the universities and health sciences center. A reduction negatively impacts the quality of services provided to component institutions.

Schedule 2: Grand Total Educational, General and Other Funds

81st Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/12/2008
 TIME: 9:36:12AM
 PAGE: 1 of 2

Agency Code: 768 Agency Name: Texas Tech University System Administration

	Act 2007	Act 2008	Bud 2009	Est 2010	Est 2011
Balances as of Beginning of Fiscal Year					
Encumbered and Obligated	0	0	0	0	0
Unencumbered and Unobligated	0	0	0	0	0
Capital Projects - Legislative Appropriations	0	0	0	0	0
Capital Projects - Other Educational and General Funds	0	0	0	0	0
General Revenue Appropriations					
Direct Appropriations	414,547	415,047	415,047	415,047	415,047
Less: Transfer Sec. 9-5.09(c), Expenditures for Commercial Air Travel (2007)	(5,000)	0	0	0	0
Transfer from Office of the Governor Deficiency and Emergency Grants	0	0	0	0	0
Less: General Revenue Appropriations Lapsed	0	0	0	0	0
Plus: Additional General Revenue through Budget Execution	0	0	0	0	0
Other (Itemize)					
Subtotal, General Revenue Appropriations	409,547	415,047	415,047	415,047	415,047
Other Educational and General Income	0	0	0	0	0
Other Appropriated Funds Income					
Health-related Institutions Patient Income (medical, dental, other)	0	0	0	0	0
Interagency contracts	0	0	0	0	0
Tobacco - Related Funds	0	0	0	0	0
Other (Itemize)					
TOTAL, EDUCATIONAL AND GENERAL APPROPRIATIONS	409,547	415,047	415,047	415,047	415,047
General Revenue Transfers					
Transfer from Coordinating Board for Advanced Research Program	0	0	0	0	0
Transfer from Coordinating Board for Texas College Work Study Program (2007, 2008, 2009)	0	0	0	0	0
Transfer from Coordinating Board for the Cancer Registry (2007)	0	0	0	0	0
Transfer from Coordinating Board for Professional Nursing Shortage Reduction Program	0	0	0	0	0
Transfer of GR Group Insurance Premium from Comptroller (UT and TAMU Components only)	0	0	0	0	0
Less: Transfer to Other Institutions	0	0	0	0	0
Less: Transfer to Department of Health, Disproportionate Share - State-Owned Hospitals (2007, 2008, 2009)	0	0	0	0	0

Schedule 2: Grand Total Educational, General and Other Funds

81st Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/12/2008

TIME: 9:36:20AM

PAGE: 2 of 2

Agency Code: 768 Agency Name: Texas Tech University System Administration

	Act 2007	Act 2008	Bud 2009	Est 2010	Est 2011
Other (Itemize)					
Other: Fifth Year Accounting Scholarship	0	0	0	0	0
Texas Grants	0	0	0	0	0
Less: Transfer to System Administration	0	0	0	0	0
B-on-Time Program	0	0	0	0	0
Subtotal, General Revenue Transfers	0	0	0	0	0
General Revenue HEF for Operating Expenses	0	0	0	0	0
Transfer from Available University Funds (UT, A&M and Prairie View A&M Only)	0	0	0	0	0
Other Additions (Itemize)					
Increase Capital Projects - Educational and General Funds	0	0	0	0	0
Transfer from Department of Health, Disproportionate Share - State-owned Hospitals (2007, 2008, 2009)	0	0	0	0	0
Transfers from Other Funds, e.g., Designated funds transferred for educational and general activities (Itemize)	0	0	0	0	0
Other (Itemize)					
Other Deductions (Itemize)					
Decrease Capital Projects - Educational and General Funds	0	0	0	0	0
Other (Itemize)					
Total Funds	409,547	415,047	415,047	415,047	415,047
Less: Balances as of End of Fiscal Year					
Encumbered and Obligated	0	0	0	0	0
Unencumbered and Unobligated	0	0	0	0	0
Capital Projects - Legislative Appropriations	0	0	0	0	0
Capital Projects - Other Educational and General Funds	0	0	0	0	0
Grand Total, Educational, General and Other Funds	409,547	415,047	415,047	415,047	415,047
Designated Tuition (Sec. 54.0513)	0	0	0	0	0
Indirect Cost Recovery (Sec. 145.001(d))	0	0	0	0	0

Schedule 3A: Staff Group Insurance Data Elements (ERS)
 81st Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/12/2008
 Time: 9:36:38AM
 Page: 1 of 3

Agency Code: 768 Agency Code: Texas Tech University System Administration

	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
GR & GR-D Percentages					
GR %	100.00%				
GR-D %	0.00%				
Total Percentage	100.00%				
FULL TIME ACTIVES					
1a Employee Only	42	42	0	42	41
2a Employee and Children	20	20	0	20	21
3a Employee and Spouse	17	17	0	17	2
4a Employee and Family	24	24	0	24	2
5a Eligible, Opt Out	0	0	0	0	2
6a Eligible, Not Enrolled	2	2	0	2	1
Total for This Section	105	105	0	105	69
PART TIME ACTIVES					
1b Employee Only	7	7	0	7	0
2b Employee and Children	2	2	0	2	0
3b Employee and Spouse	2	2	0	2	0
4b Employee and Family	1	1	0	1	0
5b Eligible, Opt Out	0	0	0	0	0
6b Eligible, Not Enrolled	1	1	0	1	2
Total for This Section	13	13	0	13	2
Total Active Enrollment	118	118	0	118	71

Schedule 3A: Staff Group Insurance Data Elements (ERS)
 81st Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/12/2008
 Time: 9:36:42AM
 Page: 2 of 3

Agency Code: 768 Agency Code: Texas Tech University System Administration

	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
FULL TIME RETIREES by ERS					
1c Employee Only	0	0	0	0	0
2c Employee and Children	0	0	0	0	0
3c Employee and Spouse	0	0	0	0	0
4c Employee and Family	0	0	0	0	0
5c Eligible, Opt Out	0	0	0	0	0
6c Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	0	0	0	0	0
PART TIME RETIREES by ERS					
1d Employee Only	0	0	0	0	0
2d Employee and Children	0	0	0	0	0
3d Employee and Spouse	0	0	0	0	0
4d Employee and Family	0	0	0	0	0
5d Eligible, Opt Out	0	0	0	0	0
6d Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	0	0	0	0	0
Total Retirees Enrollment	0	0	0	0	0
TOTAL FULL TIME ENROLLMENT					
1e Employee Only	42	42	0	42	41
2e Employee and Children	20	20	0	20	21
3e Employee and Spouse	17	17	0	17	2
4e Employee and Family	24	24	0	24	2
5e Eligible, Opt Out	0	0	0	0	2
6e Eligible, Not Enrolled	2	2	0	2	1
Total for This Section	105	105	0	105	69

Schedule 3A: Staff Group Insurance Data Elements (ERS)
 81st Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/12/2008
 Time: 9:36:42AM
 Page: 3 of 3

Agency Code: 768 Agency Code: Texas Tech University System Administration

	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
TOTAL ENROLLMENT					
1f Employee Only	49	49	0	49	41
2f Employee and Children	22	22	0	22	21
3f Employee and Spouse	19	19	0	19	2
4f Employee and Family	25	25	0	25	2
5f Eligible, Opt Out	0	0	0	0	2
6f Eligible, Not Enrolled	3	3	0	3	3
Total for This Section	118	118	0	118	71

SCHEDULE 4: COMPUTATION OF OASI
 81st Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/12/2008
 Time: 9:37:01AM
 Page: 1 of 1

Agency Code: 768 Agency: Texas Tech University System Administration

	<u>Actual Salaries & Wages 2007</u>	<u>Actual Salaries & Wages 2008</u>	<u>Budgeted Salaries & Wages 2009</u>	<u>Estimated Salaries & Wages 2010</u>	<u>Estimated Salaries & Wages 2011</u>
Gross Educational & General Payroll - Subject to OASI	\$0	\$5,193,403	\$5,297,271	\$5,456,190	\$5,619,875
FTE Employees - Subject to OASI	-0.3	83.0	80.0	80.0	80.0
Average Salary (Gross Payroll / FTE Employees)	\$0	\$62,571	\$66,216	\$68,202	\$70,248
Employer OASI Rate 7.65% x Average Salary	\$0	\$4,787	\$5,066	\$5,217	\$5,374
x FTE Employees	-0.3	83.0	80.0	80.0	80.0
Grand Total, OASI	\$0	\$397,321	\$405,280	\$417,360	\$429,920

	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>
Proportionality Percentage Based on Comptroller Accounting Policy Statement #011, Exhibit 2										
General Revenue (% to Total)	1.0000	\$0	1.0000	\$397,321	1.0000	\$405,280	1.0000	\$417,360	1.0000	\$429,920
Other Educational and General Funds (% to Total)	0.0000	0	1.0000	397,321	1.0000	405,280	1.0000	417,360	1.0000	429,920
Health-related Institutions Patient Income (% to Total)	0.0000	0	1.0000	397,321	1.0000	405,280	1.0000	417,360	1.0000	429,920
Grand Total, OASI (100%)	1.0000	\$0	3.0000	\$1,191,963	3.0000	\$1,215,840	3.0000	\$1,252,080	3.0000	\$1,289,760

SCHEDULE 5: CALCULATION OF RETIREMENT PROPORTIONALITY AND ORP DIFFERENTIAL

81st Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

DATE 8/12/2008

TIME 9:37:18AM

PAGE 1 of 1

Agency code 768 Agency name Texas Tech University System Administration

Description	Act 2007	Act 2008	Bud 2009	Est 2010	Est 2011
Proportionality Amounts					
Gross Educational and General Payroll - Subject to Retirement	0	5,193,403	5,297,271	5,456,190	5,619,875
Employer Contribution to Retirement Programs	0	341,726	348,560	359,017	369,788
Proportionality Percentage					
General Revenue	1.00%	1.00%	1.00%	1.00%	1.00%
Other Educational and General Income	0.00%	0.00%	0.00%	0.00%	0.00%
Health-related Institutions Patient Income	0.00%	0.00%	0.00%	0.00%	0.00%
Proportional Contribution					
Other Educational and General Proportional Contribution (Other E&G percentage x Total Employer Contribution to Retirement Programs)	0	0	0	0	0
HRI Patient Income Proportional Contribution (HRI Patient Income percentage x Total Employer Contribution To Retirement Programs)	0	0	0	0	0
Differential					
Gross Payroll Subject to Differential - Optional Retirement Program	0	1,239,843	1,264,640	1,302,579	1,341,656
Total Differential	0	9,051	9,232	9,509	9,794

SCHEDULE 7: CURRENT AND LOCAL FUND (GENERAL) BALANCES

81st Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/12/2008

TIME: 9:37:36AM

PAGE: 1 of 1

Agency code: 768

Agency name: TEXAS TECH UNIV SYS ADMIN

	Actual 2007	Actual 2008	Budgeted 2009	Estimated 2010	Estimated 2011
1. Balance of Current Fund in State Treasury	\$0	\$0	\$0	\$0	\$0

Schedule 8: PERSONNEL
 81st Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE 8/12/2008
 TIME 9:37:45AM
 PAGE 1 of 1

Agency code 768 Agency name TEXAS TECH UNIV SYS ADMIN

	Actual 2007	Actual 2008	Budgeted 2009	Estimated 2010	Estimated 2011
Part A.					
FTE Positions					
E & G Non-Faculty Employees	81.0	100.0	121.0	120.0	120.0
SUBTOTAL, E&G	81.0	100.0	121.0	120.0	120.0
Other Funds Employees	72.0	63.0	42.0	42.0	42.0
SUBTOTAL, NON-APPROPRIATED	72.0	63.0	42.0	42.0	42.0
GRAND TOTAL	153.0	163.0	163.0	162.0	162.0
Part B.					
Personnel Headcount					
E & G Non-Faculty Employees	91	110	131	130	130
SUBTOTAL, E&G	91	110	131	130	130
Other Funds Employees	86	77	57	56	56
SUBTOTAL, NON-APPROPRIATED	86	77	57	56	56
GRAND TOTAL	177	187	188	186	186
PART C.					
Salaries					
E & G Non-Faculty Employees	\$5,102,369	\$5,415,329	\$5,415,239	\$5,415,239	\$5,415,239
SUBTOTAL, E&G	\$5,102,369	\$5,415,329	\$5,415,239	\$5,415,239	\$5,415,239
Other Funds Employees	\$4,598,991	\$5,127,308	\$5,127,308	\$5,127,308	\$5,127,308
SUBTOTAL, NON-APPROPRIATED	\$4,598,991	\$5,127,308	\$5,127,308	\$5,127,308	\$5,127,308
GRAND TOTAL	\$9,701,360	\$10,542,637	\$10,542,547	\$10,542,547	\$10,542,547

SCHEDULE 10B: TUITION REVENUE BOND ISSUANCE HISTORY

81st Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/12/2008
Time: 9:38:02AM
Page: Page 1 of 1

Agency code: 768		Agency name: Texas Tech University System Administration				
Authorization Date	Authorization Amount	Issuance Date	Issuance Amount	Authorized Amount Outstanding as of 08/31/2008	Proposed Issuance Date for Outstanding Authorization	Proposed Issuance Amount for Outstanding Authorization
1971	\$35,000,000	Feb 1 1972	\$5,000,000			
		Jun 1 1972	\$12,500,000			
		Apr 1 1974	\$16,000,000			
		Feb 1 1984	\$1,500,000			
		<i>Subtotal</i>	\$35,000,000	\$0		
1993	\$16,000,000	Jan 5 1994	\$16,000,000			
		<i>Subtotal</i>	\$16,000,000	\$0		
1995	\$25,000,000	Feb 15 1995	\$25,000,000			
		<i>Subtotal</i>	\$25,000,000	\$0		
1997	\$62,500,000	May 4 1999	\$38,200,000			
		Jan 1 2002	\$24,300,000			
		<i>Subtotal</i>	\$62,500,000	\$0		
1998	\$20,000,000	Sep 16 1998	\$20,000,000			
		<i>Subtotal</i>	\$20,000,000	\$0		
2001	\$90,529,525	Sep 1 2003	\$90,529,525			
		<i>Subtotal</i>	\$90,529,525	\$0		
2002	\$16,917,550	Oct 17 2002	\$16,917,550			
		<i>Subtotal</i>	\$16,917,550	\$0		
2003	\$45,000,000	Feb 1 2006	\$45,000,000			
		<i>Subtotal</i>	\$45,000,000	\$0		
2006	\$89,810,000				Sep 1 2008	\$89,810,000

Schedule 10C: Revenue Capacity for Tuition Revenue Bond Projects

DATE: 8/12/2008
TIME: 9:38:15AM
PAGE: 1 of 2

81st Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: 768

Agency Name: Texas Tech University System Administration

	Act 2007	Act 2008	Bud 2009	Est 2010	Est 2011
Gross Tuition	\$80,710,911	\$82,259,309	\$82,031,821	\$82,935,778	\$83,850,522
Less: Remissions and Exemptions	(13,999,325)	(14,665,853)	(14,404,207)	(14,469,064)	(14,534,245)
Less: Refunds	(43,663)	(20,754)	(25,000)	(25,000)	(25,000)
Less: Installment Payment Forfeits	0	0	0	0	0
Less: Board Authorized Tuition Increases (TX. Educ. Code Ann. Sec. 54.008)	(9,951,589)	(10,192,616)	(10,228,110)	(10,407,960)	(10,550,194)
Less: Statutory Tuition Increases (TX. Educ. Code Ann. Sec. 54.0512) (2005, 2006, 2007)	0	0	0	0	0
Less: Tuition increases charged to doctoral students with hours in excess of 100 (TX. Educ. Code Ann. Sec. 54.012)	0	0	0	0	0
Less: Tuition increases charged to undergraduate students with excessive hours above degree requirements. (TX. Educ. Code Ann. Sec. 61.0595)	0	0	0	0	0
Less: Tuition rebates for certain undergraduates (TX. Educ. Code Ann. Sec. 54.0065)	(271,000)	(267,000)	(278,000)	(278,000)	(278,000)
Plus: Tuition waived for students 55 years or older (TX. Educ. Code Ann. Sec. 54.0013)	32,615	37,576	40,282	40,282	40,282
Plus: Tuition waived for Texas Grant Recipients (TX. Educ. Code Ann. Sec. 56.307)	0	0	0	0	0
Subtotal	\$56,477,949	\$57,150,662	\$57,136,786	\$57,796,036	\$58,503,365
Less: Transfer of Tuition to Retirement of Indebtedness: 1) Skiles Act	(170,799)	0	0	0	0
Less: Transfer of Funds for Texas Public Education Grants Program (TX. Educ. Code Ann. Sec. 56c) and for Emergency Loans (TX. Educ. Code Ann. Sec. 56d)	(7,635,616)	(7,648,834)	(7,789,075)	(7,883,606)	(7,980,708)
Less: Transfer of Funds (2%) for Emergency Loans (Medical School)	0	0	0	0	0
Less: Transfer of Funds for Repayment of Student Loans of Physicians (TX. Educ. Code Ann. Sec. 61.539)	(71,171)	(75,484)	(73,426)	(78,666)	(86,526)
Less: Statutory Tuition (TX. Educ. Code Ann. Sec. 54.051) Set aside for Doctoral Incentive Loan Repayment Program (TX. Educ. Code Ann. Sec. 56.095)	(56,136)	(58,712)	(58,712)	(59,006)	(59,301)
Less: Other Authorized Deductions	0	0	0	0	0
Total Net Tuition Available to Pledge for Tuition Revenue Bonds	\$48,544,227	\$49,367,632	\$49,215,573	\$49,774,758	\$50,376,830

Schedule 10C: Revenue Capacity for Tuition Revenue Bond Projects

81st Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/12/2008
TIME: 9:38:21AM
PAGE: 2 of 2

Agency Code: 768

Agency Name: Texas Tech University System Administration

	Act 2007	Act 2008	Bud 2009	Est 2010	Est 2011
Debt Service on Existing Tuition Revenue Bonds	\$20,883,452)	\$(20,342,197)	\$(28,000,173)	\$(27,654,062)	\$(27,673,938)
Estimated Debt Service for Authorized but Unissued Tuition Revenue Bonds	0	(7,828,603)	0	0	0
Subtotal, Debt Service on Existing Authorizations	\$(20,883,452)	\$(28,170,800)	\$(28,000,173)	\$(27,654,062)	\$(27,673,938)
TOTAL TUITION AVAILABLE FOR NEW AUTHORIZATIONS	\$27,660,775	\$21,196,832	\$21,215,400	\$22,120,696	\$22,702,892
Debt Capacity Available for New Authorizations	\$319,686,265	\$244,979,969	\$245,194,567	\$255,657,422	\$262,386,086

SCHEDULE 11: SPECIAL ITEM INFORMATION
81ST REGULAR SESSION
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/12/2008
Time: 9:38:42AM
Page: 1 of 4

Agency Code: 768 Agency: Texas Tech University System Administration

Special Item: 1 Equitable Funding for System Administration

(1) Year Special Item: 2010

(2) Mission of Special Item:

The Texas Tech University System Administration, headquartered in Lubbock, Texas, is the executive arm of the Texas Tech University System. The Chancellor, appointed by the Board of Regents, is the chief executive officer of the Texas Tech University System.

The Texas Tech University System currently performs or coordinates many collaborative functions between the four components including strategic planning, fund raising, legal counsel, audit services, facilities planning and construction, investments, cash and debt management, risk management, communication services, technology commercialization and governmental relations.

This will further allow our institutions to fully embrace the statewide goals of Closing the Gaps in the areas of participation, success, excellence, and research.

(3) (a) Major Accomplishments to Date:

The Texas Tech University System is committed to providing the highest quality and most efficient resources and services to its components. The System and its components are committed to utilizing the means necessary to achieve its goals in combination with improved productivity, internal efficiencies and budget reallocations.

In addition, the System will continue to share services across all institutions in order to reduce expenses. By consolidating the eleven business functions, we are able to reduce costs across all components.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

The Texas Tech University System will continue to cross utilize strategic planning, fund raising, legal counsel, audit services, facilities planning and construction, investments, cash and debt management, risk management, communication services, technology commercialization and governmental relations across seven academic and seven health sciences center locations.

While at the same time of maintaining its pursuit of effective and efficient use of administrative services, the System will continue to look for other areas to share services thus reducing costs to all components.

(4) Funding Source Prior to Receiving Special Item Funding:

The majority of the System's budget is provided through charges for shared services to the TTU, ASU, and TTUHSC budgets.

(5) Non-general Revenue Sources of Funding:

The majority of the System's budget is provided through charges for shared services to the TTU, ASU, and TTUHSC budgets.

(6) Consequences of Not Funding:

SCHEDULE 11: SPECIAL ITEM INFORMATION
81ST REGULAR SESSION
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/12/2008
Time: 10:33:47AM
Page: 2 of 4

Agency Code: 768 Agency: **Texas Tech University System Administration**

The Texas Tech University System Administration request funding that is at the same level of comparable systems within the State. In terms of annual system wide expenditures, Texas Tech University System is the third largest system in the State. However, funding for the TTUSA is fifth among the six university systems. Additional System appropriations would allow the components to retain more funding for academic purposes.

If exceptional item funding is not provided, the System's budget will continue to be provided through charges for shared services to the TTU, ASU, and TTUHSC budgets. This in turn would reduce the amount our institutions have available to assist the state with its goals of Closing the Gaps in the areas of participation, success, excellence, and research.

SCHEDULE 11: SPECIAL ITEM INFORMATION
81ST REGULAR SESSION
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/12/2008
Time: 9:38:49AM
Page: 3 of 4

Agency Code: 768 Agency: Texas Tech University System Administration

Special Item: 2 **Technology Commercialization**

(1) Year Special Item: 2010

(2) Mission of Special Item:

Created in 1999, the Texas Tech University System Office of Technology Commercialization is dedicated to helping researchers introduce new discoveries to the marketplace and creating an additional source of revenue for the universities.

The office's mission is to actively promote the concept and merits of invention, intellectual property, licensing, and technology transfer both on and off campus; and provide educational services. In addition, technology commercialization assists all System components, its employees and all its constituencies in any intellectual property issues, including contract negotiations and outreach.

In conjunction with determining patentability and commercial potential of research results, the office also determines marketability of promising new technologies to potential licensees in a timely fashion, promote, where appropriate, the formation of start up companies around new technology, and utilize all available resources of the System community, alumni, and the capital and business markets in this process.

Through the Office of Technology Commercialization, intellectual property from researchers is taken to the commercial market to provide an income stream for Texas Tech as well as the inventor.

(3) (a) Major Accomplishments to Date:

The Office of Technology Commercialization has developed a model that will provide a mechanism to increase research and development funding through internal and external sources, in advance of commercialization.

The number of internal disclosures has increased from 31 in FY 2007 to approximately 50 in FY 2008. Furthermore, the licensing agreements have increased from 4 in FY 2007 to 12 in FY 2008. Finally, the revenue generated has increased by 169% from FY 2007 to FY 2008.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

Over the next two years, the office will continue to foster an increase in the number and quality of disclosures, realize a significant increase in license income, improve the information flow from research to public in a more useful fashion, and elicit involvement from members of the System community and System alumni in technology transfer activities. Furthermore, the office will assist the System's involvement in regional, state, and national economic development through the creation of start-up companies around System technologies.

Additional funding for commercialization would provide a mechanism to increase applied research funding through internal and external sources, improve recruitment and retention of world class researchers and top tiered students and create a culture that fosters innovation and commercialization among faculty members.

This funding would allow Texas Tech to assist the State in meeting their goals by providing a mechanism to increase applied research funding, improve the recruitment and retention of world class researchers, utilize a unique model that allows for importing technologies into the System not only from U.S. sources, but internationally as well, create a culture that fosters innovation and commercialization among faculty members, develop a world class technology review and assessment center utilizing our best and brightest students, and develop 8-12 new start-up companies each year.

SCHEDULE 11: SPECIAL ITEM INFORMATION
81ST REGULAR SESSION
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/12/2008
Time: 9:38:49AM
Page: 4 of 4

Agency Code: 768 Agency: Texas Tech University System Administration

(4) Funding Source Prior to Receiving Special Item Funding:

Funding for the Office of Technology Commercialization has been provided by component institutions. This is a request for an expanded scope of operations for this office.

(5) Non-general Revenue Sources of Funding:

Funding for the Office of Technology Commercialization has been provided by component institutions. This is a request for an expanded scope of operations for this office.

(6) Consequences of Not Funding:

Funding for the Office of Technology Commercialization has been provided by component institutions. If this request is not implemented, the office will have to continue to rely on charges to other components. Furthermore, the Office of Technology Commercialization will be unable to assist as many researchers/inventors or help with as many start-ups.
