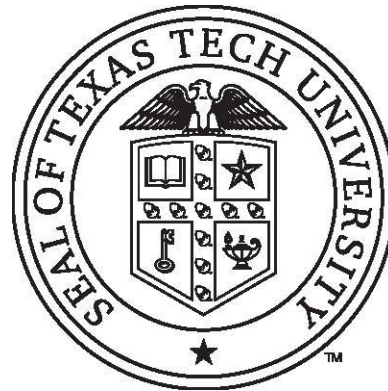


**STATE OF TEXAS
LEGISLATIVE APPROPRIATIONS REQUEST**

For Fiscal Years 2016 and 2017

**Submitted to the
Governor's Office of Budget, Planning and Policy
and the Legislative Budget Board**



Texas Tech University

October 17, 2014

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Schedules Not Included

Agency Code: 733	Agency Name: Texas Tech University	Prepared By: Crista McCune	Date: August 4, 2014	Request Level: Baseline
<p>For the schedules identified below, Texas Tech University either has no information to report or the schedule is not applicable. Accordingly, these schedules have been excluded from the Texas Tech University Legislative Appropriations Request for the 2016-17 biennium.</p>				
Number	Name			
3.C.	Rider Appropriations and Unexpended Balances Request			
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OVERVIEW

Texas Tech University (TTU) is a major comprehensive, multi-faceted research university with more than 33,000 students pursuing undergraduate, graduate, or professional degrees in 13 different instructional schools and colleges. TTU enrollment includes students from 250 of the 254 counties in Texas, all 50 states plus Puerto Rico and the District of Columbia, and more than 104 countries. Approximately 92.7% of TTU undergraduate students are Texans, and about 78.6% of students have home addresses more than 100 miles away from Lubbock. In addition, approximately 6,067 or 18.3% of students are enrolled in graduate or professional programs. TTU offers the most comprehensive academic programs in the state on a single campus. It remains the only institution in the nation to offer eight doctoral programs in agriculture without the benefit of land-grant support.

TTU provides educational services to the residents of the Texas Hill Country and West Texas. TTU has established academic centers in Junction, Fredericksburg, Marble Falls/Highland Lakes, and El Paso, along with an academic center in Waco through its University Center at McLennan Community Center.

SIGNIFICANT CONSIDERATIONS

-Participation and Success

TTU is committed to achieving and exceeding the participation goals established by the state through a focused and sustained strategy that leads to academic success and inclusive excellence across all populations of the university. The overall enrollment at TTU has grown significantly, and specifically the number of black and Hispanic students increased from 683 and 2,339 in 1998, respectively, to 1,830 and 6,303 in 2013. Furthermore, the Education Trust has recognized TTU for making significant progress in improving black and Hispanic student graduate rates, and in closing the graduation rate gap with white students. Additionally, each year approximately 24% of TTU freshmen are first-generation college students, garnering acclaim from The Best Colleges as one of the six best colleges to attend for first-generation college students. The university maximizes its allocation of scholarships by consistently seeking opportunities to award more funds to students. For instance, TTU has repurposed its scholarship funds to include scholarship awards to students who are in the top 25% of their high school class. Scholarships have also been created to attract transfer students.

TTU continues its efforts to be the most innovative institution in terms of outreach and enrollment programs. Currently, TTU offers programs such as “Gateway,” “Tech Transfer Acceleration Program,” and “Military and Veteran Programs.” These emerging programs help attract a broad diversity of students, including those who have attended a community college, under-represented students, and those who have served in the armed forces. TTU strives to serve veterans and veteran dependents by offering guidance and assistance as well as an overall positive college experience; in fact, TTU has been designated as a military friendly institution and was recently recognized in Military Advanced Education’s 2012 Guide to Top Military Friendly Colleges and Universities. The university was also the first in the state to be recognized as a Purple Heart University for its commitment to veteran students. In addition, TTU created the Bachelor of Applied Arts & Sciences that will allow active and former military and veteran students to transfer college credit hours and military training credit earned through their participation in military academic and technical programs. Texas Tech actively and successfully pursues transfer students, as illustrated in a 2012 U.S. News & World Report in which TTU was ranked 16th for most transfer students among all schools listed on the annual “Best Colleges” list. The 2012 rankings are based on data from 2010 and indicate that while the average number of transfer students for all universities in the report was 467, TTU enrolled 2,447 transfer students. This high rate of transfer students can be attributed to the strong connection TTU facilitates with community colleges state-wide.

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-Excellence and Research

TTU is building momentum toward its goal of becoming a major nationally competitive research university through its growth, expansion of research, and focus on excellence. TTU is designated as eligible for annual distributions from the Texas National Research University Fund. This designation is the first critical step to becoming a great public research university by 2020 or thereabouts, a path articulated by the ten-year strategic plan titled "Making it Possible---2010-2020 Strategic Plan." This document is the foundation for TTU to become a research university equivalent to an Association of American University (AAU) national research university. Over the next six years, the university plans to add 500 new faculty, 500 new staff, and 270-million square feet of new space. Embodied in these plans and aspirations are specific priorities tied to expanding and enhancing research and creative scholarship, and furthering outreach and engagement. Specifically, there are recommendations to engage the corporate sector and the Lubbock and West Texas communities; particularly promoting technology transfer and economic development. It is estimated that implementation of this plan will have more than a \$2 billion economic impact on the city of Lubbock, the greater West Texas region, and the state of Texas.

-Technology Transfer and Economic Development

The strategic plan described above has specific measures tied to commercialization, business engagement, and economic impact. TTU provides education and research vital to the success of high technology businesses that are crucial to Texas and the nation. The university is developing a research park, an incubator and accelerator program, and graduate level programs in the business and law schools around experiential learning opportunities in entrepreneurship, commercialization, and spin-outs of university-based intellectual property. Technology transfer efforts are now closely tied to corporate relations and economic development activity in Lubbock, West Texas and the state. Supporting these efforts is the Northwest Texas Small Business Development Center, which is located at Texas Tech and has extensive outreach to the business community.

-Partnerships and Outreach

Partnerships and collaborations provide another method of leveraging resources. TTU is committed to collaborating with its sister institutions, the TTU Health Sciences Center and Angelo State University, in ways that will benefit faculty and students, as well as the region and the state. Several years ago, TTU recognized that need and began following a strategy of expanding access in Texas by enhancing recruitment activities which focus on underrepresented groups. TTU is one of 76 U.S. colleges and universities to receive the prestigious Carnegie Community Engagement honor for service learning and community outreach.

STRATEGIC THEMES AND INITIATIVES

TTU's aspiration is to become a prominent, cost-effective, student-focused public research university, recognized as a top institution in Texas and among the top 100 in the United States. Supporting that challenging aspiration is a solid core of themes which are included in the TTU mission statement. These themes reflect the strategic choices being made: "As a public research university, Texas Tech advances knowledge through innovative and creative teaching, research, and scholarship. The university is dedicated to student success by preparing learners to be ethical leaders for a diverse and globally competitive workforce. The university is committed to enhancing the cultural and economic development of the state, nation, and world." The joint TTU System and TTU strategic priorities are as follows: increase enrollment and promote student success, strengthen academic quality and reputation, expand and enhance research and creative scholarship, further outreach and engagement, and increase and maximize resources.

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-Provide the best possible instruction to all students at the undergraduate, graduate, and professional levels while controlling cost

Over the next decade, TTU's goal is to increase enrollment to approximately 40,000 students while maintaining high standards of quality and controlling cost. The university's goal is to become a national research university with an emphasis on teaching and research in engineering, science, technology, and mathematics while continuing its focus on excellence in other academic areas. TTU will seek to improve undergraduate education by adding faculty to reduce class size, especially in departments where student demand is high, by increasing the proportion of these courses taught by senior faculty, and by providing more graduate assistants who can give students additional one-on-one tutorial opportunities. Both faculty and graduate students receive advanced training through the Teaching, Learning and Professional Development Center.

-Attract students who are unmatched for their talent and diversity

TTU seeks to expand student financial assistance by making the level of academic scholarship awards more competitive, increasing need-based grant funds, and enhancing the professional services offered in Student Financial Aid and Student Business Services. TTU will continue to promote access by expanding recruitment efforts across the state, especially among high achievers and culturally diverse student populations. TTU has implemented the Red Raider Guarantee Program to ensure that tuition and fees are paid for students whose family annual income is less than \$40,000. In addition, the University is in its seventh year of the "Graduate on Time Program," which has resulted in increased semester credit hours completed by students and an increase in the number of degrees awarded. TTU also provides excellent financial aid packages for transfer students.

-Grow the faculty while enhancing its quality and diversifying its composition

Over the next biennium, TTU seeks to add new faculty who will enhance the academic quality of the institution and improve the research enterprise and classroom experience for undergraduate students. A number of special initiatives will also be implemented to diversify the faculty by hiring qualified applicants from underrepresented groups, including women.

-Become one of the nation's top 100 centers for research

Within six years, TTU intends to increase its sponsored research and reach a goal of \$65 million, becoming a top 100 research university. Achieving AAU-like status will require a sustained effort of advancement, which has already begun. Currently, TTU is actively developing a heightened research culture, which includes recruiting additional faculty, building a deeper research infrastructure, and supporting research clusters of focused effort to benefit Texas, the Southwest, and the nation. Areas targeted for expansion of research include water resources, cloud computing practices and security, cybersecurity, wind energy, bioinformatics, food safety, cotton research, oil and gas production and environmental concerns, energetic materials, pulsed power and power electronics, and nanophotonics.

-Increase funding for research libraries, equipment, and other services

TTU's libraries, members of the Association of Research Libraries, must be sustained and funds must be expended to strengthen collections (emphasizing our research priorities), expand or make more efficient use of space, protect deteriorating books and journals, and enhance the automated catalog systems. TTU will expand allocations for specialized research equipment by maintaining a continuing resource fund from growth in indirect costs recoveries.

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-Internationalize the university experience at TTU

Today, more than ever, it is imperative that TTU integrate global perspectives and promote international experience as part of its core missions. TTU will continue providing international study opportunities for undergraduate and graduate students. The study abroad programs, especially at the Texas Tech Center in Seville, Spain, will continue to attract more students each semester. In addition, the Center for Active Learning and Undergraduate Engagement (CALUE) provides opportunities for students to participate in undergraduate research, internships and study abroad to enhance their undergraduate experience and provide a globally diverse experience.

-Background checks

In accordance with Texas Education Code, Section 51.215 and Texas Government Code, Section 411.097, all staff positions (including temporary employees) are classified as security sensitive level I positions and require criminal background checks prior to employment, promotion, reclassification, transfer or direct appointment. In addition, student positions classified as security sensitive level I or II require criminal background checks to be conducted prior to employment, promotion, reclassification or transfer. All faculty tenure and non-tenure track are classified as security sensitive level I positions and require criminal background checks prior to employment.

MAJOR AREAS OF CONCERN

The major areas of concern in this appropriations request are those that directly affect TTU's ability to successfully continue its vital missions of teaching, research, and public service.

-Formulas

In order to maintain quality academic and research programs, the Texas Legislature should fund the formula recommendations at the highest rate. The top priorities for the 84th legislative session should be to provide sufficient funds to cover the growth in enrollments statewide and increase the formula funding rates to maintain current purchasing power. Increases in state funding will directly impact the costs that must be passed on to the students enrolled at the university.

-Competitive Knowledge Funds

Increase in proportion to growth of total research expenditures among eligible institutions. This line item impacts the level and quality of service provided to our students, including research productivity, skilled faculty members, course offerings, and graduation rates.

-Research Development Fund

Continued funding of RDF is critical to the future goals of TTU. The funding should be increased in proportion to the growth of the restricted research expenditures statewide.

-Funding for Capital Facilities

For Texas Tech University to meet its increased research activities, state support for capital projects is vital. The Fall 2013 THECB Space Model for Texas Tech

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University indicated the largest Research Space Deficit (-252,010 E&G SF) the university has ever experienced; furthermore, the Research Space Deficit has steadily increased starting in 2011 (-151,049 E&G SF) and 2012 (-178,373 E&G SF). In FY 2013 and 2014, the university significantly increased its new faculty hires, with a large percentage coming from engineering and other laboratory intensive disciplines. The university anticipates a continued need for Multi-Disciplinary Research space as it pursues its mission to significantly increase the number of research faculty needed to become a world-class research institution. New facilities, like the ESB II, can create the necessary collaborative/non-departmental research spaces that are vital to this effort. Research Lab capital projects, including ESB II/Engineering Renovations, will also address specific infrastructure limitations with existing facilities that are not conducive to meet current research lab requirements. Specific issues include, but are not limited to, the need for HVAC temperature control, humidity control, vibration sensitivity, increased lab safety, and integrated security systems.

-Insurance Premium Increases

It is requested that the Legislature provide sufficient appropriations to cover full funding of health insurance premiums, plus increases in the premiums for the Uniform Group Insurance Program (UGIP) for each year of the biennium. The maintenance of current insurance benefits are critical to maintaining our competitiveness for faculty and staff. In addition, it is requested that institutions of higher education receive an estimated appropriation for UGIP, as do other state agencies.

-Special Item Support

Special Item funding, which provides seed money and matching funds, has been used to leverage millions of dollars of additional non-state funding to support research and public service in such areas as rangeland management, food and fiber production, energy, water, nutrition, and wine marketing. However, because TTU is neither a land-grant institution nor a recipient of support from the Available University Fund, the quality of graduate education programs is dependent upon the continued funding of special items, especially in the areas of agriculture, engineering, and human sciences. Special Item funding also supports the museum and other academic centers at the university.

-Five Percent General Revenue Reductions

In preparation for responding to the 10% general revenue-related base reduction schedule, the institution has evaluated all general revenue funding and deemed every appropriated general revenue dollar critical to the missions of teaching, research and public service. Because of limited alternatives, the institution chose to propose reductions of all general revenue strategies. These proposed reductions will impact the core operations and delivery of services.

TTU has been very diligent in implementing cost saving measures while maintaining the quality of education. TTU has one of the lowest costs of instruction amongst all the research and emerging research institutions, and also maintains very low administrative cost. Therefore, it will be very difficult to implement further reductions that will not affect the quality of education or limiting access to education.

FUNDING REQUEST FOR EXCEPTIONAL ITEMS

-Retention Plus

Request: FY 2016 \$3,000,000; FY 2017 \$3,000,000

This funding request is to enhance support for strategic initiatives proven to promote undergraduate student retention and graduation. These initiatives will lower time-to-degree and total semester credit hours required for undergraduate degree completion, resulting in increased efficiency and effectiveness of state appropriations

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for all undergraduates attending TTU. Through the establishment of a new data support and coordination office, TTU has already invested over \$350,000 in annual funding that makes tangible its commitment to rethink how it encourages and equips student success. The requested funds will help to extend efforts to quickly engage the balance of the campus and regional communities and incorporate the insight of its members' experiences, opinions, and ideas. Such speed will be critical in gaining and sustaining buy-in from stakeholders with many priorities and responsibilities, especially when change research indicates a general skepticism of long-term, large scale change projects. Building on the process modeling, data analysis, creative thinking, program design, technological development, and organizational support generated, these initiatives will implement an efficient, coordinated, "big data" collection and analysis system that will undergird and propel the institution through the challenges inherent in transformational retention and success goals. These efforts will create a sustained institutional standard for collaborative, systemic, and continual improvement leading to enhanced retention, persistence, and graduation rates across all populations of students. As a permanent engine for data-driven institutional innovation, this added support will permit the University to continually, responsively, and proactively evolve toward higher levels of student success across all segments of the campus.

-Small Business Development Center

Request: FY 2016 \$198,000; FY 2017 \$198,000

The Northwest Texas Small Business Development Center (SBDC) plans to slow the growing economic and demographic gap between rural and urban Texas by strengthening the economic health of the remote areas of the region. A primary element in accomplishing this goal is to provide an expanded rural outreach of small business counseling programs to assist existing and start-up businesses in the extreme rural areas of the 95 counties served maintain and grow their competitiveness. This request specifically targets counseling staff salaries, modest operational expenditures, and necessary travel costs across the region.

-Sustainable Land and Water Use for Semi-Arid Texas

Request: FY 2016 \$1,000,000; FY 2017 \$1,000,000

This funding request is designed to enhance education and research to provide a comprehensive roadmap that will lead to durable agricultural and natural resource management in light of declining water availability and changes in rural and urban land use. The western third of Texas has low rainfall relative to high evaporative demand, resulting in low agricultural productivity where irrigation is not available. Irrigation from groundwater greatly amplifies agricultural productivity and contributes approximately \$8.7 billion in direct and added value as well as nearly 60,000 jobs to the Texas High Plains. Nonetheless, the region is faced with increasing water scarcity, competition among energy, agriculture and municipalities for water, frequent droughts, degradation of land most visibly manifested as dust storms, and population shifts from rural to urban areas.

-Genetic Resource Collection

Request: FY 2016 \$495,000; FY 2017 \$495,000

The Natural Science Research Laboratory (NSRL) archives, in perpetuity, biological samples and geo-referenced data obtained from natural populations. These samples provide unlimited opportunities for grant-based research, dissertations, and theses. The NSRL is one of the most significant natural history research collections at a university and one of the largest mammal and genetic collections in the world. It includes specimens from 57 countries, with a major emphasis on Texas, southwestern U.S., Central and South America. Integral to research and education activities of the NSRL is the Genetic Resources Collection (GRC). The GRC has >320,000 genetic samples used by TTU, state, national, and international toxicology, niche-modeling, environmental assessments, public health, etc. The GRC is valuable to the State of Texas: 1) as a temporal collection of biological material from state-owned lands, 2) as an information resource for Texas Parks & Wildlife, Texas State Public Health Services, and Texas DOT, 3) by supporting research efforts of scientists at many Texas universities, and 4) by education students of Biological and Museum Sciences for

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careers in research and academia.

To meet challenges of the 21st century for the growing research and educational missions of national and international scientific communities, State of Texas, and TTU, requires the GRC to continue to expand, improve, and update its resources and holdings. In particular, advances in genomic research and bioinformatics stipulate that the GRC: 1) install a liquid nitrogen storage system to ensure long-term preservation of research material, 2) add research and support personnel to curate, maintain, and provide improved access to research material and data, 3) expand holdings to provide greater opportunities for future research, and 4) increase opportunities for undergraduate and graduate research and student training.

-Increased Tuition Revenue Bond Debt Service

Request: FY 2016 \$12,970,446; FY 2017 \$12,970,446

TTU is requesting authorization for the following Tuition Revenue Bonds:

1. Experimental Science Building II

To construct a 150,000 square foot research facility to house high-tech interdisciplinary laboratories

Total Project \$97,700,000

TRB \$87.93 million; Funds other than TRB \$9.77 million

2. College of Engineering Expansion/Renovation

To construct and renovate a 155,178 square foot academic facility to accommodate current space needs

Total Project \$67,600,000

TRB \$60.84 million; Funds other than TRB \$6.76 million

This exceptional item is to provide the debt service related to these bonds. The funding for these projects will include other funding sources in addition to the tuition revenue bonds.



BOARD OF REGENTS

Chancellor

President
(324.7 FTE)

Administration & Finance
Vice President/CFO
(1,488.3 FTE)

Academic Affairs
Provost & Sr Vice
President
(4,099.0 FTE)

**Institutional Diversity,
Equity & Community
Engagement
Undergraduate Education
& Student Affairs**
Vice President
(191.9 FTE)

Research
Vice President
(138.8 FTE)

Athletics
Director
(272.5 FTE)

Total 6,515.2 FTE

2.A. Summary of Base Request by Strategy

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84th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

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Goal / Objective / STRATEGY	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
1 Provide Instructional and Operations Support					
1 Provide Instructional and Operations Support					
1 OPERATIONS SUPPORT (1)	128,542,946	144,395,926	148,493,526	0	0
3 STAFF GROUP INSURANCE PREMIUMS	4,963,094	5,225,511	5,225,511	5,225,511	5,225,511
4 WORKERS' COMPENSATION INSURANCE	424,351	517,107	517,107	517,107	517,107
6 TEXAS PUBLIC EDUCATION GRANTS	5,984,142	6,048,178	6,169,142	6,292,525	6,418,375
7 ORGANIZED ACTIVITIES	551,634	575,000	575,000	575,000	575,000
TOTAL, GOAL 1	\$140,466,167	\$156,761,722	\$160,980,286	\$12,610,143	\$12,735,993

2 Provide Infrastructure Support

1 Provide Operation and Maintenance of E&G Space

1 E&G SPACE SUPPORT (1)	7,087,352	7,503,959	7,654,038	0	0
2 TUITION REVENUE BOND RETIREMENT	9,242,556	9,053,414	9,051,741	7,161,912	7,166,325

(1) - Formula funded strategies are not requested in 2016-17 because amounts are not determined by institutions.

2.A. Summary of Base Request by Strategy

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84th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

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Goal / Objective / STRATEGY	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
TOTAL, GOAL 2	\$16,329,908	\$16,557,373	\$16,705,779	\$7,161,912	\$7,166,325
3 Provide Special Item Support					
1 Instructional Support Special Item Support					
1 LIBRARY ARCHIVAL SUPPORT	510,558	533,756	533,756	533,756	533,756
2 Research Special Item Support					
1 AGRICULTURAL RESEARCH	1,598,105	1,627,268	1,627,268	1,627,268	1,627,268
2 ENERGY RESEARCH	792,800	689,550	689,550	689,550	689,550
3 EMERGING TECHNOLOGIES RESEARCH	385,019	237,480	237,480	237,480	237,480
3 Public Service Special Item Support					
1 JUNCTION ANNEX OPERATION	257,821	258,346	258,346	258,346	258,346
2 HILL COUNTRY EDUCATIONAL NETWORK	442,335	454,219	454,219	454,219	454,219
3 SMALL BUSINESS DEVELOPMENT	1,085,060	1,350,477	1,350,477	1,350,477	1,350,477
4 MUSEUMS & CENTERS	1,487,214	1,523,064	1,523,064	1,523,064	1,523,064

2.A. Summary of Base Request by Strategy

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Automated Budget and Evaluation System of Texas (ABEST)

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Goal / Objective / STRATEGY	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
6 CENTER FOR FINANCIAL RESPONSIBILITY	170,729	171,000	171,000	171,000	171,000
<u>4</u> <i>Institutional Support Special Item Support</i>					
1 INSTITUTIONAL ENHANCEMENT	6,234,336	6,770,210	6,571,172	7,157,890	7,157,890
<u>5</u> <i>Exceptional Item Request</i>					
1 EXCEPTIONAL ITEMS REQUEST	0	0	0	0	0
TOTAL, GOAL 3	\$12,963,977	\$13,615,370	\$13,416,332	\$14,003,050	\$14,003,050
<u>6</u> <i>Research Funds</i>					
<u>1</u> <i>Research Development Fund</i>					
1 RESEARCH DEVELOPMENT FUND	5,682,744	3,300,999	6,895,793	0	0
<u>2</u> <i>Competitive Knowledge Fund</i>					
1 COMPETITIVE KNOWLEDGE FUND	3,020,595	6,223,241	6,223,241	0	0
TOTAL, GOAL 6	\$8,703,339	\$9,524,240	\$13,119,034	\$0	\$0
TOTAL, AGENCY STRATEGY REQUEST	\$178,463,391	\$196,458,705	\$204,221,431	\$33,775,105	\$33,905,368

2.A. Summary of Base Request by Strategy

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84th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

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Goal / Objective / STRATEGY	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*				\$0	\$0
GRAND TOTAL, AGENCY REQUEST	\$178,463,391	\$196,458,705	\$204,221,431	\$33,775,105	\$33,905,368
<u>METHOD OF FINANCING:</u>					
General Revenue Funds:					
1 General Revenue Fund	128,269,662	143,596,406	146,965,293	21,610,897	21,615,310
SUBTOTAL	\$128,269,662	\$143,596,406	\$146,965,293	\$21,610,897	\$21,615,310
General Revenue Dedicated Funds:					
704 Bd Authorized Tuition Inc	7,082,834	7,513,189	8,665,590	0	0
770 Est Oth Educ & Gen Inco	43,110,895	45,277,938	48,519,376	12,093,036	12,218,886
SUBTOTAL	\$50,193,729	\$52,791,127	\$57,184,966	\$12,093,036	\$12,218,886
Other Funds:					
802 License Plate Trust Fund No. 0802	0	71,172	71,172	71,172	71,172
SUBTOTAL	\$0	\$71,172	\$71,172	\$71,172	\$71,172
TOTAL, METHOD OF FINANCING	\$178,463,391	\$196,458,705	\$204,221,431	\$33,775,105	\$33,905,368

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. Summary of Base Request by Method of Finance
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/15/2014 4:21:36PM

Agency code: **733**

Agency name: **Texas Tech University**

METHOD OF FINANCING		Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
<u>GENERAL REVENUE</u>						
<u>1</u> General Revenue Fund						
<i>REGULAR APPROPRIATIONS</i>						
	Regular Appropriations from MOF Table (2012-13 GAA)	\$126,700,431	\$0	\$0	\$0	\$0
	Regular Appropriations from MOF Table (2014-15 GAA)	\$0	\$145,393,803	\$145,167,896	\$0	\$0
	Regular Appropriation Request	\$0	\$0	\$0	\$21,610,897	\$21,615,310
<i>UNEXPENDED BALANCES AUTHORITY</i>						
	HB1, 82nd Leg, General Appropriations Act, Art III, Section 54	\$1,569,231	\$0	\$0	\$0	\$0
	SB1, 83 Leg, General Appropriations Act, Art III, Section 54	\$0	\$(1,797,397)	\$1,797,397	\$0	\$0
TOTAL,	General Revenue Fund	\$128,269,662	\$143,596,406	\$146,965,293	\$21,610,897	\$21,615,310

2.B. Summary of Base Request by Method of Finance
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/15/2014 4:21:36PM

Agency code: **733**

Agency name: **Texas Tech University**

METHOD OF FINANCING	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
TOTAL, ALL GENERAL REVENUE	\$128,269,662	\$143,596,406	\$146,965,293	\$21,610,897	\$21,615,310

GENERAL REVENUE FUND - DEDICATED

704 GR Dedicated - Estimated Board Authorized Tuition Increases Account No. 704

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2012-13 GAA)

\$7,684,855	\$0	\$0	\$0	\$0
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Regular Appropriations from MOF Table (2014-15 GAA)

\$0	\$7,953,244	\$7,953,244	\$0	\$0
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Revise receipts to actual revenue increase

\$73,127	\$(278,179)	\$(124,678)	\$0	\$0
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Adjustment to expended

\$(675,148)	\$675,148	\$0	\$0	\$0
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Adjustment to expended

\$0	\$(837,024)	\$837,024	\$0	\$0
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2.B. Summary of Base Request by Method of Finance
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/15/2014 4:21:36PM

Agency code: **733**

Agency name: **Texas Tech University**

METHOD OF FINANCING		Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
<u>GENERAL REVENUE FUND - DEDICATED</u>						
TOTAL,	GR Dedicated - Estimated Board Authorized Tuition Increases Account No. 704	\$7,082,834	\$7,513,189	\$8,665,590	\$0	\$0
<u>770</u>	GR Dedicated - Estimated Other Educational and General Income Account No. 770					
	<i>REGULAR APPROPRIATIONS</i>					
	Regular Appropriations from MOF Table (2012-13 GAA)	\$44,395,019	\$0	\$0	\$0	\$0
	Regular Appropriations from MOF Table (2014-15 GAA)	\$0	\$46,879,093	\$47,635,943	\$0	\$0
	Revise receipts to actual revenue increase	\$291,223	\$0	\$0	\$0	\$0
	Revise receipts to actual revenue decrease	\$0	\$(1,223,444)	\$(1,069,625)	\$0	\$0
	Adjustment to expended	\$(1,575,347)	\$1,575,347	\$0	\$0	\$0

2.B. Summary of Base Request by Method of Finance
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/15/2014 4:21:36PM

Agency code: 733		Agency name: Texas Tech University			
METHOD OF FINANCING	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
<u>GENERAL REVENUE FUND - DEDICATED</u>					
Adjustment to expended	\$0	\$(1,953,058)	\$1,953,058	\$0	\$0
Regular Appropriation Request	\$0	\$0	\$0	\$12,093,036	\$12,218,886
TOTAL, GR Dedicated - Estimated Other Educational and General Income Account No. 770	\$43,110,895	\$45,277,938	\$48,519,376	\$12,093,036	\$12,218,886
TOTAL GENERAL REVENUE FUND - DEDICATED - 704, 708 & 770	\$50,193,729	\$52,791,127	\$57,184,966	\$12,093,036	\$12,218,886
TOTAL, ALL GENERAL REVENUE FUND - DEDICATED	\$50,193,729	\$52,791,127	\$57,184,966	\$12,093,036	\$12,218,886
TOTAL, GR & GR-DEDICATED FUNDS	\$178,463,391	\$196,387,533	\$204,150,259	\$33,703,933	\$33,834,196
<u>OTHER FUNDS</u>					
<u>802</u> License Plate Trust Fund Account No. 0802					
<i>REGULAR APPROPRIATIONS</i>					
HB7, 83rd Leg, Regular Session, 2013, Article IX, Sec 18.06	\$0	\$71,172	\$71,172	\$71,172	\$71,172

2.B. Summary of Base Request by Method of Finance
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/15/2014 4:21:36PM

Agency code: 733	Agency name: Texas Tech University				
METHOD OF FINANCING	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
<u>OTHER FUNDS</u>					
TOTAL, License Plate Trust Fund Account No. 0802	\$0	\$71,172	\$71,172	\$71,172	\$71,172
TOTAL, ALL OTHER FUNDS	\$0	\$71,172	\$71,172	\$71,172	\$71,172
GRAND TOTAL	\$178,463,391	\$196,458,705	\$204,221,431	\$33,775,105	\$33,905,368
FULL-TIME-EQUIVALENT POSITIONS					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2012-13 GAA)	2,678.4	0.0	0.0	0.0	0.0
Regular Appropriations from MOF Table (2014-15 GAA)	0.0	2,579.7	2,579.7	2,717.7	2,717.7
REQUEST TO EXCEED ADJUSTMENTS					
Art IX, Sec 6.10(a), FTE Request to Exceed (2014-15 GAA)	0.0	300.0	300.0	0.0	0.0
UNAUTHORIZED NUMBER OVER (BELOW) CAP					
Revise FTE to Actual	(314.4)	(162.0)	(162.0)	0.0	0.0
TOTAL, ADJUSTED FTES	2,364.0	2,717.7	2,717.7	2,717.7	2,717.7

2.B. Summary of Base Request by Method of Finance
84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

10/15/2014 4:21:36PM

Agency code: **733**

Agency name: **Texas Tech University**

METHOD OF FINANCING	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
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**NUMBER OF 100% FEDERALLY
FUNDED FTEs**

2.C. Summary of Base Request by Object of Expense
84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

10/15/2014 4:22:30PM

733 Texas Tech University

OBJECT OF EXPENSE	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
1001 SALARIES AND WAGES	\$60,690,577	\$65,058,504	\$71,907,190	\$10,723,633	\$10,723,633
1002 OTHER PERSONNEL COSTS	\$1,167,554	\$1,390,136	\$1,397,613	\$214,158	\$214,158
1005 FACULTY SALARIES	\$87,456,802	\$102,531,403	\$102,579,062	\$690,747	\$690,747
1010 PROFESSIONAL SALARIES	\$1,005,127	\$1,258,352	\$1,468,674	\$1,126,123	\$1,126,123
2001 PROFESSIONAL FEES AND SERVICES	\$343,284	\$74,417	\$177,082	\$21,492	\$21,492
2002 FUELS AND LUBRICANTS	\$29,326	\$27,020	\$39,370	\$8,977	\$8,977
2003 CONSUMABLE SUPPLIES	\$3,106,094	\$1,339,642	\$543,156	\$40,694	\$40,694
2004 UTILITIES	\$165,765	\$146,128	\$181,297	\$94,749	\$94,749
2005 TRAVEL	\$227,760	\$105,606	\$112,893	\$79,004	\$79,004
2006 RENT - BUILDING	\$14,715	\$43,875	\$43,875	\$43,875	\$43,875
2007 RENT - MACHINE AND OTHER	\$12,560	\$7,307	\$7,307	\$7,307	\$7,307
2008 DEBT SERVICE	\$9,242,556	\$9,053,414	\$9,051,741	\$7,161,912	\$7,166,325
2009 OTHER OPERATING EXPENSE	\$13,109,909	\$15,014,295	\$16,050,710	\$13,498,523	\$13,624,373
5000 CAPITAL EXPENDITURES	\$1,891,362	\$408,606	\$661,461	\$63,911	\$63,911
OOE Total (Excluding Riders)	\$178,463,391	\$196,458,705	\$204,221,431	\$33,775,105	\$33,905,368
OOE Total (Riders)					
Grand Total	\$178,463,391	\$196,458,705	\$204,221,431	\$33,775,105	\$33,905,368

2.D. Summary of Base Request Objective Outcomes
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

10/15/2014 4:23:49PM

733 Texas Tech University

Goal/ Objective / Outcome	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
1 Provide Instructional and Operations Support <i>1 Provide Instructional and Operations Support</i>					
KEY 1 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 6 Yrs	59.04%	62.00%	62.10%	61.00%	61.00%
2 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 6 Yrs	60.35%	62.00%	59.00%	61.00%	61.00%
3 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 6 Yrs	53.30%	55.00%	50.00%	50.00%	50.00%
4 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 6 Yrs	56.42%	53.00%	50.00%	50.00%	50.00%
5 % 1st-time, Full-time, Degree-seeking Other Frshmn Earn Deg in 6 Yrs	56.09%	62.00%	52.00%	52.00%	52.00%
KEY 6 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 4 Yrs	35.05%	35.05%	37.00%	35.00%	35.00%
7 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 4 Yrs	37.01%	37.01%	35.00%	35.00%	35.00%
8 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 4 Yrs	28.85%	28.85%	24.00%	28.00%	28.00%
9 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 4 Yrs	26.64%	26.64%	20.00%	24.00%	24.00%
10 % 1st-time, Full-time, Degree-seeking Other Frsh Earn Degree in 4 Yrs	35.40%	35.40%	30.00%	30.00%	30.00%
KEY 11 Persistence Rate 1st-time, Full-time, Degree-seeking Frsh after 1 Yr	82.33%	82.33%	83.00%	81.00%	81.00%
12 Persistence 1st-time, Full-time, Degree-seeking White Frsh after 1 Yr	82.65%	82.65%	81.00%	81.00%	81.00%

2.D. Summary of Base Request Objective Outcomes
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

10/15/2014 4:23:49PM

733 Texas Tech University

<i>Goal/ Objective / Outcome</i>	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
13 Persistence 1st-time, Full-time, Degree-seeking Hisp Frsh after 1 Yr	81.80%	81.80%	76.00%	80.00%	80.00%
14 Persistence 1st-time, Full-time, Degree-seeking Black Frsh after 1 Yr	80.42%	80.42%	80.00%	80.00%	80.00%
15 Persistence 1st-time, Full-time, Degree-seeking Other Frsh after 1 Yr	82.62%	82.62%	80.00%	80.00%	80.00%
16 Percent of Semester Credit Hours Completed	95.56%	96.00%	95.00%	95.00%	95.00%
KEY 17 Certification Rate of Teacher Education Graduates	97.96%	89.00%	89.00%	89.00%	89.00%
18 Percentage of Underprepared Students Satisfy a TSI Obligation in Math	73.60%	85.00%	90.00%	90.00%	90.00%
19 Percentage of Underprepared Students Satisfy TSI Obligation in Writing	73.60%	76.00%	88.00%	88.00%	88.00%
20 Percentage of Underprepared Students Satisfy TSI Obligation in Reading	73.60%	83.00%	93.00%	93.00%	93.00%
KEY 21 % of Baccalaureate Graduates Who Are 1st Generation College Graduates	20.57%	24.00%	25.00%	25.00%	25.00%
KEY 22 Percent of Transfer Students Who Graduate within 4 Years	61.50%	69.50%	63.30%	60.00%	60.00%
KEY 23 Percent of Transfer Students Who Graduate within 2 Years	25.10%	25.00%	27.50%	24.00%	24.00%
KEY 24 % Lower Division Semester Credit Hours Taught by Tenured/Tenure-Track	30.92%	31.80%	32.96%	30.00%	30.00%
KEY 25 State Licensure Pass Rate of Law Graduates	90.60%	91.00%	93.30%	90.00%	90.00%

2.D. Summary of Base Request Objective Outcomes
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

10/15/2014 4:23:49PM

733 Texas Tech University

<i>Goal/ Objective / Outcome</i>		Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
KEY	26 State Licensure Pass Rate of Engineering Graduates	72.29%	81.00%	80.00%	80.00%	80.00%
KEY	30 Dollar Value of External or Sponsored Research Funds (in Millions)	51.55	82.00	57.20	57.00	57.00
	31 External or Sponsored Research Funds As a % of State Appropriations	710.93%	240.00%	240.00%	240.00%	240.00%
	32 External Research Funds As Percentage Appropriated for Research	1,669.52%	800.00%	800.00%	800.00%	800.00%
	48 % Endowed Professorships/ Chairs Unfilled All/ Part of Fiscal Year	17.80%	17.00%	17.00%	17.00%	17.00%
	49 Average No Months Endowed Chairs Remain Vacant	12.00	12.00	12.00	12.00	12.00

2.E. Summary of Exceptional Items Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/15/2014
 TIME : 4:24:46PM

Agency code: 733

Agency name: Texas Tech University

Priority	Item	2016			2017			Biennium	
		GR and GR/GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds
1	Retention Plus	\$3,000,000	\$3,000,000	55.0	\$3,000,000	\$3,000,000	55.0	\$6,000,000	\$6,000,000
2	Small Business Development Center	\$198,000	\$198,000	5.0	\$198,000	\$198,000	5.0	\$396,000	\$396,000
3	Sustainable Land and Water Use	\$1,000,000	\$1,000,000	13.0	\$1,000,000	\$1,000,000	13.0	\$2,000,000	\$2,000,000
4	Genetic Resources Lab	\$495,000	\$495,000	6.5	\$495,000	\$495,000	6.5	\$990,000	\$990,000
5	Debt Service for Requested TRB	\$12,970,446	\$12,970,446		\$12,970,446	\$12,970,446		\$25,940,892	\$25,940,892
Total, Exceptional Items Request		\$17,663,446	\$17,663,446	79.5	\$17,663,446	\$17,663,446	79.5	\$35,326,892	\$35,326,892

Method of Financing

General Revenue	\$17,663,446	\$17,663,446		\$17,663,446	\$17,663,446		\$35,326,892	\$35,326,892
General Revenue - Dedicated								
Federal Funds								
Other Funds								
	\$17,663,446	\$17,663,446		\$17,663,446	\$17,663,446		\$35,326,892	\$35,326,892

Full Time Equivalent Positions

79.5

79.5

Number of 100% Federally Funded FTEs

2.F. Summary of Total Request by Strategy
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/15/2014
 TIME : 4:25:45PM

Agency code: 733		Agency name: Texas Tech University				
Goal/Objective/STRATEGY	Base 2016	Base 2017	Exceptional 2016	Exceptional 2017	Total Request 2016	Total Request 2017
1 Provide Instructional and Operations Support						
<i>1 Provide Instructional and Operations Support</i>						
1 OPERATIONS SUPPORT	\$0	\$0	\$0	\$0	\$0	\$0
3 STAFF GROUP INSURANCE PREMIUMS	5,225,511	5,225,511	0	0	5,225,511	5,225,511
4 WORKERS' COMPENSATION INSURANCE	517,107	517,107	0	0	517,107	517,107
6 TEXAS PUBLIC EDUCATION GRANTS	6,292,525	6,418,375	0	0	6,292,525	6,418,375
7 ORGANIZED ACTIVITIES	575,000	575,000	0	0	575,000	575,000
TOTAL, GOAL 1	\$12,610,143	\$12,735,993	\$0	\$0	\$12,610,143	\$12,735,993
2 Provide Infrastructure Support						
<i>1 Provide Operation and Maintenance of E&G Space</i>						
1 E&G SPACE SUPPORT	0	0	0	0	0	0
2 TUITION REVENUE BOND RETIREMENT	7,161,912	7,166,325	12,970,446	12,970,446	20,132,358	20,136,771
TOTAL, GOAL 2	\$7,161,912	\$7,166,325	\$12,970,446	\$12,970,446	\$20,132,358	\$20,136,771

2.F. Summary of Total Request by Strategy
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/15/2014
 TIME : 4:25:45PM

Agency code: 733		Agency name: Texas Tech University				
<i>Goal/Objective/STRATEGY</i>	Base 2016	Base 2017	Exceptional 2016	Exceptional 2017	Total Request 2016	Total Request 2017
3 Provide Special Item Support						
<i>1 Instructional Support Special Item Support</i>						
1 LIBRARY ARCHIVAL SUPPORT	\$533,756	\$533,756	\$0	\$0	\$533,756	\$533,756
<i>2 Research Special Item Support</i>						
1 AGRICULTURAL RESEARCH	1,627,268	1,627,268	0	0	1,627,268	1,627,268
2 ENERGY RESEARCH	689,550	689,550	0	0	689,550	689,550
3 EMERGING TECHNOLOGIES RESEARCH	237,480	237,480	0	0	237,480	237,480
<i>3 Public Service Special Item Support</i>						
1 JUNCTION ANNEX OPERATION	258,346	258,346	0	0	258,346	258,346
2 HILL COUNTRY EDUCATIONAL NETWORK	454,219	454,219	0	0	454,219	454,219
3 SMALL BUSINESS DEVELOPMENT	1,350,477	1,350,477	198,000	198,000	1,548,477	1,548,477
4 MUSEUMS & CENTERS	1,523,064	1,523,064	0	0	1,523,064	1,523,064
6 CENTER FOR FINANCIAL RESPONSIBILITY	171,000	171,000	0	0	171,000	171,000
<i>4 Institutional Support Special Item Support</i>						
1 INSTITUTIONAL ENHANCEMENT	7,157,890	7,157,890	0	0	7,157,890	7,157,890
<i>5 Exceptional Item Request</i>						
1 EXCEPTIONAL ITEMS REQUEST	0	0	4,495,000	4,495,000	4,495,000	4,495,000
TOTAL, GOAL 3	\$14,003,050	\$14,003,050	\$4,693,000	\$4,693,000	\$18,696,050	\$18,696,050

2.F. Summary of Total Request by Strategy
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/15/2014
 TIME : 4:25:45PM

Agency code: 733 Agency name: Texas Tech University							
<i>Goal/Objective/STRATEGY</i>		Base 2016	Base 2017	Exceptional 2016	Exceptional 2017	Total Request 2016	Total Request 2017
6 Research Funds							
1 <i>Research Development Fund</i>							
1 RESEARCH DEVELOPMENT FUND		\$0	\$0	\$0	\$0	\$0	\$0
2 <i>Competitive Knowledge Fund</i>							
1 COMPETITIVE KNOWLEDGE FUND		0	0	0	0	0	0
TOTAL, GOAL 6		\$0	\$0	\$0	\$0	\$0	\$0
TOTAL, AGENCY STRATEGY REQUEST		\$33,775,105	\$33,905,368	\$17,663,446	\$17,663,446	\$51,438,551	\$51,568,814
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST							
GRAND TOTAL, AGENCY REQUEST		\$33,775,105	\$33,905,368	\$17,663,446	\$17,663,446	\$51,438,551	\$51,568,814

2.F. Summary of Total Request by Strategy
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/15/2014
 TIME : 4:25:45PM

Agency code: 733 Agency name: Texas Tech University							
<i>Goal/Objective/STRATEGY</i>		Base 2016	Base 2017	Exceptional 2016	Exceptional 2017	Total Request 2016	Total Request 2017
General Revenue Funds:							
1	General Revenue Fund	\$21,610,897	\$21,615,310	\$17,663,446	\$17,663,446	\$39,274,343	\$39,278,756
		\$21,610,897	\$21,615,310	\$17,663,446	\$17,663,446	\$39,274,343	\$39,278,756
General Revenue Dedicated Funds:							
704	Bd Authorized Tuition Inc	0	0	0	0	0	0
770	Est Oth Educ & Gen Inco	12,093,036	12,218,886	0	0	12,093,036	12,218,886
		\$12,093,036	\$12,218,886	\$0	\$0	\$12,093,036	\$12,218,886
Other Funds:							
802	License Plate Trust Fund No. 0802	71,172	71,172	0	0	71,172	71,172
		\$71,172	\$71,172	\$0	\$0	\$71,172	\$71,172
TOTAL, METHOD OF FINANCING		\$33,775,105	\$33,905,368	\$17,663,446	\$17,663,446	\$51,438,551	\$51,568,814
FULL TIME EQUIVALENT POSITIONS		2,717.7	2,717.7	79.5	79.5	2,797.2	2,797.2

2.G. Summary of Total Request Objective Outcomes
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/15/2014
 Time: 4:26:55PM

Agency code: 733

Agency name: Texas Tech University

Goal/ Objective / Outcome

	BL 2016	BL 2017	Excp 2016	Excp 2017	Total Request 2016	Total Request 2017
1 Provide Instructional and Operations Support						
1 <i>Provide Instructional and Operations Support</i>						
KEY 1 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 6 Yrs	61.00%	61.00%			61.00%	61.00%
2 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 6 Yrs	61.00%	61.00%			61.00%	61.00%
3 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 6 Yrs	50.00%	50.00%			50.00%	50.00%
4 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 6 Yrs	50.00%	50.00%			50.00%	50.00%
5 % 1st-time, Full-time, Degree-seeking Other Frshmn Earn Deg in 6 Yrs	52.00%	52.00%			52.00%	52.00%
KEY 6 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 4 Yrs	35.00%	35.00%			35.00%	35.00%
7 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 4 Yrs	35.00%	35.00%			35.00%	35.00%
8 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 4 Yrs	28.00%	28.00%			28.00%	28.00%

2.G. Summary of Total Request Objective Outcomes
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/15/2014
 Time: 4:26:55PM

Agency code: 733

Agency name: Texas Tech University

Goal/ Objective / Outcome

	BL 2016	BL 2017	Excp 2016	Excp 2017	Total Request 2016	Total Request 2017
9 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 4 Yrs	24.00%	24.00%			24.00%	24.00%
10 % 1st-time, Full-time, Degree-seeking Other Frsh Earn Degree in 4 Yrs	30.00%	30.00%			30.00%	30.00%
KEY 11 Persistence Rate 1st-time, Full-time, Degree-seeking Frsh after 1 Yr	81.00%	81.00%			81.00%	81.00%
12 Persistence 1st-time, Full-time, Degree-seeking White Frsh after 1 Yr	81.00%	81.00%			81.00%	81.00%
13 Persistence 1st-time, Full-time, Degree-seeking Hisp Frsh after 1 Yr	80.00%	80.00%			80.00%	80.00%
14 Persistence 1st-time, Full-time, Degree-seeking Black Frsh after 1 Yr	80.00%	80.00%			80.00%	80.00%
15 Persistence 1st-time, Full-time, Degree-seeking Other Frsh after 1 Yr	80.00%	80.00%			80.00%	80.00%
16 Percent of Semester Credit Hours Completed	95.00%	95.00%			95.00%	95.00%
KEY 17 Certification Rate of Teacher Education Graduates	89.00%	89.00%			89.00%	89.00%

2.G. Summary of Total Request Objective Outcomes
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/15/2014
 Time: 4:26:55PM

Agency code: 733

Agency name: Texas Tech University

Goal/ Objective / Outcome

	BL 2016	BL 2017	Excp 2016	Excp 2017	Total Request 2016	Total Request 2017
18 Percentage of Underprepared Students Satisfy a TSI Obligation in Math	90.00%	90.00%			90.00%	90.00%
19 Percentage of Underprepared Students Satisfy TSI Obligation in Writing	88.00%	88.00%			88.00%	88.00%
20 Percentage of Underprepared Students Satisfy TSI Obligation in Reading	93.00%	93.00%			93.00%	93.00%
KEY 21 % of Baccalaureate Graduates Who Are 1st Generation College Graduates	25.00%	25.00%			25.00%	25.00%
KEY 22 Percent of Transfer Students Who Graduate within 4 Years	60.00%	60.00%			60.00%	60.00%
KEY 23 Percent of Transfer Students Who Graduate within 2 Years	24.00%	24.00%			24.00%	24.00%
KEY 24 % Lower Division Semester Credit Hours Taught by Tenured/Tenure-Track	30.00%	30.00%			30.00%	30.00%
KEY 25 State Licensure Pass Rate of Law Graduates	90.00%	90.00%			90.00%	90.00%
KEY 26 State Licensure Pass Rate of Engineering Graduates	80.00%	80.00%			80.00%	80.00%

2.G. Summary of Total Request Objective Outcomes
 84th Regular Session, Agency Submission, Version 1
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Date : 10/15/2014
 Time: 4:26:55PM

Agency code: 733

Agency name: Texas Tech University

Goal/ Objective / Outcome

	BL 2016	BL 2017	Excp 2016	Excp 2017	Total Request 2016	Total Request 2017
KEY						
30 Dollar Value of External or Sponsored Research Funds (in Millions)						
	57.00	57.00			57.00	57.00
31 External or Sponsored Research Funds As a % of State Appropriations						
	240.00%	240.00%			240.00%	240.00%
32 External Research Funds As Percentage Appropriated for Research						
	800.00%	800.00%			800.00%	800.00%
48 % Endowed Professorships/ Chairs Unfilled All/ Part of Fiscal Year						
	17.00%	17.00%			17.00%	17.00%
49 Average No Months Endowed Chairs Remain Vacant						
	12.00	12.00			12.00	12.00

3.A. Strategy Request
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10/15/2014 4:30:16PM

733 Texas Tech University

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Statewide Goal/Benchmark: 2 0
 Service Categories:
 Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016 ⁽¹⁾	BL 2017 ⁽¹⁾
Output Measures:						
1	Number of Undergraduate Degrees Awarded	5,206.00	5,200.00	4,950.00	5,000.00	5,000.00
2	Number of Minority Graduates	1,211.00	1,200.00	1,150.00	1,200.00	1,200.00
3	Number of Underprepared Students Who Satisfy TSI Obligation in Math	121.00	77.00	77.00	85.00	85.00
4	Number of Underprepared Students Who Satisfy TSI Obligation in Writing	121.00	67.00	67.00	66.00	66.00
5	Number of Underprepared Students Who Satisfy TSI Obligation in Reading	121.00	83.00	80.00	80.00	80.00
6	Number of Two-Year College Transfers Who Graduate	1,232.00	1,200.00	1,150.00	1,150.00	1,150.00
Efficiency Measures:						
KEY 1	Administrative Cost As a Percent of Operating Budget	6.28 %	6.30 %	6.30 %	6.30 %	6.30 %
Explanatory/Input Measures:						
1	Student/Faculty Ratio	23.00	22.00	22.00	22.00	22.00
2	Number of Minority Students Enrolled	6,805.00	7,463.00	7,200.00	7,200.00	7,200.00
3	Number of Community College Transfers Enrolled	6,025.00	6,477.00	6,000.00	6,000.00	6,000.00
4	Number of Semester Credit Hours Completed	374,745.00	386,611.00	370,000.00	375,000.00	375,000.00
5	Number of Semester Credit Hours	392,868.00	392,868.00	388,000.00	395,000.00	395,000.00

(1) - Formula funded strategies are not requested in 2016-17 because amounts are not determined by institutions.

3.A. Strategy Request
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733 Texas Tech University

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Statewide Goal/Benchmark: 2 0
 Service Categories:
 Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016 ⁽¹⁾	BL 2017 ⁽¹⁾
6	Number of Students Enrolled as of the Twelfth Class Day	32,398.00	33,111.00	32,750.00	33,000.00	33,000.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$41,660,456	\$44,512,362	\$48,136,802	\$0	\$0
1002	OTHER PERSONNEL COSTS	\$653,301	\$850,784	\$850,784	\$0	\$0
1005	FACULTY SALARIES	\$81,947,072	\$95,564,706	\$95,564,706	\$0	\$0
1010	PROFESSIONAL SALARIES	\$553,205	\$646,303	\$606,303	\$0	\$0
2001	PROFESSIONAL FEES AND SERVICES	\$188,566	\$49,032	\$149,032	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$2,940,358	\$1,303,297	\$500,000	\$0	\$0
2005	TRAVEL	\$17,094	\$21,325	\$25,000	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$582,894	\$1,448,117	\$2,660,899	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$128,542,946	\$144,395,926	\$148,493,526	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$91,913,498	\$105,617,671	\$105,574,424	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$91,913,498	\$105,617,671	\$105,574,424	\$0	\$0
Method of Financing:						
704	Bd Authorized Tuition Inc	\$7,082,834	\$7,513,189	\$8,665,590	\$0	\$0

(1) - Formula funded strategies are not requested in 2016-17 because amounts are not determined by institutions.

3.A. Strategy Request
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733 Texas Tech University

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Statewide Goal/Benchmark: 2 0
 Service Categories:
 Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016 ⁽¹⁾	BL 2017 ⁽¹⁾
770	Est Oth Educ & Gen Inco	\$29,546,614	\$31,265,066	\$34,253,512	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$36,629,448	\$38,778,255	\$42,919,102	\$0	\$0
Method of Financing:						
802	License Plate Trust Fund No. 0802	\$0	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (OTHER FUNDS)		\$0	\$0	\$0	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$128,542,946	\$144,395,926	\$148,493,526	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:		1,817.7	2,125.9	2,138.4	2,125.9	2,125.9

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Instruction and Operations Formula provides funding for faculty salaries, departmental operating expense, library, instructional administration, research enhancement, student services and institutional support. The funds are distributed on a weighted semester credit hour basis. The rate per weighted semester credit hour is established by the Legislature each biennium.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

(1) - Formula funded strategies are not requested in 2016-17 because amounts are not determined by institutions.

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733 Texas Tech University

GOAL: 1 Provide Instructional and Operations Support Statewide Goal/Benchmark: 2 0
 OBJECTIVE: 1 Provide Instructional and Operations Support Service Categories:
 STRATEGY: 3 Staff Group Insurance Premiums Service: 06 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$4,963,094	\$5,225,511	\$5,225,511	\$5,225,511	\$5,225,511
TOTAL, OBJECT OF EXPENSE		\$4,963,094	\$5,225,511	\$5,225,511	\$5,225,511	\$5,225,511
Method of Financing:						
770	Est Oth Educ & Gen Inco	\$4,963,094	\$5,225,511	\$5,225,511	\$5,225,511	\$5,225,511
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$4,963,094	\$5,225,511	\$5,225,511	\$5,225,511	\$5,225,511
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$5,225,511	\$5,225,511
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$4,963,094	\$5,225,511	\$5,225,511	\$5,225,511	\$5,225,511

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

This strategy is to provide proportional share of staff group insurance premiums paid from Other Educational and General funds.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

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733 Texas Tech University

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 4 Workers' Compensation Insurance

Statewide Goal/Benchmark: 2 0
 Service Categories:
 Service: 06 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$424,351	\$517,107	\$517,107	\$517,107	\$517,107
TOTAL, OBJECT OF EXPENSE		\$424,351	\$517,107	\$517,107	\$517,107	\$517,107
Method of Financing:						
1	General Revenue Fund	\$424,351	\$517,107	\$517,107	\$517,107	\$517,107
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$424,351	\$517,107	\$517,107	\$517,107	\$517,107
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$517,107	\$517,107
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$424,351	\$517,107	\$517,107	\$517,107	\$517,107

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

The strategy funds the Worker's Compensation payments related to Educational and General funds.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

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733 Texas Tech University

GOAL:	1 Provide Instructional and Operations Support	Statewide Goal/Benchmark:	2 0
OBJECTIVE:	1 Provide Instructional and Operations Support	Service Categories:	
STRATEGY:	6 Texas Public Education Grants	Service: 20	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$5,984,142	\$6,048,178	\$6,169,142	\$6,292,525	\$6,418,375
TOTAL, OBJECT OF EXPENSE		\$5,984,142	\$6,048,178	\$6,169,142	\$6,292,525	\$6,418,375
Method of Financing:						
770	Est Oth Educ & Gen Inco	\$5,984,142	\$6,048,178	\$6,169,142	\$6,292,525	\$6,418,375
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$5,984,142	\$6,048,178	\$6,169,142	\$6,292,525	\$6,418,375
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$6,292,525	\$6,418,375
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$5,984,142	\$6,048,178	\$6,169,142	\$6,292,525	\$6,418,375

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

This strategy represents tuition set aside for the Texas Public Education Grants program as required by Section 56.033 of the Texas Education Code.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

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733 Texas Tech University

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 7 Organized Activities

Statewide Goal/Benchmark: 2 0
 Service Categories:
 Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:						
1001	SALARIES AND WAGES	\$536,732	\$558,141	\$557,542	\$557,542	\$557,542
1002	OTHER PERSONNEL COSTS	\$8,844	\$8,954	\$9,243	\$9,243	\$9,243
2003	CONSUMABLE SUPPLIES	\$356	\$300	\$300	\$300	\$300
2009	OTHER OPERATING EXPENSE	\$5,702	\$7,605	\$7,915	\$7,915	\$7,915
TOTAL, OBJECT OF EXPENSE		\$551,634	\$575,000	\$575,000	\$575,000	\$575,000
Method of Financing:						
770	Est Oth Educ & Gen Inco	\$551,634	\$575,000	\$575,000	\$575,000	\$575,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$551,634	\$575,000	\$575,000	\$575,000	\$575,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$575,000	\$575,000
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$551,634	\$575,000	\$575,000	\$575,000	\$575,000
FULL TIME EQUIVALENT POSITIONS:		22.1	22.8	22.8	22.8	22.8
STRATEGY DESCRIPTION AND JUSTIFICATION:						

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733 Texas Tech University

GOAL:	1	Provide Instructional and Operations Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Instructional and Operations Support	Service Categories:		
STRATEGY:	7	Organized Activities	Service:	19	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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This strategy provides funding for the Child Development Research Center (CDRC) in the Department of Human Development and Family Studies (HDFS). The center has six classrooms providing full day services for children six weeks to six years of age and serves as a training, mentoring, and observation site. Each year approximately 400 students from over 12 disciplines observe teachers and children in the CDRC. An additional 275 students from across TTU/HSC have hands-on experiences in the center each year. Our expansion in 2006 has allowed for an increase in the number of teachers-in-training who can be mentored in the center, which assists in the attraction and retention of students in Early Childhood Education.

The center also serves as a research site for faculty interested in young children. Current research studies include faculty and graduate students from HDFS, Education, Psychology, Mass Communication, and Nutrition. The CDRC holds an accreditation from the National Association for the Education of Young Children. The university's early childhood teacher education program housed in the HDFS department is also accredited by the National Council for Accreditation of Teacher Educators. Critical to this accreditation is the fact that students are provided with both coursework and hands-on experience with children in the age groups included in the certificate. Few programs in the state of Texas or across the nation offer an infant/toddler component; thus, the CDRC provides nationally recognized excellence for Closing the Gaps.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Internal Factors: In order to maintain accreditation and licensing standards, low teacher/child ratios are necessary in each classroom. In addition, qualified, degreed teachers are needed because the CDRC serves as a site for training students; therefore, it is vital to offer competitive salaries to retain quality teachers.

External factors: The CDRC is dependent upon the parents' ability to pay their child's daycare tuition costs. Tuition increases negatively impact the diversity of children attending the CDRC, thus affecting the research as well as the quality of education for the TTU students and the children.

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733 Texas Tech University

GOAL: 2 Provide Infrastructure Support Statewide Goal/Benchmark: 2 0
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space Service Categories:
 STRATEGY: 1 Educational and General Space Support Service: 10 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016 ⁽¹⁾	BL 2017 ⁽¹⁾
Efficiency Measures:						
1	Space Utilization Rate of Classrooms	34.00	33.00	33.00	33.00	33.00
2	Space Utilization Rate of Labs	30.00	27.00	28.00	28.00	28.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$6,812,886	\$7,213,943	\$7,358,222	\$0	\$0
1002	OTHER PERSONNEL COSTS	\$274,466	\$290,016	\$295,816	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$7,087,352	\$7,503,959	\$7,654,038	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$5,021,941	\$5,339,776	\$5,357,827	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$5,021,941	\$5,339,776	\$5,357,827	\$0	\$0
Method of Financing:						
770	Est Oth Educ & Gen Inco	\$2,065,411	\$2,164,183	\$2,296,211	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$2,065,411	\$2,164,183	\$2,296,211	\$0	\$0

(1) - Formula funded strategies are not requested in 2016-17 because amounts are not determined by institutions.

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733 Texas Tech University

GOAL: 2 Provide Infrastructure Support Statewide Goal/Benchmark: 2 0
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space Service Categories:
 STRATEGY: 1 Educational and General Space Support Service: 10 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	(1) BL 2016	(1) BL 2017
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$7,087,352	\$7,503,959	\$7,654,038	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:		237.8	246.1	246.1	246.1	246.1

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Infrastructure Support formula distributes funding associated with plant-related formulas and utilities. This formula is driven by the predicted square feet for universities' educational and general activities produced by the Coordinating Board Space Projection Model. The portion of the formula related to utilities is adjusted to reflect differences in unit costs for purchased utilities, including electricity, natural gas, water and wastewater, and thermal energy.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

(1) - Formula funded strategies are not requested in 2016-17 because amounts are not determined by institutions.

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733 Texas Tech University

GOAL:	2	Provide Infrastructure Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Operation and Maintenance of E&G Space	Service Categories:		
STRATEGY:	2	Tuition Revenue Bond Retirement	Service: 10	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:						
2008	DEBT SERVICE	\$9,242,556	\$9,053,414	\$9,051,741	\$7,161,912	\$7,166,325
TOTAL, OBJECT OF EXPENSE		\$9,242,556	\$9,053,414	\$9,051,741	\$7,161,912	\$7,166,325
Method of Financing:						
1	General Revenue Fund	\$9,242,556	\$9,053,414	\$9,051,741	\$7,161,912	\$7,166,325
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$9,242,556	\$9,053,414	\$9,051,741	\$7,161,912	\$7,166,325
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$7,161,912	\$7,166,325
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$9,242,556	\$9,053,414	\$9,051,741	\$7,161,912	\$7,166,325
FULL TIME EQUIVALENT POSITIONS:						
STRATEGY DESCRIPTION AND JUSTIFICATION:						

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733 Texas Tech University

GOAL:	2	Provide Infrastructure Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Operation and Maintenance of E&G Space	Service Categories:		
STRATEGY:	2	Tuition Revenue Bond Retirement	Service: 10	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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This strategy provides for the retirement of debt authorized by the Texas Education Code, Sections 55.17 (e) (2)-(4), 55.1739 and 55.1759 for Revenue Financing System Bonds. Proceeds from the authority granted by the 73rd Legislature were used to build a library archival facility at the University and a library for the HSC (Expended 2013 - \$2,071,595; estimated 2014 - \$1,897,691; budgeted 2015 - \$1,896,688). Proceeds from the authority granted by the 75th Legislature in 1997 were used to construct educational facilities, including an English/Philosophy/Education complex and a visitors' center (Expended 2013 - \$1,728,361; estimated 2014 - \$1,724,454; budgeted 2015 - \$1,720,622; requested 2016 - \$1,726,368; requested 2017 -\$1,730,619). Proceeds from the authority granted by the 77th Legislature in 2001 were used to construct an Experimental Sciences building (Expended 2013 - \$1,869,950; estimated 2014 - \$1,863,594; budgeted 2015 - \$1,868,306; requested 2016 - \$1,867,544; requested 2017 - \$1,870,581). Proceeds from the authority granted by the 79th Legislature, 3rd Called Session in 2006 are being used to renovate a classroom building, construct a College of Business Building, and construct a Law School trial advocacy/education center (Expended 2013 -\$3,572,650; estimated 2014 - \$3,567,675; budgeted 2015 - \$3,566,125; requested 2016 - \$3,568,000; requested 2017 - \$3,565,125). Debt service for outstanding TRBs has been requested based on actual, known TRB debt service requirements for FY 2016 and 2017.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Legislative authorization of tuition revenue bonds for construction and renovations and the funding the debt service are the factors impacting this strategy.

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733 Texas Tech University

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Instructional Support Special Item Support	Service Categories:		
STRATEGY:	1	Library Archival Support	Service: 04	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:						
1001	SALARIES AND WAGES	\$207,043	\$208,000	\$208,000	\$208,000	\$208,000
1002	OTHER PERSONNEL COSTS	\$2,400	\$2,880	\$2,880	\$2,880	\$2,880
1010	PROFESSIONAL SALARIES	\$168,836	\$224,664	\$224,664	\$224,664	\$224,664
2001	PROFESSIONAL FEES AND SERVICES	\$70,307	\$20,741	\$20,741	\$20,741	\$20,741
2003	CONSUMABLE SUPPLIES	\$920	\$6,856	\$6,856	\$6,856	\$6,856
2004	UTILITIES	\$3,593	\$3,593	\$3,593	\$3,593	\$3,593
2005	TRAVEL	\$26,141	\$21,666	\$21,666	\$21,666	\$21,666
2006	RENT - BUILDING	\$13,655	\$26,926	\$26,926	\$26,926	\$26,926
2007	RENT - MACHINE AND OTHER	\$3,784	\$3,551	\$3,551	\$3,551	\$3,551
2009	OTHER OPERATING EXPENSE	\$13,879	\$14,879	\$14,879	\$14,879	\$14,879
TOTAL, OBJECT OF EXPENSE		\$510,558	\$533,756	\$533,756	\$533,756	\$533,756
Method of Financing:						
1	General Revenue Fund	\$510,558	\$533,756	\$533,756	\$533,756	\$533,756
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$510,558	\$533,756	\$533,756	\$533,756	\$533,756

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733 Texas Tech University

GOAL: 3 Provide Special Item Support Statewide Goal/Benchmark: 2 0
 OBJECTIVE: 1 Instructional Support Special Item Support Service Categories:
 STRATEGY: 1 Library Archival Support Service: 04 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$533,756	\$533,756
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$510,558	\$533,756	\$533,756	\$533,756	\$533,756
FULL TIME EQUIVALENT POSITIONS:		9.1	8.7	8.7	8.7	8.7

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Vietnam Center and Archive contribute directly to the research, teaching, recruiting, and enrollment missions of Texas Tech University. The project promotes the study of the American Vietnam experience, the Vietnam War, and collects, preserves, and makes accessible related historical materials. The project involves a dynamic set of faculty, staff, students, scholars, veterans, and other participants. The Vietnam Center hosts annual conferences where individuals with vastly different viewpoints come together to discuss and learn from one another. These events include students, educators, and wartime participants from Texas and around the world. The Vietnam Archive provides students, teachers, and researchers in Texas with access to the richest set of educational resources available outside of the US National Archives and has made a large portion of them freely available to the global community through the Internet. The Vietnam Center contributes to recruiting and enrollment by providing direct support to academic programs at Texas Tech, attracting students interested in these areas of study. The Vietnam Center also leverages contacts in Vietnam to bring students from Vietnam to TTU while academic connections with Vietnam have led to academic exchanges and joint research projects. Essential to the Center's success has been the very strong support of the university leadership, the Lubbock community, the State of Texas, the US government, and the US veteran community.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item Information.

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GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	2	Research Special Item Support	Service Categories:		
STRATEGY:	1	Research to Enhance Ag Production & Add Value to Ag Products in Texas	Service:	21	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,305,839	\$1,342,867	\$1,342,867	\$1,342,867	\$1,342,867
1002	OTHER PERSONNEL COSTS	\$33,438	\$37,041	\$37,041	\$37,041	\$37,041
1005	FACULTY SALARIES	\$139,537	\$145,181	\$145,181	\$145,181	\$145,181
1010	PROFESSIONAL SALARIES	\$41,350	\$29,872	\$29,872	\$29,872	\$29,872
2002	FUELS AND LUBRICANTS	\$14,722	\$3,590	\$3,590	\$3,590	\$3,590
2003	CONSUMABLE SUPPLIES	\$4,863	\$7,039	\$7,039	\$7,039	\$7,039
2004	UTILITIES	\$2,043	\$3,936	\$3,936	\$3,936	\$3,936
2005	TRAVEL	\$12,773	\$19,633	\$19,633	\$19,633	\$19,633
2006	RENT - BUILDING	\$750	\$750	\$750	\$750	\$750
2007	RENT - MACHINE AND OTHER	\$2,707	\$2,256	\$2,256	\$2,256	\$2,256
2009	OTHER OPERATING EXPENSE	\$20,777	\$20,103	\$20,103	\$20,103	\$20,103
5000	CAPITAL EXPENDITURES	\$19,306	\$15,000	\$15,000	\$15,000	\$15,000
TOTAL, OBJECT OF EXPENSE		\$1,598,105	\$1,627,268	\$1,627,268	\$1,627,268	\$1,627,268
Method of Financing:						
1	General Revenue Fund	\$1,598,105	\$1,627,268	\$1,627,268	\$1,627,268	\$1,627,268
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,598,105	\$1,627,268	\$1,627,268	\$1,627,268	\$1,627,268

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GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	2	Research Special Item Support	Service Categories:		
STRATEGY:	1	Research to Enhance Ag Production & Add Value to Ag Products in Texas	Service:	21	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,627,268	\$1,627,268
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,598,105	\$1,627,268	\$1,627,268	\$1,627,268	\$1,627,268
FULL TIME EQUIVALENT POSITIONS:		42.2	41.0	41.0	41.0	41.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

This line is focused on enhancing profitability, productivity, safety, and security of agricultural and natural resource enterprises in Texas. A decreasing groundwater resource, escalating input costs, uncertainties about farm and trade policies, and rapidly expanding global competition are affecting the economic viability of the Texas agricultural and natural resources industries, increasing the need for research and technology transfer to meet these challenges. Texas Tech scientists are uniquely positioned to provide the interdisciplinary research needed to enhance the viability of Texas agriculture, entrepreneurial skills of producers, and job creation in rural and urban communities. Through cooperative efforts with Texas AgriLife Research and Extension, the USDA-Agricultural Research Service, and the agri-business community, Texas Tech is developing nationally recognized programs and leveraging state funds for increased federal funding. Programs supported by this line advance the Texas Tech strategic priority to enhance and expand research through experimental studies in food, fiber, natural resources, and environmental sciences, as well as application and commercialization of research findings. Specific research thrusts include: sustainable water, land, and resource management; value-added product development; rangeland, crop, forage, livestock, and wildlife management systems; food product safety; farm policy and trade; plant genomics; and textile technology.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item Information.

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GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	2	Research Special Item Support	Service Categories:		
STRATEGY:	2	Research in Energy Production and Environmental Protection in Texas	Service:	21	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:						
1001	SALARIES AND WAGES	\$329,075	\$328,150	\$328,150	\$328,150	\$328,150
1002	OTHER PERSONNEL COSTS	\$9,718	\$8,742	\$8,742	\$8,742	\$8,742
1005	FACULTY SALARIES	\$326,253	\$228,605	\$228,605	\$228,605	\$228,605
1010	PROFESSIONAL SALARIES	\$333	\$34,858	\$34,858	\$34,858	\$34,858
2001	PROFESSIONAL FEES AND SERVICES	\$0	\$751	\$751	\$751	\$751
2002	FUELS AND LUBRICANTS	\$1,190	\$924	\$924	\$924	\$924
2003	CONSUMABLE SUPPLIES	\$22,603	\$7,153	\$7,153	\$7,153	\$7,153
2004	UTILITIES	\$3,153	\$500	\$500	\$500	\$500
2005	TRAVEL	\$14,924	\$3,491	\$3,491	\$3,491	\$3,491
2006	RENT - BUILDING	\$0	\$5,000	\$5,000	\$5,000	\$5,000
2009	OTHER OPERATING EXPENSE	\$77,960	\$46,504	\$46,504	\$46,504	\$46,504
5000	CAPITAL EXPENDITURES	\$7,591	\$24,872	\$24,872	\$24,872	\$24,872
TOTAL, OBJECT OF EXPENSE		\$792,800	\$689,550	\$689,550	\$689,550	\$689,550
Method of Financing:						
1	General Revenue Fund	\$792,800	\$689,550	\$689,550	\$689,550	\$689,550
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$792,800	\$689,550	\$689,550	\$689,550	\$689,550

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GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	2	Research Special Item Support	Service Categories:		
STRATEGY:	2	Research in Energy Production and Environmental Protection in Texas	Service:	21	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$689,550	\$689,550
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$792,800	\$689,550	\$689,550	\$689,550	\$689,550
FULL TIME EQUIVALENT POSITIONS:		13.0	10.5	10.5	10.5	10.5

STRATEGY DESCRIPTION AND JUSTIFICATION:

Helping Texas maintain a healthy sustainable economy through the development of new technologies into reasonably priced, environmentally responsible, sustainable sources of energy and water. The Water Resources Center (WRC) directs interdisciplinary research in water quantity and quality; regulatory and resource allocation policy; watershed management; production and treatment of brackish water for potable supply; water reuse and recycling; and remediation of contaminated soil and water. The National Wind Institute (NWI) has established an international reputation for advanced wind-related research, education and outreach activities. NWI supports the rapid growth of wind power development through research related to the performance and reliability of wind turbines, the development of a research scaled testing facility to study turbine-to-turbine interactions, and the creation of the innovative atmospheric observing platforms and forecasting systems. The Cooperative Biological Research Database (CBD) contains biological data (specimen, genetic, and informational) that can be used to address questions pertaining to threatened and endangered species, wildlife conservation, genomics and bioinformatics, and ecotoxicology as examples. The Whitacre College of Engineering (WCOE) efforts in the areas of solar energy, biofuels, large and small scale energy storage will provide numerous opportunities for the state of Texas to be competitive in job creation and economic development.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item Information.

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GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	2	Research Special Item Support	Service Categories:		
STRATEGY:	3	Research in Emerging Technologies and Economic Development in Texas	Service:	21	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:						
1001	SALARIES AND WAGES	\$140,368	\$140,867	\$140,867	\$140,867	\$140,867
1005	FACULTY SALARIES	\$149,081	\$60,500	\$60,500	\$60,500	\$60,500
2001	PROFESSIONAL FEES AND SERVICES	\$2,542	\$0	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$10,362	\$4,512	\$4,512	\$4,512	\$4,512
2004	UTILITIES	\$786	\$500	\$500	\$500	\$500
2005	TRAVEL	\$15,295	\$6,070	\$6,070	\$6,070	\$6,070
2007	RENT - MACHINE AND OTHER	\$2,838	\$0	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$50,719	\$11,031	\$11,031	\$11,031	\$11,031
5000	CAPITAL EXPENDITURES	\$13,028	\$14,000	\$14,000	\$14,000	\$14,000
TOTAL, OBJECT OF EXPENSE		\$385,019	\$237,480	\$237,480	\$237,480	\$237,480
Method of Financing:						
1	General Revenue Fund	\$385,019	\$237,480	\$237,480	\$237,480	\$237,480
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$385,019	\$237,480	\$237,480	\$237,480	\$237,480

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GOAL: 3 Provide Special Item Support Statewide Goal/Benchmark: 2 0
 OBJECTIVE: 2 Research Special Item Support Service Categories:
 STRATEGY: 3 Research in Emerging Technologies and Economic Development in Texas Service: 21 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$237,480	\$237,480
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$385,019	\$237,480	\$237,480	\$237,480	\$237,480
FULL TIME EQUIVALENT POSITIONS:		2.5	2.2	2.2	2.2	2.2

STRATEGY DESCRIPTION AND JUSTIFICATION:

To enhance the Texas economy by creating and transferring intellectual property and by developing more effective workforces and marketing strategies. Funding from this line item is directed to efforts to stimulate the economy of Texas by emphasizing areas of high economic value to the state. As a research seed program, this funding has been instrumental in providing pilot data crucial to leveraging external funding from federal agencies and private foundations, as well as the endorsement of industry partners and local, state and federal governments. Findings are used to create new knowledge enhancing the human condition for citizens of the State, with the intended outcome of increased productivity, reduced burden on social and governmental services, and improved family relations. Marketing research on rural tourism, the “greening” of the hospitality and healthcare industry, and preferences in organic food and food safety all provide important new data on evolving markets and improving marketing efficiency. The latter have advanced our understanding of local food industries, attracted new investors to Texas, and developed sophisticated techniques to improve product satisfaction, food safety, and profitability nationwide. This funding is also used to commercially develop and advance promising technologies, enabling TTU to bring forward opportunities of significant potential economic benefit to the State of Texas, the nation and globe.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item Information.

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GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	3	Public Service Special Item Support	Service Categories:		
STRATEGY:	1	Junction Annex Operation	Service:	19	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:						
1001	SALARIES AND WAGES	\$197,618	\$208,018	\$208,018	\$208,018	\$208,018
1002	OTHER PERSONNEL COSTS	\$7,944	\$8,934	\$8,934	\$8,934	\$8,934
2003	CONSUMABLE SUPPLIES	\$83	\$2,000	\$2,000	\$2,000	\$2,000
2004	UTILITIES	\$49,394	\$36,394	\$36,394	\$36,394	\$36,394
2005	TRAVEL	\$812	\$1,000	\$1,000	\$1,000	\$1,000
2009	OTHER OPERATING EXPENSE	\$1,970	\$2,000	\$2,000	\$2,000	\$2,000
TOTAL, OBJECT OF EXPENSE		\$257,821	\$258,346	\$258,346	\$258,346	\$258,346
Method of Financing:						
1	General Revenue Fund	\$257,821	\$258,346	\$258,346	\$258,346	\$258,346
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$257,821	\$258,346	\$258,346	\$258,346	\$258,346
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$258,346	\$258,346
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$257,821	\$258,346	\$258,346	\$258,346	\$258,346
FULL TIME EQUIVALENT POSITIONS:		4.4	4.5	4.5	4.5	4.5

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GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	3	Public Service Special Item Support	Service Categories:		
STRATEGY:	1	Junction Annex Operation	Service: 19	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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STRATEGY DESCRIPTION AND JUSTIFICATION:

Texas Tech University Center at Junction (TTUCJ) provides academic, research and engagement programs to expand educational, economic, workforce, and cultural opportunities throughout the under-served Western Hill Country region. TTUCJ operates facilities for college-level students and faculty; K-12 students, teachers and parents; and state, regional and community groups in an extraordinary learning environment offered by the adjacent South Llano River ecosystem. TUCJ is home to the Llano River Field Station (LRFS) whose mission is to encourage, conduct, and coordinate basic and applied research projects dealing with water/watersheds, exotic species, range management, natural resources, ecological restoration and environmental education. As the largest inland field station in Texas, bisected by the headwaters of the South Llano River, a primary emphasis is placed on critical education, engagement and natural resource, water and watershed problems of the biologically diverse Central Texas Hill Country, with expansion to encompass state, national and international water and environmental issues of common concern.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item Information.

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GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	3	Public Service Special Item Support	Service Categories:		
STRATEGY:	2	Hill Country Educational Network	Service:	19	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:						
1001	SALARIES AND WAGES	\$278,275	\$301,883	\$301,883	\$301,883	\$301,883
1002	OTHER PERSONNEL COSTS	\$2,260	\$2,720	\$2,720	\$2,720	\$2,720
1005	FACULTY SALARIES	\$73,352	\$96,566	\$96,566	\$96,566	\$96,566
2002	FUELS AND LUBRICANTS	\$2,158	\$3,463	\$3,463	\$3,463	\$3,463
2003	CONSUMABLE SUPPLIES	\$949	\$1,153	\$1,153	\$1,153	\$1,153
2004	UTILITIES	\$66,132	\$25,950	\$25,950	\$25,950	\$25,950
2005	TRAVEL	\$3,887	\$7,170	\$7,170	\$7,170	\$7,170
2007	RENT - MACHINE AND OTHER	\$1,520	\$1,500	\$1,500	\$1,500	\$1,500
2009	OTHER OPERATING EXPENSE	\$13,802	\$13,814	\$13,814	\$13,814	\$13,814
TOTAL, OBJECT OF EXPENSE		\$442,335	\$454,219	\$454,219	\$454,219	\$454,219
Method of Financing:						
1	General Revenue Fund	\$442,335	\$454,219	\$454,219	\$454,219	\$454,219
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$442,335	\$454,219	\$454,219	\$454,219	\$454,219

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GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	3	Public Service Special Item Support	Service Categories:		
STRATEGY:	2	Hill Country Educational Network	Service: 19	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$454,219	\$454,219
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$442,335	\$454,219	\$454,219	\$454,219	\$454,219
FULL TIME EQUIVALENT POSITIONS:		8.7	9.1	9.1	9.1	9.1

STRATEGY DESCRIPTION AND JUSTIFICATION:

As part of the university distance commitment, Fredericksburg and Highland Lakes teaching sites offer a quality education to underserved and non-traditional students throughout Texas. Centrally located sites provide opportunity for overcoming the three biggest barriers to the pursuit of higher education – class availability, proximity to home, and cost.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item Information.

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GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	3	Public Service Special Item Support	Service Categories:		
STRATEGY:	3	Small Business Development Center	Service:	13	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,058,868	\$1,309,268	\$1,309,268	\$1,309,268	\$1,309,268
1002	OTHER PERSONNEL COSTS	\$26,192	\$30,010	\$30,010	\$30,010	\$30,010
2006	RENT - BUILDING	\$0	\$11,199	\$11,199	\$11,199	\$11,199
TOTAL, OBJECT OF EXPENSE		\$1,085,060	\$1,350,477	\$1,350,477	\$1,350,477	\$1,350,477
Method of Financing:						
1	General Revenue Fund	\$1,085,060	\$1,350,477	\$1,350,477	\$1,350,477	\$1,350,477
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,085,060	\$1,350,477	\$1,350,477	\$1,350,477	\$1,350,477
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,350,477	\$1,350,477
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,085,060	\$1,350,477	\$1,350,477	\$1,350,477	\$1,350,477
FULL TIME EQUIVALENT POSITIONS:		17.8	19.4	19.4	19.4	19.4
STRATEGY DESCRIPTION AND JUSTIFICATION:						

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GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	3	Public Service Special Item Support	Service Categories:		
STRATEGY:	3	Small Business Development Center	Service:	13	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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The NWTSBDC, housed at Texas Tech University, provides in-depth business counseling and training for small businesses within the 95 county service area. The services provided to the small business community have expanded beyond basic business counseling to include: International Trade Assistance, Manufacturing Assistance, Government Contracting, Technology Transfer, Technology/Internet assistance, Defense Transition assistance, Minority Business Assistance, and Community Economic Development and Rehabilitation.

The Small Business Development Center (SBDC) program is the largest management and technical assistance program to the small business sector in the United States. With the continuing struggle faced by the rural communities of Northwest Texas, the NWTSBDC has focused on rural small business development as its primary role in supporting the economic growth of the region. Due to the disadvantages rural businesses face in today's competitive business climate, the NWTSBDC provides extensive counseling and training assistance to rural businesses in business plan development, e-commerce development and business competitiveness on a global scale. Over the next 2 years the Northwest Texas SBDC will counsel over 6,000 clients, provide training to over 9,000 small business seminar attendees, assist with over 600 new business starts, expansions and saves as well as assist in the creation of over 2,600 new jobs within the region.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item Information.

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GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	3	Public Service Special Item Support	Service Categories:		
STRATEGY:	4	Museums and Historical, Cultural, and Educational Centers	Service: 04	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,350,924	\$1,375,009	\$1,375,009	\$1,375,009	\$1,375,009
1002	OTHER PERSONNEL COSTS	\$63,018	\$58,611	\$58,611	\$58,611	\$58,611
1005	FACULTY SALARIES	\$22,780	\$39,374	\$39,374	\$39,374	\$39,374
2002	FUELS AND LUBRICANTS	\$771	\$1,000	\$1,000	\$1,000	\$1,000
2003	CONSUMABLE SUPPLIES	\$1,127	\$1,200	\$1,200	\$1,200	\$1,200
2004	UTILITIES	\$29,754	\$22,327	\$22,327	\$22,327	\$22,327
2009	OTHER OPERATING EXPENSE	\$18,840	\$25,543	\$25,543	\$25,543	\$25,543
TOTAL, OBJECT OF EXPENSE		\$1,487,214	\$1,523,064	\$1,523,064	\$1,523,064	\$1,523,064
Method of Financing:						
1	General Revenue Fund	\$1,487,214	\$1,523,064	\$1,523,064	\$1,523,064	\$1,523,064
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,487,214	\$1,523,064	\$1,523,064	\$1,523,064	\$1,523,064
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,523,064	\$1,523,064
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,487,214	\$1,523,064	\$1,523,064	\$1,523,064	\$1,523,064
FULL TIME EQUIVALENT POSITIONS:		36.4	36.0	36.0	36.0	36.0

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GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	3	Public Service Special Item Support	Service Categories:		
STRATEGY:	4	Museums and Historical, Cultural, and Educational Centers	Service: 04	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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STRATEGY DESCRIPTION AND JUSTIFICATION:

This strategy provides support to the International Cultural Center, the Lubbock Lake Landmark, the Museum of Texas Tech University, and the National Ranching Heritage Center (NRHC). Each of the four entities has a teaching and research function for university students and faculty, and an additional mission of public outreach and education locally, regionally, nationally, and globally. The collections, exhibitions, publications, and programs conducted at all four sites complement the diverse interests and roles of TTU in public and professional service. All four centers offer an inviting and informative gateway to the university and provide many citizens opportunities for direct involvement with various functions of the centers.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item Information.

3.A. Strategy Request
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733 Texas Tech University

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	3	Public Service Special Item Support	Service Categories:		
STRATEGY:	6	Center for Financial Responsibility	Service:	19	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:						
1001	SALARIES AND WAGES	\$133,637	\$136,309	\$136,309	\$136,309	\$136,309
1002	OTHER PERSONNEL COSTS	\$890	\$900	\$900	\$900	\$900
2003	CONSUMABLE SUPPLIES	\$1,663	\$1,657	\$1,657	\$1,657	\$1,657
2004	UTILITIES	\$1,549	\$1,549	\$1,549	\$1,549	\$1,549
2005	TRAVEL	\$17,089	\$19,974	\$19,974	\$19,974	\$19,974
2009	OTHER OPERATING EXPENSE	\$15,901	\$10,611	\$10,611	\$10,611	\$10,611
TOTAL, OBJECT OF EXPENSE		\$170,729	\$171,000	\$171,000	\$171,000	\$171,000
Method of Financing:						
1	General Revenue Fund	\$170,729	\$171,000	\$171,000	\$171,000	\$171,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$170,729	\$171,000	\$171,000	\$171,000	\$171,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$171,000	\$171,000
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$170,729	\$171,000	\$171,000	\$171,000	\$171,000
FULL TIME EQUIVALENT POSITIONS:		2.5	2.0	2.0	2.0	2.0

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733 Texas Tech University

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	3	Public Service Special Item Support	Service Categories:		
STRATEGY:	6	Center for Financial Responsibility	Service: 19	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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STRATEGY DESCRIPTION AND JUSTIFICATION:

The Center for Financial Responsibility (CFR) mission is to help Texas citizens achieve personal responsibility in retirement planning, debt management and financial literacy by conducting research, and delivery of financial knowledge to citizens, financial services professionals, fiduciaries and teachers. The CFR focuses on the financial well-being of Texas citizens by emphasizing increasing savings and financial preparation for retirement. The CFR develops financial literacy resources to prevent individual bankruptcies and financial hardships that negatively affect state and local economies. Texas institutions of higher education and those students graduating with high debt loads from student loans and credit card abuse are served through research and service from the nationally recognized Red-to-Black Financial Counseling Center (R2B) and continuing state-wide financial literacy research with Texas adults. The R2B model earns national recognition as a means of increasing retention and matriculation rates. A third goal is to increase the number of academic programs in Personal Financial Planning (PFP) with emphasis on minority institutions throughout Texas and focusing on availability of general education classes in personal finance to increase student body financial literacy. Expanded assistance from CFR personnel to establish financial literacy courses and financial planning programs within minority colleges and universities throughout Texas is on-going.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item Information.

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733 Texas Tech University

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	4	Institutional Support Special Item Support	Service Categories:		
STRATEGY:	1	Institutional Enhancement	Service: 19	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:						
1001	SALARIES AND WAGES	\$4,606,098	\$4,839,376	\$4,815,720	\$4,815,720	\$4,815,720
1002	OTHER PERSONNEL COSTS	\$62,464	\$68,665	\$55,077	\$55,077	\$55,077
1005	FACULTY SALARIES	\$1,194,373	\$113,647	\$120,521	\$120,521	\$120,521
1010	PROFESSIONAL SALARIES	\$155,855	\$130,927	\$250,011	\$836,729	\$836,729
2003	CONSUMABLE SUPPLIES	\$26,653	\$3,013	\$8,824	\$8,824	\$8,824
2009	OTHER OPERATING EXPENSE	\$152,213	\$1,614,582	\$1,310,980	\$1,310,980	\$1,310,980
5000	CAPITAL EXPENDITURES	\$36,680	\$0	\$10,039	\$10,039	\$10,039
TOTAL, OBJECT OF EXPENSE		\$6,234,336	\$6,770,210	\$6,571,172	\$7,157,890	\$7,157,890
Method of Financing:						
1	General Revenue Fund	\$6,234,336	\$6,699,038	\$6,500,000	\$7,086,718	\$7,086,718
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$6,234,336	\$6,699,038	\$6,500,000	\$7,086,718	\$7,086,718
Method of Financing:						
802	License Plate Trust Fund No. 0802	\$0	\$71,172	\$71,172	\$71,172	\$71,172
SUBTOTAL, MOF (OTHER FUNDS)		\$0	\$71,172	\$71,172	\$71,172	\$71,172

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733 Texas Tech University

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	4	Institutional Support Special Item Support	Service Categories:		
STRATEGY:	1	Institutional Enhancement	Service: 19	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$7,157,890	\$7,157,890
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$6,234,336	\$6,770,210	\$6,571,172	\$7,157,890	\$7,157,890
FULL TIME EQUIVALENT POSITIONS:		65.7	70.1	70.1	70.1	70.1

STRATEGY DESCRIPTION AND JUSTIFICATION:

The mission of this special line item is to support and enhance the academic support services at Texas Tech University, preparing students to be the workforce of tomorrow for Texas.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item Information.

3.A. Strategy Request
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733 Texas Tech University

GOAL:	6	Research Funds	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Research Development Fund	Service Categories:		
STRATEGY:	1	Research Development Fund	Service: 21	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:						
1001	SALARIES AND WAGES	\$2,072,758	\$2,584,311	\$5,688,533	\$0	\$0
1002	OTHER PERSONNEL COSTS	\$22,619	\$21,879	\$36,855	\$0	\$0
1005	FACULTY SALARIES	\$583,759	\$59,583	\$100,368	\$0	\$0
1010	PROFESSIONAL SALARIES	\$85,548	\$191,728	\$322,966	\$0	\$0
2001	PROFESSIONAL FEES AND SERVICES	\$81,869	\$3,893	\$6,558	\$0	\$0
2002	FUELS AND LUBRICANTS	\$10,485	\$18,043	\$30,393	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$96,157	\$1,462	\$2,462	\$0	\$0
2004	UTILITIES	\$9,361	\$51,379	\$86,548	\$0	\$0
2005	TRAVEL	\$119,745	\$5,277	\$8,889	\$0	\$0
2006	RENT - BUILDING	\$310	\$0	\$0	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$1,711	\$0	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$783,665	\$8,710	\$14,671	\$0	\$0
5000	CAPITAL EXPENDITURES	\$1,814,757	\$354,734	\$597,550	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$5,682,744	\$3,300,999	\$6,895,793	\$0	\$0

Method of Financing:

1	General Revenue Fund	\$5,682,744	\$3,300,999	\$6,895,793	\$0	\$0
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3.A. Strategy Request
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733 Texas Tech University

GOAL:	6	Research Funds	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Research Development Fund	Service Categories:		
STRATEGY:	1	Research Development Fund	Service: 21	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$5,682,744	\$3,300,999	\$6,895,793	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$5,682,744	\$3,300,999	\$6,895,793	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:		58.4	78.7	66.2	78.7	78.7

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Research Development Fund is distributed among eligible institutions based on the average amount of restricted research funds expended by each institution per year for the three preceding fiscal years. The purpose of these funds is to promote research capacity.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

3.A. Strategy Request
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733 Texas Tech University

GOAL:	6	Research Funds	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	2	Competitive Knowledge Fund	Service Categories:		
STRATEGY:	1	Competitive Knowledge Fund	Service: 21	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:						
1005	FACULTY SALARIES	\$3,020,595	\$6,223,241	\$6,223,241	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$3,020,595	\$6,223,241	\$6,223,241	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$3,020,595	\$6,223,241	\$6,223,241	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$3,020,595	\$6,223,241	\$6,223,241	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$3,020,595	\$6,223,241	\$6,223,241	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:		25.7	40.7	40.7	40.7	40.7

STRATEGY DESCRIPTION AND JUSTIFICATION:

The purpose of the Texas Competitive Knowledge fund is to support faculty for the purpose of instructional excellence and research.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

3.A. Strategy Request
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SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$178,463,391	\$196,458,705	\$204,221,431	\$33,775,105	\$33,905,368
METHODS OF FINANCE (INCLUDING RIDERS):				\$33,775,105	\$33,905,368
METHODS OF FINANCE (EXCLUDING RIDERS):	\$178,463,391	\$196,458,705	\$204,221,431	\$33,775,105	\$33,905,368
FULL TIME EQUIVALENT POSITIONS:	2,364.0	2,717.7	2,717.7	2,717.7	2,717.7

Rider Revisions and Additions Request

Agency Code:	Agency Name:	Prepared By:	Date:	Request Level:
733	Texas Tech University	Texas Tech University	8/4/2014	Baseline
Current Rider Number	Page Number in 2014-15 GAA	Proposed Rider Language		
3	III-141	<p>Texas Tech University Museum. Out of funds appropriated above in Strategy C.3.4. for the Museums and Historical, Cultural and Educational Centers, \$381,995 in fiscal year 2014 2016 and 2015 2017 shall be allocated to the Ranching Heritage Center, and \$263,936 in each fiscal year shall be allocated to the Lubbock Lake Landmark</p> <p><i>This rider is revised to reflect the proper fiscal years and allocation amounts for the appropriation authority.</i></p>		

4.A. Exceptional Item Request Schedule
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DATE: **10/14/2014**
 TIME: **2:41:23PM**

Agency code: **733** Agency name: **Texas Tech University**

CODE	DESCRIPTION	Excp 2016	Excp 2017
	Item Name: Retention Plus		
	Item Priority: 1		
	Includes Funding for the Following Strategy or Strategies: 03-05-01 Exceptional Item Request		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	2,641,687	2,641,687
2009	OTHER OPERATING EXPENSE	358,313	358,313
	TOTAL, OBJECT OF EXPENSE	\$3,000,000	\$3,000,000
METHOD OF FINANCING:			
1	General Revenue Fund	3,000,000	3,000,000
	TOTAL, METHOD OF FINANCING	\$3,000,000	\$3,000,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		55.00	55.00

DESCRIPTION / JUSTIFICATION:

This request is to enhance support for strategic initiatives impacting undergraduate retention and graduation. The Education Trust recognized TTU for improving Black and Hispanic student graduation rates, and closing the gap with white students. This request enhances initiatives already in place and adds others to continue this success. A data-informed planning process will be implemented with a goal of increasing 6-year graduation rates to 70% and 1-year retention rates to 90%.

The structural changes supporting student success are in place and aligned with services through the Office of the Provost and, more specifically Vice Provost for Undergraduate Education and Student Affairs. Support is necessary to fund the implementation of these initiatives.

TTU hopes to expand the scope and impact of practices proven to increase retention and graduation rates, including research based software to improve advising and scheduling; summer bridge programs for first-generation students; first semester success seminars for freshmen and transfers; supplemental instruction and tutoring in high-challenge courses, specifically in STEM fields; increased “active learning” such as undergraduate research, study abroad, and internships; interventions for students who have stopped-out to complete degrees; development of alternative academic programs such as the Bachelors of Applied Arts and Sciences; comprehensive and professionalized academic advisement; early warning electronic platform systems; and transfer articulation services to diminish time-to-degree and hours required for graduation.

The use of student programming, academic interventions, and electronic platforms allows TTU to begin an unprecedented standardization of advising and retention services. By calibrating these services to specific expectations, departments and colleges will more effectively evaluate internal retention efforts and campus-wide initiatives, based on objective metrics and goals.

EXTERNAL/INTERNAL FACTORS:

4.A. Exceptional Item Request Schedule
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DATE: **10/14/2014**
TIME: **2:41:23PM**

Agency code: **733**

Agency name:

Texas Tech University

CODE DESCRIPTION

Excp 2016

Excp 2017

Major accomplishments to date and expected over the next two years: Success of these initiatives will be measured in increased course completion rates; 1, 2, 3 and 4-year retention rates and 4, 5 and 6-year graduation rates for undergraduates. These initiatives will result in increased efficiency and effectiveness of state appropriations.

Year established and funding source prior to receiving special item funding: Does not exist.

Formula funding: Not eligible.

Non-general revenue sources of funding: Retention and graduation initiatives are funded by institutional funds. There are not enough funds to continue this initiative.

Consequences of not funding: Limit opportunities to improve retention and graduation rates.

4.B. Exceptional Items Strategy Allocation Schedule
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DATE: **10/14/2014**
 TIME: **2:43:14PM**

Agency code: **733** Agency name: **Texas Tech University**

Code	Description	Excp 2016	Excp 2017
Item Name: Retention Plus			
Allocation to Strategy: 3-5-1 Exceptional Item Request			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	2,641,687	2,641,687
2009	OTHER OPERATING EXPENSE	358,313	358,313
TOTAL, OBJECT OF EXPENSE		\$3,000,000	\$3,000,000
METHOD OF FINANCING:			
1	General Revenue Fund	3,000,000	3,000,000
TOTAL, METHOD OF FINANCING		\$3,000,000	\$3,000,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		55.0	55.0

4.A. Exceptional Item Request Schedule
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DATE: **10/14/2014**
 TIME: **2:45:56PM**

Agency code: **733** Agency name: **Texas Tech University**

CODE	DESCRIPTION	Excp 2016	Excp 2017
	Item Name: Small Business Development Center		
	Item Priority: 2		
	Includes Funding for the Following Strategy or Strategies: 03-03-03 Small Business Development Center		
 OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	198,000	198,000
	TOTAL, OBJECT OF EXPENSE	\$198,000	\$198,000
 METHOD OF FINANCING:			
1	General Revenue Fund	198,000	198,000
	TOTAL, METHOD OF FINANCING	\$198,000	\$198,000
 FULL-TIME EQUIVALENT POSITIONS (FTE):		5.00	5.00

DESCRIPTION / JUSTIFICATION:

This request is to access the enhanced funding available from the Comptroller's SBDC Funding Formula. The Comptroller has developed a funding formula that uses a 3rd party survey to determine the economic activity generated by the assistance provided to small businesses by the 4 SBDC state regions. The survey uses a 3 year rolling average of results to determine the level of new job creation, job retention, and tax dollars generated to Texas from SBDC activities. These funds will be used to add new counselor positions to expand the small business counseling and training assistance to the rural areas of NW Texas. The addition of these new counselor positions will result in 600 new clients counseled, and 1,500 additional training attendees annually. Most importantly the addition of these new positions will result in 51 new business openings and the addition of 395 new jobs created each year. The NW Texas Small Business Development Center provides extensive business counseling and training to the small businesses of the 95 county service area and since 1987 has helped create 8,507 new businesses and helped create 29,722 new jobs in the region. Although many of the new businesses opened and jobs created were in the more rural areas, the vast majority have opened in the larger population centers of the region. The small towns of NW Texas are slowly but surely declining as a continuous out-migration of youth and talent are draining the vitality of these communities. The need to effectively assist the existing and start-up businesses in the remote rural areas of the 95 county service areas is going to be essential to the survival of the economic health of the region.

With the large and growing gap in the competitive advantage between rural and urban Texas, the need to bring solutions to this problem is our goal. TTU has submitted all reports to the comptroller for review. The comptroller will determine if an increase of revenue neutral appropriation is possible.

EXTERNAL/INTERNAL FACTORS:

4.A. Exceptional Item Request Schedule
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DATE: **10/14/2014**
 TIME: **2:45:56PM**

Agency code: **733**

Agency name:
Texas Tech University

CODE	DESCRIPTION	Excp 2016	Excp 2017
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Major accomplishments to date and expected over the next two years: Since program start in 1987, the NWT SBDC has assisted, through the end of FY 13, in creating 29,722 new jobs. The NWT SBDC has also assisted in opening 8,507 new businesses. The NWT SBDC has counseled over 73,940 clients and trained over 128,874 seminar attendees. Over the next 2 years the Northwest Texas SBDC will counsel over 6,000 clients, provide training to over 7,500 small business seminar attendees, assist with over 600 new business starts, expansions and saves as well as assist in the creation of over 2,600 new jobs within the region.

Year established and funding source prior to receiving special item funding: 1990 Federal and Institutional funds.

Formula funding: N/A

Non-general revenue sources of funding:

- 2013 \$ 1,228,082 Federal Funds, \$ 510,036 Institutional & other funds
- 2014 \$ 1,288,176 Federal Funds, \$ 563,216 Institutional & other funds
- 2015 \$ 1,315,000 Federal Funds, \$ 570,000 Institutional & other funds
- 2016 \$ 1,345,000 Federal Funds, \$ 575,000 Institutional & other funds
- 2017 \$ 1,350,000 Federal Funds, \$ 575,000 Institutional & other funds

Consequences of not funding: All of the Federal Funds that this program receives require either one-for-one or two-for-one matching. If this program is not funded by the State of Texas, an equal amount of funding will be lost from Federal, Institutional and other Sources. This decrease in funding would result in a major reduction in program services and would cause the NWT SBDC to serve 2,500 to 3,000 fewer small business clients, 5,000 to 8,000 fewer seminar attendees, create 250 to 350 fewer new businesses and a loss of 1,200 to 1,800 new jobs created.

4.B. Exceptional Items Strategy Allocation Schedule
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DATE: **10/14/2014**
 TIME: **2:48:08PM**

Agency code: **733** Agency name: **Texas Tech University**

Code	Description	Excp 2016	Excp 2017
Item Name: Small Business Development Center			
Allocation to Strategy: 3-3-3 Small Business Development Center			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	198,000	198,000
TOTAL, OBJECT OF EXPENSE		\$198,000	\$198,000
METHOD OF FINANCING:			
1	General Revenue Fund	198,000	198,000
TOTAL, METHOD OF FINANCING		\$198,000	\$198,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		5.0	5.0

4.A. Exceptional Item Request Schedule
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DATE: **10/14/2014**
 TIME: **2:50:15PM**

Agency code: **733**

Agency name:
Texas Tech University

CODE	DESCRIPTION	Excp 2016	Excp 2017
	Item Name: Sustainable Land and Water Use for Semi-Arid Texas		
	Item Priority: 3		
	Includes Funding for the Following Strategy or Strategies: 03-05-01 Exceptional Item Request		
 OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	680,500	710,000
2003	CONSUMABLE SUPPLIES	91,000	103,000
2005	TRAVEL	82,500	90,000
5000	CAPITAL EXPENDITURES	146,000	97,000
TOTAL, OBJECT OF EXPENSE		\$1,000,000	\$1,000,000
 METHOD OF FINANCING:			
1	General Revenue Fund	1,000,000	1,000,000
TOTAL, METHOD OF FINANCING		\$1,000,000	\$1,000,000
 FULL-TIME EQUIVALENT POSITIONS (FTE):		13.00	13.00

DESCRIPTION / JUSTIFICATION:

This funding request is designed to enhance education and research to provide a comprehensive roadmap that will lead to durable agricultural and natural resource management in light of declining water availability and changes in rural and urban land use. The western third of Texas has low rainfall relative to high evaporative demand, resulting in low agricultural productivity where irrigation is not available. Irrigation from groundwater greatly amplifies agricultural productivity and contributes approximately \$8.7 billion in direct and added value as well as nearly 60,000 jobs to the Texas High Plains. Nonetheless, the region is faced with increasing water scarcity, competition among energy, agriculture and municipalities for water, frequent droughts, degradation of land most visibly manifested as dust storms, and population shifts from rural to urban areas.

This funding would allow for the creation of focus teams comprised of scientists and educators from Texas Tech University (\$700,000 annually) and West Texas A&M University (\$300,000 annually). Efforts will address: 1) the sustainability of crop and livestock production with diminishing groundwater supplies through genetics, management systems, and precision irrigation; 2) soil quality to decrease wind erosion, store plant nutrients and water, and diminish the effects of soil salinity; 3) urban landscape improvement for low impact and enhanced comfort and aesthetics; 4) rangeland and natural resource management for water, soil, vegetation, livestock production, and wildlife sustainability; 5) education and communication of advances in land and water management; and 6) economic drivers and impacts of decisions and trends in land use and water management.

EXTERNAL/INTERNAL FACTORS:

4.A. Exceptional Item Request Schedule
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DATE: **10/14/2014**
 TIME: **2:50:15PM**

Agency code: **733**

Agency name:
Texas Tech University

CODE	DESCRIPTION	Excp 2016	Excp 2017
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Major accomplishments to date and expected over the next two years: We have demonstrated how landowners can choose the most economic water-conserving methods for crops. The project will produce advanced methods of selecting and managing row crops and forages for decreased water input. Efforts in urban landscape and turf will expand research and education on plants that use less water and contribute to environmental cooling, dust control, and human comfort. Students will be trained to become more effective teachers and leaders of agriculture and environmental sciences. Economists will expand development and application of analytical programs that assess the economic efficiency of crop and livestock choices and analyze region-wide socio-economic impacts of water allocation. Major outcomes will include new techniques for water and soil conservation; student skill development; information to guide decisions affecting land and water use; recommendations for crop, soil, livestock, and water management practices that improve and stabilize soil, improve wildlife habitat, and restore degraded lands and waterways; and analyses of regional impacts on rural economic development and population shifts.

Year established and funding source prior to receiving special item funding: Not currently funded.

Formula funding: Not eligible.

Non-general revenue sources of funding: The requested funding will supplement a variety of grants from federal agencies on research and outreach, along with funding from seed companies and commodity groups for boosting and applying scientific advances for specific crops.

Consequences of not funding: Not funding would limit the research impact and access of stakeholders to research and teaching activities, and hamper advances in drought-tolerance in crops and urban plants. Understanding the socio-economics of water allocation and new methods of conservation would be delayed

4.B. Exceptional Items Strategy Allocation Schedule
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DATE: **10/14/2014**
 TIME: **2:52:17PM**

Agency code: **733** Agency name: **Texas Tech University**

Code	Description	Excp 2016	Excp 2017
Item Name: Sustainable Land and Water Use for Semi-Arid Texas			
Allocation to Strategy: 3-5-1 Exceptional Item Request			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	680,500	710,000
2003	CONSUMABLE SUPPLIES	91,000	103,000
2005	TRAVEL	82,500	90,000
5000	CAPITAL EXPENDITURES	146,000	97,000
TOTAL, OBJECT OF EXPENSE		\$1,000,000	\$1,000,000
METHOD OF FINANCING:			
1 General Revenue Fund		1,000,000	1,000,000
TOTAL, METHOD OF FINANCING		\$1,000,000	\$1,000,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		13.0	13.0

4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/14/2014**
 TIME: **2:55:45PM**

Agency code: **733**

Agency name:
Texas Tech University

CODE	DESCRIPTION	Excp 2016	Excp 2017
	Item Name: Genetic Resources Lab		
	Item Priority: 4		
	Includes Funding for the Following Strategy or Strategies: 03-05-01 Exceptional Item Request		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	265,000	265,000
2009	OTHER OPERATING EXPENSE	205,000	205,000
5000	CAPITAL EXPENDITURES	25,000	25,000
TOTAL, OBJECT OF EXPENSE		\$495,000	\$495,000
METHOD OF FINANCING:			
1	General Revenue Fund	495,000	495,000
TOTAL, METHOD OF FINANCING		\$495,000	\$495,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		6.50	6.50

DESCRIPTION / JUSTIFICATION:

The Natural Science Research Laboratory (NSRL) archives, in perpetuity, biological samples and geo-referenced data obtained from natural populations. These samples provide unlimited opportunities for grant-based research, dissertations, and theses. The NSRL is one of the most significant natural history research collections at a university and one of the largest mammal and genetic collections in the world. It includes specimens from 57 countries, with emphasis on Texas, southwestern U.S., Central/ South America. Integral to research and education activities of the NSRL is the Genetic Resources Collection (GRC). The GRC has 320,000 genetic samples used by TTU, state, national, international researchers for critical studies of genomics, zoonoses, biodiversity, population genetics, ecology, toxicology, niche-modeling, environmental assessments and public health. The GRC is valuable to the State of Texas: 1) as a temporal collection of biological material from state-owned lands, 2) as an information resource for Texas Parks & Wildlife, Texas State Public Health Serv., and TX DOT, 3) by supporting research efforts of scientists at many Texas universities, and 4) by educating students of Biological/Museum Sciences for careers in research and academia. To meet challenges of the 21st century for the growing research and educational missions of national/international scientific communities, State of Texas, and TTU, requires the GRC to continue to expand, improve, and update its resources and holdings. In particular, advances in genomic research and bioinformatics stipulate that the GRC: 1) install a liquid nitrogen storage system to ensure long-term preservation of research material, 2) add research and support personnel to curate, maintain, and provide improved access to research material and data, 3) expand holdings to provide greater opportunities for future research, and 4) increase opportunities for undergraduate and graduate research and student training.

EXTERNAL/INTERNAL FACTORS:

4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/14/2014**
 TIME: **2:55:45PM**

Agency code: **733**

Agency name:

Texas Tech University

CODE	DESCRIPTION	Excp 2016	Excp 2017
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Major accomplishments to date and expected over the next two years: To date, 250 M.S. and Ph.D. students at TTU have used these research materials for their dissertations and theses; these graduates have been remarkably successful in careers in science, education, and as members of society. Faculty and students affiliated with the NSRL have authored 1,000 publications based on these collections. These resources were the basis of 5 NIH-funded studies investigating mammalian-borne infectious diseases, 2 NSF studies on mammal biodiversity, and 3 DOE studies to understand the significance of dirty bombs, the Chernobyl meltdown. The level of productivity of students, publications, and funding will increase over the next 2 years and beyond as genomic and bioinformatics research places a growing emphasis on services and resources provided by the GRC.

Year established and funding source prior to receiving special item funding: The GRC was established in 1967. Prior funding for the NSRL and GRC has been provided by NIH, NSF, DoE, DoD, NBS, TNC, State of Texas (line-item for the Museum, TPWD, TARP), foundations (Ben E. Keith, Helen DeVitt Jones, and James Sowell), and TTU (Offices of the President, VPR, and Provost).

Formula funding: The 5 RAs and 2 Museum interns will generate formula funding for TTU by enrollment in graduate courses.

Non-general revenue sources of funding: N/A

Consequences of not funding: The GRC's ability to provide research and educational opportunities is dependent on improving and increasing research holdings, providing adequate staffing and curatorial expertise, and providing state-of-the-art preservation facilities. Failure to fund the GRC will jeopardize growth, research success, and education endeavors of hundreds of TTU students. A vibrant GRC is crucial for competition for federal research funding and for training students in genomics, bioinformatics, biology, and museum science.

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/14/2014**
 TIME: **2:57:51PM**

Agency code: **733** Agency name: **Texas Tech University**

Code	Description	Excp 2016	Excp 2017
Item Name: Genetic Resources Lab			
Allocation to Strategy: 3-5-1 Exceptional Item Request			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	265,000	265,000
2009	OTHER OPERATING EXPENSE	205,000	205,000
5000	CAPITAL EXPENDITURES	25,000	25,000
TOTAL, OBJECT OF EXPENSE		\$495,000	\$495,000
METHOD OF FINANCING:			
1 General Revenue Fund		495,000	495,000
TOTAL, METHOD OF FINANCING		\$495,000	\$495,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		6.5	6.5

4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/14/2014**
 TIME: **3:06:46PM**

Agency code: **733**

Agency name:
Texas Tech University

<u>CODE</u>	<u>DESCRIPTION</u>	<u>Excp 2016</u>	<u>Excp 2017</u>
	Item Name: Debt Service for Requested Tuition Revenue Bonds		
	Item Priority: 5		
	Includes Funding for the Following Strategy or Strategies: 02-01-02 Tuition Revenue Bond Retirement		
 OBJECTS OF EXPENSE:			
2008	DEBT SERVICE	12,970,446	12,970,446
	TOTAL, OBJECT OF EXPENSE	\$12,970,446	\$12,970,446
 METHOD OF FINANCING:			
1	General Revenue Fund	12,970,446	12,970,446
	TOTAL, METHOD OF FINANCING	\$12,970,446	\$12,970,446

DESCRIPTION / JUSTIFICATION:

This request will fund the debt service associated with the following bond requests:

Experimental Sciences Building II-Construct a new 150,000 sq ft facility to house high-tech interdisciplinary research laboratories, principle investigator offices, faculty and staff offices and support space to foster Texas Tech's growing research programs. Tuition Revenue Bond Request \$87,930,000. Total Project Cost \$97,700,000. FY 2016 Debt Service Requirement \$7,666,138. FY 2017 Debt Service Requirement \$7,666,138.

College of Engineering Expansion/Renovation-Renovate to accommodate current academic space needs including general classrooms, distance educational facilities, research labs and office space for faculty and graduate students. Tuition Revenue Bond Request \$60,840,000. Total Project Cost \$67,600,000. FY 2016 Debt Service Requirement \$5,304,308. FY 2017 Debt Service Requirement \$5,304,308.

Requested debt service has been estimated assuming 20-year level debt service at 6%. These assumptions have traditionally been used by all institutions of higher education in the legislative appropriations process and are consistent with the assumptions used by the LBB and the Texas Bond Review Board in their Debt Affordability Study and in the calculation of the State's debt limit.

This assumption is only used in estimating the initial debt service. Following the initial appropriation, the actual debt service schedule from the actual TRB issuance are used to request the annual TRB debt service.

EXTERNAL/INTERNAL FACTORS:

N/A

4.B. Exceptional Items Strategy Allocation Schedule
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 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/14/2014**
 TIME: **3:08:22PM**

Agency code: **733** Agency name: **Texas Tech University**

Code	Description	Excp 2016	Excp 2017
Item Name: Debt Service for Requested Tuition Revenue Bonds			
Allocation to Strategy: 2-1-2 Tuition Revenue Bond Retirement			
OBJECTS OF EXPENSE:			
2008	DEBT SERVICE	12,970,446	12,970,446
TOTAL, OBJECT OF EXPENSE		\$12,970,446	\$12,970,446
METHOD OF FINANCING:			
1	General Revenue Fund	12,970,446	12,970,446
TOTAL, METHOD OF FINANCING		\$12,970,446	\$12,970,446

4.C. Exceptional Items Strategy Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/14/2014
TIME: 3:21:20PM

Agency Code: 733 Agency name: Texas Tech University

GOAL: 2 Provide Infrastructure Support Statewide Goal/Benchmark: 2 - 0
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space Service Categories:
 STRATEGY: 2 Tuition Revenue Bond Retirement Service: 10 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2016	Excp 2017
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OBJECTS OF EXPENSE:

2008 DEBT SERVICE	12,970,446	12,970,446
Total, Objects of Expense	\$12,970,446	\$12,970,446

METHOD OF FINANCING:

1 General Revenue Fund	12,970,446	12,970,446
Total, Method of Finance	\$12,970,446	\$12,970,446

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Debt Service for Requested Tuition Revenue Bonds

4.C. Exceptional Items Strategy Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/14/2014
TIME: 3:21:20PM

Agency Code: **733** Agency name: **Texas Tech University**

GOAL: 3 Provide Special Item Support Statewide Goal/Benchmark: 2 - 0
 OBJECTIVE: 3 Public Service Special Item Support Service Categories:
 STRATEGY: 3 Small Business Development Center Service: 13 Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2016	Excp 2017
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OBJECTS OF EXPENSE:

1001	SALARIES AND WAGES	198,000	198,000
	Total, Objects of Expense	\$198,000	\$198,000

METHOD OF FINANCING:

1	General Revenue Fund	198,000	198,000
	Total, Method of Finance	\$198,000	\$198,000

FULL-TIME EQUIVALENT POSITIONS (FTE): 5.0 5.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Small Business Development Center

4.C. Exceptional Items Strategy Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/14/2014
TIME: 3:21:20PM

Agency Code: **733** Agency name: **Texas Tech University**

GOAL: 3 Provide Special Item Support Statewide Goal/Benchmark: 2 - 0
 OBJECTIVE: 5 Exceptional Item Request Service Categories:
 STRATEGY: 1 Exceptional Item Request Service: 19 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2016	Excp 2017
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	3,587,187	3,616,687
2003 CONSUMABLE SUPPLIES	91,000	103,000
2005 TRAVEL	82,500	90,000
2009 OTHER OPERATING EXPENSE	563,313	563,313
5000 CAPITAL EXPENDITURES	171,000	122,000
Total, Objects of Expense	\$4,495,000	\$4,495,000

METHOD OF FINANCING:

1 General Revenue Fund	4,495,000	4,495,000
Total, Method of Finance	\$4,495,000	\$4,495,000

FULL-TIME EQUIVALENT POSITIONS (FTE):

74.5	74.5
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Retention Plus
 Sustainable Land and Water Use for Semi-Arid Texas
 Genetic Resources Lab

6.A. Historically Underutilized Business Supporting Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/14/2014**
 Time: **2:29:28PM**

Agency Code: **733** Agency: **Texas Tech University**

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year 2012 - 2013 HUB Expenditure Information

Statewide HUB Goals	Procurement Category	% Goal	HUB Expenditures FY 2012			Total Expenditures FY 2012		HUB Expenditures FY 2013			Total Expenditures FY 2013	
			% Actual	Diff	Actual \$	% Goal	% Actual	Diff	Actual \$	FY 2013		
11.2%	Heavy Construction	11.2 %	5.1%	-6.1%	\$78,015	\$1,537,515	11.2 %	44.0%	32.8%	\$367,048	\$833,501	
21.1%	Building Construction	21.1 %	5.5%	-15.6%	\$2,916,290	\$53,499,999	21.1 %	15.8%	-5.3%	\$3,352,448	\$21,191,670	
32.7%	Special Trade Construction	32.7 %	11.8%	-20.9%	\$1,074,110	\$9,064,284	32.7 %	25.6%	-7.1%	\$10,670,849	\$41,602,583	
23.6%	Professional Services	23.6 %	10.7%	-12.9%	\$69,710	\$651,833	23.6 %	11.4%	-12.2%	\$102,667	\$902,293	
24.6%	Other Services	24.6 %	11.8%	-12.8%	\$2,892,768	\$24,580,002	24.6 %	12.9%	-11.7%	\$3,780,641	\$29,294,266	
21.0%	Commodities	21.0 %	37.1%	16.1%	\$24,242,965	\$65,300,867	21.0 %	35.4%	14.4%	\$23,283,593	\$65,862,165	
	Total Expenditures		20.2%		\$31,273,858	\$154,634,500		26.0%		\$41,557,246	\$159,686,478	

B. Assessment of Fiscal Year 2012 - 2013 Efforts to Meet HUB Procurement Goals

Attainment:

The agency attained or exceeded 1 of 6, or 17% of the applicable statewide HUB procurement goals in FY 2012 and 2 of six, or 33% in FY 2013.

Applicability:

Factors Affecting Attainment:

Heavy Construction for FY12: Limited availability of HUB vendors within the geographical area.

Heavy Construction for FY13: Surpassed the statewide HUB goal.

Building Construction for FY12 & FY13: Limited availability of HUB vendors within the geographical area.

Special Trade for FY12 & FY13: Limited availability of HUB vendors within the geographical area.

Professional Services for FY12 & FY13: Limited availability of HUB vendors within the geographical area and specialized or unique requirements, specific to higher education.

Other Services for FY12 & FY13: Limited availability of HUB vendors within the geographical area and specialized or unique requirements, specific to higher education.

Commodities for FY12 & FY13: Surpassed the statewide HUB goal.

"Good-Faith" Efforts:

FY12 Hosted TTU West TX Business Summit at Lubbock Civic Center 10/19/11

FY12 Hosted training for TTU depts on new HUB forms 01/12/12

6.A. Historically Underutilized Business Supporting Schedule
84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/14/2014**
Time: **2:29:28PM**

Agency Code: **733** Agency: **Texas Tech University**

FY12 Hosted training for vendors on new HUB forms 01/19/12
FY12 Attended Governor's Small Business Forum at Overton Hotel in Lubbock 08/07/12
FY12 Hosted Vendor Fair for TTU depts with our major vendors and their HUB partners 04/25/12
FY12 Participated in TX HUB Discussion Workgroup
FY12 Sponsored 2 new Mentor-Protégé Agreements during the fiscal year
FY13 Hosted Vendor Fair for TTU depts with our major vendors and their HUB partners 04/25/12
FY13 Attended & sponsored TxDOT Small Business Briefings in Lubbock 04/23/13
FY13 Attended & sponsored Lubbock Chamber of Commerce Business Expo 05/09/13
FY13 Sponsored 2 new Mentor-Protégé Agreements during the fiscal year
FY13 Participated in planning meetings for Lubbock Chamber of Commerce Business Mercado, to be held in Fall 2013
FY13 Entered into a Memorandum of Cooperation with TX Association of African American Chambers of Commerce
FY13 Entered into a Memorandum of Cooperation with TX Association of Mexican American Chambers of Commerce
FY13 Participated in the TX HUB Discussion Workgroup

6.G HOMELAND SECURITY FUNDING SCHEDULE - PART A TERRORISM

DATE: 10/14/2014

TIME: 2:35:52PM

84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 733 Agency name: Texas Tech University

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
OBJECTS OF EXPENSE						
1001	SALARIES AND WAGES	\$190,649	\$174,915	\$0	\$0	\$0
1002	OTHER PERSONNEL COSTS	\$38,446	\$54,676	\$0	\$0	\$0
1005	FACULTY SALARIES	\$152,573	\$78,361	\$0	\$0	\$0
2002	FUELS AND LUBRICANTS	\$4	\$0	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$9,511	\$12,195	\$0	\$0	\$0
2004	UTILITIES	\$8,279	\$481	\$0	\$0	\$0
2005	TRAVEL	\$83,143	\$100,473	\$0	\$0	\$0
2006	RENT - BUILDING	\$0	\$910	\$0	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$181	\$0	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$344,779	\$340,347	\$0	\$0	\$0
5000	CAPITAL EXPENDITURES	\$3,455	\$0	\$0	\$0	\$0
TOTAL, OBJECTS OF EXPENSE		\$831,020	\$762,358	\$0	\$0	\$0
METHOD OF FINANCING						
555	Federal Funds					
	CFDA 12.000.000, DOD MAINTENANCE	\$276,955	\$424,932	\$0	\$0	\$0
	CFDA 12.351.000, Combating Wpns of Mass Destruction	\$0	\$184,310	\$0	\$0	\$0
	CFDA 12.420.000, Military Medical Researc	\$81,389	\$55,833	\$0	\$0	\$0
	CFDA 19.000.001, Feasibility Study BHITrans Sys Proj	\$371,968	\$77,903	\$0	\$0	\$0
	CFDA 97.061.000, Centers for Homeland Security	\$100,708	\$19,380	\$0	\$0	\$0
	Subtotal, MOF (Federal Funds)	\$831,020	\$762,358	\$0	\$0	\$0
TOTAL, METHOD OF FINANCE		\$831,020	\$762,358	\$0	\$0	\$0

6.G HOMELAND SECURITY FUNDING SCHEDULE - PART A TERRORISM

DATE: 10/14/2014

TIME: 2:35:52PM

84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 733 Agency name: Texas Tech University

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
	FULL-TIME-EQUIVALENT POSITIONS	5.2	3.4	0.0	0.0	0.0
	NO FUNDS WERE PASSED THROUGH TO LOCAL ENTITIES					
	NO FUNDS WERE PASSED THROUGH TO OTHER STATE AGENCIES OR INSTITUTIONS OF HIGHER EDUCATION					
	USE OF HOMELAND SECURITY FUNDS					

6.G HOMELAND SECURITY FUNDING SCHEDULE - PART B NATURAL OR MAN-MADE DISASTERS

DATE: 10/14/2014
 TIME: 2:38:53PM

84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 733 Agency name: Texas Tech University

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
OBJECTS OF EXPENSE						
1001	SALARIES AND WAGES	\$79,662	\$56,390	\$0	\$0	\$0
1002	OTHER PERSONNEL COSTS	\$12,716	\$8,009	\$0	\$0	\$0
1005	FACULTY SALARIES	\$27,105	\$0	\$0	\$0	\$0
2002	FUELS AND LUBRICANTS	\$273	\$0	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$15,906	\$46,754	\$0	\$0	\$0
2005	TRAVEL	\$26,210	\$5,414	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$99,753	\$99,948	\$0	\$0	\$0
5000	CAPITAL EXPENDITURES	\$6,414	\$0	\$0	\$0	\$0
TOTAL, OBJECTS OF EXPENSE		\$268,039	\$216,515	\$0	\$0	\$0
METHOD OF FINANCING						
555	Federal Funds					
	CFDA 15.812.000, Cooperative Research Units Program	\$226,359	\$207,522	\$0	\$0	\$0
	CFDA 47.041.000, Engineering Grants	\$41,680	\$8,993	\$0	\$0	\$0
	Subtotal, MOF (Federal Funds)	\$268,039	\$216,515	\$0	\$0	\$0
TOTAL, METHOD OF FINANCE		\$268,039	\$216,515	\$0	\$0	\$0
FULL-TIME-EQUIVALENT POSITIONS		2.3	1.7	1.7	0.0	0.0
NO FUNDS WERE PASSED THROUGH TO LOCAL ENTITIES						
NO FUNDS WERE PASSED THROUGH TO OTHER STATE AGENCIES OR INSTITUTIONS OF HIGHER EDUCATION						
USE OF HOMELAND SECURITY FUNDS						

Texas Tech University (733)
Estimated Funds Outside the Institution's Bill Pattern
2014-15 and 2016-17 Biennia

	2014-15 Biennium				2016-17 Biennium			
	FY 2014 Revenue	FY 2015 Revenue	Biennium Total	Percent of Total	FY 2016 Revenue	FY 2017 Revenue	Biennium Total	Percent of Total
APPROPRIATED SOURCES INSIDE THE BILL PATTERN								
State Appropriations (excluding HEGI & State Paid Fringes)	\$ 145,393,803	\$ 145,167,896	\$ 290,561,699		\$ 145,167,896	\$ 145,167,896	\$ 290,335,792	
Tuition and Fees (net of Discounts and Allowances)	56,545,628	54,793,665	111,339,293		54,793,665	54,793,665	109,587,330	
Endowment and Interest Income	77,317	80,150	157,467		80,150	80,150	160,300	
Sales and Services of Educational Activities (net)	-	-	-		-	-	-	
Sales and Services of Hospitals (net)	-	-	-		-	-	-	
Other Income	2,500	2,500	5,000		2,500	2,500	5,000	
Total	202,019,248	200,044,211	402,063,459	24.7%	200,044,211	200,044,211	400,088,422	24.5%
APPROPRIATED SOURCES OUTSIDE THE BILL PATTERN								
State Appropriations (HEGI & State Paid Fringes)	\$ 31,753,448	\$ 31,753,448	\$ 63,506,896		\$ 31,753,448	\$ 31,753,448	\$ 63,506,896	
Higher Education Assistance Funds	23,936,088	23,936,088	71,808,264		23,936,088	23,936,088	71,808,264	
Available University Fund	-	-	-		-	-	-	
National Research University Fund	8,815,806	8,815,806	17,631,612		8,815,806	8,815,806	17,631,612	
State Grants and Contracts	-	-	-		-	-	-	
Total	64,505,342	64,505,342	152,946,772	9.4%	64,505,342	64,505,342	152,946,772	9.4%
NON-APPROPRIATED SOURCES								
Tuition and Fees (net of Discounts and Allowances)	\$ 245,615,772	\$ 245,615,772	\$ 491,231,544		\$ 249,615,772	\$ 249,615,772	\$ 499,231,544	
Federal Grants and Contracts	47,923,284	47,923,284	95,846,568		47,923,284	47,923,284	95,846,568	
State Grants and Contracts	31,770,875	31,770,875	63,541,750		31,770,875	31,770,875	63,541,750	
Local Government Grants and Contracts	12,729,679	12,729,679	25,459,358		12,729,679	12,729,679	25,459,358	
Private Gifts and Grants	73,856,290	73,856,290	147,712,580		73,856,290	73,856,290	147,712,580	
Endowment and Interest Income	22,802,750	22,802,750	45,605,500		22,802,750	22,802,750	45,605,500	
Sales and Services of Educational Activities (net)	8,985,501	8,985,501	17,971,002		8,985,501	8,985,501	17,971,002	
Sales and Services of Hospitals (net)	-	-	-		-	-	-	
Professional Fees (net)	-	-	-		-	-	-	
Auxiliary Enterprises (net)	82,748,425	82,748,425	165,496,850		82,748,425	82,748,425	165,496,850	
Other Income	10,904,432	10,904,432	21,808,864		10,904,432	10,904,432	21,808,864	
Total	537,337,008	537,337,008	1,074,674,016	65.9%	541,337,008	541,337,008	1,082,674,016	66.2%
TOTAL SOURCES	\$ 803,861,598	\$ 801,886,561	\$ 1,629,684,247	100.0%	\$ 805,886,561	\$ 805,886,561	\$ 1,635,709,210	100.0%

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/14/2014

Time: 3:28:33PM

Agency code: 733 Agency name: Texas Tech University

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2016	2017	Biennial Total	2016	2017	Biennial Total	

1 Special Line Item Operations Reduction - 5%

Category: Administrative - Operating Expenses

- Item Comment:** Workers' Compensation Insurance-Reduce the university's ability to fund assessment for the state's workers' compensation funding pool.
- Library Archival Support-Reduce the funding used to support 1 archivist position.
- Agricultural Research-Reduce funding that is critical to sustain the viability of research in agriculture and rural communities in the highly productive region of the state.
- Energy Research-Reduce funding on important research of significant drivers of the economy that benefit the State of Texas and surrounding region.
- Emerging Technologies-Reduce funding for research in new technologies.
- Junction-Reduce support for state higher education goals by not providing access to rural, place-bound students and not being able to support workforce development training.
- Hill Country-Reduce funding which supports the goals of Texas Higher Education in Closing the Gaps and in an underserved higher education region of the state.
- Museum and Centers-Reduce funding for staff, accreditation, and forfeiture of collections held-in-trust on behalf of the state and federal governments.
- Center for Financial Responsibility-Reduce institutional framework to develop unique programs, services and research in personal finance.
- Institutional Enhancement- Reduce support for university institutional support and general administration.

Strategy: 1-1-4 Workers' Compensation Insurance

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$25,855	\$25,855	\$51,710
General Revenue Funds Total	\$0	\$0	\$0	\$25,855	\$25,855	\$51,710

Strategy: 3-1-1 Library Archival Support

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$26,688	\$26,688	\$53,376
General Revenue Funds Total	\$0	\$0	\$0	\$26,688	\$26,688	\$53,376

Strategy: 3-2-1 Research to Enhance Ag Production & Add Value to Ag Products in Texas

General Revenue Funds

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/14/2014

Time: 3:28:33PM

Agency code: 733 Agency name: Texas Tech University

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2016	2017	Biennial Total	2016	2017	Biennial Total	
1 General Revenue Fund	\$0	\$0	\$0	\$81,363	\$81,363	\$162,726	
General Revenue Funds Total	\$0	\$0	\$0	\$81,363	\$81,363	\$162,726	
Strategy: 3-2-2 Research in Energy Production and Environmental Protection in Texas							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$34,478	\$34,478	\$68,956	
General Revenue Funds Total	\$0	\$0	\$0	\$34,478	\$34,478	\$68,956	
Strategy: 3-2-3 Research in Emerging Technologies and Economic Development in Texas							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$11,874	\$11,874	\$23,748	
General Revenue Funds Total	\$0	\$0	\$0	\$11,874	\$11,874	\$23,748	
Strategy: 3-3-1 Junction Annex Operation							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$12,917	\$12,917	\$25,834	
General Revenue Funds Total	\$0	\$0	\$0	\$12,917	\$12,917	\$25,834	
Strategy: 3-3-2 Hill Country Educational Network							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$22,711	\$22,711	\$45,422	
General Revenue Funds Total	\$0	\$0	\$0	\$22,711	\$22,711	\$45,422	
Strategy: 3-3-4 Museums and Historical, Cultural, and Educational Centers							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$76,153	\$76,153	\$152,306	

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/14/2014

Time: 3:28:33PM

Agency code: 733 Agency name: Texas Tech University

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2016	2017	Biennial Total	2016	2017	Biennial Total	
General Revenue Funds Total	\$0	\$0	\$0	\$76,153	\$76,153	\$152,306	
Strategy: 3-3-6 Center for Financial Responsibility							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$8,550	\$8,550	\$17,100	
General Revenue Funds Total	\$0	\$0	\$0	\$8,550	\$8,550	\$17,100	
Strategy: 3-4-1 Institutional Enhancement							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$354,337	\$354,337	\$708,674	
General Revenue Funds Total	\$0	\$0	\$0	\$354,337	\$354,337	\$708,674	
Item Total	\$0	\$0	\$0	\$654,926	\$654,926	\$1,309,852	

FTE Reductions (From FY 2016 and FY 2017 Base Request)

2 Special Line Item Operations Reduction - 10%

Category: Administrative - Operating Expenses

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/14/2014

Time: 3:28:33PM

Agency code: 733 Agency name: Texas Tech University

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2016	2017	Biennial Total	2016	2017	Biennial Total	
<p>Item Comment: Workers' Compensation Insurance-Reduce the university's ability to fund assessment for the state's workers' compensation funding pool. Library Archival Support-Reduce the funding used to support 1 archivist position. Agricultural Research-Reduce funding that is critical to sustain the viability of research in agriculture and rural communities in the highly productive region of the state. Energy Research-Reduce funding on important research of significant drivers of the economy that benefit the State of Texas and surrounding region. Emerging Technologies-Reduce funding for research in new technologies. Junction-Reduce support for state higher education goals by not providing access to rural, place-bound students and not being able to support workforce development training. Hill Country-Reduce funding which supports the goals of Texas Higher Education in Closing the Gaps and in an underserved higher education region of the state. Museum and Centers-Reduce funding for staff, accreditation, and forfeiture of collections held-in-trust on behalf of the state and federal governments. Center for Financial Responsibility-Reduce institutional framework to develop unique programs, services and research in personal finance. Institutional Enhancement- Reduce support for university institutional support and general administration.</p> <p>Strategy: 1-1-4 Workers' Compensation Insurance</p>							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$25,855	\$25,855	\$51,710	
General Revenue Funds Total	\$0	\$0	\$0	\$25,855	\$25,855	\$51,710	
Strategy: 3-1-1 Library Archival Support							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$26,688	\$26,688	\$53,376	
General Revenue Funds Total	\$0	\$0	\$0	\$26,688	\$26,688	\$53,376	
Strategy: 3-2-1 Research to Enhance Ag Production & Add Value to Ag Products in Texas							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$81,363	\$81,363	\$162,726	
General Revenue Funds Total	\$0	\$0	\$0	\$81,363	\$81,363	\$162,726	

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

84th Regular Session, Agency Submission, Version 1
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Date: 10/14/2014

Time: 3:28:33PM

Agency code: 733 Agency name: Texas Tech University

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2016	2017	Biennial Total	2016	2017	Biennial Total	
Strategy: 3-2-2 Research in Energy Production and Environmental Protection in Texas							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$34,478	\$34,478	\$68,956	
General Revenue Funds Total	\$0	\$0	\$0	\$34,478	\$34,478	\$68,956	
Strategy: 3-2-3 Research in Emerging Technologies and Economic Development in Texas							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$11,874	\$11,874	\$23,748	
General Revenue Funds Total	\$0	\$0	\$0	\$11,874	\$11,874	\$23,748	
Strategy: 3-3-1 Junction Annex Operation							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$12,917	\$12,917	\$25,834	
General Revenue Funds Total	\$0	\$0	\$0	\$12,917	\$12,917	\$25,834	
Strategy: 3-3-2 Hill Country Educational Network							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$22,711	\$22,711	\$45,422	
General Revenue Funds Total	\$0	\$0	\$0	\$22,711	\$22,711	\$45,422	
Strategy: 3-3-4 Museums and Historical, Cultural, and Educational Centers							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$76,153	\$76,153	\$152,306	
General Revenue Funds Total	\$0	\$0	\$0	\$76,153	\$76,153	\$152,306	

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

84th Regular Session, Agency Submission, Version 1
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Date: 10/14/2014

Time: 3:28:33PM

Agency code: 733 Agency name: Texas Tech University

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2016	2017	Biennial Total	2016	2017	Biennial Total	
Strategy: 3-3-6 Center for Financial Responsibility							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$8,550	\$8,550	\$17,100	
General Revenue Funds Total	\$0	\$0	\$0	\$8,550	\$8,550	\$17,100	
Strategy: 3-4-1 Institutional Enhancement							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$354,336	\$354,336	\$708,672	
General Revenue Funds Total	\$0	\$0	\$0	\$354,336	\$354,336	\$708,672	
Item Total	\$0	\$0	\$0	\$654,925	\$654,925	\$1,309,850	
FTE Reductions (From FY 2016 and FY 2017 Base Request)							
AGENCY TOTALS							
General Revenue Total				\$1,309,851	\$1,309,851	\$2,619,702	\$2,619,702
Agency Grand Total	\$0	\$0	\$0	\$1,309,851	\$1,309,851	\$2,619,702	
Difference, Options Total Less Target							
Agency FTE Reductions (From FY 2016 and FY 2017 Base Request)							

Schedule 1A: Other Educational and General Income

10/15/2014 9:17:01AM

84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

733 Texas Tech University					
	Act 2013	Act 2014	Bud 2015	Est 2016	Est 2017
Gross Tuition					
Gross Resident Tuition	44,765,995	44,578,288	45,469,852	46,379,249	47,306,834
Gross Non-Resident Tuition	37,474,354	40,285,224	41,090,928	41,912,747	42,751,002
Gross Tuition	82,240,349	84,863,512	86,560,780	88,291,996	90,057,836
Less: Resident Waivers and Exemptions (excludes Hazlewood)	(311,932)	(385,557)	(393,268)	(401,133)	(409,156)
Less: Non-Resident Waivers and Exemptions	(24,008,008)	(25,243,943)	(25,748,822)	(26,263,799)	(26,789,074)
Less: Hazlewood Exemptions	(1,694,367)	(1,815,783)	(1,852,098)	(1,889,140)	(1,926,923)
Less: Board Authorized Tuition Increases (TX. Educ. Code Ann. Sec. 54.008)	(7,764,083)	(7,675,065)	(7,828,566)	(7,985,137)	(8,144,840)
Less: Tuition increases charged to doctoral students with hours in excess of 100 (TX. Educ. Code Ann. Sec. 54.012)	0	0	0	0	0
Less: Tuition increases charged to undergraduate students with excessive hours above degree requirements. (TX. Educ. Code Ann. Sec. 61.0595)	0	0	0	0	0
Less: Tuition rebates for certain undergraduates (TX. Educ. Code Ann. Sec. 54.0065)	(135,000)	(459,000)	(459,000)	(459,000)	(459,000)
Plus: Tuition waived for Students 55 Years or Older (TX. Educ. Code Ann. Sec. 54.013)	20,808	101,038	101,038	101,038	101,038
Less: Tuition for repeated or excessive hours (TX. Educ. Code Ann. Sec. 54.014)	0	0	0	0	0
Plus: Tuition waived for Texas Grant Recipients (TX. Educ. Code Ann. Sec. 56.307)	0	0	0	0	0
Subtotal	48,347,767	49,385,202	50,380,064	51,394,825	52,429,881
Less: Transfer of funds for Texas Public Education Grants Program (Tex. Educ. Code Ann. Sec. 56c) and for Emergency Loans (Tex. Educ. Code Ann. Sec. 56d)	(5,984,142)	(6,048,178)	(6,169,142)	(6,292,525)	(6,418,375)
Less: Transfer of Funds (2%) for Physician Loans (Medical Schools)	0	0	0	0	0
Less: Statutory Tuition (Tx. Educ. Code Ann. Sec. 54.051) Set Aside for Doctoral Incentive Loan Repayment Program (Tx. Educ. Code Ann. Sec. 56.095)	(80,554)	(85,130)	(86,833)	0	0
Less: Other Authorized Deduction					
Net Tuition	42,283,071	43,251,894	44,124,089	45,102,300	46,011,506

Schedule 1A: Other Educational and General Income

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733 Texas Tech University					
	Act 2013	Act 2014	Bud 2015	Est 2016	Est 2017
Student Teaching Fees	0	0	0	0	0
Special Course Fees	0	0	0	0	0
Laboratory Fees	77,970	82,490	0	0	0
Subtotal, Tuition and Fees (Formula Amounts for Health-Related Institutions)	42,361,041	43,334,384	44,124,089	45,102,300	46,011,506
OTHER INCOME					
Interest on General Funds:					
Local Funds in State Treasury	123,116	112,496	112,496	112,496	112,496
Funds in Local Depositories, e.g., local amounts	150	150	150	150	150
Other Income (Itemize)					
E&G Facilities Rental	2,501	2,500	2,500	2,500	2,500
Sales of Equipment/Junk	5,002	0	0	0	0
Miscellaneous Income	553	0	0	0	0
Subtotal, Other Income	131,322	115,146	115,146	115,146	115,146
Subtotal, Other Educational and General Income	42,492,363	43,449,530	44,239,235	45,217,446	46,126,652
Less: O.A.S.I. Applicable to Educational and General Local Funds Payrolls	(2,387,570)	(2,460,531)	(2,460,531)	(2,460,531)	(2,460,531)
Less: Teachers Retirement System and ORP Proportionality for Educational and General Funds	(2,074,620)	(2,314,490)	(2,314,490)	(2,314,490)	(2,314,490)
Less: Staff Group Insurance Premiums	(4,963,094)	(5,225,511)	(5,225,511)	(5,225,511)	(5,225,511)
Total, Other Educational and General Income (Formula Amounts for General Academic Institutions)	33,067,079	33,448,998	34,238,703	35,216,914	36,126,120
Reconciliation to Summary of Request for FY 2013-2017:					
Plus: Transfer of Funds for Texas Public Education Grants Program and Physician Loans	5,984,142	6,048,178	6,169,142	6,292,525	6,418,375
Plus: Transfer of Funds 2% for Physician Loans (Medical Schools)	0	0	0	0	0
Plus: Transfer of Funds for Cancellation of Student Loans of Physicians	0	0	0	0	0
Plus: Organized Activities	551,634	575,000	575,000	575,000	575,000
Plus: Staff Group Insurance Premiums	4,963,094	5,225,511	5,225,511	5,225,511	5,225,511
Plus: Board-authorized Tuition Income	7,764,083	7,675,065	7,828,566	7,985,137	8,144,840

Schedule 1A: Other Educational and General Income

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Automated Budget and Evaluation System of Texas (ABEST)

733 Texas Tech University					
	Act 2013	Act 2014	Bud 2015	Est 2016	Est 2017
Plus: Tuition Increases Charged to Doctoral Students with Hours in Excess of 100	0	0	0	0	0
Plus: Tuition Increases Charged to Undergraduate Students with Excessive Hours above Degree Requirements (TX. Educ. Code Ann. Sec. 61.0595)	0	0	0	0	0
Plus: Tuition rebates for certain undergraduates (TX Educ.Code Ann. Sec. 54.0065)	135,000	459,000	459,000	459,000	459,000
Plus: Tuition for repeated or excessive hours (TX. Educ. Code Ann. Sec. 54.014)	0	0	0	0	0
Less: Tuition Waived for Students 55 Years or Older	(20,808)	(101,038)	(101,038)	(101,038)	(101,038)
Less: Tuition Waived for Texas Grant Recipients	0	0	0	0	0
Total, Other Educational and General Income Reported on Summary of Request	52,444,224	53,330,714	54,394,884	55,653,049	56,847,808

Schedule 2: Selected Educational, General and Other Funds

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733 Texas Tech University

	Act 2013	Act 2014	Bud 2015	Est 2016	Est 2017
General Revenue Transfers					
Transfer from Coordinating Board for Advanced Research Program	173,438	59,798	0	0	0
Transfer from Coordinating Board for Texas College Work Study Program (2011, 2012, 2013)	130,284	167,982	150,000	0	0
Transfer from Coordinating Board for Professional Nursing Shortage Reduction Program	0	0	0	0	0
Transfer of GR Group Insurance Premium from Comptroller (UT and TAMU Components only)	0	0	0	0	0
Less: Transfer to Other Institutions	0	0	0	0	0
Less: Transfer to Department of Health, Disproportionate Share - State-Owned Hospitals (2011, 2012, 2013)	0	0	0	0	0
Other (Itemize)					
Transfer from Coordinating Board for Texas College Work Study Mentorship Program	89,000	49,432	50,000	0	0
Top 10% Scholarship	1,186,000	949,500	1,000,000	0	0
Texas Research Incentive Program	9,697,573	13,332,075	8,081,615	0	0
College Readiness Outreach	18,215	2,410	0	0	0
Other: Fifth Year Accounting Scholarship	8,444	25,942	20,000	0	0
Texas Grants	9,510,000	11,578,333	11,775,000	0	0
B-on-Time Program	628,995	1,236,186	2,500,000	0	0
Less: Transfer to System Administration	(1,667,837)	(1,710,587)	(1,753,337)	0	0
Subtotal, General Revenue Transfers	19,774,112	25,691,071	21,823,278	0	0
General Revenue HEF for Operating Expenses	8,827,703	9,267,290	9,500,000	0	0
Transfer from Available University Funds (UT, A&M and Prairie View A&M Only)	0	0	0	0	0
Other Additions (Itemize)					
Increase Capital Projects - Educational and General Funds	0	0	0	0	0
Transfer from Department of Health, Disproportionate Share - State-owned Hospitals (2011, 2012, 2013)	0	0	0	0	0
Transfers from Other Funds, e.g., Designated funds transferred for educational and general activities (Itemize)	0	0	0	0	0
Transfer from Coordinating Board for Incentive Funding	0	0	0	0	0

Schedule 2: Selected Educational, General and Other Funds

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	Act 2013	Act 2014	Bud 2015	Est 2016	Est 2017
Other (Itemize)					
Gross Designated Tuition (Sec. 54.0513)	135,019,402	138,037,276	143,037,276	143,037,276	143,037,276
Indirect Cost Recovery (Sec. 145.001(d))	7,048,077	6,761,407	8,800,000	8,800,000	8,800,000
Correctional Managed Care Contracts	0	0	0	0	0

Schedule 3A: Staff Group Insurance Data Elements (ERS)
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/15/2014 9:19:28AM

733 Texas Tech University

	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
GR & GR-D Percentages					
GR %	77.07%				
GR-D %		22.93%			
Total Percentage	100.00%				
FULL TIME ACTIVES					
1a Employee Only	1,221	941	280	1,221	1,394
2a Employee and Children	407	314	93	407	360
3a Employee and Spouse	306	236	70	306	200
4a Employee and Family	425	328	97	425	292
5a Eligible, Opt Out	8	6	2	8	5
6a Eligible, Not Enrolled	83	64	19	83	330
Total for This Section	2,450	1,889	561	2,450	2,581
PART TIME ACTIVES					
1b Employee Only	100	77	23	100	87
2b Employee and Children	7	5	2	7	6
3b Employee and Spouse	11	8	3	11	3
4b Employee and Family	7	5	2	7	2
5b Eligible, Opt Out	11	8	3	11	6
6b Eligible, Not Enrolled	874	674	200	874	1,468
Total for This Section	1,010	777	233	1,010	1,572
Total Active Enrollment	3,460	2,666	794	3,460	4,153

Schedule 3A: Staff Group Insurance Data Elements (ERS)
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

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733 Texas Tech University

	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
FULL TIME RETIREES by ERS					
1c Employee Only	0	0	0	0	0
2c Employee and Children	0	0	0	0	0
3c Employee and Spouse	0	0	0	0	0
4c Employee and Family	0	0	0	0	0
5c Eligible, Opt Out	0	0	0	0	0
6c Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	0	0	0	0	0
PART TIME RETIREES by ERS					
1d Employee Only	0	0	0	0	0
2d Employee and Children	0	0	0	0	0
3d Employee and Spouse	0	0	0	0	0
4d Employee and Family	0	0	0	0	0
5d Eligible, Opt Out	0	0	0	0	0
6d Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	0	0	0	0	0
Total Retirees Enrollment	0	0	0	0	0
TOTAL FULL TIME ENROLLMENT					
1e Employee Only	1,221	941	280	1,221	1,394
2e Employee and Children	407	314	93	407	360
3e Employee and Spouse	306	236	70	306	200
4e Employee and Family	425	328	97	425	292
5e Eligible, Opt Out	8	6	2	8	5
6e Eligible, Not Enrolled	83	64	19	83	330
Total for This Section	2,450	1,889	561	2,450	2,581

Schedule 3A: Staff Group Insurance Data Elements (ERS)
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/15/2014 9:19:28AM

733 Texas Tech University

	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
TOTAL ENROLLMENT					
1f Employee Only	1,321	1,018	303	1,321	1,481
2f Employee and Children	414	319	95	414	366
3f Employee and Spouse	317	244	73	317	203
4f Employee and Family	432	333	99	432	294
5f Eligible, Opt Out	19	14	5	19	11
6f Eligible, Not Enrolled	957	738	219	957	1,798
Total for This Section	3,460	2,666	794	3,460	4,153

Schedule 4: Computation of OASI
84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency 733 Texas Tech University

Proportionality Percentage Based on Comptroller Accounting Policy Statement #011, Exhibit 2	2013		2014		2015		2016		2017	
	% to Total	Allocation of OASI	% to Total	Allocation of OASI	% to Total	Allocation of OASI	% to Total	Allocation of OASI	% to Total	Allocation of OASI
General Revenue (% to Total)	75.0380	\$7,177,249	77.0745	\$8,272,194	77.0745	\$8,272,194	77.0745	\$8,272,194	77.0745	\$8,272,194
Other Educational and General Funds (% to Total)	24.9620	\$2,387,570	22.9255	\$2,460,531	22.9255	\$2,460,531	22.9255	\$2,460,531	22.9255	\$2,460,531
Health-Related Institutions Patient Income (% to Total)	0.0000	\$0	0.0000	\$0	0.0000	\$0	0.0000	\$0	0.0000	\$0
Grand Total, OASI (100%)	100.0000	\$9,564,819	100.0000	\$10,732,725	100.0000	\$10,732,725	100.0000	\$10,732,725	100.0000	\$10,732,725

Schedule 5: Calculation of Retirement Proportionality and ORP Differential

10/15/2014 9:22:02AM

84th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

733 Texas Tech University

Description	Act 2013	Act 2014	Bud 2015	Est 2016	Est 2017
Proportionality Amounts					
Gross Educational and General Payroll - Subject To TRS Retirement	59,754,993	69,856,434	69,856,434	69,856,434	69,856,434
Employer Contribution to TRS Retirement Programs	3,824,303	4,750,438	4,750,438	4,750,438	4,750,438
Gross Educational and General Payroll - Subject To ORP Retirement	71,219,999	80,992,147	80,992,147	80,992,147	8,092,147
Employer Contribution to ORP Retirement Programs	4,486,810	5,345,482	5,345,482	5,345,482	5,345,482
Proportionality Percentage					
General Revenue	75.0380 %	77.0750 %	77.0750 %	77.0750 %	77.0750 %
Other Educational and General Income	24.9620 %	22.9250 %	22.9250 %	22.9250 %	22.9250 %
Health-related Institutions Patient Income	0.0000 %	0.0000 %	0.0000 %	0.0000 %	0.0000 %
Proportional Contribution					
Other Educational and General Proportional Contribution (Other E&G percentage x Total Employer Contribution to Retirement Programs)	2,074,620	2,314,490	2,314,490	2,314,490	2,314,490
HRI Patient Income Proportional Contribution (HRI Patient Income percentage x Total Employer Contribution To Retirement Programs)	0	0	0	0	0
Differential					
Gross Payroll Subject to Differential - Optional Retirement Program	28,453,915	29,022,994	29,030,448	29,030,448	29,030,448
Total Differential	711,348	551,437	551,579	551,579	551,579

Schedule 6: Constitutional Capital Funding
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 Automated Budget and Evaluation System of Texas (ABEST)

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733 Texas Tech University					
Activity	Act 2013	Act 2014	Bud 2015	Est 2016	Est 2017
A. PUF Bond Proceeds Allocation	0	0	0	0	0
Project Allocation					
Library Acquisitions	0	0	0	0	0
Construction, Repairs and Renovations	0	0	0	0	0
Furnishings & Equipment	0	0	0	0	0
Computer Equipment & Infrastructure	0	0	0	0	0
Reserve for Future Consideration	0	0	0	0	0
Other (Itemize)					
B. HEF General Revenue Allocation	23,936,089	23,936,088	23,936,088	23,936,088	23,936,088
Project Allocation					
Library Acquisitions	4,699	2,802	0	0	0
Construction, Repairs and Renovations	5,365,550	4,144,283	3,600,000	3,600,000	3,600,000
Furnishings & Equipment	3,838,339	3,146,378	5,100,000	4,794,000	4,794,000
Computer Equipment & Infrastructure	1,654,409	1,616,546	2,400,000	2,256,000	2,256,000
Reserve for Future Consideration	6,947,931	8,096,731	6,711,230	7,161,230	7,161,230
HEF for Debt Service	6,125,161	6,929,348	6,124,858	6,124,858	6,124,858
Other (Itemize)					

Schedule 7: Personnel
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Date: 10/14/2014
 Time: 3:45:34PM

Agency code: **733** Agency name: **Texas Tech University**

	Actual 2013	Actual 2014	Budgeted 2015	Estimated 2016	Estimated 2017
Part A.					
FTE Postions					
Directly Appropriated Funds (Bill Pattern)					
Educational and General Funds Faculty Employees	870.0	940.1	940.1	940.1	940.1
Educational and General Funds Non-Faculty Employees	1,494.0	1,777.6	1,777.6	1,777.6	1,777.6
Subtotal, Directly Appropriated Funds	2,364.0	2,717.7	2,717.7	2,717.7	2,717.7
Other Appropriated Funds					
Advanced Research Grants Transfer from THECB	2.9	0.3	0.0	0.0	0.0
Subtotal, Other Appropriated Funds	2.9	0.3	0.0	0.0	0.0
Subtotal, All Appropriated	2,366.9	2,718.0	2,717.7	2,717.7	2,717.7
Non Appropriated Funds Employees	3,786.7	3,700.8	3,797.5	3,927.5	3,927.5
Subtotal, Other Funds & Non-Appropriated	3,786.7	3,700.8	3,797.5	3,927.5	3,927.5
GRAND TOTAL	6,153.6	6,418.8	6,515.2	6,645.2	6,645.2

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Date: 10/14/2014
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Agency code: **733** Agency name: **Texas Tech University**

	Actual 2013	Actual 2014	Budgeted 2015	Estimated 2016	Estimated 2017
Part B.					
Personnel Headcount					
Directly Appropriated Funds (Bill Pattern)					
Educational and General Funds Faculty Employees	953.0	1,022.0	1,022.0	1,022.0	1,022.0
Educational and General Funds Non-Faculty Employees	1,980.0	2,047.0	2,047.0	2,047.0	2,047.0
Subtotal, Directly Appropriated Funds	2,933.0	3,069.0	3,069.0	3,069.0	3,069.0
Other Appropriated Funds					
Advanced Research Grants Transfer from THECB	3.0	1.0	0.0	0.0	0.0
Subtotal, Other Appropriated Funds	3.0	1.0	0.0	0.0	0.0
Subtotal, All Appropriated	2,936.0	3,070.0	3,069.0	3,069.0	3,069.0
Non Appropriated Funds Employees	6,024.0	5,986.0	6,036.0	6,036.0	6,036.0
Subtotal, Non-Appropriated	6,024.0	5,986.0	6,036.0	6,036.0	6,036.0
GRAND TOTAL	8,960.0	9,056.0	9,105.0	9,105.0	9,105.0

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Date: 10/14/2014
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Agency code: **733** Agency name: **Texas Tech University**

	Actual 2013	Actual 2014	Budgeted 2015	Estimated 2016	Estimated 2017
PART C.					
Salaries					
Directly Appropriated Funds (Bill Pattern)					
Educational and General Funds Faculty Employees	\$88,461,929	\$103,789,755	\$104,047,736	\$104,047,736	\$104,047,736
Educational and General Funds Non-Faculty Employees	\$60,684,577	\$69,302,494	\$69,037,574	\$69,037,574	\$69,037,574
Subtotal, Directly Appropriated Funds	\$149,146,506	\$173,092,249	\$173,085,310	\$173,085,310	\$173,085,310
Other Appropriated Funds					
Advanced Research Grants Transfer from THECB	\$134,851	\$10,200	\$0	\$0	\$0
Subtotal, Other Appropriated Funds	\$134,851	\$10,200	\$0	\$0	\$0
Subtotal, All Appropriated	\$149,281,357	\$173,102,449	\$173,085,310	\$173,085,310	\$173,085,310
Non Appropriated Funds Employees	\$165,449,774	\$167,940,476	\$172,690,476	\$172,690,476	\$172,690,476
Subtotal, Non-Appropriated	\$165,449,774	\$167,940,476	\$172,690,476	\$172,690,476	\$172,690,476
GRAND TOTAL	\$314,731,131	\$341,042,925	\$345,775,786	\$345,775,786	\$345,775,786

8. Summary of Requests for Capital Project Financing

Agency Code: 733	Agency: Texas Tech University		Prepared by: Crista McCune									
4-Aug-14			Amount Requested									
			Project Category									
Project ID #	Capital Expenditure Category	Project Description	New Construction	Health & Safety	Deferred Maintenance	Maintenance	2016-17 Total Amount Requested	MOF Code #	MOF Requested	2016-17 Estimated Debt Service (If Applicable)	Debt Service MOF Code #	Debt Service MOF Requested
1	Construction of Buildings and Facilities	Construct new facility to house high tech interdisciplinary research laboratories and research staff offices.	\$ 87,930,000	\$ -	\$ -	\$ -	\$ 87,930,000		Tuition Revenue Bonds	\$ 15,332,276	0001	General Revenue
2	Construction of Buildings and Facilities, Repairs or Rehabilitation	College of Engineering Expansion to accommodate academic space needs.	\$ 60,840,000	\$ -	\$ -	\$ -	\$ 60,840,000		Tuition Revenue Bonds	\$ 10,608,616	0001	General Revenue

Schedule 8A: Tuition Revenue Bond Projects
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DATE: 10/14/2014
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Agency 733 Texas Tech University

Project Priority: 1	Project Code: 1	Tuition Revenue Bond Request \$ 87,930,000	Total Project Cost \$ 97,700,000	Cost Per Total Gross Square Feet \$ 651
Name of Proposed Facility: Experimental Sciences Building II	Project Type: New Construction			
Location of Facility: Lubbock	Type of Facility: Academic & Research			
Project Start Date: 09/01/2016	Project Completion Date: 08/01/2018			
Gross Square Feet: 150,000	Net Assignable Square Feet in Project 97,500			

Project Description

The project will construct a new building to house high-tech interdisciplinary research laboratories, principle research investigator offices, faculty and staff offices, and support space to foster Texas Tech's growing research programs. The entire project is estimated at \$97.7 million with the source of funding as follows: \$87.93 million of Tuition Revenue Bonds and \$9.77 million of funds other than Tuition Revenue Bonds.

Schedule 8A: Tuition Revenue Bond Projects
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 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/14/2014
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Agency 733 Texas Tech University

Project Priority: 2	Project Code: 2	Tuition Revenue Bond Request \$ 60,840,000	Total Project Cost \$ 67,600,000	Cost Per Total Gross Square Feet \$ 435
Name of Proposed Facility: College of Engineering Expansion/Renovation	Project Type: New Constr. Repair & Renv			
Location of Facility: Lubbock	Type of Facility: Academic			
Project Start Date: 09/01/2017	Project Completion Date: 08/01/2019			
Gross Square Feet: 155,178	Net Assignable Square Feet in Project 100,866			

Project Description

The fundamental goals of the project are (1) to accommodate current academic space needs including general classrooms, distance educational facilities, research labs, and faculty and graduate student offices, (2) to create a College focal point projecting the College identity and enhancing interdepartmental fusion among students and faculty members, and (3) to plan the long-term vision for the College. The new facilities will accommodate several large tiered lecture halls and distance learning classrooms, the Computer Science department, Student Services Office of the Dean, and other teaching and support spaces.

Schedule 8B: Tuition Revenue Bond Issuance History

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Authorization Date	Authorization Amount	Issuance Date	Issuance Amount	Authorized Amount Outstanding as of 08/31/2014	Proposed Issuance Date for Outstanding Authorization	Proposed Issuance Amount for Outstanding Authorization
1971	\$33,500,000	Feb 1 1972	\$5,000,000			
		Jun 1 1972	\$12,500,000			
		Apr 1 1974	\$16,000,000			
		Subtotal	\$33,500,000	\$0		
1993	\$15,000,000	Feb 15 1995	\$15,000,000			
		Subtotal	\$15,000,000	\$0		
1997	\$30,000,000	May 4 1999	\$30,000,000			
		Subtotal	\$30,000,000	\$0		
2001	\$23,647,000	Feb 1 2003	\$23,647,000			
		Subtotal	\$23,647,000	\$0		
2006	\$57,500,000	May 3 2009	\$57,500,000			
		Subtotal	\$57,500,000	\$0		

Schedule 8D: Tuition Revenue Bonds Request by Project
 84th Regular Session, Agency Submission, Version 1

Agency Code: **733**

Agency Name: **Texas Tech University**

Project Name	Authorization Year	Estimated Final Payment Date	Requested Amount 2016	Requested Amount 2017
English, Philosophy & Education Complex	1997	2029	\$ 1,434,143.92	\$ 1,436,889.22
West Hall Renovation	1997	2029	\$ 292,224.13	\$ 293,729.52
Experimental Sciences Building	2001	2023	\$ 1,867,543.75	\$ 1,870,581.25
College of Business Building Renovation	2006	2028	\$ 1,699,500.00	\$ 1,697,875.00
New College of Business Building	2006	2028	\$ 1,699,500.00	\$ 1,697,875.00
Lanier Law School Center Addition	2006	2028	\$ 169,000.00	\$ 169,375.00
			<u>\$ 7,161,911.80</u>	<u>\$ 7,166,324.99</u>

733 Texas Tech University

Special Item: 1 **Library Archival Support**

(1) Year Special Item: 1996
Original Appropriations: \$111,250

(2) Mission of Special Item:

The Vietnam Center and Archive contribute directly to the research, teaching, recruiting, and enrollment missions of Texas Tech University. The project promotes the study of the American Vietnam experience, the Vietnam War, and collects, preserves, and makes accessible related historical materials. The project involves a dynamic set of faculty, staff, students, scholars, veterans, and other participants. The Vietnam Center hosts annual conferences where individuals with vastly different viewpoints come together to discuss and learn from one another. These events include students, educators, and wartime participants from Texas and around the world. The Vietnam Archive provides students, teachers, and researchers in Texas with access to the richest set of educational resources available outside of the US National Archives and has made a large portion of them freely available to the global community through the Internet. The Vietnam Center contributes to recruiting and enrollment by providing direct support to academic programs at Texas Tech, attracting students interested in these areas of study. The Vietnam Center also leverages contacts in Vietnam to bring students from Vietnam to TTU while academic connections with Vietnam have led to academic exchanges and joint research projects. Essential to the Center's success has been the very strong support of the university leadership, the Lubbock community, the State of Texas, the US government, and the US veteran community.

(3) (a) Major Accomplishments to Date:

The Vietnam Center leads the nation in collecting and preserving the history of the Vietnam War and the study of that experience through its many activities and programs. With an archive of more than 25 million pages, the Vietnam Archive is the largest such collection in the U.S. with the exception of the U.S National Archives. The Center engages in outreach to Texas veterans and collects and preserves their history so that students and teachers in Texas always remember the service and sacrifice of Texans during the Vietnam War. The Vietnam Center is also an official partner with the Texas Capitol Vietnam Veterans Monument project and is the long-term education partner who will ensure educational materials are available to all teachers and students throughout the State as they teach and learn about Texas Vietnam veterans and the Vietnam War. In addition to veteran collections, we continue to make accessible for researchers around the State and nation with documents and materials that chronicle the experiences of Texas veterans as well as countless Vietnamese Americans in the State of Texas who became political prisoners after the war and sought political asylum in the US. This collection of Vietnamese American materials was deemed by the Texas State Archivist to be essential to preserving the history of underrepresented groups in our State. The Vietnam Archive also continued to leverage grant and other funding in support of the Virtual Vietnam Archive and other projects.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

733 Texas Tech University

The Vietnam Center and Archive will host two international conferences in the next two years, one of which we hope to co-host with the LBJ Library in Austin, TX, and the Department of Defense National Vietnam War Commemoration Program. Information from these conferences will be shared with students and educators around the State of Texas and across the nation. The Center will continue its support for student and faculty research at TTU and around the State. We will expand and disseminate materials that support K-12 education regarding the Vietnam War throughout the State as well. The center will continue to coordinate international student recruiting to bring more Vietnamese students to TTU and to create joint projects between TTU/TTUHSC and Vietnamese universities. Funding for a new facility that will house both the Vietnam Center and Archive and a National Museum of the Vietnam War will be pursued. The Vietnam Archive will continue to collect materials and interviews from veterans in Texas and across America, adding to the research materials available to TTU students and faculty. We will continue to leverage federal and other research grants allowing us to continue mentoring and supporting TTU students and graduate assistants. Support will continue for US government programs to include direct assistance to the Department of Defense as they continue the search for MIAs from the war and seek lessons from the Vietnam War as they might apply to contemporary conflicts.

(4) Funding Source Prior to Receiving Special Item Funding:

N/A

(5) Formula Funding:

N

(6) Non-general Revenue Sources of Funding:

2013	\$300,000 Federal, \$30,000 Private Grants, \$0 Other State *
2014	\$100,000 Federal, \$1,000,000 Private Grants (Our goal is to raise the first \$1,000,000 we need for a new building), \$0 Other State *
2015	\$100,000 Federal, \$1,000,000 Private Grants (Our goal is to raise the second \$1,000,000 we need for a new building), \$0 Other State *
2016	\$50,000 Federal, \$1,000,000 Private Grants (Our goal is to raise the third \$1,000,000 we need for a new building), \$0 Other State*
2017	\$50,000 Federal, \$1,000,000 Private Grants (Our goal is to raise the fourth \$1,000,000 we need for a new building), \$0 Other State *

* includes funding from states other than Texas

(7) Consequences of Not Funding:

733 Texas Tech University

The Vietnam Center and Archive have become the most significant such program in the US. There is nothing like it anywhere else in the nation. The collections, faculty, and staff are essential in preserving the history of Texas veterans as well as veterans throughout the US. The online archive currently contains more than 4 million pages of material freely available to teachers and students as they continue to learn about Texans who served and that important aspect of US history. The online archive also hosts more than 2 million research sessions every year, making it one of the most widely used online historical resources in the world. These resources are used by Texas students, educators, and veterans. The Department of Defense relies heavily on the Center as they continue to learn lessons from Vietnam and to fully account for MIAs from the Vietnam War, to include 119 Texans. The Center has been designated the long-term educational partner for the Texas Capitol Vietnam Veterans Monument to ensure students and teachers across the State of TX have the resources they need to teach and learn about the sacrifices of Texans during that war.

TTU is the only academic institution in the US with a project so dedicated to this mission. Of equal importance, we are in the midst of raising private funds to build a facility that will house the Vietnam Ctr and Archive as well as create a National Museum of the Vietnam War. Without continued State funding, none of this will be possible.

733 Texas Tech University

Special Item: 2 Research to Enhance Ag Production & Add Value to Ag Products in Texas

(1) Year Special Item: 1999
Original Appropriations: \$2,283,883

(2) Mission of Special Item:

This line is focused on enhancing profitability, productivity, safety, and security of agricultural and natural resource enterprises in Texas. A decreasing groundwater resource, escalating input costs, uncertainties about farm and trade policies, and rapidly expanding global competition are affecting the economic viability of the Texas agricultural and natural resources industries, increasing the need for research and technology transfer to meet these challenges. Texas Tech scientists are uniquely positioned to provide the interdisciplinary research needed to enhance the viability of Texas agriculture, entrepreneurial skills of producers, and job creation in rural and urban communities. Through cooperative efforts with Texas AgriLife Research and Extension, the USDA-Agricultural Research Service, and the agri-business community, Texas Tech is developing nationally recognized programs and leveraging state funds for increased federal funding. Programs supported by this line advance the Texas Tech strategic priority to enhance and expand research through experimental studies in food, fiber, natural resources, and environmental sciences, as well as application and commercialization of research findings. Specific research thrusts include: sustainable water, land, and resource management; value-added product development; rangeland, crop, forage, livestock, and wildlife management systems; food product safety; farm policy and trade; plant genomics; and textile technology.

(3) (a) Major Accomplishments to Date:

Developed environmentally friendly production systems that decrease water use for irrigation and limit fertilizer inputs and soil erosion. Developed satellite imagery of groundcover maps and online tools for precision water applications. Developed biodegradable mulches for high-value specialty crops. Developed higher-yielding cotton varieties to produce optimal yields under both dryland and supplemental irrigation. Developed improved methods to evaluate fiber properties, facilitating the development of cotton suitable for high-value textile markets. Sequenced the cotton genome, which will significantly advance genetic improvement of cotton. Increased the ability to use natural resources and wildlife populations as ecological indicators for predicting and mitigating effects of climate change through the work of the South Central Climate Science Center at TTU. Established a state-of-the-art quail research facility at Texas Tech. Developed economic tools to measure relative competitiveness of major agricultural commodities in the world market. Continued to update the USDA Nutrient Data Base for beef, poultry, and pork. Continued work on development of direct-fed microbials that decrease E. coli O157:H7 prevalence in cattle and significantly enhance the safety of meat products.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

Texas Tech University College of Agricultural Sciences and Natural Resources researchers will continue to advance university strategic priorities by expanding research in vital aspects of food, fiber, natural resources, and environmental sciences, in conjunction with application and commercialization of research findings. Specific research thrusts supported by this line will provide educational and research leadership and expertise in: sustainable water, land, and resource management; value-added product development; rangeland, crop, forage, animal, and wildlife management systems; food product safety; economics of farm policy and trade; plant genomics; textile technology; and international agricultural and natural resources development. Special emphasis will be on the development of production and management processes that: (1) are environmentally and economically sustainable; (2) mitigate and adapt to climate change; (3) attain global food and energy security; (4) create thriving rural communities; and (5) maximize ecological and economic benefits through natural resource management, planning, and recreation programs, while strengthening international competitiveness.

733 Texas Tech University

(4) Funding Source Prior to Receiving Special Item Funding:

Very limited funding was received from the USDA, producer/commodity groups, and selected state and federal agencies.

(5) Formula Funding:

N

(6) Non-general Revenue Sources of Funding:

2013 \$3,831,779 Federal, \$2,191,550 Private, \$2,146,703 Other State*

2014 \$4,500,000 Federal, \$4,000,000 Private, \$2,500,000 Other State*

2015 \$5,000,000 Federal, \$4,500,000 Private, \$2,500,000 Other State*

2016 \$5,500,000 Federal, \$5,000,000 Private, \$3,000,000 Other State *

2017 \$6,000,000 Federal, \$5,500,000 Private, \$3,000,000 Other State*

*includes funding from states other than Texas

(7) Consequences of Not Funding:

The research supported by this line is vital to the economic stability of Texas. The 40-county region of the High Plains of West Texas is one of the most intensive agricultural production areas in the world, with a \$30 billion economic impact. Special item support has been instrumental in making Texas Tech University a leading nucleus for agricultural research and has generated approximately \$5 in sponsored funding for every \$1 invested. Scientists at Texas Tech University are in uniquely positioned to provide the interdisciplinary research expertise needed to enhance the viability of Texas agriculture, train agricultural and natural resource professionals to meet global food production resource management demands, improve entrepreneurial skills of producers, and create jobs in rural and urban communities. Through cooperative efforts, Texas Tech has linkages to Texas AgriLife Research and Extension, the USDA-Agricultural Research Service, and the agri-business community to develop nationally recognized programs and leverage state funds for increased federal funding. With external pressures of increased global competition, changing farm and trade policies, declining supplies of underground water for crop irrigation, and increasing production costs for energy and technology, continued research support is essential to sustain the viability of agriculture and rural communities in this vast, highly productive region of the state.

733 Texas Tech University

Special Item: 3 **Research in Energy Production & Environmental Protection in Texas**

(1) Year Special Item: 1999
Original Appropriations: \$967,789

(2) Mission of Special Item:

Helping Texas maintain a healthy sustainable economy through the development of new technologies into reasonably priced, environmentally responsible, sustainable sources of energy and water. The Water Resources Center (WRC) directs interdisciplinary research in water quantity and quality; regulatory and resource allocation policy; watershed management; production and treatment of brackish water for potable supply; water reuse and recycling; and remediation of contaminated soil and water. The National Wind Institute (NWI) has established an international reputation for advanced wind-related research, education and outreach activities. NWI supports the rapid growth of wind power development through research related to the performance and reliability of wind turbines, the development of a research scaled testing facility to study turbine-to-turbine interactions, and the creation of the innovative atmospheric observing platforms and forecasting systems. The Cooperative Biological Research Database (CBD) contains biological data (specimen, genetic, and informational) that can be used to address questions pertaining to threatened and endangered species, wildlife conservation, genomics and bioinformatics, and ecotoxicology as examples. The Whitacre College of Engineering (WCOE) efforts in the areas of solar energy, biofuels, large and small scale energy storage will provide numerous opportunities for the state of Texas to be competitive in job creation and economic development.

(3) (a) Major Accomplishments to Date:

Vital multidisciplinary research is supported through this special line item. WRC accomplishments include identification of natural perchlorate in the hydrologic cycle, water recycling systems for NASA, demonstration of wind-powered desalination, watershed management strategies for water yield enhancement, regional water planning, observation of aquifer recharge studies of climate change impacts on future water use, evaluation of emerging contaminants in surface and groundwater, and computer modeling for groundwater management. A holder of Donovan Maddox Chair was hired into contaminant research and also leads the research hub for all hydraulic fracturing studies. NWI has provided extensive wind-related research, information and outreach relating to wind energy and hazard mitigation. Included are establishment of the Scaled Wind Farm Technology (SWIFT) facility in partnership with Sandia National Lab, development of the 80-station West Texas Mesonet, and landmark research using high-resolution dual-doppler radars to define turbine inflow and wakes to enhance wind farm performance. CBD developed a web-based database to permit decision makers and the general public internet access to this important guide to TTU's mammalian collection. Satellite images aid development of land use and land cover maps for the state and spatial distribution of vertebrates, serving as a baseline for newly arising questions about Texas ecosystems; and in mitigating environmental and disease risk.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

733 Texas Tech University

WCOE will focus on solar material development; improvement of photovoltaic devices; storage devices for intermittent sources of energy; portable storage devices; and other renewable energy areas including biofuels, wind and geothermal. WRC proposed research includes development of energy-efficient RO systems and other advanced water treatment technologies for small applications, application of regional climate projections for local water availability, hydrologic services for the state's water yield enhancement program, and preparation of flash flood hazard indices. NWI plans expansion of the SWIFT facility through developing partnerships with wind turbine manufacturers. Acquisition of research grade wind data from extreme wind events will continue to aid engineering design. Quantification and mapping of turbine inflow and wake flows using the TTUKa mobile research radar technology will mature and help decrease the cost of energy through the development of efficient and smart wind farm systems. The West Texas Mesonet will enhance remotely sensed elevated wind measurements to support next-generation short-term wind and energy forecasting. Smart grid systems are being developed using a real time simulator integrated with renewable energy sources and storage options. CBD is expanding Internet access to biological databases, and publishing books on wildlife and Texas natural history, genetic profiles, and distribution of vertebrates and invertebrates.

(4) Funding Source Prior to Receiving Special Item Funding:

Limited amounts of funding were received from a variety of federal, state and private sources to support small projects in the multiple disciplines encompassed by this line item.

(5) Formula Funding:

N

(6) Non-general Revenue Sources of Funding:

2013	\$1,507,697 Federal, \$1,224,595 Private, \$509,706 Other State*
2014	\$2,354,878 Federal, \$2,309,412 Private, \$542,303 Other State *
2015	\$2,950,000 Federal, \$3,000,000 Private, \$600,000 Other State *
2016	\$3,250,000 Federal, \$3,750,000 Private, \$600,000 Other State *
2017	\$3,500,000 Federal, \$4,250,000 Private, \$600,000 Other State *

* includes funding from states other than Texas

(7) Consequences of Not Funding:

This strategy provides significant leverage in attracting sponsored funding by providing matching funds for student and faculty support, infrastructural support for "in kind" matching, and a base of research reputation, experience, expertise, and support staff attractive to external research sponsors. As a result of no or reduced funding, important research of significant potential economic benefit to the State of Texas and the surrounding region would be eliminated. Additionally, valuable research in alternate energy sources, support for wind energy-related workforce development, more efficient use of existing energy and water resources, and environmental protection, restoration, and management will be lost.

733 Texas Tech University

Special Item: 4 Research in Emerging Technologies & Economic Development in Texas

(1) Year Special Item: 1999

Original Appropriations: \$545,152

(2) Mission of Special Item:

To enhance the Texas economy by creating and transferring intellectual property and by developing more effective workforces and marketing strategies. Funding from this line item is directed to efforts to stimulate the economy of Texas by emphasizing areas of high economic value to the state. As a research seed program, this funding has been instrumental in providing pilot data crucial to leveraging external funding from federal agencies and private foundations, as well as the endorsement of industry partners and local, state and federal governments. Findings are used to create new knowledge enhancing the human condition for citizens of the State, with the intended outcome of increased productivity, reduced burden on social and governmental services, and improved family relations. Marketing research on rural tourism, the “greening” of the hospitality and healthcare industry, and preferences in organic food and food safety all provide important new data on evolving markets and improving marketing efficiency. The latter have advanced our understanding of local food industries, attracted new investors to Texas, and developed sophisticated techniques to improve product satisfaction, food safety, and profitability nationwide. This funding is also used to commercially develop and advance promising technologies, enabling TTU to bring forward opportunities of significant potential economic benefit to the State of Texas, the nation and globe.

(3) (a) Major Accomplishments to Date:

Advances have been made in the commercialization of new technologies, such as developed turfgrass which has stimulated the creation of an estimated 100 additional retail and marketing positions across Texas. Investments leading to license agreements have supported preclinical trials of novel antibiotics in mice. TTU has funded the development of a patent pending microwave pasteurization prototype which was licensed to a local start-up company. Graduate fellowship investments enabled through this line were made in areas of great importance to West Texas and the State, such as alternative energy, environmental toxicology, math, science, engineering, and agriculture. This line has enabled targeted research in renewable energy technologies, as well as clean energy production. Agricultural production research has been supported in the areas of genomics and breeding mechanisms, and large scale oilseed extraction. Rural tourism and wine industry research of the Texas Wine Institute has provided market data to help the Texas wine industry grow to almost 300 wineries. The economic impact has grown to \$1.8 billion and is responsible for 10,000 full-time jobs. Additional advancements have been made in the areas of nanotechnology (patents filed), neuroimaging, early childhood and career development, substance abuse, domestic violence, financial planning, obesity, family/individual mental health, the “greening” of the hospitality and healthcare industries, and military family services.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

733 Texas Tech University

This line item will support development of intellectual capital to fuel an emerging high-tech economy and create new employment opportunities in Texas and beyond. The funding will be used to bridge the gap between proof of concept and commercial viability, and to support the technology transfer of TTU developed technologies into the private sector. Pilot research supported by seed grants continues to serve as the foundation for proposals to be submitted to external agencies and to inform potential industry contacts. Continued advances are expected in research pertaining to neuroimaging, nanotechnology, early child and career development, substance abuse/recovery, domestic violence, financial planning/retirement, obesity and family/individual mental health. Increased productivity, reduced burdens on social/governmental services, and improved family relations are all expected outcomes. Marketing research continues in the wine industry, rural tourism, the “greening” of the hospitality and health care industries and organic food and food safety, providing data on new markets and improving marketing efficiency, enhancing local food industries, attracting new investors, and developing techniques to improve product satisfaction, food safety, and profitability. The intent is to create a comprehensive program to stimulate student entrepreneurialism, and to use this platform to more effectively develop companies to market TTU’s faculty-developed IP.

(4) Funding Source Prior to Receiving Special Item Funding:

N/A

(5) Formula Funding:

N

(6) Non-general Revenue Sources of Funding:

2013	\$1,015,477 Federal, \$825,142 Private, \$47,739 Other State *
2014	\$1,045,941 Federal, \$849,896 Private, \$49,171 Other State *
2015	\$1,077,319 Federal, \$875,393 Private, \$50,646 Other State *
2016	\$1,109,639 Federal, \$901,655 Private, \$52,165 Other State *
2017	\$1,142,928 Federal, \$928,705 Private, \$53,730 Other State *

* includes funding from states other than Texas

(7) Consequences of Not Funding:

By using this funding to advance new knowledge and develop promising technologies, TTU is able to bring forward opportunities of significant potential economic benefit to the State of Texas and the surrounding region. Reducing this item would severely limit the opportunity for obtaining substantial external federal support for such research and would decrease the level of sponsored projects entered into by the university in areas emphasized. TTU’s workforce research also addresses important social and human concerns for Texas and the nation. The findings from such research programs have untold economic benefit to the State of Texas and beyond. This funding has allowed TTU the ability to respond quickly to problems, opportunities, and issues of emerging state and national interest. Reduction of this funding would adversely affect TTU’s ability to protect intellectual property.

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Special Item: 5 **Junction Annex Operation**

(1) Year Special Item: 1972
Original Appropriations: \$250,000

(2) Mission of Special Item:

Texas Tech University Center at Junction (TTUCJ) provides academic, research and engagement programs to expand educational, economic, workforce, and cultural opportunities throughout the under-served Western Hill Country region. TTUCJ operates facilities for college-level students and faculty; K-12 students, teachers and parents; and state, regional and community groups in an extraordinary learning environment offered by the adjacent South Llano River ecosystem. TUCJ is home to the Llano River Field Station (LRFS) whose mission is to encourage, conduct, and coordinate basic and applied research projects dealing with water/watersheds, exotic species, range management, natural resources, ecological restoration and environmental education. As the largest inland field station in Texas, bisected by the headwaters of the South Llano River, a primary emphasis is placed on critical education, engagement and natural resource, water and watershed problems of the biologically diverse Central Texas Hill Country, with expansion to encompass state, national and international water and environmental issues of common concern.

(3) (a) Major Accomplishments to Date:

TTUCJ partnered with TX A&M Forest Service western division to support onsite training for over 300 volunteer and professional firefighters to earn certifications for regional fire prevention. TTUCJ operates the Outdoor School and since 2003, over 15,000 students have achieved enhanced academic performance from this nationally recognized residential field science enrichment program for independent school districts. TTUCJ is a destination for scientific conferences and agency workshops (TX Parks and Wildlife Department (TPWD), NRCS, National Park Service (NPS), Society of Ecological Restoration). Continued research, engagement and stewardship projects are: 1) Watershed Planning/Education through stakeholder coordination under EPA's Healthy Watersheds framework, 2) partnership with the NPS for development/implementation of Discovery Point interpretive trail system, 3) a role with USGS S. Central Climate Science Ctr to evaluate watershed impacts of climate change. Field stations have great capacity for important cultural and ecological roles in less populated critical headwater regions. New research projects are: 1) Guadalupe Bass Restoration, 2) State Energy Conservation Office awarded LRFS \$230K for a 60,000 watt combined solar/wind demonstration project, \$50K match from TTU, 3) TPWD Landowner Incentive Program awarded \$25K for demonstrations of best mgmt practices (e.g. native plant gardens, rainwater harvesting systems for livestock, wildlife, management, brush control).

(3) (b) Major Accomplishments Expected During the Next 2 Years:

Although, LRFS is relatively new (2005) in its mission as a field station, it has made significant progress in addressing 3 National Science Foundation core strategies to: (1) Develop Intellectual Capital (2) Integrate Research and Education and (3) Promote Partnerships in a vast area of the Texas Hill Country that lacks a significant academic presence, with the goal to develop and vertically integrate research, K-20+ education and outreach. Expansion of the LRFS facilities and services is planned to provide a more comprehensive center for research, education and engagement. This is critical for LRFS research and workforce and professional development training for state and federal agencies, including programs in sustainable development and natural resource management. Several important scientific conferences are scheduled at TTUCJ and will have a major impact on local economic development. TTUCJ will continue to expand its role as a leader in science education through its Outdoor School - serving and making a difference for 2,500 K-12 students (many Title I) and hundreds of teachers (including pre-service) per year. The OS is increasing summer STEM camp offerings through 1) TTUISD international and state programs and 2) a unique and creative high school Outdoor Academy residential immersion experience focused on the development of student's skills in STEM fields for a college going culture. Non-credit programming for business and economic development will be expanded.

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(4) Funding Source Prior to Receiving Special Item Funding:

N/A

(5) Formula Funding:

N

(6) Non-general Revenue Sources of Funding:

2013 \$319,125 Designated, \$288,444 Auxiliary Sales, \$230,000 SECO Grant (State Energy Conservation Office), \$50,000 Provost Match for SECO Grant, \$25,000 LIP Grant (Landowner Incentive Plan), \$299,329 WPP (Watershed Protection Plan)

2014 \$335,081 Designated, \$302,862 Auxiliary Sales, \$400,000 Private Grants

2015 \$351,835 Designated, \$318,005 Auxiliary Sales, \$400,000 Private Grants

2016 \$369,426 Designated, \$333,905 Auxiliary Sales, \$400,000 Private Grants

2017 \$387,898 Designated, \$350,600 Auxiliary Sales, \$400,000 Private Grants

(7) Consequences of Not Funding:

Consequences of not funding would be loss of important higher education functions in research, education and public engagement in the Texas Hill Country. The residents of Junction and surrounding Kimble County would suffer severe negative economic impact through the loss of a major driver of regional economic development (over \$3 million per year impact). The Texas Hill Country, considered one of the worlds, "last great ecosystems", is understudied, under multiple threats and underserved by research based higher education institutions. Water, the environment and natural resources are critical issues for present and future generations of Texans, especially with a projected doubling in population in 50 years. An ecologically literate public with a water/land ethic will be needed to make informed decisions as resources become limited, with associated quality of life and public policy ramifications. TTUCJ and LRFS stewardship initiatives involve: 1) local, state and national grants for research and engagement, 2) hosting professional scientific/educational conferences, 3) research and educational symposia, 4) developing innovative partnerships, community engagement, water and watershed educational programs (Texas Water Symposium with Texas Public Radio, stewardship workshops, Outdoor School partnerships and 5) serving on scientific and advisory committees (Senate Bill 3 in 2007, Edwards Aquifer Habitat Conservation Plan, Texas Natural Resource/Environmental Literacy Plan).

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Special Item: 6 **TTU Hill Country Educational Network**

(1) Year Special Item: 2002
Original Appropriations: \$500,000

(2) Mission of Special Item:

As part of the university distance commitment, Fredericksburg and Highland Lakes teaching sites offer a quality education to underserved and non-traditional students throughout Texas. Centrally located sites provide opportunity for overcoming the three biggest barriers to the pursuit of higher education – class availability, proximity to home, and cost.

(3) (a) Major Accomplishments to Date:

Provide access to academic programs for 14 Hill Country counties and the state. Teaching modalities are used to meet learner needs, comprised of online, interactive video conferencing, Lync, and face-to-face instruction. Piloted the first widely distributed Lync-enabled courses, programs are accessible to place-bound students. Degree programs are: Dr of Education in Educational Leadership, Master of Education in Educational Leadership with Principal Certification, BA or BS in University Studies, Bachelor of General Studies, and BS in Multidisciplinary Studies (The Teacher Education Program, TEP). TEP is a fast-track model where students graduating from a community college with an Associate of Arts transfer into TTU and complete upper-division coursework in a year. Teacher candidates work to complete clinical experiences, including a year of student teaching, while completing coursework. Development and implementation of programs that support the growing Texas wine industry include the Viticulture and Winemaking Certificate Programs. Opportunities consist of a 2 year viticulture cohort training and winemaking certificate course. A full-time Enology instructor was hired to address industry training needs through workforce and degree programs. An on-site teaching vineyard established for hands-on training is in its second season of growth. Grape production from the vineyard will complete a student experience that encompasses a ground to bottle training opportunity.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

TTU is uniquely positioned to be a national leader in viticulture and enology by providing educational programs, research, and required workforce training that supports the wine industry and economic development of Texas. The only one of its kind offered at a Texas university, the Winemaking Certificate program will encompass training in wine production, wine analysis, quality control, and sensory evaluation. TTU continues to be part of the growing economy by meeting market demand through workshops, workforce training, degree programs, study in Teacher preparation, Restaurant, Hotel and Institutional Management, Journalism/Visual Media, Plant & Soil Science, Sociology, Criminology, Law, Mediation and upper level “Texas Commission on Law Enforcement Officer Standards and Education ” for commissioned officers. Fredericksburg and Highland Lakes expand the reach of TTU beyond the Great Plains. With close proximity to major military installations in Austin and San Antonio, TTU at Fredericksburg and Highlands is ideally located and technologically-enabled to offer a nationally competitive program and a 100% benefits-eligible distance format for returning personnel in the military. Other endeavors are community partnerships that increase success in higher education for the Hispanic learner. Through early advisement and inclusion of family, TTU at Fredericksburg and Highland Lakes will be part of the educational solution for the fastest growing population sector in TX.

(4) Funding Source Prior to Receiving Special Item Funding:

N/A

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(5) Formula Funding:

N

(6) Non-general Revenue Sources of Funding:

Texas Tech at Fredericksburg and Highland Lakes is supported by tuition and fee revenues, formula funding and donated funds. In Fredericksburg and Marble Falls, local agencies and nonprofit organizations have built or repurposed education facilities in 2009/2010 to house academic, workforce development, and administrative operations. The community investment in these facilities exceeds \$8.0 million.

2013	\$377,143 Designated
2014	\$392,073 Designated, \$344,200 Tuition and Fees (Distance Delivery)
2015	\$403,835 Designated, \$354,526 Tuition and Fees (Distance Delivery)
2016	\$415,950 Designated, \$365,162 Tuition and Fees (Distance Delivery)
2017	\$428,429 Designated, \$376,117 Tuition and Fees (Distance Delivery)

(7) Consequences of Not Funding:

The fastest growing rural area in Texas would continue to be underserved in terms of public higher education opportunities, including technical and workforce development training, with subsequent negative impact on economic development in the region. In the past two years, enrollment has increased 116%. By introducing new and maintaining current quality degree programs that utilize technologically innovative delivery strategies, Texas Tech at Fredericksburg and Highland Lakes expect enrollment to continue in this upward trend. Continued funding will ensure the continuance of the current infrastructure, which supports growth and economic prosperity through student participation in higher education, student success, excellence, and research in this underserved region.

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Special Item: 7 **Small Business Development Center**

(1) Year Special Item: 1990
Original Appropriations: \$200,000

(2) Mission of Special Item:

The NWTSBDC, housed at Texas Tech University, provides in-depth business counseling and training for small businesses within the 95 county service area. The services provided to the small business community have expanded beyond basic business counseling to include: International Trade Assistance, Manufacturing Assistance, Government Contracting, Technology Transfer, Technology/Internet assistance, Defense Transition assistance, Minority Business Assistance, and Community Economic Development and Rehabilitation.

The Small Business Development Center (SBDC) program is the largest management and technical assistance program to the small business sector in the United States. With the continuing struggle faced by the rural communities of Northwest Texas, the NWTSBDC has focused on rural small business development as its primary role in supporting the economic growth of the region. Due to the disadvantages rural businesses face in today's competitive business climate, the NWTSBDC provides extensive counseling and training assistance to rural businesses in business plan development, e-commerce development and business competitiveness on a global scale.

Over the next 2 years the Northwest Texas SBDC will counsel over 6,000 clients, provide training to over 9,000 small business seminar attendees, assist with over 600 new business starts, expansions and saves as well as assist in the creation of over 2,600 new jobs within the region.

(3) (a) Major Accomplishments to Date:

Since program start in 1987, the NWTSBDC has assisted, through the end of FY 13, in creating 29,722 new jobs. The NWTSBDC has also assisted in opening 8,507 new businesses. The NWTSBDC has counseled over 73,940 clients and trained over 128,874 seminar attendees.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

Over the next 2 years the Northwest Texas SBDC will counsel over 6,000 clients, provide training to over 7,500 small business seminar attendees, assist with over 600 new business starts, expansions and saves as well as assist in the creation of over 2,600 new jobs within the region.

(4) Funding Source Prior to Receiving Special Item Funding:

Federal and Institutional funds.

(5) Formula Funding:

N

(6) Non-general Revenue Sources of Funding:

2013 \$ 1,228,082 Federal Funds, \$ 510,036 Institutional & other funds

2014 \$ 1,288,176 Federal Funds, \$ 563,216 Institutional & other funds

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2015 \$ 1,315,000 Federal Funds, \$ 570,000 Institutional & other funds

2016 \$ 1,345,000 Federal Funds, \$ 575,000 Institutional & other funds

2017 \$ 1,350,000 Federal Funds, \$ 575,000 Institutional & other funds

(7) Consequences of Not Funding:

All of the Federal Funds that this program receives require either one-for-one or two-for-one matching. If this program is not funded by the State of Texas, an equal amount of funding will be lost from Federal, Institutional and other Sources. This decrease in funding would result in a major reduction in program services and would cause the NWTBDC to serve 2,500 to 3,000 fewer small business clients, 5,000 to 8,000 fewer seminar attendees, create 250 to 350 fewer new businesses and a loss of 1,200 to 1,800 new jobs created.

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Special Item: 8 Museums & Historic, Cultural, and Educational Centers

(1) Year Special Item: 1966
Original Appropriations: \$1,937,634

(2) Mission of Special Item:

This strategy provides support to the International Cultural Center, the Lubbock Lake Landmark, the Museum of Texas Tech University, and the National Ranching Heritage Center (NRHC). Each of the four entities has a teaching and research function for university students and faculty, and an additional mission of public outreach and education locally, regionally, nationally, and globally. The collections, exhibitions, publications, and programs conducted at all four sites complement the diverse interests and roles of TTU in public and professional service. All four centers offer an inviting and informative gateway to the university and provide many citizens opportunities for direct involvement with various functions of the centers.

(3) (a) Major Accomplishments to Date:

- Increased number of int'l sponsored students to an all-time high
- Moved int'l undergraduate admissions to ICC to expedite admissions process
- Expanded opportunities for TTU students to study abroad at non-traditional sites; record number of students studied abroad
- Developed research collaboration with three Ethiopian universities with funding from the U.S. Embassy in Ethiopia
- Initiated offerings of three classes in Zimbabwe for Texas Tech students
- Lubbock Lake Landmark Solar Eclipse event with over 2800 visitors in attendance
- Lubbock Lake Landmark partnered with Comanche National Museum for heritage education workshops and programming for that museum
- Lubbock Lake Landmark developed major prescription burn program for research, maintenance, and outreach purposes and partnered with Texas Parks & Wildlife, Texas Forest Service, Texas Prescribed Burn Board, and Southern Rolling Plains Prescribed Burn Association, Texas Tech Fire Ecology Center, and Lubbock Fire Department
- Opened two new major galleries with their inaugural exhibits featuring the Museum's collections
- Developed online access to Museum's Artist Printmaker Research Collection, with over 24,000 visits its first year of operation
- Discovered and named three bat species from Central and South America and one mouse species from Mexico

(3) (b) Major Accomplishments Expected During the Next 2 Years:

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International Cultural Ctr

- Increase international enrollment
- Increase numbers for study abroad in non-traditional countries, faculty-led programs, scholarships, academic partnerships
- Work with Ctr for Active Learning and Undergrad Engagement; work with TTU depts to promote curriculum integration; continue to promote intensive language study abroad
- Expand K-12 Global Education Outreach programs and increase collaborations with TTU and community ctrs; increase presence in remote areas of West TX
- Increase int'l agreements and partnerships

Museum & Lubbock Lake Landmark

- Expand/enhance research, interpretation, educational programming, preservation of collections; graduate student mentoring and retention for Museum Science/Heritage Management graduate programs.
- Develop major Ansel Adams exhibit based on largest private collection for a unique venue
- Continue efforts to bring national and international attention to the research, publication, exhibition, and cultural potentials of the Artist Printmaker Research Collection
- Completion of Pterodrone drone research and development for modern surveillance purposes
- Name additional new bat and mice species from the Americas
- Convert the Genomics Resources Collection to a liquid-nitrogen based storage system

National Ranching Heritage Ctr

- Continue research publication in collaboration with TTU faculty specializing in range & wildlife mgmt.
- Continue university & community education programs.

(4) Funding Source Prior to Receiving Special Item Funding:

N/A

(5) Formula Funding:

N

(6) Non-general Revenue Sources of Funding:

2013	\$151,368 Grants, \$38,000 Contracts, \$959,000 Private Funds, \$56,000 Endowment
2014	\$429,110 Grants, \$38,000 Contracts, \$504,740 Private Funds, \$58,000 Endowment
2015	\$350,000 Grants, \$43,000 Contracts, \$514,000 Private Funds, \$60,000 Endowment
2016	\$1,815,000 Grants, \$50,000 Contracts, \$360,000 Private Funds, \$53,000 Endowment
2017	\$965,000 Grants, \$58,000 Contracts, \$395,000 Private Funds, \$53,000 Endowment

(7) Consequences of Not Funding:

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The Museum, Lubbock Lake Landmark, and National Ranching Heritage Center are trusted with the responsibility to preserve and protect collections, and to provide professional stewardship for those objects placed in their care. That mandate will be disrupted as a consequence of reduced or eliminated funding. Immediate impact of no funding will be loss of staff, accreditation, and forfeiture of collections held-in-trust on behalf of the state and federal governments. Public programming, as well as the dissemination of information gained from collections, will be decreased or eliminated should funding be cut. Service to the University's academic programs will be eliminated, and the Museum Science & Heritage Management graduate programs and other TTU classes held at the locations will have to be discontinued. The research and educational activities at the NRHC and the Lubbock Lake Landmark will be curtailed, and care of the cultural and natural heritage associated with these locations will be dramatically reduced or terminated. The loss of public trust will be devastating nationally and internationally. If not funded, the International Cultural Center will be inoperative and cannot fulfill the functions for which the building was constructed. The target groups to be served by the International Cultural Ctr, especially the thousands of schoolchildren and TTU students who will be adversely affected by receiving reduced services and inadequate educational opportunities.

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Special Item: 9 **Center for Financial Responsibility**

(1) Year Special Item: 2000

Original Appropriations: \$240,000

(2) Mission of Special Item:

The Center for Financial Responsibility (CFR) mission is to help Texas citizens achieve personal responsibility in retirement planning, debt management and financial literacy by conducting research, and delivery of financial knowledge to citizens, financial services professionals, fiduciaries and teachers. The CFR focuses on the financial well-being of Texas citizens by emphasizing increasing savings and financial preparation for retirement. The CFR develops financial literacy resources to prevent individual bankruptcies and financial hardships that negatively affect state and local economies. Texas institutions of higher education and those students graduating with high debt loads from student loans and credit card abuse are served through research and service from the nationally recognized Red-to-Black Financial Counseling Center (R2B) and continuing state-wide financial literacy research with Texas adults. The R2B model earns national recognition as a means of increasing retention and matriculation rates. A third goal is to increase the number of academic programs in Personal Financial Planning (PFP) with emphasis on minority institutions throughout Texas and focusing on availability of general education classes in personal finance to increase student body financial literacy. Expanded assistance from CFR personnel to establish financial literacy courses and financial planning programs within minority colleges and universities throughout Texas is on-going.

(3) (a) Major Accomplishments to Date:

- International Foundation for Retirement Education (InFRE) \$100,000 grant for research into the scope, career opportunities and impact of the retirement industry.
- Charles Schwab Institutional \$500,000 grant for research on financial issues directly related to economic well-being of Texas families and individuals.
- CFP Board \$2,000,000 grant to develop the first doctoral degree in the U.S. in Personal Financial Planning thereby expanding faculty and research capabilities on family and individual financial issues.
- ING \$250,000 diversity initiative grant to TTU and Prairie View A&M to implement PFP programs at Historically Black College and Universities (HBCUs) throughout the United States. The CFR is facilitating program development at minority-serving institutions to serve as centers to increase student financial literacy. Hiring of TTU PFP doctoral graduates in minority institutions in Texas is building faculty and administrator awareness of careers.
- InFRE \$100,000 grant for development of an online retirement literacy index for use by citizens to evaluate preparation for and understanding of retirement with National Association of Government Defined Contribution Administrators (NAGDCA).
- The CFR has worked with InFRE to implement the Certified Retirement Counselor designation into university curricula throughout Texas as a career path. NAGDCA has provided ongoing financial support for those students through mentorships at their national meeting.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

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- Continued expansion of minority university (HBCUs and Hispanic-Serving Institutions) financial planning programs in Texas with particular emphasis on UT-Pan American University (UTPA) with funding of \$300,000 from industry and governmental sources.
- Acquisition of approximately \$200,000 for model financial planning education with UTPA for education on student debt control to increase retention and graduate program enrollment.
- Continued development of in-service education and delivery of financial literacy content to Texas teachers as well as curricula appropriate for delivery as part of the increased financial literacy legislative requirement in Texas high schools and colleges. Increased financial literacy should improve teacher retention and teaching of financial literacy in classes. Funding from the Financial Planning Association Foundation to deliver personal financial information to teachers throughout TX will continued to be sought.
- Completion of a “general education” personal finance textbook cooperatively written by PFP faculty as a low-cost book available to TX higher education units, as well as a revenue stream to the CFR and TTU.
- Continue a collaborative relationship with Agricultural & Applied Economics to receive USDA funding for research with agricultural majors intending to farm and ranch in TX. The level of personal financial literacy will be assessed across 5 cooperating Texas universities.

(4) Funding Source Prior to Receiving Special Item Funding:

An initial grant of \$200,000 was from the International Foundation for Retirement Education. The leverage afforded by the state line item is essential to acquiring all of the funding listed above.

(5) Formula Funding:

N

(6) Non-general Revenue Sources of Funding:

All sources of funds have been from corporate/industry sources including financial services foundations listed above. Federal sources are being pursued when appropriate Requests for Proposals or specific initiatives are publicized at the federal level. Specific interest lies in pursuing financial literacy/planning research and education on enlisted personnel in military installations as well as reservists in Texas with the intent to be on retention of personnel well as enhancing the family and social satisfaction in the non-military aspects of their lives. Research efforts will be focused on those military installations where cooperating universities having financial planning programs have chosen to participate in a multifaceted research and education outreach.

(7) Consequences of Not Funding:

The relatively small amount of funds requested are essential to providing an institutional framework to develop the truly unique services, research and programs that brought national recognition to the PFP program and Texas Tech University as the best program in the U.S. from The New York Times, Financial Planning magazine and the March issues of Investment Advisor. The funded and proposed initiatives involving the development of academic programs and research efforts in personal financial planning and financial literacy in Texas minority colleges and universities and the resultant increase in financial literacy within general student populations are a statewide benefit to citizens of Texas. The outcomes would be a significant leverage of this funding which will impact multiple public academic institutions throughout Texas. The impact on Texas Tech minority graduate enrollment from those Texas colleges and universities has been a significant increase in our resident MS students being from minority groups. The ability to leverage the funds has been proven every year in the form of the acquisition of additional monies that increase the academic uniqueness, recruitment and retention of students, publication of research, and development of programs of direct benefit to Texas Tech as well as the state of Texas.

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Special Item: 10 **Institutional Enhancement**

(1) Year Special Item: 2000
Original Appropriations: \$5,771,798

(2) Mission of Special Item:

The mission of this special line item is to support and enhance the academic research environment at Texas Tech University, preparing students to be the workforce of tomorrow for Texas.

(3) (a) Major Accomplishments to Date:

This special item funding has supported strategic growth of faculty in fields critical to the success of the State's workforce, enabling the University to recruit and retain a body of diverse and distinguished scholars and researchers dedicated to academic research excellence. This funding has contributed essential infrastructure support for high quality academic programs for undergraduate, graduate, and professional students, through academic program support, and necessary institutional operations. This has enabled the University to increase both the quality and number of graduates for the State. Texas Tech University is recognized as a national leader in Science-Technology-Engineering-Mathematics (STEM) initiatives that promote recruitment and retention of underrepresented groups in STEM fields.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

Continue meeting ever changing global information needs by acquiring materials such as required on-line databases, improving infrastructure, hiring highly qualified staff to provide assistance to students and researchers, and providing required services to the satellite campuses.

(4) Funding Source Prior to Receiving Special Item Funding:

The Institutional Enhancement special item was the result of the consolidation of certain types of special items by the 76th Legislature, and an increase of \$1M per year for academic enhancement purposes.

(5) Formula Funding:

N

(6) Non-general Revenue Sources of Funding:

N/A

(7) Consequences of Not Funding:

This line item contributes core academic and operational infrastructure support that enables Texas Tech University to provide an extensive selection of academic research programs all dedicated to educational excellence: 106 undergraduate, 105 master's, and 56 doctoral degree programs. Through successful graduates and research generated through these programs, Texas Tech University is able to provide long term economic benefit to the region and State. The current \$14M over the biennium for this special item represents the difference in the University's ability to provide basic educational services, and in developing quality academic and research programs. If this funding is reduced or eliminated the University's academic support infrastructure would be compromised, resulting in fewer programs and opportunities to produce outstanding leaders in the Texas workforce and innovative faculty of tomorrow.