

Legislative Appropriations Request for Fiscal Years 2018 and 2019

Submitted to the
Governor's Office of Budget Planning and Policy
and the Legislative Budget Board

by

Stephen F. Austin State University



October 17, 2016

Table of Contents

| | |
|---|----|
| Administrator’s Statement | 1 |
| Organizational Chart..... | 4 |
| Certification Statement | 5 |
| Budget Overview – Biennial Amounts | 6 |
| 2.A. Summary of Base Request by Strategy | 7 |
| 2.B. Summary of Base Request by Method of Finance..... | 11 |
| 2.C. Summary of Base Request by Object of Expense..... | 17 |
| 2.D. Summary of Base Request Objective Outcomes..... | 18 |
| 2.E. Summary of Exceptional Items Request | 21 |
| 2.F. Summary of Total Request by Strategy | 22 |
| 2.G. Summary of Total Request Objective Outcomes | 26 |
| 3.A. Strategy Request | |
| Operations Support | 30 |
| Staff Group Insurance Premiums..... | 34 |
| Texas Public Education Grants | 36 |
| Organized Activities | 38 |
| Educational General Space Support..... | 40 |
| Tuition Revenue Bond Retirement | 43 |
| Rural Nursing Initiative | 45 |
| Center for Applied Studies in Forestry | 47 |
| Stone Fort Museum and Research Center of East Texas | 49 |
| Soil Plant and Water Analysis Laboratory..... | 52 |
| Applied Poultry Studies and Research..... | 54 |
| Institutional Enhancement | 56 |
| Exceptional Item Request | 58 |
| Research Development Fund | 60 |
| Comprehensive Research Fund | 62 |
| Summary Totals..... | 64 |
| 3.A.1 Program-level Requests | 65 |
| 4.A. Exceptional Item Request Schedule | |
| Science, Technology, Engineering, and Mathematics (STEM) Education / Early Childhood Program..... | 66 |
| Restoration of the 4% Reduction | 68 |
| 4.B. Exceptional Items Strategy Allocation Schedule | |
| Science, Technology, Engineering, and Mathematics (STEM) Education / Early Childhood Program..... | 69 |
| Restoration of the 4% Reduction | 70 |

| | | |
|------|--|-----|
| 4.C. | Exceptional Items Strategy Request | |
| | Science, Technology, Engineering, and Mathematics (STEM) Education / Early Childhood Program | |
| | and Restoration of the 4% Reduction | 71 |
| 6A. | Historically Underutilized Business Supporting Schedule | 72 |
| 6.H. | Estimated Total of All Agency Funds Outside the GAA Bill Pattern..... | 74 |
| 6.I. | Percent Biennial Base Reduction Options - 10% Reduction | 75 |
| | Supporting Schedules: | |
| | Schedule 1A: Other Education, General Income | 77 |
| | Schedule 2: Selected Educational, General and Other Funds..... | 80 |
| | Schedule 3A: Staff Group Insurance Data Elements (ERS) | 82 |
| | Schedule 4: Computation of OASI..... | 85 |
| | Schedule 5: Calculation of Retirement Proportionality and ORP Differential..... | 86 |
| | Schedule 6: Constitutional Capital Funding..... | 87 |
| | Schedule 7: Personnel | 88 |
| | Schedule 8B: Tuition Revenue Bond Issuance History | 90 |
| | Schedule 8C: Revenue Capacity for Tuition Revenue Bond Projects | 91 |
| | Schedule 8D: Tuition Revenue Bonds Request by Project | 92 |
| | Schedule 9: Special Item Information | |
| | Special Item 1: Stone Fort Museum | 93 |
| | Special Item 2: Rural Nursing Initiative | 95 |
| | Special Item 3: Center for Applied Studies in Forestry | 97 |
| | Special Item 4: Institutional Enhancement | 99 |
| | Special Item 5: Applied Poultry Studies and Research..... | 100 |
| | Special Item 6: Soil, Plant, and Water Analysis Lab..... | 102 |
| | Special Item 7: STEM Education / Early Childhood Program - (Exceptional Item Request) | 104 |
| | Special Item 8: Restoration of the 4% Reduction - (Exceptional Item Request)..... | 106 |

Reports Not Included

| | | |
|---|--|----------------------|
| Agency Code: | Agency Name: | Date: |
| 755 | Stephen F. Austin State University | October, 2016 |
| <p><i>For the reports identified below, Stephen F. Austin State University either has no information to report or the schedule is not applicable. Accordingly, these reports have been excluded from the Legislative Appropriations Request for the 2018-19 biennium.</i></p> | | |
| Number | Name | |
| 2.C.1 | Operating Costs Detail – Base Request | |
| 3. B-D | Riders | |
| 5. | Capital Budget | |
| 6. B | Current Biennium One-time Expenditure Schedule | |
| 6.C-G. | Federal Funds | |
| 6. J | Behavioral Health Funding Schedule | |
| 7.A-B. | Administrative and Support Costs (Direct and Indirect) | |
| 8. | Summary of Requests for Capital Project Financing | |
| 8. A | Proposed Tuition Revenue Bond Projects | |

Administrator's Statement

10/14/2016 3:10:24PM

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

755 Stephen F. Austin State University

Stephen F. Austin State University
General Statement of the President

Overview

Founded in 1923, Stephen F. Austin State University (SFASU) is a comprehensive institution located in Nacogdoches that is dedicated to excellence in teaching, research, scholarship, creative work, and service. Throughout the university, faculty, and staff provide personal attention, engaging our students in a learner-centered environment and offering opportunities to prepare for the challenges of living in the global community. Stephen F. Austin State University benefits the East Texas community and the State of Texas by providing a high quality, affordable education.

SFASU serves students through a variety of undergraduate and graduate programs. Seventy-nine undergraduate programs and 43 Master's programs are available in six colleges (Business, Education, Fine Arts, Forestry and Agriculture, Liberal and Applied Arts, and Sciences and Mathematics). Additionally, SFASU offers three doctoral programs: the Doctor of Philosophy (Ph.D.) in Forestry, and School Psychology as well as the Doctor of Education (Ed.D.) in Educational Leadership. Many degrees offer complete programs or courses via distance education.

Students

Stephen F. Austin State University is proud of its mission as a comprehensive university serving students who are primarily residents of Texas. Ninety-six percent of SFASU students are Texas residents and 90% percent are residents within 200 miles of Nacogdoches. In fact, most students (83%) come from Dallas (24%), East Texas (30%), and Houston (29%).

In fall 2015, SFASU had an enrollment of 12,606 (86% undergraduates and 14% graduates). In the last 10 years, total student enrollment has increased by 850 (7%). SFASU has continued to attract a more diverse population of students. African American and Hispanic student enrollments have increased by 105% (2,231 students) since 2000, when the state's master plan for higher education, Closing the Gaps, was first adopted.

Student Success

Student success is a high priority for Stephen F. Austin State University. Since approximately half of all undergraduate students are first generation college students, SFASU has worked to support student learning and success through a number of initiatives.

In May 2016, Stephen F. Austin State University launched a Student Success Center, which unites a number of academic initiatives designed to promote student success including: the Academic Assistance and Resource Center, SFA 101 freshman seminar, Academic Advising for Undecided Students, Texas Success Initiative, Pathways Bridge Program, and GenJacks (a learning community for first generation students). This new Center supports student success by bringing academic resources together for greater collaboration, communication, and cooperation among faculty, staff, and students in order to provide a "triage of support" to promote student success.

Additionally, SFASU provides support to a unique student population through the Veterans Resource Center. This space provides networking opportunities for veterans and serves as a hub for programming efforts that include connections with other veterans as well as access to veterans' resources provided by the university and outside agencies.

Administrator's Statement

10/14/2016 3:10:24PM

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755 Stephen F. Austin State University

Since its founding in 1923, SFASU has been a leader in the state in preparation of educators. The James I. Perkins College of Education is the largest college, enrolling 33% of the student population. Graduates of SFASU's teacher preparation programs routinely achieve high educator certification pass rates. Likewise, SFASU has a large enrollment of nursing majors, who have high state licensure pass rates. The Arthur Temple College of Forestry and Agriculture provides an exceptional opportunity for students to study ways in which to enhance the health and vitality of the environment. Faculty research through the College focuses on conservation and the protection of our forests and natural resources including water resources.

Access and Affordability

SFASU is committed to making higher education affordable to its students. In addition to strategically managing resources, SFASU has taken great strides to increase efficiency and contain costs. Financial aid also plays a significant role in making college more affordable to students. In fiscal year 2016, approximately 71% of SFASU students received financial aid, amounting to a total disbursement of \$153.4 million, \$65.8 million in gift aid and \$87.5 million in loan funds. This includes 4,725 students, who received \$19.4 million in Pell Grants.

During fiscal year 2016, SFASU students received over \$8.1 million in Texas Grant awards. Of the total awarded, \$3.8 million was provided to initial recipients and \$4.3 million for returning students' renewals. Additionally, 74 students accessed over \$560,000 in the Texas B-on-Time Loan Program though this program is being phased out.

Stephen F. Austin State University appreciates the sacrifice and service of veterans and their families. In fiscal year 2016, 623 military veterans and their spouses and dependents were enrolled at SFASU. However, the Hazlewood Act tuition and fee exemption for military veterans, their children, and qualifying spouses presents a significant and mounting cost to all Texas institutions of higher education. During the fiscal year 2016, 71 veterans and 552 dependents received a Hazlewood Exemption totaling over \$4.26 million. This represents an increase of 8.03% from the previous year. The General Revenue funds distributed in fiscal years 2014, 2015, and 2016 assisted in covering previous year's Hazlewood exemptions; however, the growing enrollment of those who are eligible for the exemption requires institutions to seek alternative methods for covering the cost of this exemption.

Four Percent General Revenue-related Base Reduction

In order to comply with the Policy Letter directive limiting 2018-2019 baseline requests to 96% of SFASU's 2016-2017 General Revenue Funds and General Revenue-Dedicated Funds expenditures, SFASU carefully considered its priorities and made strategic decisions that align with the university's mission and strategic plan. To this end, the university will reduce Institutional Enhancement funding. This funding has been utilized to add faculty in growing programs, enhance faculty and staff salaries institutionally and to support many of the university's core operations. Removal of this funding would greatly hurt the efforts the university has made in attracting and retaining qualified faculty.

Ten Percent General Revenue-related Base Reduction

Pursuant to LAR instructions, Stephen F. Austin State University is submitting a ten percent general revenue reduction scenario. As a result of past general revenue budget and funding reductions, Stephen F. Austin State University would likely have to reduce its workforce in order to manage another reduction of state appropriations. Past funding reductions have prevented the university from hiring needed academic and student support positions. The ten percent appropriations reduction would adversely impact the university's ability to deliver current academic and student services.

Administrator's Statement

10/14/2016 3:10:24PM

85th Regular Session, Agency Submission, Version 1
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755 Stephen F. Austin State University

Exceptional Item Request

STEM Education/Early Childhood Program – SFASU requests \$1,000,000 per year of the biennium to engage faculty in the research, program development, and teacher preparation/professional development necessary to advance Science, Technology, Engineering, and Mathematics (STEM) early childhood education. We intend to develop best practices for the professional development of teachers and rich learning experiences for young children in preschool and kindergarten classrooms that will be sustainable and replicable across the state of Texas.

A vibrant STEM workforce is vital to making Texas globally competitive. Institutions of higher education are being called upon to widen the STEM pipeline at all levels of education. The early childhood years are particularly critical to the development of STEM concepts. Research confirms that the first five years of life account for over 90% of brain development and that the brain is particularly receptive to learning math and logic between the ages of 1 and 4. Nationally, approximately 40% of U.S. children are not ready for kindergarten and too many children reach grade 4 lacking key science and math knowledge and skills. This deficiency is evident in Texas to the extent that the state has provided increased funding for high quality prekindergarten programs.

SFASU proposes to combine the efforts of the College of Sciences and Mathematics and the James I. Perkins College of Education to invest our efforts in STEM teaching and learning specific to early childhood education as well as to provide professional development for early childhood educators and caregivers.

Restoration of the 4% Reduction - As required, the base request includes only 96 percent of our prior year special item funding. To comply with the required reduction, we reduced institutional enhancement funding by 4%. We request the institutional enhancement \$493,854 biennial restoration of the four percent reduction. The institutional enhancement item currently funds faculty salaries and academic support services that include counseling and career services, disability services and science curriculum and outreach coordinators. If the reduction is not restored, we would have to eliminate four positions that support academic student support services.

Background Checks

The University Police Department conducts background checks using the National Crime Information Center (NCIC), the Texas law Enforcement Telecommunication's System, (TLETS), and the Texas Crime Information Center, (TCIC). Criminal histories, driving records, warrants, stolen property, missing persons or other types of sensitive law enforcement information are obtained from these data bases. In addition, the Department of Human Resources uses a firm to obtain county criminal felony and misdemeanor information, national sexual offender information, social security number validation, and information from the National Criminal Database. From these data sources, Human Resources obtains background data on all faculty and staff, graduate assistants, and casual employees.

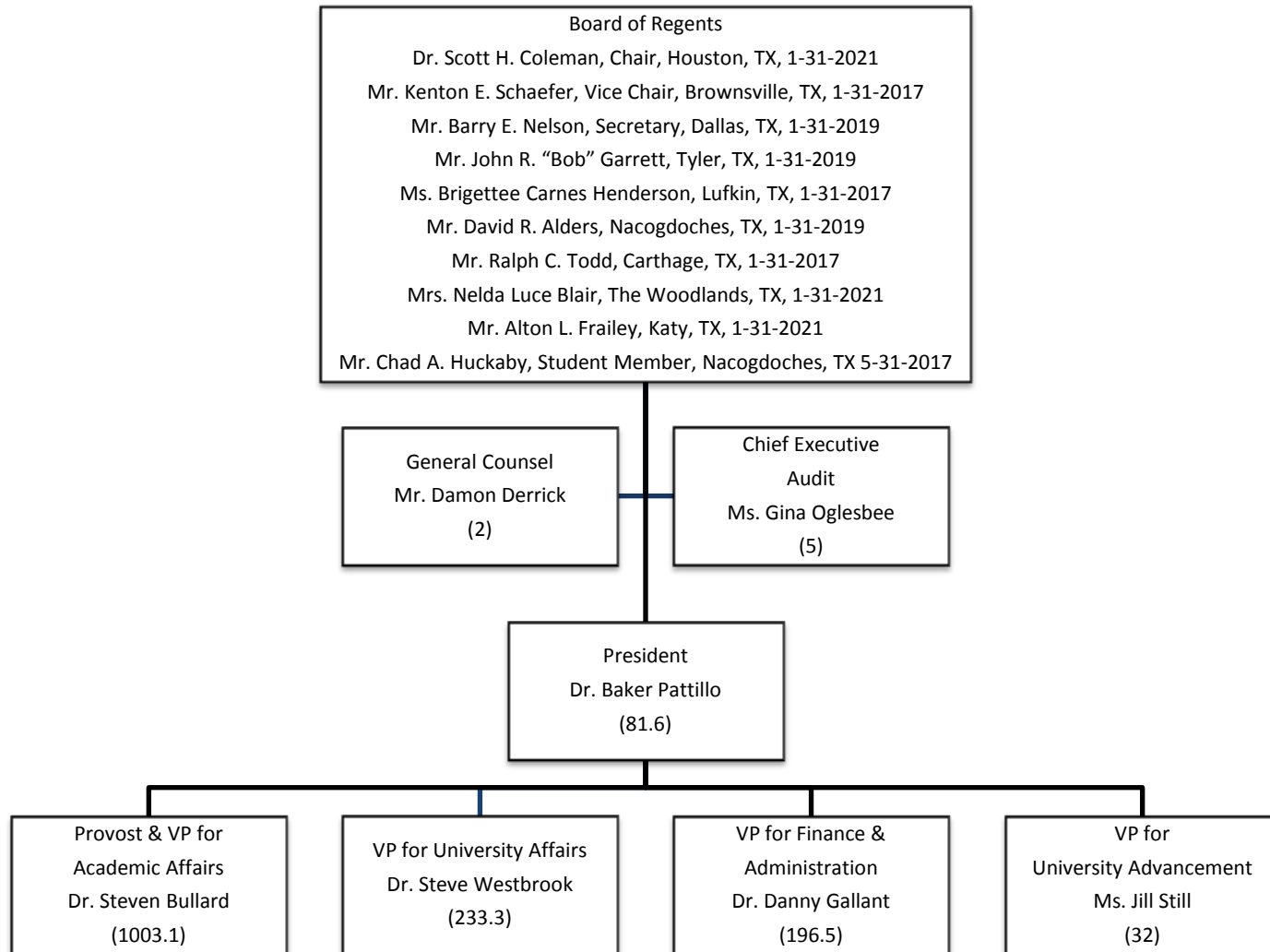
Conclusion

Stephen F. Austin State University has worked diligently to manage resources efficiently and to find cost savings without impacting the quality of education for students. The University serves an essential role in educating the students of Texas and the continued support of the Legislature is critical to facilitating SFASU's ability to provide an affordable, high quality education.

Stephen F. Austin is governed by a Board of Regents. The current members of the Board of Regents are included in the organizational chart.

Stephen F. Austin State University

Organizational Chart





CERTIFICATE

Agency Name Stephen F. Austin State University

This is to certify that the information contained in the agency Legislative Appropriation Request filed with the Legislative Budget Board (LBB) and the Office of the Governor, Budget Division, is accurate to the best of my knowledge and that the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that unexpended balances will accrue for any account, the LBB and the Governor's office will be notified in writing in accordance with Article IX, Section 7.01 (2016-17 GAA).

Chief Executive Officer or Presiding Judge

Baker Pattillo
Signature

Baker Pattillo
Printed Name

SFA President
Title

August 5, 2016
Date

Board or Commission Chair

Scott H. Coleman
Signature

Scott H. Coleman
Printed Name

SFA Board of Regents Chair
Title

August 5, 2016
Date

Chief Financial Officer

Danny R. Gallant
Signature

Danny R. Gallant
Printed Name

Vice President for Finance and Administration
Title

August 5, 2016
Date

Budget Overview - Biennial Amounts
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

755 Stephen F. Austin State University
Appropriation Years: 2018-19

EXCEPTIONAL
ITEM
FUNDS

| | GENERAL REVENUE FUNDS | | GR DEDICATED | | FEDERAL FUNDS | | OTHER FUNDS | | ALL FUNDS | | EXCEPTIONAL ITEM FUNDS |
|--|-----------------------|-------------------|-------------------|-------------------|---------------|---------|---------------|---------------|--------------------|-------------------|------------------------------|
| | 2016-17 | 2018-19 | 2016-17 | 2018-19 | 2016-17 | 2018-19 | 2016-17 | 2018-19 | 2016-17 | 2018-19 | |
| Goal: 1. Provide Instructional and Operations Support | | | | | | | | | | | |
| 1.1.1. Operations Support | 54,552,348 | | 24,414,957 | | | | | | 78,967,305 | | |
| 1.1.3. Staff Group Insurance Premiums | | | 6,177,803 | 6,301,977 | | | | | 6,177,803 | 6,301,977 | |
| 1.1.6. Texas Public Education Grants | | | 4,049,297 | 4,050,000 | | | | | 4,049,297 | 4,050,000 | |
| 1.1.7. Organized Activities | | | 1,658,047 | 1,810,000 | | | | | 1,658,047 | 1,810,000 | |
| Total, Goal | 54,552,348 | | 36,300,104 | 12,161,977 | | | | | 90,852,452 | 12,161,977 | |
| Goal: 2. Provide Infrastructure Support | | | | | | | | | | | |
| 2.1.1. E&G Space Support | 3,390,804 | | 97,303 | | | | | | 3,488,107 | | |
| 2.1.2. Tuition Revenue Bond Retirement | 12,214,235 | 14,885,532 | | | | | | | 12,214,235 | 14,885,532 | |
| Total, Goal | 15,605,039 | 14,885,532 | 97,303 | | | | | | 15,702,342 | 14,885,532 | |
| Goal: 3. Provide Special Item Support | | | | | | | | | | | |
| 3.1.1. Rural Nursing Initiative | 1,264,890 | 1,264,890 | | | | | | | 1,264,890 | 1,264,890 | |
| 3.2.1. Applied Forestry Studies Center | 1,110,908 | 1,110,908 | | | | | | | 1,110,908 | 1,110,908 | |
| 3.3.1. Stone Fort Museum & Research Center | 211,748 | 211,748 | | | | | | | 211,748 | 211,748 | |
| 3.3.2. Soil Plant & Water Analysis Lab | 120,788 | 120,788 | | | | | | | 120,788 | 120,788 | |
| 3.3.3. Applied Poultry Studies & Research | 113,920 | 113,920 | | | | | | | 113,920 | 113,920 | |
| 3.4.1. Institutional Enhancement | 9,524,094 | 9,030,240 | | | | | 15,892 | 15,892 | 9,539,986 | 9,046,132 | |
| 3.5.1. Exceptional Item Request | | | | | | | | | | | 2,493,854 |
| Total, Goal | 12,346,348 | 11,852,494 | | | | | 15,892 | 15,892 | 12,362,240 | 11,868,386 | 2,493,854 |
| Goal: 6. Research Funds | | | | | | | | | | | |
| 6.3.1. Comprehensive Research Fund | 430,990 | | | | | | | | 430,990 | | |
| Total, Goal | 430,990 | | | | | | | | 430,990 | | |
| Total, Agency | 82,934,725 | 26,738,026 | 36,397,407 | 12,161,977 | | | 15,892 | 15,892 | 119,348,024 | 38,915,895 | 2,493,854 |
| Total FTEs | | | | | | | | | 695.0 | 691.0 | 9.0 |

2.A. Summary of Base Request by Strategy

10/14/2016 3:10:29PM

85th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

755 Stephen F. Austin State University

| Goal / Objective / STRATEGY | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
|---|---------------------|---------------------|---------------------|--------------------|--------------------|
| 1 Provide Instructional and Operations Support | | | | | |
| 1 Provide Instructional and Operations Support | | | | | |
| 1 OPERATIONS SUPPORT (1) | 39,971,405 | 39,641,423 | 39,325,882 | 0 | 0 |
| 3 STAFF GROUP INSURANCE PREMIUMS | 2,856,126 | 3,073,534 | 3,104,269 | 3,135,312 | 3,166,665 |
| 6 TEXAS PUBLIC EDUCATION GRANTS | 2,021,427 | 2,024,297 | 2,025,000 | 2,025,000 | 2,025,000 |
| 7 ORGANIZED ACTIVITIES | 741,275 | 753,047 | 905,000 | 905,000 | 905,000 |
| TOTAL, GOAL 1 | \$45,590,233 | \$45,492,301 | \$45,360,151 | \$6,065,312 | \$6,096,665 |
| 2 Provide Infrastructure Support | | | | | |
| 1 Provide Operation and Maintenance of E&G Space | | | | | |
| 1 E&G SPACE SUPPORT (1) | 1,729,725 | 1,741,378 | 1,746,729 | 0 | 0 |
| 2 TUITION REVENUE BOND RETIREMENT | 4,439,181 | 4,320,006 | 7,894,229 | 7,445,116 | 7,440,416 |
| TOTAL, GOAL 2 | \$6,168,906 | \$6,061,384 | \$9,640,958 | \$7,445,116 | \$7,440,416 |
| 3 Provide Special Item Support | | | | | |

(1) - Formula funded strategies are not requested in 2018-19 because amounts are not determined by institutions.

2.A. Summary of Base Request by Strategy

10/14/2016 3:10:29PM

85th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

755 Stephen F. Austin State University

| Goal / Objective / STRATEGY | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
|--|--------------------|--------------------|--------------------|--------------------|--------------------|
| <u>1</u> <i>Instructional Support Special Item Support</i> | | | | | |
| 1 RURAL NURSING INITIATIVE | 632,445 | 632,445 | 632,445 | 632,445 | 632,445 |
| <u>2</u> <i>Research Special Item Support</i> | | | | | |
| 1 APPLIED FORESTRY STUDIES CENTER | 555,454 | 555,454 | 555,454 | 555,454 | 555,454 |
| <u>3</u> <i>Public Service Special Item Support</i> | | | | | |
| 1 STONE FORT MUSEUM & RESEARCH CENTER | 108,960 | 105,874 | 105,874 | 105,874 | 105,874 |
| 2 SOIL PLANT & WATER ANALYSIS LAB | 109,050 | 60,394 | 60,394 | 60,394 | 60,394 |
| 3 APPLIED POULTRY STUDIES & RESEARCH | 56,960 | 56,960 | 56,960 | 56,960 | 56,960 |
| <u>4</u> <i>Institutional Support Special Item Support</i> | | | | | |
| 1 INSTITUTIONAL ENHANCEMENT | 4,198,882 | 4,769,993 | 4,769,993 | 4,523,066 | 4,523,066 |
| <u>5</u> <i>Exceptional Item Request</i> | | | | | |
| 1 EXCEPTIONAL ITEM REQUEST | 0 | 0 | 0 | 0 | 0 |
| TOTAL, GOAL 3 | \$5,661,751 | \$6,181,120 | \$6,181,120 | \$5,934,193 | \$5,934,193 |

2.A. Summary of Base Request by Strategy

10/14/2016 3:10:29PM

85th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

755 Stephen F. Austin State University

| Goal / Objective / STRATEGY | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
|--|---------------------|---------------------|---------------------|---------------------|---------------------|
| 6 Research Funds | | | | | |
| 1 <i>Research Development Fund</i> | | | | | |
| 1 RESEARCH DEVELOPMENT FUND | 430,280 | 0 | 0 | 0 | 0 |
| 3 <i>Comprehensive Research Fund</i> | | | | | |
| 1 COMPREHENSIVE RESEARCH FUND | 0 | 215,495 | 215,495 | 0 | 0 |
| TOTAL, GOAL 6 | \$430,280 | \$215,495 | \$215,495 | \$0 | \$0 |
| TOTAL, AGENCY STRATEGY REQUEST | \$57,851,170 | \$57,950,300 | \$61,397,724 | \$19,444,621 | \$19,471,274 |
| TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST* | | | | \$0 | \$0 |
| GRAND TOTAL, AGENCY REQUEST | \$57,851,170 | \$57,950,300 | \$61,397,724 | \$19,444,621 | \$19,471,274 |

2.A. Summary of Base Request by Strategy

10/14/2016 3:10:29PM

85th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

755 Stephen F. Austin State University

| Goal / Objective / STRATEGY | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
|---|---------------------|---------------------|---------------------|---------------------|---------------------|
| <u>METHOD OF FINANCING:</u> | | | | | |
| General Revenue Funds: | | | | | |
| 1 General Revenue Fund | 39,376,380 | 39,613,693 | 43,321,032 | 13,371,363 | 13,366,663 |
| SUBTOTAL | \$39,376,380 | \$39,613,693 | \$43,321,032 | \$13,371,363 | \$13,366,663 |
| General Revenue Dedicated Funds: | | | | | |
| 704 Bd Authorized Tuition Inc | 868,269 | 890,844 | 975,000 | 0 | 0 |
| 770 Est Oth Educ & Gen Inco | 17,606,521 | 17,437,817 | 17,093,746 | 6,065,312 | 6,096,665 |
| SUBTOTAL | \$18,474,790 | \$18,328,661 | \$18,068,746 | \$6,065,312 | \$6,096,665 |
| Other Funds: | | | | | |
| 802 License Plate Trust Fund No. 0802 | 0 | 7,946 | 7,946 | 7,946 | 7,946 |
| SUBTOTAL | \$0 | \$7,946 | \$7,946 | \$7,946 | \$7,946 |
| TOTAL, METHOD OF FINANCING | \$57,851,170 | \$57,950,300 | \$61,397,724 | \$19,444,621 | \$19,471,274 |

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. Summary of Base Request by Method of Finance
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/14/2016 3:10:29PM

Agency code: **755** Agency name: **Stephen F. Austin State University**

| METHOD OF FINANCING | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
|---------------------|----------|----------|----------|----------|----------|
|---------------------|----------|----------|----------|----------|----------|

GENERAL REVENUE

1 General Revenue Fund

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2014-15 GAA)

| | | | | |
|--------------|-----|-----|-----|-----|
| \$39,265,903 | \$0 | \$0 | \$0 | \$0 |
|--------------|-----|-----|-----|-----|

Regular Appropriations from MOF Table (2016-17 GAA)

| | | | | |
|-----|--------------|--------------|-----|-----|
| \$0 | \$40,113,693 | \$40,232,191 | \$0 | \$0 |
|-----|--------------|--------------|-----|-----|

Regular Appropriations

| | | | | |
|-----|-----|-----|--------------|--------------|
| \$0 | \$0 | \$0 | \$13,371,363 | \$13,366,663 |
|-----|-----|-----|--------------|--------------|

RIDER APPROPRIATION

Art III, Special Provisions, Sec 54 Research Development Fund (2014-15 GAA)

| | | | | |
|-----------|-----|-----|-----|-----|
| \$110,477 | \$0 | \$0 | \$0 | \$0 |
|-----------|-----|-----|-----|-----|

TRANSFERS

Higher Education Coordinating Board - Rider 71, Contingency Appropriation HB100 TRB Debt Ser

| | | | | |
|-----|-----|-------------|-----|-----|
| \$0 | \$0 | \$3,588,841 | \$0 | \$0 |
|-----|-----|-------------|-----|-----|

SUPPLEMENTAL, SPECIAL OR EMERGENCY APPROPRIATIONS

2.B. Summary of Base Request by Method of Finance
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/14/2016 3:10:29PM

| Agency code: 755 | | Agency name: Stephen F. Austin State University | | | | |
|--|-----------------------------|--|---------------------|---------------------|---------------------|---------------------|
| METHOD OF FINANCING | | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
| <u>GENERAL REVENUE</u> | | | | | | |
| HB 1, 84th Leg, Governor's Veto Proclamation - Reduction of C.3.4. Strategy WET Center | | \$0 | \$(500,000) | \$(500,000) | \$0 | \$0 |
| TOTAL, | General Revenue Fund | \$39,376,380 | \$39,613,693 | \$43,321,032 | \$13,371,363 | \$13,366,663 |
| TOTAL, ALL | GENERAL REVENUE | \$39,376,380 | \$39,613,693 | \$43,321,032 | \$13,371,363 | \$13,366,663 |

GENERAL REVENUE FUND - DEDICATED

704 GR Dedicated - Estimated Board Authorized Tuition Increases Account No. 704

REGULAR APPROPRIATIONS

| | | | | | | |
|---|--|-----------|-----------|-----------|-----|-----|
| Regular Appropriations from MOF Table (2014-15 GAA) | | \$763,975 | \$0 | \$0 | \$0 | \$0 |
| Regular Appropriations from MOF Table (2016-17 GAA) | | \$0 | \$850,865 | \$850,865 | \$0 | \$0 |
| <i>BASE ADJUSTMENT</i> | | | | | | |
| Revised Receipts | | \$104,294 | \$39,979 | \$124,135 | \$0 | \$0 |

2.B. Summary of Base Request by Method of Finance
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/14/2016 3:10:29PM

| | | | | | | |
|--|--|------------------|---|------------------|-----------------|-----------------|
| Agency code: | 755 | Agency name: | Stephen F. Austin State University | | | |
| METHOD OF FINANCING | | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
| <u>GENERAL REVENUE FUND - DEDICATED</u> | | | | | | |
| TOTAL, | GR Dedicated - Estimated Board Authorized Tuition Increases Account No. 704 | \$868,269 | \$890,844 | \$975,000 | \$0 | \$0 |
| <u>770</u> | GR Dedicated - Estimated Other Educational and General Income Account No. 770 | | | | | |
| | <i>REGULAR APPROPRIATIONS</i> | | | | | |
| | Regular Appropriations from MOF Table (2014-15 GAA) | \$17,022,064 | \$0 | \$0 | \$0 | \$0 |
| | Regular Appropriations from MOF Table (2016-17 GAA) | \$0 | \$15,831,518 | \$15,930,059 | \$0 | \$0 |
| | Regular Appropriations | \$0 | \$0 | \$0 | \$6,065,312 | \$6,096,665 |
| | <i>BASE ADJUSTMENT</i> | | | | | |
| | Revised Receipts | \$196,714 | \$1,469,513 | \$1,088,219 | \$0 | \$0 |
| | Adjustment to Actual Expended | \$387,743 | \$136,786 | \$75,468 | \$0 | \$0 |

2.B. Summary of Base Request by Method of Finance
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/14/2016 3:10:29PM

| | | | | | | |
|--|--|---------------------|---|---------------------|---------------------|---------------------|
| Agency code: | 755 | Agency name: | Stephen F. Austin State University | | | |
| METHOD OF FINANCING | | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
| <u>GENERAL REVENUE FUND - DEDICATED</u> | | | | | | |
| TOTAL, | GR Dedicated - Estimated Other Educational and General Income Account No. 770 | \$17,606,521 | \$17,437,817 | \$17,093,746 | \$6,065,312 | \$6,096,665 |
| TOTAL GENERAL REVENUE FUND - DEDICATED - 704, 708 & 770 | | \$18,474,790 | \$18,328,661 | \$18,068,746 | \$6,065,312 | \$6,096,665 |
| TOTAL, ALL | GENERAL REVENUE FUND - DEDICATED | \$18,474,790 | \$18,328,661 | \$18,068,746 | \$6,065,312 | \$6,096,665 |
| TOTAL, | GR & GR-DEDICATED FUNDS | \$57,851,170 | \$57,942,354 | \$61,389,778 | \$19,436,675 | \$19,463,328 |
| <u>OTHER FUNDS</u> | | | | | | |
| 802 | License Plate Trust Fund Account No. 0802 | | | | | |
| | <i>REGULAR APPROPRIATIONS</i> | | | | | |
| | Regular Appropriations from MOF Table (2014-15 GAA) | \$0 | \$0 | \$0 | \$0 | \$0 |
| | Regular Appropriations from MOF Table (2016-17 GAA) | \$0 | \$0 | \$0 | \$0 | \$0 |
| | Regular Appropriations | \$0 | \$0 | \$0 | \$7,946 | \$7,946 |

2.B. Summary of Base Request by Method of Finance
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/14/2016 3:10:29PM

| Agency code: 755 | | Agency name: Stephen F. Austin State University | | | | |
|---|--|--|-----------------|-----------------|-----------------|-----------------|
| METHOD OF FINANCING | | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
| <u>OTHER FUNDS</u> | | | | | | |
| <i>RIDER APPROPRIATION</i> | | | | | | |
| Art III, Special Provisions or Higher Education, Sec 60 Texas Collegiate License Plate Scholarships | | | | | | |
| | | \$0 | \$7,946 | \$7,946 | \$0 | \$0 |
| TOTAL, | License Plate Trust Fund Account No. 0802 | | | | | |
| | | \$0 | \$7,946 | \$7,946 | \$7,946 | \$7,946 |
| TOTAL, ALL | OTHER FUNDS | | | | | |
| | | \$0 | \$7,946 | \$7,946 | \$7,946 | \$7,946 |
| GRAND TOTAL | | \$57,851,170 | \$57,950,300 | \$61,397,724 | \$19,444,621 | \$19,471,274 |

2.B. Summary of Base Request by Method of Finance
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/14/2016 3:10:29PM

| METHOD OF FINANCING | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
|--|--------------|--------------|--------------|--------------|--------------|
| Agency code: 755 Agency name: Stephen F. Austin State University | | | | | |
| FULL-TIME-EQUIVALENT POSITIONS | | | | | |
| REGULAR APPROPRIATIONS | | | | | |
| Regular Appropriations from MOF Table (2014-15 GAA) | 1,082.3 | 0.0 | 0.0 | 0.0 | 0.0 |
| Regular Appropriations from MOF Table (2016-17 GAA) | 0.0 | 1,074.7 | 1,074.7 | 0.0 | 0.0 |
| Regular Appropriations | 0.0 | 0.0 | 0.0 | 691.0 | 691.0 |
| UNAUTHORIZED NUMBER OVER (BELOW) CAP | | | | | |
| Unauthorized Amount Below Cap | (389.0) | (379.7) | (379.7) | 0.0 | 0.0 |
| TOTAL, ADJUSTED FTES | 693.3 | 695.0 | 695.0 | 691.0 | 691.0 |

NUMBER OF 100% FEDERALLY FUNDED FTES

2.C. Summary of Base Request by Object of Expense

10/14/2016 3:10:30PM

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

755 Stephen F. Austin State University

| OBJECT OF EXPENSE | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|-------------------------------------|---------------------|---------------------|---------------------|---------------------|---------------------|
| 1001 SALARIES AND WAGES | \$12,193,586 | \$12,160,814 | \$12,503,918 | \$2,057,908 | \$2,057,908 |
| 1002 OTHER PERSONNEL COSTS | \$599,698 | \$544,939 | \$324,063 | \$135,693 | \$135,693 |
| 1005 FACULTY SALARIES | \$34,329,865 | \$34,551,611 | \$34,456,677 | \$4,644,556 | \$4,644,556 |
| 1010 PROFESSIONAL SALARIES | \$391,528 | \$388,296 | \$385,205 | \$0 | \$0 |
| 2001 PROFESSIONAL FEES AND SERVICES | \$775 | \$754 | \$752 | \$0 | \$0 |
| 2003 CONSUMABLE SUPPLIES | \$4,604 | \$3,601 | \$2,306 | \$0 | \$0 |
| 2004 UTILITIES | \$218,221 | \$216,419 | \$214,697 | \$0 | \$0 |
| 2007 RENT - MACHINE AND OTHER | \$0 | \$583 | \$0 | \$0 | \$0 |
| 2008 DEBT SERVICE | \$4,438,406 | \$4,319,252 | \$7,893,477 | \$7,445,116 | \$7,440,416 |
| 2009 OTHER OPERATING EXPENSE | \$3,393,895 | \$3,609,938 | \$3,461,833 | \$3,136,348 | \$3,167,701 |
| 3001 CLIENT SERVICES | \$2,021,427 | \$2,024,297 | \$2,025,000 | \$2,025,000 | \$2,025,000 |
| 5000 CAPITAL EXPENDITURES | \$259,165 | \$129,796 | \$129,796 | \$0 | \$0 |
| OOE Total (Excluding Riders) | \$57,851,170 | \$57,950,300 | \$61,397,724 | \$19,444,621 | \$19,471,274 |
| OOE Total (Riders) | | | | | |
| Grand Total | \$57,851,170 | \$57,950,300 | \$61,397,724 | \$19,444,621 | \$19,471,274 |

2.D. Summary of Base Request Objective Outcomes
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

10/14/2016 3:10:30PM

755 Stephen F. Austin State University

| Goal/ Objective / Outcome | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|----------|----------|----------|---------|---------|
| 1 Provide Instructional and Operations Support | | | | | |
| 1 Provide Instructional and Operations Support | | | | | |
| KEY 1 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 6 Yrs | | | | | |
| | 42.80% | 43.40% | 40.90% | 45.00% | 45.50% |
| 2 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 6 Yrs | | | | | |
| | 43.70% | 48.30% | 46.70% | 45.00% | 45.50% |
| 3 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 6 Yr | | | | | |
| | 44.50% | 37.10% | 38.30% | 45.00% | 45.50% |
| 4 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 6 Yrs | | | | | |
| | 40.20% | 35.50% | 30.70% | 45.00% | 45.50% |
| 5 % 1st-time, Full-time, Degree-seeking Other Frshmn Earn Deg in 6 Yrs | | | | | |
| | 37.90% | 48.70% | 47.30% | 45.00% | 45.50% |
| KEY 6 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 4 Yrs | | | | | |
| | 22.00% | 24.50% | 26.20% | 28.00% | 28.50% |
| 7 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 4 Yrs | | | | | |
| | 28.50% | 31.60% | 33.10% | 28.00% | 28.50% |
| 8 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 4 Yrs | | | | | |
| | 17.40% | 22.40% | 24.30% | 28.00% | 28.50% |
| 9 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 4 Yrs | | | | | |
| | 11.40% | 14.00% | 16.60% | 28.00% | 28.50% |
| 10 % 1st-time, Full-time, Degree-seeking Other Frsh Earn Degree in 4 Yrs | | | | | |
| | 27.00% | 19.60% | 24.60% | 28.00% | 28.50% |
| KEY 11 Persistence Rate 1st-time, Full-time, Degree-seeking Frsh after 1 Yr | | | | | |
| | 67.30% | 70.00% | 71.00% | 71.50% | 72.00% |
| 12 Persistence 1st-time, Full-time, Degree-seeking White Frsh after 1 Yr | | | | | |
| | 71.00% | 72.40% | 74.70% | 71.50% | 72.00% |

2.D. Summary of Base Request Objective Outcomes
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

10/14/2016 3:10:30PM

755 Stephen F. Austin State University

| <i>Goal/ Objective / Outcome</i> | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|---|-----------------|-----------------|-----------------|----------------|----------------|
| 13 Persistence 1st-time, Full-time, Degree-seeking Hisp Frsh after 1 Yr | 62.10% | 64.30% | 65.70% | 71.50% | 72.00% |
| 14 Persistence 1st-time, Full-time, Degree-seeking Black Frsh after 1 Yr | 65.90% | 69.00% | 66.10% | 71.50% | 72.00% |
| 15 Persistence Rate1st-time, Full-time, Degree-seeking Other Frsh-1yr | 53.90% | 69.40% | 71.10% | 71.50% | 72.00% |
| 16 Percent of Semester Credit Hours Completed | 97.70% | 97.50% | 97.70% | 97.50% | 97.50% |
| KEY 17 Certification Rate of Teacher Education Graduates | 94.90% | 96.20% | 94.00% | 97.00% | 97.00% |
| 18 Percentage of Underprepared Students Satisfy TSI Obligation in Math | 65.00% | 43.60% | 54.10% | 70.00% | 70.00% |
| 19 Percentage of Underprepared Students Satisfy TSI Obligation in Writing | 70.00% | 71.60% | 80.60% | 70.00% | 70.00% |
| 20 Percentage of Underprepared Students Satisfy TSI Obligation in Reading | 80.00% | 87.50% | 91.70% | 70.00% | 70.00% |
| KEY 21 % of Baccalaureate Graduates Who Are 1st Generation College Graduates | 46.00% | 47.40% | 44.80% | 45.00% | 45.00% |
| KEY 22 Percent of Transfer Students Who Graduate within 4 Years | 67.10% | 61.30% | 62.20% | 70.00% | 70.00% |
| KEY 23 Percent of Transfer Students Who Graduate within 2 Years | 25.20% | 21.60% | 28.30% | 32.00% | 33.00% |
| KEY 24 % Lower Division Semester Credit Hours Taught by Tenured/Tenure-Track | 52.10% | 49.10% | 52.00% | 50.00% | 50.00% |
| KEY 27 State Licensure Pass Rate of Nursing Graduates | 98.40% | 88.20% | 88.20% | 90.00% | 95.00% |

2.D. Summary of Base Request Objective Outcomes
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

10/14/2016 3:10:30PM

755 Stephen F. Austin State University

| <i>Goal/ Objective / Outcome</i> | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-----------------|-----------------|-----------------|----------------|----------------|
| KEY 30 Dollar Value of External or Sponsored Research Funds (in Millions) | 4.00 | 3.60 | 4.50 | 4.70 | 5.00 |
| 31 External or Sponsored Research Funds As a % of State Appropriations | 10.80% | 9.80% | 11.60% | 12.00% | 13.00% |
| 32 External Research Funds As Percentage Appropriated for Research | 382.00% | 344.00% | 408.70% | 400.00% | 400.00% |
| 48 % Endowed Professorships/ Chairs Unfilled All/ Part of Fiscal Year | 50.00% | 100.00% | 100.00% | 0.00% | 0.00% |
| 49 Average No Months Endowed Chairs Remain Vacant | 9.00 | 12.00 | 9.00 | 0.00 | 0.00 |

2.E. Summary of Exceptional Items Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/14/2016
 TIME : 3:10:31PM

Agency code: 755

Agency name: Stephen F. Austin State University

| Priority | Item | 2018 | | | 2019 | | | Biennium | | |
|---|---------------------------------|------------------------|--------------------|------------|---------------------|--------------------|------------|---------------------|--------------------|--|
| | | GR and GR/GR Dedicated | All Funds | FTEs | GR and GR Dedicated | All Funds | FTEs | GR and GR Dedicated | All Funds | |
| 1 | STEM Education/Early Childhood | \$1,000,000 | \$1,000,000 | 5.0 | \$1,000,000 | \$1,000,000 | 5.0 | \$2,000,000 | \$2,000,000 | |
| 2 | Restoration of the 4% Reduction | \$246,927 | \$246,927 | 4.0 | \$246,927 | \$246,927 | 4.0 | \$493,854 | \$493,854 | |
| Total, Exceptional Items Request | | \$1,246,927 | \$1,246,927 | 9.0 | \$1,246,927 | \$1,246,927 | 9.0 | \$2,493,854 | \$2,493,854 | |
| Method of Financing | | | | | | | | | | |
| | General Revenue | \$1,246,927 | \$1,246,927 | | \$1,246,927 | \$1,246,927 | | \$2,493,854 | \$2,493,854 | |
| | General Revenue - Dedicated | | | | | | | | | |
| | Federal Funds | | | | | | | | | |
| | Other Funds | | | | | | | | | |
| | | \$1,246,927 | \$1,246,927 | | \$1,246,927 | \$1,246,927 | | \$2,493,854 | \$2,493,854 | |
| Full Time Equivalent Positions | | | | 9.0 | | | | 9.0 | | |
| Number of 100% Federally Funded FTEs | | | | | | | | | | |

2.F. Summary of Total Request by Strategy
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/14/2016

TIME : 3:10:31PM

Agency code: 755 Agency name: Stephen F. Austin State University

| Goal/Objective/STRATEGY | Base 2018 | Base 2019 | Exceptional 2018 | Exceptional 2019 | Total Request 2018 | Total Request 2019 |
|---|----------------------|----------------------|-----------------------------|-----------------------------|-------------------------------|-------------------------------|
| 1 Provide Instructional and Operations Support | | | | | | |
| <i>1 Provide Instructional and Operations Support</i> | | | | | | |
| 1 OPERATIONS SUPPORT | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 3 STAFF GROUP INSURANCE PREMIUMS | 3,135,312 | 3,166,665 | 0 | 0 | 3,135,312 | 3,166,665 |
| 6 TEXAS PUBLIC EDUCATION GRANTS | 2,025,000 | 2,025,000 | 0 | 0 | 2,025,000 | 2,025,000 |
| 7 ORGANIZED ACTIVITIES | 905,000 | 905,000 | 0 | 0 | 905,000 | 905,000 |
| TOTAL, GOAL 1 | \$6,065,312 | \$6,096,665 | \$0 | \$0 | \$6,065,312 | \$6,096,665 |
| 2 Provide Infrastructure Support | | | | | | |
| <i>1 Provide Operation and Maintenance of E&G Space</i> | | | | | | |
| 1 E&G SPACE SUPPORT | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 TUITION REVENUE BOND RETIREMENT | 7,445,116 | 7,440,416 | 0 | 0 | 7,445,116 | 7,440,416 |
| TOTAL, GOAL 2 | \$7,445,116 | \$7,440,416 | \$0 | \$0 | \$7,445,116 | \$7,440,416 |

2.F. Summary of Total Request by Strategy
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/14/2016

TIME : 3:10:31PM

Agency code: 755 Agency name: Stephen F. Austin State University

| Goal/Objective/STRATEGY | Base 2018 | Base 2019 | Exceptional 2018 | Exceptional 2019 | Total Request 2018 | Total Request 2019 |
|--|----------------------|----------------------|-----------------------------|-----------------------------|-------------------------------|-------------------------------|
| 3 Provide Special Item Support | | | | | | |
| 1 <i>Instructional Support Special Item Support</i> | | | | | | |
| 1 RURAL NURSING INITIATIVE | \$632,445 | \$632,445 | \$0 | \$0 | \$632,445 | \$632,445 |
| 2 <i>Research Special Item Support</i> | | | | | | |
| 1 APPLIED FORESTRY STUDIES CENTER | 555,454 | 555,454 | 0 | 0 | 555,454 | 555,454 |
| 3 <i>Public Service Special Item Support</i> | | | | | | |
| 1 STONE FORT MUSEUM & RESEARCH CENTER | 105,874 | 105,874 | 0 | 0 | 105,874 | 105,874 |
| 2 SOIL PLANT & WATER ANALYSIS LAB | 60,394 | 60,394 | 0 | 0 | 60,394 | 60,394 |
| 3 APPLIED POULTRY STUDIES & RESEARCH | 56,960 | 56,960 | 0 | 0 | 56,960 | 56,960 |
| 4 <i>Institutional Support Special Item Support</i> | | | | | | |
| 1 INSTITUTIONAL ENHANCEMENT | 4,523,066 | 4,523,066 | 0 | 0 | 4,523,066 | 4,523,066 |
| 5 <i>Exceptional Item Request</i> | | | | | | |
| 1 EXCEPTIONAL ITEM REQUEST | 0 | 0 | 1,246,927 | 1,246,927 | 1,246,927 | 1,246,927 |
| TOTAL, GOAL 3 | \$5,934,193 | \$5,934,193 | \$1,246,927 | \$1,246,927 | \$7,181,120 | \$7,181,120 |

2.F. Summary of Total Request by Strategy
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/14/2016

TIME : 3:10:31PM

Agency code: 755 Agency name: Stephen F. Austin State University

| Goal/Objective/STRATEGY | Base 2018 | Base 2019 | Exceptional 2018 | Exceptional 2019 | Total Request 2018 | Total Request 2019 |
|---|----------------------|----------------------|-----------------------------|-----------------------------|-------------------------------|-------------------------------|
| 6 Research Funds | | | | | | |
| 1 <i>Research Development Fund</i> | | | | | | |
| 1 RESEARCH DEVELOPMENT FUND | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 3 <i>Comprehensive Research Fund</i> | | | | | | |
| 1 COMPREHENSIVE RESEARCH FUND | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL, GOAL 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| TOTAL, AGENCY STRATEGY REQUEST | \$19,444,621 | \$19,471,274 | \$1,246,927 | \$1,246,927 | \$20,691,548 | \$20,718,201 |
| TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST | | | | | | |
| GRAND TOTAL, AGENCY REQUEST | \$19,444,621 | \$19,471,274 | \$1,246,927 | \$1,246,927 | \$20,691,548 | \$20,718,201 |

2.F. Summary of Total Request by Strategy
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/14/2016
 TIME : 3:10:31PM

Agency code: 755 Agency name: Stephen F. Austin State University

| Goal/Objective/STRATEGY | Base 2018 | Base 2019 | Exceptional 2018 | Exceptional 2019 | Total Request 2018 | Total Request 2019 |
|---|----------------------|----------------------|-----------------------------|-----------------------------|-------------------------------|-------------------------------|
| General Revenue Funds: | | | | | | |
| 1 General Revenue Fund | \$13,371,363 | \$13,366,663 | \$1,246,927 | \$1,246,927 | \$14,618,290 | \$14,613,590 |
| | \$13,371,363 | \$13,366,663 | \$1,246,927 | \$1,246,927 | \$14,618,290 | \$14,613,590 |
| General Revenue Dedicated Funds: | | | | | | |
| 704 Bd Authorized Tuition Inc | 0 | 0 | 0 | 0 | 0 | 0 |
| 770 Est Oth Educ & Gen Inco | 6,065,312 | 6,096,665 | 0 | 0 | 6,065,312 | 6,096,665 |
| | \$6,065,312 | \$6,096,665 | \$0 | \$0 | \$6,065,312 | \$6,096,665 |
| Other Funds: | | | | | | |
| 802 License Plate Trust Fund No. 0802 | 7,946 | 7,946 | 0 | 0 | 7,946 | 7,946 |
| | \$7,946 | \$7,946 | \$0 | \$0 | \$7,946 | \$7,946 |
| TOTAL, METHOD OF FINANCING | \$19,444,621 | \$19,471,274 | \$1,246,927 | \$1,246,927 | \$20,691,548 | \$20,718,201 |
| FULL TIME EQUIVALENT POSITIONS | 691.0 | 691.0 | 9.0 | 9.0 | 700.0 | 700.0 |

2.G. Summary of Total Request Objective Outcomes
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/14/2016
 Time: 3:10:32PM

Agency code: 755 Agency name: Stephen F. Austin State University

Goal/ Objective / Outcome

| | BL 2018 | BL 2019 | Excp 2018 | Excp 2019 | Total Request 2018 | Total Request 2019 |
|--|------------|------------|--------------|--------------|--------------------------|--------------------------|
| 1 Provide Instructional and Operations Support | | | | | | |
| 1 <i>Provide Instructional and Operations Support</i> | | | | | | |
| KEY 1 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 6 Yrs | | | | | | |
| | 45.00% | 45.50% | | | 45.00% | 45.50% |
| 2 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 6 Yrs | | | | | | |
| | 45.00% | 45.50% | | | 45.00% | 45.50% |
| 3 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 6 Yr | | | | | | |
| | 45.00% | 45.50% | | | 45.00% | 45.50% |
| 4 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 6 Yrs | | | | | | |
| | 45.00% | 45.50% | | | 45.00% | 45.50% |
| 5 % 1st-time, Full-time, Degree-seeking Other Frshmn Earn Deg in 6 Yrs | | | | | | |
| | 45.00% | 45.50% | | | 45.00% | 45.50% |
| KEY 6 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 4 Yrs | | | | | | |
| | 28.00% | 28.50% | | | 28.00% | 28.50% |
| 7 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 4 Yrs | | | | | | |
| | 28.00% | 28.50% | | | 28.00% | 28.50% |
| 8 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 4 Yrs | | | | | | |
| | 28.00% | 28.50% | | | 28.00% | 28.50% |

2.G. Summary of Total Request Objective Outcomes
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/14/2016
 Time: 3:10:32PM

Agency code: 755

Agency name: Stephen F. Austin State University

Goal/ Objective / Outcome

| | BL 2018 | BL 2019 | Excp 2018 | Excp 2019 | Total Request 2018 | Total Request 2019 |
|--|------------|------------|--------------|--------------|--------------------------|--------------------------|
| 9 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 4 Yrs | 28.00% | 28.50% | | | 28.00% | 28.50% |
| 10 % 1st-time, Full-time, Degree-seeking Other Frsh Earn Degree in 4 Yrs | 28.00% | 28.50% | | | 28.00% | 28.50% |
| KEY 11 Persistence Rate 1st-time, Full-time, Degree-seeking Frsh after 1 Yr | 71.50% | 72.00% | | | 71.50% | 72.00% |
| 12 Persistence 1st-time, Full-time, Degree-seeking White Frsh after 1 Yr | 71.50% | 72.00% | | | 71.50% | 72.00% |
| 13 Persistence 1st-time, Full-time, Degree-seeking Hisp Frsh after 1 Yr | 71.50% | 72.00% | | | 71.50% | 72.00% |
| 14 Persistence 1st-time, Full-time, Degree-seeking Black Frsh after 1 Yr | 71.50% | 72.00% | | | 71.50% | 72.00% |
| 15 Persistence Rate 1st-time, Full-time, Degree-seeking Other Frsh-1yr | 71.50% | 72.00% | | | 71.50% | 72.00% |
| 16 Percent of Semester Credit Hours Completed | 97.50% | 97.50% | | | 97.50% | 97.50% |
| KEY 17 Certification Rate of Teacher Education Graduates | 97.00% | 97.00% | | | 97.00% | 97.00% |

2.G. Summary of Total Request Objective Outcomes
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/14/2016
 Time: 3:10:32PM

Agency code: 755

Agency name: Stephen F. Austin State University

Goal/ Objective / Outcome

| | BL 2018 | BL 2019 | Excp 2018 | Excp 2019 | Total Request 2018 | Total Request 2019 |
|---|------------|------------|--------------|--------------|--------------------------|--------------------------|
| 18 Percentage of Underprepared Students Satisfy TSI Obligation in Math | 70.00% | 70.00% | | | 70.00% | 70.00% |
| 19 Percentage of Underprepared Students Satisfy TSI Obligation in Writing | 70.00% | 70.00% | | | 70.00% | 70.00% |
| 20 Percentage of Underprepared Students Satisfy TSI Obligation in Reading | 70.00% | 70.00% | | | 70.00% | 70.00% |
| KEY 21 % of Baccalaureate Graduates Who Are 1st Generation College Graduates | 45.00% | 45.00% | | | 45.00% | 45.00% |
| KEY 22 Percent of Transfer Students Who Graduate within 4 Years | 70.00% | 70.00% | | | 70.00% | 70.00% |
| KEY 23 Percent of Transfer Students Who Graduate within 2 Years | 32.00% | 33.00% | | | 32.00% | 33.00% |
| KEY 24 % Lower Division Semester Credit Hours Taught by Tenured/Tenure-Track | 50.00% | 50.00% | | | 50.00% | 50.00% |
| KEY 27 State Licensure Pass Rate of Nursing Graduates | 90.00% | 95.00% | | | 90.00% | 95.00% |
| KEY 30 Dollar Value of External or Sponsored Research Funds (in Millions) | 4.70 | 5.00 | | | 4.70 | 5.00 |

2.G. Summary of Total Request Objective Outcomes
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/14/2016

Time: 3:10:32PM

Agency code: 755

Agency name: Stephen F. Austin State University

Goal/ Objective / Outcome

| | BL 2018 | BL 2019 | Excp 2018 | Excp 2019 | Total Request 2018 | Total Request 2019 |
|---|--------------------|--------------------|----------------------|----------------------|-----------------------------------|-----------------------------------|
| 31 External or Sponsored Research Funds As a % of State Appropriations | 12.00% | 13.00% | | | 12.00% | 13.00% |
| 32 External Research Funds As Percentage Appropriated for Research | 400.00% | 400.00% | | | 400.00% | 400.00% |
| 48 % Endowed Professorships/ Chairs Unfilled All/ Part of Fiscal Year | 0.00% | 0.00% | | | 0.00% | 0.00% |
| 49 Average No Months Endowed Chairs Remain Vacant | 0.00 | 0.00 | | | 0.00 | 0.00 |

755 Stephen F. Austin State University

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Service Categories:

Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 ⁽¹⁾ | BL 2019 ⁽¹⁾ |
|------------------------------------|---|------------|------------|------------|------------------------|------------------------|
| Output Measures: | | | | | | |
| 1 | Number of Undergraduate Degrees Awarded | 2,059.00 | 2,043.00 | 2,108.00 | 2,075.00 | 2,090.00 |
| 2 | Number of Minority Graduates | 706.00 | 788.00 | 675.00 | 726.00 | 750.00 |
| 3 | Number of Underprepared Students Who Satisfy TSI Obligation in Math | 150.00 | 75.00 | 85.00 | 150.00 | 150.00 |
| 4 | Number of Underprepared Students Who Satisfy TSI Obligation in Writing | 30.00 | 78.00 | 100.00 | 30.00 | 30.00 |
| 5 | Number of Underprepared Students Who Satisfy TSI Obligation in Reading | 30.00 | 63.00 | 66.00 | 30.00 | 30.00 |
| 6 | Number of Two-Year College Transfers Who Graduate | 608.00 | 615.00 | 640.00 | 615.00 | 640.00 |
| Efficiency Measures: | | | | | | |
| KEY 1 | Administrative Cost As a Percent of Operating Budget | 10.96 % | 11.90 % | 11.22 % | 10.96 % | 10.96 % |
| KEY 2 | Avg Cost of Resident Undergraduate Tuition and Fees for 15 SCH | 4,416.00 | 4,656.00 | 4,769.00 | 4,769.00 | 4,769.00 |
| Explanatory/Input Measures: | | | | | | |
| 1 | Student/Faculty Ratio | 18.60 | 17.90 | 17.70 | 17.60 | 18.00 |
| 2 | Number of Minority Students Enrolled | 4,423.00 | 4,363.00 | 4,428.00 | 4,363.00 | 4,428.00 |
| 3 | Number of Community College Transfers Enrolled | 2,495.00 | 2,414.00 | 4,455.00 | 4,369.00 | 2,450.00 |
| 4 | Number of Semester Credit Hours Completed | 152,217.00 | 149,585.00 | 149,208.00 | 149,079.00 | 151,829.00 |

(1) - Formula funded strategies are not requested in 2018-19 because amounts are not determined by institutions.

755 Stephen F. Austin State University

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Service Categories:

Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 ⁽¹⁾ | BL 2019 ⁽¹⁾ |
|---------------------------------|---|---------------------|---------------------|---------------------|------------------------|------------------------|
| | 5 Number of Semester Credit Hours | 155,901.00 | 151,854.00 | 151,857.00 | 151,657.00 | 154,132.00 |
| | 6 Number of Students Enrolled as of the Twelfth Class Day | 12,808.00 | 12,584.00 | 12,644.00 | 12,484.00 | 12,774.00 |
| KEY | 7 Average Student Loan Debt | 28,542.00 | 29,386.00 | 30,992.00 | 30,992.00 | 33,489.00 |
| KEY | 8 Percent of Students with Student Loan Debt | 69.00 % | 70.50 % | 70.70 % | 70.90 % | 71.20 % |
| KEY | 9 Average Financial Aid Award Per Full-Time Student | 13,368.00 | 13,502.00 | 13,637.00 | 13,909.00 | 14,188.00 |
| KEY | 10 Percent of Full-Time Students Receiving Financial Aid | 71.00 % | 71.70 % | 72.40 % | 73.80 % | 75.00 % |
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$8,479,821 | \$8,409,816 | \$8,480,622 | \$0 | \$0 |
| 1002 | OTHER PERSONNEL COSTS | \$124,996 | \$123,964 | \$122,978 | \$0 | \$0 |
| 1005 | FACULTY SALARIES | \$30,290,221 | \$30,040,162 | \$29,801,045 | \$0 | \$0 |
| 1010 | PROFESSIONAL SALARIES | \$391,528 | \$388,296 | \$385,205 | \$0 | \$0 |
| 2004 | UTILITIES | \$218,221 | \$216,419 | \$214,697 | \$0 | \$0 |
| 2009 | OTHER OPERATING EXPENSE | \$466,618 | \$462,766 | \$321,335 | \$0 | \$0 |
| TOTAL, OBJECT OF EXPENSE | | \$39,971,405 | \$39,641,423 | \$39,325,882 | \$0 | \$0 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$28,441,490 | \$27,212,148 | \$27,340,200 | \$0 | \$0 |

(1) - Formula funded strategies are not requested in 2018-19 because amounts are not determined by institutions.

755 Stephen F. Austin State University

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Service Categories:
 Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 ⁽¹⁾ | BL 2019 ⁽¹⁾ |
|--|---------------------------|---------------------|---------------------|---------------------|------------------------|------------------------|
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$28,441,490 | \$27,212,148 | \$27,340,200 | \$0 | \$0 |
| Method of Financing: | | | | | | |
| 704 | Bd Authorized Tuition Inc | \$868,269 | \$890,844 | \$975,000 | \$0 | \$0 |
| 770 | Est Oth Educ & Gen Inco | \$10,661,646 | \$11,538,431 | \$11,010,682 | \$0 | \$0 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED) | | \$11,529,915 | \$12,429,275 | \$11,985,682 | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$39,971,405 | \$39,641,423 | \$39,325,882 | \$0 | \$0 |
| FULL TIME EQUIVALENT POSITIONS: | | 551.0 | 547.7 | 546.7 | 546.7 | 546.7 |

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Instruction and Operations Formula provides funding for faculty salaries, including nursing, departmental operating expense, library, instructional administration, research enhancement, student services, and institutional support. These funds are distributed on a weighted semester credit hour basis. The rate per weighted semester credit hour is established by the Legislature each biennium.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

(1) - Formula funded strategies are not requested in 2018-19 because amounts are not determined by institutions.

755 Stephen F. Austin State University

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Service Categories:
 Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

(1) (1)

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | BIENNIAL | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|----------------|---------------------------------------|---|
| Base Spending (Est 2016 + Bud 2017) | Baseline Request (BL 2018 + BL 2019) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$78,967,305 | \$0 | \$(78,967,305) | \$(78,967,305) | Formula funded strategies are not requested in 2018-2019 because amounts are not determined by institutions |
| | | | \$(78,967,305) | Total of Explanation of Biennial Change |

(1) - Formula funded strategies are not requested in 2018-19 because amounts are not determined by institutions.

755 Stephen F. Austin State University

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 3 Staff Group Insurance Premiums

Service Categories:
 Service: 06 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Objects of Expense: | | | | | | |
| 2009 | OTHER OPERATING EXPENSE | \$2,856,126 | \$3,073,534 | \$3,104,269 | \$3,135,312 | \$3,166,665 |
| TOTAL, OBJECT OF EXPENSE | | \$2,856,126 | \$3,073,534 | \$3,104,269 | \$3,135,312 | \$3,166,665 |
| Method of Financing: | | | | | | |
| 770 | Est Oth Educ & Gen Inco | \$2,856,126 | \$3,073,534 | \$3,104,269 | \$3,135,312 | \$3,166,665 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED) | | \$2,856,126 | \$3,073,534 | \$3,104,269 | \$3,135,312 | \$3,166,665 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$3,135,312 | \$3,166,665 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$2,856,126 | \$3,073,534 | \$3,104,269 | \$3,135,312 | \$3,166,665 |

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

This strategy reflects the institutional portion of health insurance costs.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The rising cost of health care and health insurance impact this strategy.

755 Stephen F. Austin State University

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 3 Staff Group Insurance Premiums

Service Categories:
 Service: 06 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL CHANGE</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|---|------------------------|---------------------------------------|--|
| <u>Base Spending (Est 2016 + Bud 2017)</u> | <u>Baseline Request (BL 2018 + BL 2019)</u> | | <u>\$ Amount</u> | <u>Explanation(s) of Amount (must specify MOFs and FTEs)</u> |
| \$6,177,803 | \$6,301,977 | \$124,174 | \$124,174 | Group Insurance expected to increase for 2018-2019 |
| | | | \$124,174 | Total of Explanation of Biennial Change |

755 Stephen F. Austin State University

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 6 Texas Public Education Grants

Service Categories:

Service: 20 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Objects of Expense: | | | | | | |
| 3001 | CLIENT SERVICES | \$2,021,427 | \$2,024,297 | \$2,025,000 | \$2,025,000 | \$2,025,000 |
| TOTAL, OBJECT OF EXPENSE | | \$2,021,427 | \$2,024,297 | \$2,025,000 | \$2,025,000 | \$2,025,000 |
| Method of Financing: | | | | | | |
| 770 | Est Oth Educ & Gen Inco | \$2,021,427 | \$2,024,297 | \$2,025,000 | \$2,025,000 | \$2,025,000 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED) | | \$2,021,427 | \$2,024,297 | \$2,025,000 | \$2,025,000 | \$2,025,000 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$2,025,000 | \$2,025,000 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$2,021,427 | \$2,024,297 | \$2,025,000 | \$2,025,000 | \$2,025,000 |

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

This strategy reflects the portion of per hour tuition revenue that is set aside for grants for students.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Factors that impact this strategy include the state of the economy and the economic status of students.

755 Stephen F. Austin State University

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 6 Texas Public Education Grants

Service Categories:
 Service: 20 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|---|-----------------|---------------------------------------|--|
| <u>Base Spending (Est 2016 + Bud 2017)</u> | <u>Baseline Request (BL 2018 + BL 2019)</u> | <u>CHANGE</u> | <u>\$ Amount</u> | <u>Explanation(s) of Amount (must specify MOFs and FTEs)</u> |
| \$4,049,297 | \$4,050,000 | \$703 | \$703 | Rounding Difference |
| | | | <u>\$703</u> | Total of Explanation of Biennial Change |

755 Stephen F. Austin State University

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 7 Organized Activities

Service Categories:
 Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|--------------------------|------------------|------------------|------------------|------------------|------------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$726,382 | \$687,927 | \$886,817 | \$886,817 | \$886,817 |
| 1002 | OTHER PERSONNEL COSTS | \$14,473 | \$25,264 | \$17,670 | \$17,670 | \$17,670 |
| 2003 | CONSUMABLE SUPPLIES | \$0 | \$1,295 | \$0 | \$0 | \$0 |
| 2007 | RENT - MACHINE AND OTHER | \$0 | \$583 | \$0 | \$0 | \$0 |
| 2009 | OTHER OPERATING EXPENSE | \$420 | \$37,978 | \$513 | \$513 | \$513 |
| TOTAL, OBJECT OF EXPENSE | | \$741,275 | \$753,047 | \$905,000 | \$905,000 | \$905,000 |
| Method of Financing: | | | | | | |
| 770 | Est Oth Educ & Gen Inco | \$741,275 | \$753,047 | \$905,000 | \$905,000 | \$905,000 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED) | | \$741,275 | \$753,047 | \$905,000 | \$905,000 | \$905,000 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$905,000 | \$905,000 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$741,275 | \$753,047 | \$905,000 | \$905,000 | \$905,000 |
| FULL TIME EQUIVALENT POSITIONS: | | 11.0 | 13.0 | 13.0 | 13.0 | 13.0 |

755 Stephen F. Austin State University

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support Service Categories:
 STRATEGY: 7 Organized Activities Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

STRATEGY DESCRIPTION AND JUSTIFICATION:

All costs of activities or enterprises separately organized and operated in connection with instructional departments primarily of the purpose of giving professional training to students as a necessary part of the educational work of the related departments. Organized activities provide laboratory experiences for the University students in Agriculture and Early Childhood programs of instruction. These units also provide public service to the community and region and serve as resources in conducting appropriate research.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Raises in minimum wage, rising cost of food, feed and equipment affect the teaching farms and early childhood lab. The farms do not produce enough income to cover the cost of operation because they are instructional units and lack economies of scale.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL CHANGE</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|---|------------------------|---------------------------------------|--|
| <u>Base Spending (Est 2016 + Bud 2017)</u> | <u>Baseline Request (BL 2018 + BL 2019)</u> | | <u>\$ Amount</u> | <u>Explanation(s) of Amount (must specify MOFs and FTEs)</u> |
| \$1,658,047 | \$1,810,000 | \$151,953 | \$151,953 | Expect to spend all revenue collected in 2018-2019 |
| | | | \$151,953 | Total of Explanation of Biennial Change |

755 Stephen F. Austin State University

GOAL: 2 Provide Infrastructure Support
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space
 STRATEGY: 1 Educational and General Space Support

Service Categories:
 Service: 10 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 ⁽¹⁾ | BL 2019 ⁽¹⁾ |
|--|--------------------------------------|--------------------|--------------------|--------------------|------------------------|------------------------|
| Efficiency Measures: | | | | | | |
| 1 | Space Utilization Rate of Classrooms | 25.00 | 30.00 | 32.00 | 32.00 | 33.00 |
| 2 | Space Utilization Rate of Labs | 25.00 | 27.00 | 25.00 | 25.00 | 26.00 |
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$1,664,970 | \$1,676,187 | \$1,681,337 | \$0 | \$0 |
| 1002 | OTHER PERSONNEL COSTS | \$64,755 | \$65,191 | \$65,392 | \$0 | \$0 |
| TOTAL, OBJECT OF EXPENSE | | \$1,729,725 | \$1,741,378 | \$1,746,729 | \$0 | \$0 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$1,678,008 | \$1,692,870 | \$1,697,934 | \$0 | \$0 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$1,678,008 | \$1,692,870 | \$1,697,934 | \$0 | \$0 |
| Method of Financing: | | | | | | |
| 770 | Est Oth Educ & Gen Inco | \$51,717 | \$48,508 | \$48,795 | \$0 | \$0 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED) | | \$51,717 | \$48,508 | \$48,795 | \$0 | \$0 |

(1) - Formula funded strategies are not requested in 2018-19 because amounts are not determined by institutions.

755 Stephen F. Austin State University

GOAL: 2 Provide Infrastructure Support
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space
 STRATEGY: 1 Educational and General Space Support

Service Categories:
 Service: 10 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 ⁽¹⁾ | BL 2019 ⁽¹⁾ |
|--|-------------|----------|----------|----------|------------------------|------------------------|
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | | | | \$1,729,725 | \$1,741,378 |
| FULL TIME EQUIVALENT POSITIONS: | | | | | 51.0 | 53.0 |

STRATEGY DESCRIPTION AND JUSTIFICATION:

The portion of the formula related to utilities is adjusted to reflect differences in unit costs for purchased utilities, including electricity, natural gas, water and wastewater, and thermal energy.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

(1) - Formula funded strategies are not requested in 2018-19 because amounts are not determined by institutions.

755 Stephen F. Austin State University

GOAL: 2 Provide Infrastructure Support
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space
 STRATEGY: 1 Educational and General Space Support

Service Categories:
 Service: 10 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 ⁽¹⁾ | BL 2019 ⁽¹⁾ |
|------|-------------|----------|----------|----------|------------------------|------------------------|
|------|-------------|----------|----------|----------|------------------------|------------------------|

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | BIENNIAL | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|---------------|---------------------------------------|---|
| Base Spending (Est 2016 + Bud 2017) | Baseline Request (BL 2018 + BL 2019) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$3,488,107 | \$0 | \$(3,488,107) | \$(3,488,107) | Formula funded strategies are not requested in 2018-2019 because amounts are not determined by institutions |
| | | | \$(3,488,107) | Total of Explanation of Biennial Change |

(1) - Formula funded strategies are not requested in 2018-19 because amounts are not determined by institutions.

755 Stephen F. Austin State University

GOAL: 2 Provide Infrastructure Support
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space
 STRATEGY: 2 Tuition Revenue Bond Retirement

Service Categories:
 Service: 10 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|--------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Objects of Expense: | | | | | | |
| 2001 | PROFESSIONAL FEES AND SERVICES | \$775 | \$754 | \$752 | \$0 | \$0 |
| 2008 | DEBT SERVICE | \$4,438,406 | \$4,319,252 | \$7,893,477 | \$7,445,116 | \$7,440,416 |
| TOTAL, OBJECT OF EXPENSE | | \$4,439,181 | \$4,320,006 | \$7,894,229 | \$7,445,116 | \$7,440,416 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$4,439,181 | \$4,320,006 | \$7,894,229 | \$7,445,116 | \$7,440,416 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$4,439,181 | \$4,320,006 | \$7,894,229 | \$7,445,116 | \$7,440,416 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$7,445,116 | \$7,440,416 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$4,439,181 | \$4,320,006 | \$7,894,229 | \$7,445,116 | \$7,440,416 |
| FULL TIME EQUIVALENT POSITIONS: | | | | | | |
| STRATEGY DESCRIPTION AND JUSTIFICATION: | | | | | | |

755 Stephen F. Austin State University

GOAL: 2 Provide Infrastructure Support
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space Service Categories:
 STRATEGY: 2 Tuition Revenue Bond Retirement Service: 10 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

To provide 2016-17 ongoing debt service for existing tuition revenue bond projects. The debt service that is requested for fiscal years 2018 and 2019 supports tuition revenue bond projects in 2002, 2006, 2007, and 2015. Those projects include the 2002 Series which funded a new Telecommunications building and equipment as well as renovations to Power Plant and infrastructure; the 2006 Series which funded the construction of a new Early Childhood Research Center; the 2007 series which funded the construction of a new Nursing building as well as deferred maintenance; and the 2015 series which will fund the construction of a new Science, Technology, Engineering, and Mathematics (STEM) building.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

A major factor impacting this strategy is the economic health of the state of Texas.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | BIENNIAL CHANGE | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|--------------------|---------------------------------------|--|
| Base Spending (Est 2016 + Bud 2017) | Baseline Request (BL 2018 + BL 2019) | | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$12,214,235 | \$14,885,532 | \$2,671,297 | \$(917,544) | Decreased TRB Debt Service for 2018-2019 |
| | | | \$3,588,841 | THECB Rider 71, Contingency Appropriation, HB100 TRB Debt Service not appropriated for 2016 |
| | | | \$2,671,297 | Total of Explanation of Biennial Change |

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support

OBJECTIVE: 1 Instructional Support Special Item Support

STRATEGY: 1 Rural Nursing Initiative

Service Categories:

Service: 19

Income: A.1

Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-----------------------|------------------|------------------|------------------|------------------|------------------|
| Objects of Expense: | | | | | | |
| 1002 | OTHER PERSONNEL COSTS | \$149,028 | \$144,776 | \$0 | \$0 | \$0 |
| 1005 | FACULTY SALARIES | \$483,417 | \$487,669 | \$632,445 | \$632,445 | \$632,445 |
| TOTAL, OBJECT OF EXPENSE | | \$632,445 | \$632,445 | \$632,445 | \$632,445 | \$632,445 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$632,445 | \$632,445 | \$632,445 | \$632,445 | \$632,445 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$632,445 | \$632,445 | \$632,445 | \$632,445 | \$632,445 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$632,445 | \$632,445 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$632,445 | \$632,445 | \$632,445 | \$632,445 | \$632,445 |
| FULL TIME EQUIVALENT POSITIONS: | | 8.0 | 8.0 | 8.0 | 8.0 | 8.0 |

STRATEGY DESCRIPTION AND JUSTIFICATION:

The mission of the Rural Nursing Initiative is to address the shortage of nurses in rural East Texas by increasing the number of students admitted into the nursing program. Since nurses who train in rural areas tend to remain in rural areas, funding for this item would permit additional students to be admitted to the baccalaureate program and will thereby increase the number of nurses in the East Texas region. This is a continuation of the current project.

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 1 Instructional Support Special Item Support Service Categories:
 STRATEGY: 1 Rural Nursing Initiative Service: 19 Income: A.1 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item Information.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL CHANGE</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|---|------------------------|---------------------------------------|--|
| <u>Base Spending (Est 2016 + Bud 2017)</u> | <u>Baseline Request (BL 2018 + BL 2019)</u> | | <u>\$ Amount</u> | <u>Explanation(s) of Amount (must specify MOFs and FTEs)</u> |
| \$1,264,890 | \$1,264,890 | \$0 | \$0 | No Change |
| | | | \$0 | Total of Explanation of Biennial Change |

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 2 Research Special Item Support
 STRATEGY: 1 Center for Applied Studies in Forestry

Service Categories:
 Service: 21 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-----------------------|------------------|------------------|------------------|------------------|------------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$257,926 | \$301,598 | \$369,856 | \$369,856 | \$369,856 |
| 1002 | OTHER PERSONNEL COSTS | \$175,583 | \$111,263 | \$43,598 | \$43,598 | \$43,598 |
| 1005 | FACULTY SALARIES | \$121,945 | \$142,593 | \$142,000 | \$142,000 | \$142,000 |
| TOTAL, OBJECT OF EXPENSE | | \$555,454 | \$555,454 | \$555,454 | \$555,454 | \$555,454 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$555,454 | \$555,454 | \$555,454 | \$555,454 | \$555,454 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$555,454 | \$555,454 | \$555,454 | \$555,454 | \$555,454 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$555,454 | \$555,454 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$555,454 | \$555,454 | \$555,454 | \$555,454 | \$555,454 |
| FULL TIME EQUIVALENT POSITIONS: | | 5.5 | 5.0 | 5.5 | 5.5 | 5.5 |

STRATEGY DESCRIPTION AND JUSTIFICATION:

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 2 Research Special Item Support Service Categories:
 STRATEGY: 1 Center for Applied Studies in Forestry Service: 21 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

The Center for Applied Studies in Forestry (CASF) in the Arthur Temple College of Forestry and Agriculture is dedicated to applied research that delivers working solutions to the economic and ecological challenges associated with forest resources in Texas. It has existed since 1980 and serves a diverse clientele, providing information not available at any other location in the state. The center provides essential knowledge and expertise required to manage, protect and conserve forest and environmental resources in Texas and to promote sustainable economic development. The Center conducts integrated programs of interdisciplinary research, graduate education, training for professional foresters, and provides services to a broad range of clientele groups.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item Information.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|-----------------|---------------------------------------|---|
| Base Spending (Est 2016 + Bud 2017) | Baseline Request (BL 2018 + BL 2019) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$1,110,908 | \$1,110,908 | \$0 | \$0 | No Change |
| | | | \$0 | Total of Explanation of Biennial Change |

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 3 Public Service Special Item Support
 STRATEGY: 1 Stone Fort Museum and Research Center of East Texas

Service Categories:
 Service: 04 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-------------------------|------------------|------------------|------------------|------------------|------------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$67,624 | \$65,709 | \$65,709 | \$65,709 | \$65,709 |
| 1002 | OTHER PERSONNEL COSTS | \$3,840 | \$3,731 | \$3,731 | \$3,731 | \$3,731 |
| 1005 | FACULTY SALARIES | \$37,496 | \$36,434 | \$36,434 | \$36,434 | \$36,434 |
| TOTAL, OBJECT OF EXPENSE | | \$108,960 | \$105,874 | \$105,874 | \$105,874 | \$105,874 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$105,874 | \$105,874 | \$105,874 | \$105,874 | \$105,874 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$105,874 | \$105,874 | \$105,874 | \$105,874 | \$105,874 |
| Method of Financing: | | | | | | |
| 770 | Est Oth Educ & Gen Inco | \$3,086 | \$0 | \$0 | \$0 | \$0 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED) | | \$3,086 | \$0 | \$0 | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$105,874 | \$105,874 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$108,960 | \$105,874 | \$105,874 | \$105,874 | \$105,874 |
| FULL TIME EQUIVALENT POSITIONS: | | 1.5 | 1.5 | 1.5 | 1.5 | 1.5 |

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 3 Public Service Special Item Support Service Categories:
 STRATEGY: 1 Stone Fort Museum and Research Center of East Texas Service: 04 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Stone Fort Museum (SFM) is an educational center at Stephen F. Austin State University (SFASU) serving the University and regional community through interdisciplinary, collaborative research, service learning projects, and educational programs. Its goal is to support the mission of the University and the preservation of eastern Texas history. As an academic support unit of the University, the Museum functions as a center within the College of Liberal and Applied Arts. The Museum’s Unit Objectives are linked to the mission of SFASU in four areas:

- Education Services – The Museum will provide quality learner-centered services to a diverse community; including a University, local, regional, and statewide audience.
- Research & Interpretation – The Museum will provide faculty, staff and students opportunities to engage in interdisciplinary, collaborative research on topics relevant to the museum collection, informal education, interpretive methods, and museum management.
- Resources – The Museum will maintain and enhance resources, including, human resources, facilities and artifactual collections.
- Civic Engagement & Quality Relationships – The Museum will seek to build quality relationships locally, regionally, statewide, and nationally that foster growth and provide civic engagement opportunities for faculty, staff and students.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item Information.

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 3 Public Service Special Item Support Service Categories:
 STRATEGY: 1 Stone Fort Museum and Research Center of East Texas Service: 04 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL CHANGE</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|---|------------------------|---------------------------------------|--|
| <u>Base Spending (Est 2016 + Bud 2017)</u> | <u>Baseline Request (BL 2018 + BL 2019)</u> | | <u>\$ Amount</u> | <u>Explanation(s) of Amount (must specify MOFs and FTEs)</u> |
| \$211,748 | \$211,748 | \$0 | \$0 | No change |
| | | | \$0 | Total of Explanation of Biennial Change |

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 3 Public Service Special Item Support
 STRATEGY: 2 Soil Plant and Water Analysis Laboratory

Service Categories:
 Service: 37 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-------------------------|------------------|-----------------|-----------------|-----------------|-----------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$105,530 | \$58,445 | \$58,445 | \$58,445 | \$58,445 |
| 1002 | OTHER PERSONNEL COSTS | \$3,520 | \$1,949 | \$1,949 | \$1,949 | \$1,949 |
| TOTAL, OBJECT OF EXPENSE | | \$109,050 | \$60,394 | \$60,394 | \$60,394 | \$60,394 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$60,394 | \$60,394 | \$60,394 | \$60,394 | \$60,394 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$60,394 | \$60,394 | \$60,394 | \$60,394 | \$60,394 |
| Method of Financing: | | | | | | |
| 770 | Est Oth Educ & Gen Inco | \$48,656 | \$0 | \$0 | \$0 | \$0 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED) | | \$48,656 | \$0 | \$0 | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$60,394 | \$60,394 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$109,050 | \$60,394 | \$60,394 | \$60,394 | \$60,394 |
| FULL TIME EQUIVALENT POSITIONS: | | 1.3 | 1.3 | 1.3 | 1.3 | 1.3 |

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 3 Public Service Special Item Support Service Categories:
 STRATEGY: 2 Soil Plant and Water Analysis Laboratory Service: 37 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

STRATEGY DESCRIPTION AND JUSTIFICATION:

The mission of the Soil, Plant and Water Analysis laboratory involves Public Service, Research, and Instructional Support in analysis of soils, plant tissue, animal wastes, water quality and the development of new plant materials which supports diversity in landscapes of Texas. This comprehensive program supports combined regional agricultural hay, poultry, livestock, dairy and nursery industries with an estimated value of well over a billion dollars.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item Information.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|-----------------|---------------------------------------|---|
| Base Spending (Est 2016 + Bud 2017) | Baseline Request (BL 2018 + BL 2019) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$120,788 | \$120,788 | \$0 | \$0 | No Change |
| | | | \$0 | Total of Explanation of Biennial Change |

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 3 Public Service Special Item Support
 STRATEGY: 3 Applied Poultry Studies and Research

Service Categories:
 Service: 38 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-----------------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$32,000 | \$32,000 | \$32,000 | \$32,000 | \$32,000 |
| 1002 | OTHER PERSONNEL COSTS | \$24,960 | \$24,960 | \$24,960 | \$24,960 | \$24,960 |
| TOTAL, OBJECT OF EXPENSE | | \$56,960 | \$56,960 | \$56,960 | \$56,960 | \$56,960 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$56,960 | \$56,960 | \$56,960 | \$56,960 | \$56,960 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$56,960 | \$56,960 | \$56,960 | \$56,960 | \$56,960 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$56,960 | \$56,960 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$56,960 | \$56,960 | \$56,960 | \$56,960 | \$56,960 |
| FULL TIME EQUIVALENT POSITIONS: | | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 |

STRATEGY DESCRIPTION AND JUSTIFICATION:

The purpose is to provide continued support in research, service, and teaching to the poultry industry of the East Texas Region. Research studies are designed to improve the economic efficiency within the integrated poultry industry and to address newly emerging topics in poultry production and management. The economics of the poultry industry in East Texas is an estimated 10 to 20 million dollars annually. The Center is in a very unique facility to provide important services to allied industry partners and to prepare our students for employment in this thriving industry..

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 3 Public Service Special Item Support Service Categories:
 STRATEGY: 3 Applied Poultry Studies and Research Service: 38 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item Information.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|-----------------|---------------------------------------|---|
| Base Spending (Est 2016 + Bud 2017) | Baseline Request (BL 2018 + BL 2019) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$113,920 | \$113,920 | \$0 | \$0 | No Change |
| | | | \$0 | Total of Explanation of Biennial Change |

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 4 Institutional Support Special Item Support
 STRATEGY: 1 Institutional Enhancement

Service Categories:
 Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-----------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$785,208 | \$892,008 | \$892,008 | \$645,081 | \$645,081 |
| 1002 | OTHER PERSONNEL COSTS | \$38,543 | \$43,841 | \$43,785 | \$43,785 | \$43,785 |
| 1005 | FACULTY SALARIES | \$3,374,671 | \$3,833,677 | \$3,833,677 | \$3,833,677 | \$3,833,677 |
| 2009 | OTHER OPERATING EXPENSE | \$460 | \$467 | \$523 | \$523 | \$523 |
| TOTAL, OBJECT OF EXPENSE | | \$4,198,882 | \$4,769,993 | \$4,769,993 | \$4,523,066 | \$4,523,066 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$2,976,294 | \$4,762,047 | \$4,762,047 | \$4,515,120 | \$4,515,120 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$2,976,294 | \$4,762,047 | \$4,762,047 | \$4,515,120 | \$4,515,120 |
| Method of Financing: | | | | | | |
| 770 | Est Oth Educ & Gen Inco | \$1,222,588 | \$0 | \$0 | \$0 | \$0 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED) | | \$1,222,588 | \$0 | \$0 | \$0 | \$0 |
| Method of Financing: | | | | | | |
| 802 | License Plate Trust Fund No. 0802 | \$0 | \$7,946 | \$7,946 | \$7,946 | \$7,946 |
| SUBTOTAL, MOF (OTHER FUNDS) | | \$0 | \$7,946 | \$7,946 | \$7,946 | \$7,946 |

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 4 Institutional Support Special Item Support
 STRATEGY: 1 Institutional Enhancement

Service Categories:
 Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$4,523,066 | \$4,523,066 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$4,198,882 | \$4,769,993 | \$4,769,993 | \$4,523,066 | \$4,523,066 |
| FULL TIME EQUIVALENT POSITIONS: | | 62.0 | 63.5 | 64.0 | 60.0 | 60.0 |

STRATEGY DESCRIPTION AND JUSTIFICATION:

Institutional Enhancement funding is used to attract, hire, and retain qualified faculty members who are committed to quality classroom instruction and research, as well as supporting recruitment, marketing and retention efforts. Some of the expenditures from this strategy are included in operations support.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item Information.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|-----------------|---------------------------------------|---|
| Base Spending (Est 2016 + Bud 2017) | Baseline Request (BL 2018 + BL 2019) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$9,539,986 | \$9,046,132 | \$(493,854) | \$(493,854) | 4% Reduction per General Revenue Limit |
| | | | <u>\$(493,854)</u> | Total of Explanation of Biennial Change |

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 5 Exceptional Item Request
 STRATEGY: 1 Exceptional Item Request

Service Categories:
 Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-------------------------|------------|------------|------------|------------|------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$0 | \$0 | \$0 | \$0 | \$0 |
| 1010 | PROFESSIONAL SALARIES | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2009 | OTHER OPERATING EXPENSE | \$0 | \$0 | \$0 | \$0 | \$0 |
| TOTAL, OBJECT OF EXPENSE | | \$0 | \$0 | \$0 | \$0 | \$0 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$0 | \$0 | \$0 | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$0 | \$0 | \$0 | \$0 | \$0 |
| FULL TIME EQUIVALENT POSITIONS: | | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| STRATEGY DESCRIPTION AND JUSTIFICATION: | | | | | | |

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 5 Exceptional Item Request
 STRATEGY: 1 Exceptional Item Request

Service Categories:
 Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item Information.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL CHANGE</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|---|------------------------|---------------------------------------|--|
| <u>Base Spending (Est 2016 + Bud 2017)</u> | <u>Baseline Request (BL 2018 + BL 2019)</u> | | <u>\$ Amount</u> | <u>Explanation(s) of Amount (must specify MOFs and FTEs)</u> |
| \$0 | \$0 | \$0 | \$0 | Exceptional Item Request for 2018-2019 |
| | | | \$0 | Total of Explanation of Biennial Change |

755 Stephen F. Austin State University

GOAL: 6 Research Funds
 OBJECTIVE: 1 Research Development Fund
 STRATEGY: 1 Research Development Fund

Service Categories:
 Service: 21 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-------------------------|------------------|------------|------------|------------|------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$74,125 | \$0 | \$0 | \$0 | \$0 |
| 1005 | FACULTY SALARIES | \$22,115 | \$0 | \$0 | \$0 | \$0 |
| 2003 | CONSUMABLE SUPPLIES | \$4,604 | \$0 | \$0 | \$0 | \$0 |
| 2009 | OTHER OPERATING EXPENSE | \$70,271 | \$0 | \$0 | \$0 | \$0 |
| 5000 | CAPITAL EXPENDITURES | \$259,165 | \$0 | \$0 | \$0 | \$0 |
| TOTAL, OBJECT OF EXPENSE | | \$430,280 | \$0 | \$0 | \$0 | \$0 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$430,280 | \$0 | \$0 | \$0 | \$0 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$430,280 | \$0 | \$0 | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$430,280 | \$0 | \$0 | \$0 | \$0 |
| FULL TIME EQUIVALENT POSITIONS: | | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |

755 Stephen F. Austin State University

GOAL: 6 Research Funds
 OBJECTIVE: 1 Research Development Fund Service Categories:
 STRATEGY: 1 Research Development Fund Service: 21 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Research Development Fund is distributed among eligible institutions based on the average amount of restricted research funds expended by each institution per year for the three preceding fiscal years. The purpose of these funds is to promote research capacity.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL CHANGE</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|---|------------------------|---------------------------------------|--|
| <u>Base Spending (Est 2016 + Bud 2017)</u> | <u>Baseline Request (BL 2018 + BL 2019)</u> | | <u>\$ Amount</u> | <u>Explanation(s) of Amount (must specify MOFs and FTEs)</u> |
| \$0 | \$0 | \$0 | \$0 | No Change |
| | | | <u>\$0</u> | Total of Explanation of Biennial Change |

755 Stephen F. Austin State University

GOAL: 6 Research Funds
 OBJECTIVE: 3 Comprehensive Research Fund
 STRATEGY: 1 Comprehensive Research Fund

Service Categories:
 Service: 21 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-------------------------|------------|------------------|------------------|------------|------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$0 | \$37,124 | \$37,124 | \$0 | \$0 |
| 1005 | FACULTY SALARIES | \$0 | \$11,076 | \$11,076 | \$0 | \$0 |
| 2003 | CONSUMABLE SUPPLIES | \$0 | \$2,306 | \$2,306 | \$0 | \$0 |
| 2009 | OTHER OPERATING EXPENSE | \$0 | \$35,193 | \$35,193 | \$0 | \$0 |
| 5000 | CAPITAL EXPENDITURES | \$0 | \$129,796 | \$129,796 | \$0 | \$0 |
| TOTAL, OBJECT OF EXPENSE | | \$0 | \$215,495 | \$215,495 | \$0 | \$0 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$0 | \$215,495 | \$215,495 | \$0 | \$0 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$0 | \$215,495 | \$215,495 | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$0 | \$215,495 | \$215,495 | \$0 | \$0 |
| FULL TIME EQUIVALENT POSITIONS: | | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 |

755 Stephen F. Austin State University

GOAL: 6 Research Funds
 OBJECTIVE: 3 Comprehensive Research Fund
 STRATEGY: 1 Comprehensive Research Fund

Service Categories:
 Service: 21 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Comprehensive Research Fund is distributed among eligible institutions based on the average amount of restricted research funds expended by each institution per year for the three preceding fiscal years. The purpose of these funds is to promote research capacity.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|-----------------|---------------------------------------|---|
| Base Spending (Est 2016 + Bud 2017) | Baseline Request (BL 2018 + BL 2019) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$430,990 | \$0 | \$(430,990) | \$(430,990) | Strategy not requested for 2018-2019 because amounts are not determined by institutions |
| | | | \$(430,990) | Total of Explanation of Biennial Change |

SUMMARY TOTALS:

| | | | | | |
|---|---------------------|---------------------|---------------------|---------------------|---------------------|
| OBJECTS OF EXPENSE: | \$57,851,170 | \$57,950,300 | \$61,397,724 | \$19,444,621 | \$19,471,274 |
| METHODS OF FINANCE (INCLUDING RIDERS): | | | | \$19,444,621 | \$19,471,274 |
| METHODS OF FINANCE (EXCLUDING RIDERS): | \$57,851,170 | \$57,950,300 | \$61,397,724 | \$19,444,621 | \$19,471,274 |
| FULL TIME EQUIVALENT POSITIONS: | 693.3 | 695.0 | 695.0 | 691.0 | 691.0 |

| Agency Code: 755 | | Agency: Stephen F. Austin State University | | | | Prepared By: Debbie Sellman | | | | | |
|--------------------|------------------------|--|-------------------------------------|-------------------------------------|---|-----------------------------|-------------------|-------------------|-------------------------|---------------------|---------|
| Date: October 2016 | | | | | | 16-17 Base | Requested 2018 | Requested 2019 | Biennial Total 18-19 | Biennial Difference | |
| Goal | Goal Name | Strategy | Strategy Name | Program | Program Name | | | | | \$ | % |
| A | Instruction/Operations | A.1.1 | Operations Support | Operations Support | Formula Funding - Instructions and Operations Support | \$75,862,009 | \$0 | \$0 | \$0 | (\$75,862,009) | -100.0% |
| | | A.1.2 | Teaching Experience Supplement | Teaching Experience Supplement | Formula Funding - Teaching Experience Supplement | \$3,105,296 | \$0 | \$0 | \$0 | (\$3,105,296) | -100.0% |
| | | A.1.3 | Staff Group Insurance Premiums | Staff Group Insurance Premiums | Staff Group Insurance | \$6,177,803 | \$3,135,312 | \$3,166,665 | \$6,301,977 | \$124,174 | 2.0% |
| | | A.1.4 | Texas Public Education Grants | Texas Public Education Grants | Texas Public Education Grants | \$4,049,297 | \$2,025,000 | \$2,025,000 | \$4,050,000 | \$703 | 0.0% |
| | | A.1.5 | Organized Activities | Organized Activities | Organized Activities | \$1,658,047 | \$905,000 | \$905,000 | \$1,810,000 | \$151,953 | 9.2% |
| B | Infrastructure Support | B.1.1 | E&G Space Support | E&G Space Support | Formula Funding - Educational & General Support | \$3,488,107 | \$0 | \$0 | \$0 | (\$3,488,107) | -100.0% |
| | | B.1.2 | Tuition Revenue Bond Retirement | Tuition Revenue Bond Retirement | Tuition Revenue Bond Retirement | \$12,214,235 | \$7,445,116 | \$7,440,416 | \$14,885,532 | \$2,671,297 | 21.9% |
| C | Special Item Support | C.1.1 | Rural Nursing Initiative | Rural Nursing Initiative | Rural Nursing Initiative | \$1,264,890 | \$632,445 | \$632,445 | \$1,264,890 | \$0 | 0.0% |
| | | C.2.1 | Applied Forestry Studies Center | Applied Forestry Studies Center | Applied Forestry Studies Center | \$1,110,908 | \$555,454 | \$555,454 | \$1,110,908 | \$0 | 0.0% |
| | | C.3.1 | Stone Fort Museum & Research Center | Stone Fort Museum & Research Center | Stone Fort Museum and Research Center | \$211,748 | \$105,874 | \$105,874 | \$211,748 | \$0 | 0.0% |
| | | C.3.2 | Soil Plant & Water Analysis Lab | Soil Plant & Water Analysis Lab | Soil, Plant, and Water Analysis Lab | \$120,788 | \$60,394 | \$60,394 | \$120,788 | \$0 | 0.0% |
| | | C.3.3 | Applied Poultry Studies & Research | Applied Poultry Studies & Research | Applied Poultry Studies and Research | \$113,920 | \$56,960 | \$56,960 | \$113,920 | \$0 | 0.0% |
| | | C.4.1 | Institutional Enhancement | Institutional Enhancement | Institutional Enhancement | | | | | | |
| | | | | | Operations Support | \$9,524,094 | \$4,515,120 | \$4,515,120 | \$9,030,240 | (\$493,854) | -5.2% |
| | | | | | License Plate Trust Fund | \$15,892 | \$7,946 | \$7,946 | \$15,892 | \$0 | 0.0% |
| | | 3.5.1 | Exceptional Item Request | Exceptional Item Request | Restoration of the 4% Reduction | \$0 | \$246,927 | \$246,927 | \$493,854 | \$493,854 | 100.0% |
| | | | | | STEM Education / Early Childhood Program | \$0 | \$1,000,000 | \$1,000,000 | \$2,000,000 | \$2,000,000 | 100.0% |
| D | Research Funds | D.1.1 | Comprehensive Research Fund | Comprehensive Research Fund | Comprehensive Research Fund | \$430,990 | \$0 | \$0 | \$0 | (\$430,990) | -100.0% |

4.A. Exceptional Item Request Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/14/2016
 TIME: 3:10:46PM

Agency code: 755

Agency name:
Stephen F. Austin State University

| CODE | DESCRIPTION | Excp 2018 | Excp 2019 |
|--|--|--------------------|--------------------|
| | Item Name: Science, Technology, Engineering, and Mathematics (STEM) Education / Early Childhood Program | | |
| | Item Priority: 1 | | |
| | IT Component: No | | |
| | Anticipated Out-year Costs: No | | |
| | Involve Contracts > \$50,000: No | | |
| | Includes Funding for the Following Strategy or Strategies: 03-05-01 Exceptional Item Request | | |
| OBJECTS OF EXPENSE: | | | |
| 1010 | PROFESSIONAL SALARIES | 400,000 | 400,000 |
| 2009 | OTHER OPERATING EXPENSE | 600,000 | 600,000 |
| | TOTAL, OBJECT OF EXPENSE | \$1,000,000 | \$1,000,000 |
| METHOD OF FINANCING: | | | |
| 1 | General Revenue Fund | 1,000,000 | 1,000,000 |
| | TOTAL, METHOD OF FINANCING | \$1,000,000 | \$1,000,000 |
| FULL-TIME EQUIVALENT POSITIONS (FTE): | | 5.00 | 5.00 |

DESCRIPTION / JUSTIFICATION:

A vibrant Science, Technology, Engineering, and Mathematics (STEM) workforce is vital to making Texas globally competitive. Institutions of higher education are being called upon to widen the STEM pipeline at all levels of education.

The early childhood years are particularly critical to the development of STEM concepts. Research confirms that the first five years of life account for over 90% of brain development and that the brain is particularly receptive to learning math and logic between the ages of 1 and 4.

Nationally, approximately 40% of U.S. children are not ready for kindergarten and too many children reach grade 4 lacking key science and math knowledge and skills. This deficiency is evident in Texas to the extent that the state has provided increased funding for high quality prekindergarten programs. Design of early STEM experiences is heavily dependent on the abilities of teachers to actively engage students in STEM learning.

SFA's College of Sciences and Mathematics, houses the STEM disciplinary departments and the STEM Research and Learning Center, which have a longstanding track record in research. SFA's College of Education is also known for its research in early childhood education. Therefore, we propose to combine the efforts of these two Colleges to invest our efforts in STEM teaching and learning specific to early childhood education as well as to provide professional development for early childhood educators and caregivers.

SFA is requesting \$1,000,000 per year of the biennium to engage faculty in the research, program development, and teacher preparation/professional development necessary to advance STEM early childhood education. We intend to develop best practices for the professional development of teachers and rich learning experiences for young

Agency code: **755**

Agency name:
Stephen F. Austin State University

| CODE | DESCRIPTION | Excp 2018 | Excp 2019 |
|-------------|--|------------------|------------------|
| | children in preschool and kindergarten classrooms that will be sustainable and replicable across the state of Texas. | | |

EXTERNAL/INTERNAL FACTORS:

SFA is uniquely positioned to connect STEM education and early childhood education through the institution’s STEM Research and Learning Center and the Early Childhood Research Center.

- SFA has a distinguished track record in designing and executing high profile programs that promote education in general and STEM education in particular.
- The K-12 outreach initiative through the STEM Research and Learning Center will work with the Early Childhood Research Center’s curricular design initiative to produce programs specific to embedding the STEM disciplines in early childhood education.
- SFA has experienced recent enrollment growth of STEM majors and has successfully implemented new STEM programs.
- STEM outreach activities are currently expanding to include students who are ages 6 to 9 years of age.
- SFA’s Early Childhood Research Center has two model educational programs, the Early Childhood Laboratory (infants-PK) and the University Charter School (K-5). These programs provide a supportive, inquiry-based learning environment that promotes STEM learning.
- Funding is requested to engage teams of current SFA STEM and early childhood faculty, clinical faculty, district master teachers, and SFA graduate students to support this effort. SFA must hire two STEM education faculty and three program consultants with expertise in early childhood STEM teaching and learning in order to fully execute program design and delivery. Without funding, this will not be possible.
- SFA is uniquely positioned to disseminate best practices related to STEM early childhood education through educator preparation and ongoing professional development in both the early childhood and STEM fields.

4.A. Exceptional Item Request Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/14/2016
 TIME: 3:10:46PM

Agency code: 755

Agency name:
Stephen F. Austin State University

| CODE | DESCRIPTION | Excp 2018 | Excp 2019 |
|------|--|-----------|-----------|
| | Item Name: Restoration of the 4% Reduction Item Priority: 2 IT Component: No Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies: 03-05-01 Exceptional Item Request | | |

OBJECTS OF EXPENSE:

| | | | |
|---------------------------------|--------------------|------------------|------------------|
| 1001 | SALARIES AND WAGES | 246,927 | 246,927 |
| TOTAL, OBJECT OF EXPENSE | | \$246,927 | \$246,927 |

METHOD OF FINANCING:

| | | | |
|-----------------------------------|----------------------|------------------|------------------|
| 1 | General Revenue Fund | 246,927 | 246,927 |
| TOTAL, METHOD OF FINANCING | | \$246,927 | \$246,927 |

FULL-TIME EQUIVALENT POSITIONS (FTE):

| | |
|------|------|
| 4.00 | 4.00 |
|------|------|

DESCRIPTION / JUSTIFICATION:

As required, the base request includes only 96 percent of our prior year special item funding. To comply with the required reduction, we reduced institutional enhancement funding by 4%. We request the institutional enhancement \$493,854 biennial restoration of the four percent reduction. The institutional enhancement item currently funds faculty salaries and academic support services that include counseling and career services, disability services and science curriculum and outreach coordinators. If the reduction is not restored, we would have to eliminate four positions that support academic student support services.

EXTERNAL/INTERNAL FACTORS:

Refer to Higher Ed, Schedule 9

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

Maintains requested funding level

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

| 2020 | 2021 | 2022 |
|-----------|-----------|-----------|
| \$246,927 | \$246,927 | \$246,927 |

Agency code: 755 Agency name: Stephen F. Austin State University

| Code | Description | Excp 2018 | Excp 2019 |
|--|-------------------------|--------------------|--------------------|
| Item Name: Science, Technology, Engineering, and Mathematics (STEM) Education / Early Childhood Program | | | |
| Allocation to Strategy: 3-5-1 Exceptional Item Request | | | |
| OBJECTS OF EXPENSE: | | | |
| 1010 | PROFESSIONAL SALARIES | 400,000 | 400,000 |
| 2009 | OTHER OPERATING EXPENSE | 600,000 | 600,000 |
| TOTAL, OBJECT OF EXPENSE | | \$1,000,000 | \$1,000,000 |
| METHOD OF FINANCING: | | | |
| 1 | General Revenue Fund | 1,000,000 | 1,000,000 |
| TOTAL, METHOD OF FINANCING | | \$1,000,000 | \$1,000,000 |
| FULL-TIME EQUIVALENT POSITIONS (FTE): | | 5.0 | 5.0 |

Agency code: 755 Agency name: Stephen F. Austin State University

| Code | Description | Excp 2018 | Excp 2019 |
|---|----------------------|------------------|------------------|
| Item Name: Restoration of the 4% Reduction | | | |
| Allocation to Strategy: 3-5-1 Exceptional Item Request | | | |
| OBJECTS OF EXPENSE: | | | |
| 1001 | SALARIES AND WAGES | 246,927 | 246,927 |
| TOTAL, OBJECT OF EXPENSE | | \$246,927 | \$246,927 |
| METHOD OF FINANCING: | | | |
| 1 | General Revenue Fund | 246,927 | 246,927 |
| TOTAL, METHOD OF FINANCING | | \$246,927 | \$246,927 |
| FULL-TIME EQUIVALENT POSITIONS (FTE): | | 4.0 | 4.0 |

4.C. Exceptional Items Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/14/2016
TIME: 3:10:47PM

Agency Code: **755** Agency name: **Stephen F. Austin State University**

GOAL: 3 Provide Special Item Support

OBJECTIVE: 5 Exceptional Item Request

STRATEGY: 1 Exceptional Item Request

Service Categories:

Service: 19 Income: A.2 Age: B.3

| CODE DESCRIPTION | Excp 2018 | Excp 2019 |
|-------------------------|------------------|------------------|
|-------------------------|------------------|------------------|

OBJECTS OF EXPENSE:

| | | |
|------------------------------|---------|---------|
| 1001 SALARIES AND WAGES | 246,927 | 246,927 |
| 1010 PROFESSIONAL SALARIES | 400,000 | 400,000 |
| 2009 OTHER OPERATING EXPENSE | 600,000 | 600,000 |

Total, Objects of Expense

| | |
|--------------------|--------------------|
| \$1,246,927 | \$1,246,927 |
|--------------------|--------------------|

METHOD OF FINANCING:

| | | |
|------------------------|-----------|-----------|
| 1 General Revenue Fund | 1,246,927 | 1,246,927 |
|------------------------|-----------|-----------|

Total, Method of Finance

| | |
|--------------------|--------------------|
| \$1,246,927 | \$1,246,927 |
|--------------------|--------------------|

FULL-TIME EQUIVALENT POSITIONS (FTE):

| | |
|-----|-----|
| 9.0 | 9.0 |
|-----|-----|

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Science, Technology, Engineering, and Mathematics (STEM) Education / Early Childhood Program

Restoration of the 4% Reduction

6.A. Historically Underutilized Business Supporting Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/14/2016**
 Time: **3:10:48PM**

Agency Code: **755** Agency: **Stephen F. Austin State University**

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year 2014 - 2015 HUB Expenditure Information

| Statewide HUB Goals | Procurement Category | % Goal | HUB Expenditures FY 2014 | | | Total Expenditures FY 2014 | | HUB Expenditures FY 2015 | | | Total Expenditures FY 2015 | |
|------------------------|---------------------------|--------|--------------------------|--------|--------------------|----------------------------------|----------|--------------------------|-----------|--------------------|----------------------------------|--|
| | | | % Actual | Diff | Actual \$ | % Goal | % Actual | Diff | Actual \$ | FY 2015 | | |
| 11.2% | Heavy Construction | 11.2 % | 87.4% | 76.2% | \$54,015 | \$61,790 | 11.2 % | 72.6% | 61.4% | \$76,026 | \$104,788 | |
| 21.1% | Building Construction | 21.1 % | 8.9% | -12.2% | \$58,125 | \$650,880 | 21.1 % | 52.0% | 30.9% | \$103,300 | \$198,810 | |
| 32.9% | Special Trade | 32.7 % | 21.3% | -11.4% | \$702,694 | \$3,293,679 | 32.9 % | 26.7% | -6.2% | \$896,580 | \$3,362,382 | |
| 23.7% | Professional Services | 23.6 % | 6.4% | -17.2% | \$17,352 | \$272,738 | 23.7 % | 2.6% | -21.1% | \$7,222 | \$276,289 | |
| 26.0% | Other Services | 24.6 % | 4.3% | -20.3% | \$447,081 | \$10,310,352 | 26.0 % | 15.7% | -10.3% | \$1,519,657 | \$9,676,290 | |
| 21.1% | Commodities | 21.0 % | 14.4% | -6.6% | \$3,486,390 | \$24,176,649 | 21.1 % | 10.9% | -10.2% | \$2,781,018 | \$25,551,728 | |
| | Total Expenditures | | 12.3% | | \$4,765,657 | \$38,766,088 | | 13.7% | | \$5,383,803 | \$39,170,287 | |

B. Assessment of Fiscal Year 2014 - 2015 Efforts to Meet HUB Procurement Goals

Attainment:

The agency attained or exceeded one of six, or 16.7%, of the applicable statewide HUB procurement goals in FY 2014.
 The agency attained or exceeded two of six, or 33.3%, of the applicable statewide HUB procurement goals in FY 2015.

Applicability:

All categories are applicable to agency operations in fiscal years 2014 and 2015.

Factors Affecting Attainment:

Building Construction-FY14 & Special Trade-FY14 & FY15-goals were not met; however expenditures were for orders placed following appropriate procedures and ensuring that HUBs were given adequate opportunity to participate. Where applicable HUB Subcontracting Plans were required & reviewed for good faith effort.

Professional Services-goals were not met; however all procurements were made following selection of the most qualified vendor as per Gov't Code 2254.

Other Services-goals were not met; however procurements or contracts were secured through a small order or solicitation process that did not impose unreasonable or unnecessary contract requirements. Many Other Services procurements are made locally for which there are few if any available HUBs locally.

Commodities-goals were not met; however procurements or contracts were secured through a small order or solicitation process that did not impose unreasonable or unnecessary contract requirements.

6.A. Historically Underutilized Business Supporting Schedule
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/14/2016**
Time: **3:10:48PM**

Agency Code: **755** Agency: **Stephen F. Austin State University**

"Good-Faith" Efforts:

The agency made the following good faith efforts to comply with statewide HUB procurement goals per 34 TAC Section 20.13(c):

-Attended economic opportunity forums, distributing information on procurement procedures in a manner that encouraged participation in agency contracts by all businesses, and providing procurement opportunities at some forums;

-Hosted HUB forums on campus

-SFA actively participates in the Texas Universities HUB Coordinators Alliance with other institutions of higher ed, collaborating on advertising and sharing best practices.

-Ensured that contract specifications, terms and conditions reflected the agency's actual requirements, were clearly stated, and did not impose unreasonable or unnecessary contract requirements

-Providing assistance to HUBs by reviewing HUB certification applications, discussing how to do business with the university, etc

Stephen F. Austin State University (755)
Estimated Funds Outside the Institution's Bill Pattern
2016-17 and 2018-19 Biennia

| | 2016-17 Biennium | | | | 2018-19 Biennium | | | |
|--|----------------------------------|----------------------------------|---------------------------------|-----------------------------------|----------------------------------|----------------------------------|---------------------------------|-----------------------------------|
| | <u>FY 2016</u> <u>Revenue</u> | <u>FY 2017</u> <u>Revenue</u> | <u>Biennium</u> <u>Total</u> | <u>Percent</u> <u>of Total</u> | <u>FY 2018</u> <u>Revenue</u> | <u>FY 2019</u> <u>Revenue</u> | <u>Biennium</u> <u>Total</u> | <u>Percent</u> <u>of Total</u> |
| APPROPRIATED SOURCES INSIDE THE BILL PATTERN | | | | | | | | |
| State Appropriations (excluding HEGI & State Paid Fringes) | \$ 39,613,693 | \$ 39,732,191 | \$ 79,345,884 | | \$ 39,265,903 | \$ 39,265,903 | \$ 78,531,806 | |
| Tuition and Fees (net of Discounts and Allowances) | 14,750,000 | 15,000,000 | 29,750,000 | | 15,100,000 | 15,100,000 | 30,200,000 | |
| Endowment and Interest Income | 55,000 | 55,000 | 110,000 | | 50,000 | 50,000 | 100,000 | |
| Sales and Services of Educational Activities (net) | 850,000 | 850,000 | 1,700,000 | | 950,000 | 975,000 | 1,925,000 | |
| Sales and Services of Hospitals (net) | - | - | - | | - | - | - | |
| Other Income | 10,000 | 10,000 | 20,000 | | 5,000 | 5,000 | 10,000 | |
| Total | <u>55,278,693</u> | <u>55,647,191</u> | <u>110,925,884</u> | <u>24.7%</u> | <u>55,370,903</u> | <u>55,395,903</u> | <u>110,766,806</u> | <u>23.9%</u> |
| APPROPRIATED SOURCES OUTSIDE THE BILL PATTERN | | | | | | | | |
| State Appropriations (HEGI & State Paid Fringes) | \$ 11,916,346 | \$ 12,526,550 | \$ 24,442,896 | | \$ 12,750,000 | \$ 12,750,000 | \$ 25,500,000 | |
| Higher Education Assistance Funds | 7,757,442 | 11,636,163 | \$ 19,393,605 | | 11,636,163 | 11,636,163 | \$ 23,272,326 | |
| Available University Fund | - | - | \$ - | | - | - | \$ - | |
| State Grants and Contracts | 8,698,978 | 8,700,000 | \$ 17,398,978 | | 8,750,000 | 8,750,000 | \$ 17,500,000 | |
| Total | <u>28,372,766</u> | <u>32,862,713</u> | <u>61,235,479</u> | <u>13.6%</u> | <u>33,136,163</u> | <u>33,136,163</u> | <u>66,272,326</u> | <u>14.3%</u> |
| NON-APPROPRIATED SOURCES | | | | | | | | |
| Tuition and Fees (net of Discounts and Allowances) | 65,900,000 | 67,500,000 | 133,400,000 | | 68,500,000 | 69,000,000 | 137,500,000 | |
| Federal Grants and Contracts | 25,000,000 | 25,200,000 | 50,200,000 | | 25,000,000 | 25,000,000 | 50,000,000 | |
| State Grants and Contracts | 3,000,000 | 3,000,000 | 6,000,000 | | 3,100,000 | 3,100,000 | 6,200,000 | |
| Local Government Grants and Contracts | 290,000 | 300,000 | 590,000 | | 250,000 | 300,000 | 550,000 | |
| Private Gifts and Grants | 5,500,000 | 5,750,000 | 11,250,000 | | 6,000,000 | 6,500,000 | 12,500,000 | |
| Endowment and Interest Income | 1,200,000 | 1,500,000 | 2,700,000 | | 1,500,000 | 1,500,000 | 3,000,000 | |
| Sales and Services of Educational Activities (net) | 4,750,000 | 4,800,000 | 9,550,000 | | 4,850,000 | 4,900,000 | 9,750,000 | |
| Sales and Services of Hospitals (net) | - | - | - | | - | - | - | |
| Professional Fees (net) | - | - | - | | - | - | - | |
| Auxiliary Enterprises (net) | 31,200,000 | 32,000,000 | 63,200,000 | | 32,500,000 | 33,500,000 | 66,000,000 | |
| Other Income | 185,000 | 185,000 | 370,000 | | 190,000 | 190,000 | 380,000 | |
| Total | <u>137,025,000</u> | <u>140,235,000</u> | <u>277,260,000</u> | <u>61.7%</u> | <u>141,890,000</u> | <u>143,990,000</u> | <u>285,880,000</u> | <u>61.8%</u> |
| TOTAL SOURCES | <u>\$ 220,676,459</u> | <u>\$ 228,744,904</u> | <u>\$ 449,421,363</u> | <u>100.0%</u> | <u>\$ 230,397,066</u> | <u>\$ 232,522,066</u> | <u>\$ 462,919,132</u> | <u>100.0%</u> |

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/14/2016

Time: 3:10:48PM

Agency code: 755 Agency name: **Stephen F. Austin State University**

| Item Priority and Name/ Method of Financing | REVENUE LOSS | | | REDUCTION AMOUNT | | | TARGET |
|---|--------------|------|----------------|------------------|------|----------------|--------|
| | 2018 | 2019 | Biennial Total | 2018 | 2019 | Biennial Total | |

1 Salaries and Benefits - 5%

Category: Administrative - FTEs / Hiring and Salary Freeze

Item Comment: As a result of past general revenue budget and funding reductions, Stephen F. Austin State University would likely have to reduce its workforce in order to manage another reduction in state appropriations. Past funding reductions impacted positions in academic and student support areas. The 5% appropriation reduction would adversely impact the university's ability to deliver current academic and student services.

Strategy: 3-4-1 Institutional Enhancement

General Revenue Funds

| | | | | | | |
|------------------------------------|------------|------------|------------|------------------|------------------|------------------|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$296,312 | \$296,312 | \$592,624 |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$296,312 | \$296,312 | \$592,624 |
| Item Total | \$0 | \$0 | \$0 | \$296,312 | \$296,312 | \$592,624 |

FTE Reductions (From FY 2018 and FY 2019 Base Request) **4.0** **4.0**

2 Salaries and Benefits - 5%

Category: Administrative - FTEs / Hiring and Salary Freeze

Item Comment: As a result of past general revenue budget and funding reductions, Stephen F. Austin State University would likely have to reduce its workforce in order to manage another reduction in state appropriations. Past funding reductions impacted positions in academic and student support areas. The 5% appropriation reduction would adversely impact the university's ability to deliver current academic and student services.

Strategy: 3-4-1 Institutional Enhancement

General Revenue Funds

| | | | | | | |
|------------------------------------|------------|------------|------------|------------------|------------------|------------------|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$296,313 | \$296,312 | \$592,625 |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$296,313 | \$296,312 | \$592,625 |
| Item Total | \$0 | \$0 | \$0 | \$296,313 | \$296,312 | \$592,625 |

FTE Reductions (From FY 2018 and FY 2019 Base Request) **4.0** **4.0**

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/14/2016

Time: 3:10:48PM

Agency code: 755 Agency name: **Stephen F. Austin State University**

| Item Priority and Name/ Method of Financing | REVENUE LOSS | | | REDUCTION AMOUNT | | | TARGET |
|---|--------------|------|----------------|------------------|-----------|----------------|-------------|
| | 2018 | 2019 | Biennial Total | 2018 | 2019 | Biennial Total | |
| AGENCY TOTALS | | | | | | | |
| General Revenue Total | | | | \$592,625 | \$592,624 | \$1,185,249 | \$1,185,249 |
| Agency Grand Total | \$0 | \$0 | \$0 | \$592,625 | \$592,624 | \$1,185,249 | \$1,185,249 |
| Difference, Options Total Less Target | | | | | | | |
| Agency FTE Reductions (From FY 2018 and FY 2019 Base Request) | | | | 8.0 | 8.0 | | |

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

| 755 Stephen F. Austin State University | | | | | |
|---|-------------------|-------------------|-------------------|-------------------|-------------------|
| | Act 2015 | Act 2016 | Bud 2017 | Est 2018 | Est 2019 |
| Gross Tuition | | | | | |
| Gross Resident Tuition | 15,717,876 | 15,678,277 | 15,646,920 | 15,700,000 | 15,700,000 |
| Gross Non-Resident Tuition | 5,698,919 | 6,703,280 | 6,775,811 | 6,800,000 | 6,850,000 |
| Gross Tuition | 21,416,795 | 22,381,557 | 22,422,731 | 22,500,000 | 22,550,000 |
| Less: Resident Waivers and Exemptions (excludes Hazlewood) | (299,770) | (260,359) | (273,377) | (280,000) | (280,000) |
| Less: Non-Resident Waivers and Exemptions | (2,186,314) | (2,918,438) | (3,064,360) | (3,050,000) | (3,060,000) |
| Less: Hazlewood Exemptions | (701,148) | (736,221) | (773,032) | (770,000) | (770,000) |
| Less: Board Authorized Tuition Increases (TX. Educ. Code Ann. Sec. 54.008) | (868,269) | (890,844) | (975,000) | (950,000) | (950,000) |
| Less: Tuition increases charged to doctoral students with hours in excess of 100 (TX. Educ. Code Ann. Sec. 54.012) | 0 | 0 | 0 | 0 | 0 |
| Less: Tuition increases charged to undergraduate students with excessive hours above degree requirements. (TX. Educ. Code Ann. Sec. 61.0595) | (1,531,466) | (1,683,912) | (1,500,000) | (1,500,000) | (1,500,000) |
| Less: Tuition rebates for certain undergraduates (TX. Educ. Code Ann. Sec. 54.0065) | (440,000) | (454,000) | (445,000) | (445,000) | (445,000) |
| Plus: Tuition waived for Students 55 Years or Older (TX. Educ. Code Ann. Sec. 54.013) | 0 | 0 | 0 | 0 | 0 |
| Less: Tuition for repeated or excessive hours (TX. Educ. Code Ann. Sec. 54.014) | (265,300) | (276,520) | (250,000) | (250,000) | (250,000) |
| Plus: Tuition waived for Texas Grant Recipients (TX. Educ. Code Ann. Sec. 56.307) | 0 | 0 | 0 | 0 | 0 |
| Subtotal | 15,124,528 | 15,161,263 | 15,141,962 | 15,255,000 | 15,295,000 |
| Less: Transfer of funds for Texas Public Education Grants Program (Tex. Educ. Code Ann. Sec. 56c) and for Emergency Loans (Tex. Educ. Code Ann. Sec. 56d) | (2,021,427) | (2,024,297) | (2,025,000) | (2,025,000) | (2,025,000) |
| Less: Transfer of Funds (2%) for Physician/Dental Loans (Medical Schools) | 0 | 0 | 0 | 0 | 0 |
| Less: Statutory Tuition (Tx. Educ. Code Ann. Sec. 54.051) Set Aside for Doctoral Incentive Loan Repayment Program (Tx. Educ. Code Ann. Sec. 56.095) | 0 | 0 | 0 | 0 | 0 |
| Less: Other Authorized Deduction | | | | | |
| Net Tuition | 13,103,101 | 13,136,966 | 13,116,962 | 13,230,000 | 13,270,000 |

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

| 755 Stephen F. Austin State University | | | | | |
|--|-------------------|-------------------|-------------------|-------------------|-------------------|
| | Act 2015 | Act 2016 | Bud 2017 | Est 2018 | Est 2019 |
| Student Teaching Fees | 0 | 0 | 0 | 0 | 0 |
| Special Course Fees | 192,862 | 203,321 | 195,000 | 195,000 | 195,000 |
| Laboratory Fees | 208,331 | 203,910 | 200,000 | 200,000 | 200,000 |
| Subtotal, Tuition and Fees (Formula Amounts for Health-Related Institutions) | 13,504,294 | 13,544,197 | 13,511,962 | 13,625,000 | 13,665,000 |
| OTHER INCOME | | | | | |
| Interest on General Funds: | | | | | |
| Local Funds in State Treasury | 32,294 | 70,265 | 35,000 | 35,000 | 35,000 |
| Funds in Local Depositories, e.g., local amounts | 0 | 0 | 0 | 0 | 0 |
| Other Income (Itemize) | | | | | |
| Miscellaneous Revenue | 40 | 50 | 30 | 30 | 30 |
| Subtotal, Other Income | 32,334 | 70,315 | 35,030 | 35,030 | 35,030 |
| Subtotal, Other Educational and General Income | 13,536,628 | 13,614,512 | 13,546,992 | 13,660,030 | 13,700,030 |
| Less: O.A.S.I. Applicable to Educational and General Local Funds Payrolls | (849,880) | (849,861) | (866,786) | (896,782) | (914,718) |
| Less: Teachers Retirement System and ORP Proportionality for Educational and General Funds | (761,074) | (771,498) | (786,928) | (802,666) | (802,666) |
| Less: Staff Group Insurance Premiums | (2,856,126) | (3,073,534) | (3,104,269) | (3,135,312) | (3,166,665) |
| Total, Other Educational and General Income (Formula Amounts for General Academic Institutions) | 9,069,548 | 8,919,619 | 8,789,009 | 8,825,270 | 8,815,981 |
| Reconciliation to Summary of Request for FY 2015-2017: | | | | | |
| Plus: Transfer of Funds for Texas Public Education Grants Program and Physician Loans | 2,021,427 | 2,024,297 | 2,025,000 | 2,025,000 | 2,025,000 |
| Plus: Transfer of Funds 2% for Physician/Dental Loans (Medical Schools) | 0 | 0 | 0 | 0 | 0 |
| Plus: Transfer of Funds for Cancellation of Student Loans of Physicians | 0 | 0 | 0 | 0 | 0 |
| Plus: Organized Activities | 1,034,911 | 869,149 | 905,000 | 905,000 | 905,000 |
| Plus: Staff Group Insurance Premiums | 2,856,126 | 3,073,534 | 3,104,269 | 3,135,312 | 3,166,665 |
| Plus: Board-authorized Tuition Income | 868,269 | 890,844 | 975,000 | 950,000 | 950,000 |
| Plus: Tuition Increases Charged to Doctoral Students with Hours in Excess of 100 | 0 | 0 | 0 | 0 | 0 |

Schedule 1A: Other Educational and General Income

10/14/2016 3:10:50PM

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

| 755 Stephen F. Austin State University | | | | | |
|---|-------------------|-------------------|-------------------|-------------------|-------------------|
| | Act 2015 | Act 2016 | Bud 2017 | Est 2018 | Est 2019 |
| Plus: Tuition Increases Charged to Undergraduate Students with Excessive Hours above Degree Requirements (TX. Educ. Code Ann. Sec. 61.0595) | 1,531,466 | 1,683,912 | 1,500,000 | 1,500,000 | 1,500,000 |
| Plus: Tuition rebates for certain undergraduates (TX Educ.Code Ann. Sec. 54.0065) | 440,000 | 454,000 | 445,000 | 445,000 | 445,000 |
| Plus: Tuition for repeated or excessive hours (TX. Educ. Code Ann. Sec. 54.014) | 265,300 | 276,520 | 250,000 | 250,000 | 250,000 |
| Less: Tuition Waived for Students 55 Years or Older | 0 | 0 | 0 | 0 | 0 |
| Less: Tuition Waived for Texas Grant Recipients | 0 | 0 | 0 | 0 | 0 |
| Total, Other Educational and General Income Reported on Summary of Request | 18,087,047 | 18,191,875 | 17,993,278 | 18,035,582 | 18,057,646 |

Schedule 2: Selected Educational, General and Other Funds

10/14/2016 3:10:51PM

85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

755 Stephen F. Austin State University

| | Act 2015 | Act 2016 | Bud 2017 | Est 2018 | Est 2019 |
|---|-------------------|-------------------|-------------------|-------------------|-------------------|
| General Revenue Transfers | | | | | |
| Transfer from Coordinating Board for Advanced Research Program | 0 | 0 | 0 | 0 | 0 |
| Transfer from Coordinating Board for Texas College Work Study Program (2015, 2016, 2017) | 82,180 | 82,136 | 84,451 | 0 | 0 |
| Transfer from Coordinating Board for Professional Nursing Shortage Reduction Program | 0 | 227,078 | 0 | 0 | 0 |
| Transfer of GR Group Insurance Premium from Comptroller (UT and TAMU Components only) | 0 | 0 | 0 | 0 | 0 |
| Less: Transfer to Other Institutions | 0 | 0 | 0 | 0 | 0 |
| Less: Transfer to Department of Health, Disproportionate Share - State-Owned Hospitals (2015, 2016, 2017) | 0 | 0 | 0 | 0 | 0 |
| Other (Itemize) | | | | | |
| Other: Fifth Year Accounting Scholarship | 24,707 | 26,435 | 25,000 | 0 | 0 |
| Texas Grants | 7,757,625 | 8,177,018 | 7,572,992 | 0 | 0 |
| B-on-Time Program | 1,562,983 | 0 | 0 | 0 | 0 |
| Less: Transfer to System Administration | 0 | 0 | 0 | 0 | 0 |
| Subtotal, General Revenue Transfers | 9,427,495 | 8,512,667 | 7,682,443 | 0 | 0 |
| General Revenue HEF for Operating Expenses | 0 | 0 | 0 | 0 | 0 |
| Transfer from Available University Funds (UT, A&M and Prairie View A&M Only) | 0 | 0 | 0 | 0 | 0 |
| Other Additions (Itemize) | | | | | |
| Increase Capital Projects - Educational and General Funds | 0 | 0 | 0 | 0 | 0 |
| Transfer from Department of Health, Disproportionate Share - State-owned Hospitals (2015, 2016, 2017) | 0 | 0 | 0 | 0 | 0 |
| Transfers from Other Funds, e.g., Designated funds transferred for educational and general activities (Itemize) | 8,464,612 | 2,580 | 0 | 0 | 0 |
| Transfer from Coordinating Board for Incentive Funding | 0 | 0 | 0 | 0 | 0 |
| Other (Itemize) | | | | | |
| License Plate Insignia | 10,053 | 11,045 | 8,500 | 0 | 0 |
| Gross Designated Tuition (Sec. 54.0513) | 59,383,615 | 64,374,292 | 62,784,000 | 64,000,000 | 64,000,000 |
| Indirect Cost Recovery (Sec. 145.001(d)) | 308,192 | 295,000 | 305,000 | 305,000 | 305,000 |

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

755 Stephen F. Austin State University

| | Act 2015 | Act 2016 | Bud 2017 | Est 2018 | Est 2019 |
|--|-----------------|-----------------|-----------------|-----------------|-----------------|
| Correctional Managed Care Contracts | 0 | 0 | 0 | 0 | 0 |

755 Stephen F. Austin State University

| | E&G Enrollment | GR Enrollment | GR-D/OEGI Enrollment | Total E&G (Check) | Local Non-E&G |
|----------------------------------|----------------|---------------|----------------------|-------------------|---------------|
| GR & GR-D Percentages | | | | | |
| GR % | | 74.86% | | | |
| GR-D/Other % | | 25.14% | | | |
| Total Percentage | | 100.00% | | | |
| FULL TIME ACTIVES | | | | | |
| 1a Employee Only | 327 | 245 | 82 | 327 | 509 |
| 2a Employee and Children | 144 | 108 | 36 | 144 | 125 |
| 3a Employee and Spouse | 94 | 70 | 24 | 94 | 80 |
| 4a Employee and Family | 138 | 103 | 35 | 138 | 97 |
| 5a Eligible, Opt Out | 2 | 1 | 1 | 2 | 0 |
| 6a Eligible, Not Enrolled | 0 | 0 | 0 | 0 | 0 |
| Total for This Section | 705 | 527 | 178 | 705 | 811 |
| PART TIME ACTIVES | | | | | |
| 1b Employee Only | 2 | 1 | 1 | 2 | 10 |
| 2b Employee and Children | 1 | 1 | 0 | 1 | 0 |
| 3b Employee and Spouse | 0 | 0 | 0 | 0 | 0 |
| 4b Employee and Family | 0 | 0 | 0 | 0 | 0 |
| 5b Eligible, Opt Out | 0 | 0 | 0 | 0 | 1 |
| 6b Eligible, Not Enrolled | 26 | 19 | 7 | 26 | 273 |
| Total for This Section | 29 | 21 | 8 | 29 | 284 |
| Total Active Enrollment | 734 | 548 | 186 | 734 | 1,095 |

755 Stephen F. Austin State University

| | E&G Enrollment | GR Enrollment | GR-D/OEGI Enrollment | Total E&G (Check) | Local Non-E&G |
|-----------------------------------|---------------------------|----------------------|-----------------------------|------------------------------|--------------------------|
| FULL TIME RETIREES by ERS | | | | | |
| 1c Employee Only | 0 | 0 | 0 | 0 | 0 |
| 2c Employee and Children | 0 | 0 | 0 | 0 | 0 |
| 3c Employee and Spouse | 0 | 0 | 0 | 0 | 0 |
| 4c Employee and Family | 0 | 0 | 0 | 0 | 0 |
| 5c Eligible, Opt Out | 0 | 0 | 0 | 0 | 0 |
| 6c Eligible, Not Enrolled | 0 | 0 | 0 | 0 | 0 |
| Total for This Section | 0 | 0 | 0 | 0 | 0 |
| PART TIME RETIREES by ERS | | | | | |
| 1d Employee Only | 0 | 0 | 0 | 0 | 0 |
| 2d Employee and Children | 0 | 0 | 0 | 0 | 0 |
| 3d Employee and Spouse | 0 | 0 | 0 | 0 | 0 |
| 4d Employee and Family | 0 | 0 | 0 | 0 | 0 |
| 5d Eligible, Opt Out | 0 | 0 | 0 | 0 | 0 |
| 6d Eligible, Not Enrolled | 0 | 0 | 0 | 0 | 0 |
| Total for This Section | 0 | 0 | 0 | 0 | 0 |
| Total Retirees Enrollment | 0 | 0 | 0 | 0 | 0 |
| TOTAL FULL TIME ENROLLMENT | | | | | |
| 1e Employee Only | 327 | 245 | 82 | 327 | 509 |
| 2e Employee and Children | 144 | 108 | 36 | 144 | 125 |
| 3e Employee and Spouse | 94 | 70 | 24 | 94 | 80 |
| 4e Employee and Family | 138 | 103 | 35 | 138 | 97 |
| 5e Eligible, Opt Out | 2 | 1 | 1 | 2 | 0 |
| 6e Eligible, Not Enrolled | 0 | 0 | 0 | 0 | 0 |
| Total for This Section | 705 | 527 | 178 | 705 | 811 |

755 Stephen F. Austin State University

| | E&G Enrollment | GR Enrollment | GR-D/OEGI Enrollment | Total E&G (Check) | Local Non-E&G |
|-------------------------------|---------------------------|----------------------|-----------------------------|------------------------------|--------------------------|
| TOTAL ENROLLMENT | | | | | |
| 1f Employee Only | 329 | 246 | 83 | 329 | 519 |
| 2f Employee and Children | 145 | 109 | 36 | 145 | 125 |
| 3f Employee and Spouse | 94 | 70 | 24 | 94 | 80 |
| 4f Employee and Family | 138 | 103 | 35 | 138 | 97 |
| 5f Eligible, Opt Out | 2 | 1 | 1 | 2 | 1 |
| 6f Eligible, Not Enrolled | 26 | 19 | 7 | 26 | 273 |
| Total for This Section | 734 | 548 | 186 | 734 | 1,095 |

Schedule 4: Computation of OASI
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency 755 Stephen F. Austin State University

| Proportionality Percentage Based on Comptroller Accounting Policy Statement #011, Exhibit 2 | 2015 | | 2016 | | 2017 | | 2018 | | 2019 | |
|---|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|
| | <u>% to Total</u> | <u>Allocation of OASI</u> | <u>% to Total</u> | <u>Allocation of OASI</u> | <u>% to Total</u> | <u>Allocation of OASI</u> | <u>% to Total</u> | <u>Allocation of OASI</u> | <u>% to Total</u> | <u>Allocation of OASI</u> |
| General Revenue (% to Total) | 74.5830 | \$2,493,867 | 74.8579 | \$2,530,370 | 74.8600 | \$2,581,050 | 74.5000 | \$2,620,010 | 74.5000 | \$2,672,410 |
| Other Educational and General Funds (% to Total) | 25.4170 | \$849,880 | 25.1421 | \$849,861 | 25.1400 | \$866,786 | 25.5000 | \$896,782 | 25.5000 | \$914,718 |
| Health-Related Institutions Patient Income (% to Total) | 0.0000 | \$0 | 0.0000 | \$0 | 0.0000 | \$0 | 0.0000 | \$0 | 0.0000 | \$0 |
| Grand Total, OASI (100%) | 100.0000 | \$3,343,747 | 100.0000 | \$3,380,231 | 100.0000 | \$3,447,836 | 100.0000 | \$3,516,792 | 100.0000 | \$3,587,128 |

Schedule 5: Calculation of Retirement Proportionality and ORP Differential

10/14/2016 3:10:52PM

85th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

755 Stephen F. Austin State University

| Description | Act 2015 | Act 2016 | Bud 2017 | Est 2018 | Est 2019 |
|--|------------|------------|------------|------------|------------|
| Proportionality Amounts | | | | | |
| Gross Educational and General Payroll - Subject To TRS Retirement | 22,303,727 | 22,474,495 | 22,923,985 | 23,052,359 | 23,052,359 |
| Employer Contribution to TRS Retirement Programs | 1,516,653 | 1,528,266 | 1,558,831 | 1,567,560 | 1,567,560 |
| Gross Educational and General Payroll - Subject To ORP Retirement | 22,389,347 | 23,341,528 | 23,808,359 | 23,941,686 | 23,941,686 |
| Employer Contribution to ORP Retirement Programs | 1,477,697 | 1,540,541 | 1,571,352 | 1,580,151 | 1,580,151 |
| Proportionality Percentage | | | | | |
| General Revenue | 74.5830 % | 74.8600 % | 74.8600 % | 74.5000 % | 74.5000 % |
| Other Educational and General Income | 25.4170 % | 25.1400 % | 25.1400 % | 25.5000 % | 25.5000 % |
| Health-related Institutions Patient Income | 0.0000 % | 0.0000 % | 0.0000 % | 0.0000 % | 0.0000 % |
| Proportional Contribution | | | | | |
| Other Educational and General Proportional Contribution (Other E&G percentage x Total Employer Contribution to Retirement Programs) | 761,074 | 771,498 | 786,928 | 802,666 | 802,666 |
| HRI Patient Income Proportional Contribution (HRI Patient Income percentage x Total Employer Contribution To Retirement Programs) | 0 | 0 | 0 | 0 | 0 |
| Differential | | | | | |
| Differential Percentage | 1.9000 % | 1.9000 % | 1.9000 % | 1.9000 % | 1.9000 % |
| Gross Payroll Subject to Differential - Optional Retirement Program | 7,208,000 | 6,956,278 | 7,095,404 | 7,095,404 | 7,095,404 |
| Total Differential | 136,952 | 132,169 | 134,813 | 134,813 | 134,813 |

Schedule 6: Constitutional Capital Funding
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/14/2016 3:10:53PM

| 755 Stephen F. Austin State University | | | | | |
|---|-----------------|-----------------|-----------------|-----------------|-----------------|
| Activity | Act 2015 | Act 2016 | Bud 2017 | Est 2018 | Est 2019 |
| A. PUF Bond Proceeds Allocation | 0 | 0 | 0 | 0 | 0 |
| Project Allocation | | | | | |
| Library Acquisitions | 0 | 0 | 0 | 0 | 0 |
| Construction, Repairs and Renovations | 0 | 0 | 0 | 0 | 0 |
| Furnishings & Equipment | 0 | 0 | 0 | 0 | 0 |
| Computer Equipment & Infrastructure | 0 | 0 | 0 | 0 | 0 |
| Reserve for Future Consideration | 0 | 0 | 0 | 0 | 0 |
| Other (Itemize) | | | | | |
| B. HEF General Revenue Allocation | 8,425,937 | 7,757,442 | 11,636,136 | 11,636,136 | 11,636,136 |
| Project Allocation | | | | | |
| Library Acquisitions | 1,118,397 | 1,253,539 | 1,450,000 | 1,450,000 | 1,450,000 |
| Construction, Repairs and Renovations | 1,115,782 | 2,219,582 | 2,500,000 | 2,500,000 | 2,500,000 |
| Furnishings & Equipment | 1,029,127 | 745,539 | 992,493 | 1,094,418 | 1,101,030 |
| Computer Equipment & Infrastructure | 1,282,487 | 859,459 | 1,500,000 | 1,500,000 | 1,500,000 |
| Reserve for Future Consideration | 916,820 | 453,469 | 0 | 0 | 0 |
| HEF for Debt Service | 1,978,275 | 1,888,976 | 1,983,643 | 1,981,718 | 1,975,106 |
| Other (Itemize) | | | | | |
| HEF Annual Allocations | | | | | |
| Other Fees and Charges | 6,981 | 5,664 | 10,000 | 20,000 | 20,000 |
| University Vehicles | 0 | 240,729 | 250,000 | 250,000 | 250,000 |
| Purchase of Buildings | 0 | 0 | 250,000 | 0 | 0 |
| Software | 111,299 | 41,939 | 150,000 | 150,000 | 150,000 |
| Telecommunications Infrastructure | 503,422 | 0 | 800,000 | 940,000 | 940,000 |
| Contracted Services | 302,646 | 11,380 | 250,000 | 250,000 | 250,000 |
| Supplies and Materials | 60,701 | 37,166 | 1,500,000 | 1,500,000 | 1,500,000 |

Schedule 7: Personnel
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/14/2016
 Time: 3:10:53PM

Agency code: **755** Agency name: **Stephen F. Austin State University**

| | Actual 2015 | Actual 2016 | Budgeted 2017 | Estimated 2018 | Estimated 2019 |
|---|----------------|----------------|------------------|-------------------|-------------------|
| Part A. | | | | | |
| FTE Postions | | | | | |
| Directly Appropriated Funds (Bill Pattern) | | | | | |
| Educational and General Funds Faculty Employees | 379.5 | 380.0 | 380.0 | 380.0 | 380.0 |
| Educational and General Funds Non-Faculty Employees | 313.8 | 315.0 | 315.0 | 312.0 | 312.0 |
| Subtotal, Directly Appropriated Funds | 693.3 | 695.0 | 695.0 | 692.0 | 692.0 |
| Non Appropriated Funds Employees | 1,064.9 | 1,191.7 | 1,210.0 | 1,210.0 | 1,210.0 |
| Subtotal, Other Funds & Non-Appropriated | 1,064.9 | 1,191.7 | 1,210.0 | 1,210.0 | 1,210.0 |
| GRAND TOTAL | 1,758.2 | 1,886.7 | 1,905.0 | 1,902.0 | 1,902.0 |
| Part B. | | | | | |
| Personnel Headcount | | | | | |
| Directly Appropriated Funds (Bill Pattern) | | | | | |
| Educational and General Funds Faculty Employees | 454.0 | 356.0 | 356.0 | 356.0 | 356.0 |
| Educational and General Funds Non-Faculty Employees | 502.0 | 259.0 | 259.0 | 259.0 | 259.0 |
| Subtotal, Directly Appropriated Funds | 956.0 | 615.0 | 615.0 | 615.0 | 615.0 |
| Non Appropriated Funds Employees | 2,016.0 | 2,390.0 | 2,426.0 | 2,426.0 | 2,426.0 |
| Subtotal, Non-Appropriated | 2,016.0 | 2,390.0 | 2,426.0 | 2,426.0 | 2,426.0 |
| GRAND TOTAL | 2,972.0 | 3,005.0 | 3,041.0 | 3,041.0 | 3,041.0 |

Schedule 7: Personnel
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/14/2016
 Time: 3:10:53PM

Agency code: **755** Agency name: **Stephen F. Austin State University**

| | Actual 2015 | Actual 2016 | Budgeted 2017 | Estimated 2018 | Estimated 2019 |
|---|-----------------------|-----------------------|-------------------------|--------------------------|--------------------------|
| PART C. | | | | | |
| Salaries | | | | | |
| Directly Appropriated Funds (Bill Pattern) | | | | | |
| Educational and General Funds Faculty Employees | \$34,689,808 | \$34,411,162 | \$36,456,806 | \$36,456,806 | \$36,456,806 |
| Educational and General Funds Non-Faculty Employees | \$12,511,554 | \$10,875,618 | \$9,942,097 | \$9,942,097 | \$9,942,097 |
| Subtotal, Directly Appropriated Funds | \$47,201,362 | \$45,286,780 | \$46,398,903 | \$46,398,903 | \$46,398,903 |
| Non Appropriated Funds Employees | \$45,240,104 | \$48,702,054 | \$46,430,921 | \$46,430,921 | \$46,430,921 |
| Subtotal, Non-Appropriated | \$45,240,104 | \$48,702,054 | \$46,430,921 | \$46,430,921 | \$46,430,921 |
| GRAND TOTAL | \$92,441,466 | \$93,988,834 | \$92,829,824 | \$92,829,824 | \$92,829,824 |

Schedule 8B: Tuition Revenue Bond Issuance History

10/14/2016 3:10:54PM

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

755 Stephen F. Austin State University

| Authorization Date | Authorization Amount | Issuance Date | Issuance Amount | Authorized Amount Outstanding as of 08/31/2016 | Proposed Issuance Date for Outstanding Authorization | Proposed Issuance Amount for Outstanding Authorization |
|--------------------|----------------------|-----------------|-----------------|--|--|--|
| 1998 | \$6,000,000 | Sep 1 1998 | \$6,000,000 | | | |
| | | <i>Subtotal</i> | \$6,000,000 | \$0 | | |
| 2002 | \$14,070,000 | Jun 15 2002 | \$14,070,000 | | | |
| | | <i>Subtotal</i> | \$14,070,000 | \$0 | | |
| 2006 | \$30,178,000 | Feb 7 2008 | \$20,175,000 | | | |
| | | Feb 4 2009 | \$9,995,850 | | | |
| | | <i>Subtotal</i> | \$30,170,850 | \$7,150 | | |
| 2007 | \$13,000,000 | Feb 4 2009 | \$12,998,725 | | | |
| | | <i>Subtotal</i> | \$12,998,725 | \$1,275 | | |
| 2015 | \$46,400,000 | | | | Nov 30 2016 | \$46,400,000 |

Schedule 8C: Revenue Capacity for Tuition Revenue Bond Projects

DATE: 10/14/2016
TIME: 3:10:54PM

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: 755 Agency Name: Stephen F. Austin State University

| | Act 2015 | Act 2016 | Bud 2017 | Est 2018 | Est 2019 |
|---|----------------------|----------------------|----------------------|----------------------|----------------------|
| Gross Tuition | \$21,416,795 | \$22,491,780 | \$22,432,310 | \$22,500,000 | \$22,550,000 |
| Less: Remissions and Exemptions | (3,187,232) | (3,905,885) | (4,101,180) | (4,100,000) | (4,110,000) |
| Less: Refunds | 0 | 0 | 0 | 0 | 0 |
| Less: Installment Payment Forfeits | 0 | 0 | 0 | 0 | 0 |
| Less: Tuition rebates for certain undergraduates (TX. Educ. Code Ann. Sec. 54.0065) | (440,000) | (447,000) | (445,000) | (445,000) | (445,000) |
| Plus: Tuition waived for students 55 years or older (TX. Educ. Code Ann. Sec. 54.013) | 0 | 0 | 0 | 0 | 0 |
| Plus: Tuition waived for Texas Grant Recipients (TX. Educ. Code Ann. Sec. 56.307) | 0 | 0 | 0 | 0 | 0 |
| Subtotal | \$17,789,563 | \$18,138,895 | \$17,886,130 | \$17,955,000 | \$17,995,000 |
| Less: Transfer of Funds for Texas Public Education Grants Program (TX. Educ. Code Ann. Sec. 56c) and for Emergency Loans (TX. Educ. Code Ann. Sec. 56d) | (2,021,427) | (2,100,000) | (2,100,000) | (2,100,000) | (2,100,000) |
| Less: Transfer of Funds (2%) for Physician/Dental Loans (Medical School) | 0 | 0 | 0 | 0 | 0 |
| Less: Statutory Tuition (TX. Educ. Code Ann. Sec. 54.051) Set aside for Doctoral Incentive Loan Repayment Program (TX. Educ. Code Ann. Sec. 56.095) | 0 | 0 | 0 | 0 | 0 |
| Less: Other Authorized Deductions | (2,665,035) | (2,966,469) | (2,725,000) | (2,700,000) | (2,700,000) |
| Total Net Tuition Available to Pledge for Tuition Revenue Bonds | \$13,103,101 | \$13,072,426 | \$13,061,130 | \$13,155,000 | \$13,195,000 |
| Debt Service on Existing Tuition Revenue Bonds | (4,439,181) | (4,320,006) | (4,305,388) | (3,856,275) | (3,851,575) |
| Estimated Debt Service for Authorized but Unissued Tuition Revenue Bonds | 0 | 0 | (3,588,841) | (3,588,841) | (3,588,841) |
| Subtotal, Debt Service on Existing Authorizations | \$(4,439,181) | \$(4,320,006) | \$(7,894,229) | \$(7,445,116) | \$(7,440,416) |
| TOTAL TUITION AVAILABLE FOR NEW AUTHORIZATIONS | \$8,663,920 | \$8,752,420 | \$5,166,901 | \$5,709,884 | \$5,754,584 |
| Debt Capacity Available for New Authorizations | \$99,384,060 | \$100,390,255 | \$59,264,349 | \$65,492,369 | \$66,005,078 |

Schedule 8D: Tuition Revenue Bonds Request by Project
85th Regular Session, Agency Submission, Version 1

Agency Code: 755

Agency Name: **Stephen F. Austin State University**

| Project Name | Authorization Year | Estimated Final Payment Date | Requested Amount 2018 | Requested Amount 2019 |
|--|--------------------|------------------------------|------------------------|------------------------|
| Telecommunications Building and Equipment | 2002 | 10/15/2021 | \$ 970,750.00 | \$ 973,250.00 |
| Early Childhood Research Center (ECRC) | 2006 | 10/15/2027 | \$ 1,282,550.00 | \$ 1,274,375.00 |
| Deferred Maintenance | 2006 | 10/15/2028 | \$ 656,881.00 | \$ 657,950.00 |
| Nursing Building | 2007 | 10/15/2028 | \$ 946,094.00 | \$ 946,000.00 |
| Science, Technology, Engineering, & Math (STEM) Building | 2015 | 10/15/2036 | \$ 3,588,841.00 | \$ 3,588,841.00 |
| | | | \$ 7,445,116.00 | \$ 7,440,416.00 |

755 Stephen F. Austin State University

Special Item: 1 **Stone Fort Museum**

(1) Year Special Item: 1965
Original Appropriations: \$7,500

(2) Mission of Special Item:

The Stone Fort Museum is an educational center serving Stephen F. Austin State University and regional community through interdisciplinary, collaborative research, service learning projects, and educational programs. Its goal is to support the mission of the university and the preservation of eastern Texas history. As an academic support unit of the university, the Museum functions as a center within the College of Liberal and Applied Arts. The Museum's unit objectives are linked to the mission of SFA in four areas: Education Services, Research & Interpretation, Civic Engagement and Resource Management.

(3) (a) Major Accomplishments to Date:

A major installation for 2015, Misery & Remedy: The Rise & Eradication of Epidemic Diseases in East TX involved 23 institut. & private lenders from California to Maine & 144 objects that together emphasized the importance of perseverance & innovation in addressing societal challenges. Guided activities built for K12 incorporated science & math as a means to reinforce STEM teaching & to encourage interdisciplinary connections. Informal learning activities utilized historic collections to teach concepts such as simple machines & inventions. The 2016 exhibit, El Camino Real de los Tejas & the Building of Nacogdoches: 1716–2016, along with completion of the Spanish Colonial Demonstration Garden & the wayside exhib., Planting History, was a collaborative project celebrating the city's tercentennial & the importance of the Camino Real in the develop. of the city. Designated a high-priority site along El Camino Real de los Tejas Natl. Historic Trail, the museum & garden provided patrons a tangible link with the trail's history. Operations provided ongoing svc.-learning opportunities through classroom projects, internships & volunteer opportunities involving students of various degree programs. In 2015, the museum acquired donation of a rare quarter-plate ambrotype of the stone house, & began evaluation & stabilization of natural fiber textile collections. Annually, museum programs reached 12,000 life-long learners onsite, in the classroom & through regional community events, a 20% increase over the previous bienn.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

In the upcoming biennium, staff and partners will update the museum's institutional plan that will promote organizational focus in a number of areas, including, strengthening alliances with university departments and the regional community, enhancing opportunities for external funding and prioritizing resources. Interpretive accomplishments planned for the period include a major exhibit developed in-house focusing on crime and punishment in early East Texas and a project titled, Inside-Out, intended to reduce barriers to collections and their stories through outside and off-site pop-up displays. Working cooperatively with other museums and heritage sites in the region, Inside-Out is intended to engage new audiences and increase programmatic support. All programs are the product of staff research and provide opportunities through internships and faculty-directed research for university students to enhance research, development, and project programming skills critical to success.

(4) Funding Source Prior to Receiving Special Item Funding:

Auxiliary funds ; 1980-81 special gifts

(5) Formula Funding:

N

755 Stephen F. Austin State University

(6) Startup Funding:

N

(7) Transition Funding:

N

(8) Non-general Revenue Sources of Funding:

Federal funding, museum store sales, program fees, and private donations

(9) Consequences of Not Funding:

Special Item funding for the Stone Fort Museum provides a critical base from which to build institutional capacity. Loss of funding would severely curtail the Museum's ability to provide the educational, conservation and research functions essential to its mission. Service learning opportunities that support the academic program and professional development opportunities for teachers and heritage resource professionals would cease. The vital role of East Texas in the development of the State and the historic traditions of the region would be lost to a generation of students and citizens without the preservation of relevant collections and distribution of associated educational products.

755 Stephen F. Austin State University

Special Item: 2 **Rural Nursing Initiative**

(1) Year Special Item: 2006
Original Appropriations: \$843,260

(2) Mission of Special Item:

The mission of the Rural Nursing Initiative is to address the shortage of nurses in rural East Texas by increasing the number of students admitted into the nursing program. Since nurses who train in rural areas tend to remain in rural areas, funding for this item would permit additional students to be admitted to the baccalaureate program and will thereby increase the number of nurses in the East Texas region. This is a continuation of the current project.

(3) (a) Major Accomplishments to Date:

(1)The number of graduates for the past four academic years (Fall/Spring) are as follows:

Fall 2012/Spring 2013: 118

Fall 2013/Spring 2014: 116

Fall 2014/Spring 2015: 130

Fall 2015/Spring 2016: 130

Graduation rate data from THECB AY 2012-2013 – 83% ; AY 2013-2014 – 91% (most current data). We are improving completion and getting more nurses into the workforce.

(2) Improvement in first-time NCLEX pass rate (goal from last cycle). Data from the past three academic years:

Fall 2012/Spring 2013: 87.29%

Fall 2013/Spring 2014: 88.14%

Fall 2014/Spring 2015: 86.36%

Fall 2015: 95%

The National Council of State Boards of Nursing increased the difficulty of the NCLEX-RN significantly in April 2013. National pass rates for first time test takers from that time period dropped from 92.74% in 2012 to 83% in 2013. A drop is expected when increasing the difficulty level, but not this steep. An NCLEX review was offered on campus but was not required until the Fall 2015 cohort. At this time we began requiring the NCLEX Review and instituted a Webinar series in the Capstone course as well as increasing the complexity of Simulations in that course to help students synthesize and apply knowledge. The first group under this plan had a 95% pass rate, the second group will begin testing in June 2016.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

755 Stephen F. Austin State University

(1) Stabilize the number of prelicensure students admitted at 80-90 per semester.

Fall 2014 – 76 Spring 2015 – 54 Fall 2015 – 77 Spring 2016 – 65 Fall 2016 – pending – 80-85. Should any drop off the admission list we have a wait-list of 15.

Admission of qualified students varies widely by semester with more qualified students applying for fall semesters. Through more intense advising and mentoring of pre-nursing students, we hope to increase the numbers, particularly for spring admits. For Fall 2016, we offered 85 places without increasing faculty. The faculty have done some very creative work using the Simulation Lab for the past year, allowing us to admit more students. Increase in clinical space ties to #2 below.

(2) Continue to make meaningful use of the Ed and Gwen Cole Simulation Lab to diversify student experiences, increase confidence, and better prepare for practice.

(3) Obtain approval for a Master's program (Family Nurse Practitioner). The Board of Regents has approved going forward, a proposal is currently with THECB and SACS and is being readied to present to the Texas Board of Nursing. We believe that having a Master's program will improve the health of East Texans and will make all nursing programs more visible as SFASU. This in turn will help increase our BSN applicant pool.

(4) Funding Source Prior to Receiving Special Item Funding:

This was a new Special Item which allowed SFA to expand its nursing program in an effort to assist the State of Texas with the major nursing shortage.

(5) Formula Funding:

Y

(6) Startup Funding:

N

(7) Transition Funding:

N

(8) Non-general Revenue Sources of Funding:

None

(9) Consequences of Not Funding:

Lack of funding for this initiative would create an inability to meet the growing need for qualified nurses in the East Texas area. This funding has been instrumental in our current growth. Were funding to be cut, we would have to decrease the number of faculty which would mean decreasing enrollment to meet Board of Nursing clinical guidelines.

755 Stephen F. Austin State University

Special Item: 3 **Center for Applied Studies in Forestry**

(1) Year Special Item: 1980
Original Appropriations: \$400,000

(2) Mission of Special Item:

The Center for Applied Studies in Forestry (CASF) in the Arthur Temple College of Forestry and Agriculture is dedicated to applied research that delivers working solutions to the economic and ecological challenges associated with forest resources in Texas. It has existed since 1980 and serves a diverse clientele, providing information not available at any other location in the state. The center provides essential knowledge and expertise required to manage, protect and conserve forest and environmental resources in Texas and to promote sustainable economic development. The Center conducts integrated programs of interdisciplinary research, graduate education, training for professional foresters, and provides services to a broad range of clientele groups.

(3) (a) Major Accomplishments to Date:

The CASF Special Item is essential in providing matching funds for federal funds under the McIntire-Stennis Act of 1962 and for leveraging other external funding. During each of the most recent three fiscal years, the Center has obtained about \$4 in leveraged funds for each dollar of Special Item funding provided. Funds provided by the CASF, together with other leveraged funds, have supported the College's graduate program since its inception. Major accomplishments to date include the identification and testing of endocides (endogenous biocides) as an environmentally safe means to control invasive plants in Texas forests, lakes, and rivers; invasive plant species negatively impact both the economic and the ecologic functions of our state's forests and water resources. CASF accomplishments include developing effective means to ensure the regeneration of hardwood and pine stands with desirable species exhibiting maximum growth potential, as well as, strategies to establish and restore the ecological functions of longleaf pine forests, oak savannahs, and bottomland hardwood wetlands. Another accomplishment was the use of geospatial science to study the implications of land ownership changes, population growth, and increasing fragmentations of forested areas on the ecology and the economy of Texas.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

CASF funds are leveraged with federal and grant funds supporting projects that cross a range of activities and expected accomplishments. The forest resources of interest in our studies in Texas include urban forests, urban-wildland interfaces, wildlife, water, and forest recreation, as well as, traditional timber and wood product. As a means to promote forest establishment and conservation, studies will be initiated on how best to compensate forestland owners for ecosystem services such as maintaining critical wildlife habitat and water quality. Studies will continue on developing cost effective and time efficient approaches to inventorying and monitoring an array of economically and ecologically critical forest resources using geospatial and drone technologies. Habitat conditions will be studied and requirements recommended for threatened and endangered wildlife species. Species-specific mechanisms will be studied to control invasive plants and insects using means that are environmentally benign. Streamside management zone recommendations across multiple forest site conditions will be developed to enhance water quantity and quality.

(4) Funding Source Prior to Receiving Special Item Funding:

Funding from 1962-79 was through Gen Revenues (Forestry Research/Water Pollution Research). In 1980, Special Item funds were provided for the establishment of Center for Applied Studies by the 66th Legislature.

755 Stephen F. Austin State University

(5) Formula Funding:

N

(6) Startup Funding:

N

(7) Transition Funding:

N

(8) Non-general Revenue Sources of Funding:

None

(9) Consequences of Not Funding:

The College of Forestry and Agriculture would lose hundreds of thousands of dollars from Federal and private research programs that require matching funds. A lack of CASF funding will lead to the elimination of critical forestry and natural resources research and the elimination of multiple faculty positions. Due to the loss of faculty, non-funding would result in a loss of the Society of American Foresters accredited teaching program.

755 Stephen F. Austin State University

Special Item: 4 **Institutional Enhancement**

(1) Year Special Item: 2000
Original Appropriations: \$2,007,919

(2) Mission of Special Item:

Institutional Enhancement funding is used to attract, hire, and retain qualified faculty members who are committed to quality classroom instruction and research.

(3) (a) Major Accomplishments to Date:

These funds have been integrated into the university's most fundamental and important operations

(3) (b) Major Accomplishments Expected During the Next 2 Years:

Continued funding would provide the ability to maintain current levels of faculty and staff over the next two years.

(4) Funding Source Prior to Receiving Special Item Funding:

N/A

(5) Formula Funding:

N

(6) Startup Funding:

N

(7) Transition Funding:

N

(8) Non-general Revenue Sources of Funding:

None

(9) Consequences of Not Funding:

This funding has been utilized to add faculty in growing programs, enhance faculty and staff salaries institutionally and to support many of the university's core operations. Removal of this funding would greatly hurt the efforts the university has made in attracting and retaining qualified faculty.

755 Stephen F. Austin State University

Special Item: 5 **Applied Poultry Studies and Research**

(1) Year Special Item: 2000
Original Appropriations: \$87,821

(2) Mission of Special Item:

The purpose of this funding is to provide continued support of research, service, and teaching to the poultry industry of the East Texas Region and its allied industries. Research studies are designed to improve the economic efficiency within the integrated poultry industry by continuously improving production parameters, meat yields, and poultry grower management practices. The economics of the poultry industry in East Texas is an estimated 10 to 20 million dollars annually. The Poultry Research Center is in a very unique situation to provide important services to the poultry industry and is the only of its kind in the East Texas Region.

(3) (a) Major Accomplishments to Date:

The major accomplishments to date include the dissemination of research findings from 6 research projects to the integrated poultry industry and its supporters on local, regional, state and national levels. This past year alone research findings were presented at numerous poultry industry meetings, as well as, research meeting in other disciplines such as Occupational Health and Hygiene and Agricultural Engineering. Another vital accomplishment is the teaching of undergraduate and graduate students to provide well prepared graduates for the integrated and allied poultry industries. Our graduates are highly sought after because of the practical “hands-on” experiences they receive through this program via the Poultry Research Center. Finally, the Poultry Research Center is used for several yearly service programs and meetings that directly benefit the poultry industry and other agriculture related industries.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

Plans are to continue to expand cooperative efforts with the allied poultry industry through field research and service learning. Furthermore, the Poultry Research Center will be absolutely vital for the preparation of career employees to fill management positions in the poultry industry. Our position as a poultry research center and commercial poultry grower provides us with unique opportunities to prepare our students for a robust career, while providing scientific and practical information to both industry representatives and poultry growers (farmers). Without the funding to support this Center we would not be able to achieve these accomplishments.

(4) Funding Source Prior to Receiving Special Item Funding:

Special Item Funding

(5) Formula Funding:
N

(6) Startup Funding:
N

(7) Transition Funding:
N

755 Stephen F. Austin State University

(8) Non-general Revenue Sources of Funding:

Special item funding has continued to provide opportunities to leverage funds from both private and governmental funding agencies. This past year research projects were funded by Midori, Inc., Aster Bio, Inc., Once Innovations, Inc., Natural Resource Conservation Service (NRCS), and the Department of Health and Human Services (CDC).

(9) Consequences of Not Funding:

The Poultry Research Center would not be able to function at the level it currently does without these funds. The Center's ability to provide services would be severely limited. Our ability to supply hands-on knowledge to our students would be greatly diminished and this would be very detrimental to our student's ability to find employment in the poultry and allied industries. It becomes extremely difficult to secure research funding to cover all of the associated costs of daily operations and research activities at the Poultry Research Center. Without these funds, we would be limited on our ability to secure additional research and grant funding. The facilities located at the Poultry Research Center need updates and replacements due to age and usefulness and these funds are crucial to keeping the facilities and equipment functional and modernized. If we are not able to update and keep our facilities modernized, we will not be able to teach our students the current technologies, nor would we be able to conduct useful research for the poultry industry. This would be crippling to our program, our department, and our university.

755 Stephen F. Austin State University

Special Item: 6 **Soil, Plant, and Water Analysis Lab**

(1) Year Special Item: 1962
Original Appropriations: \$100,000

(2) Mission of Special Item:

The mission of the Soil, Plant and Water Analysis laboratory involves Public Service, Research, and Instructional Support in analysis of soils, plant tissue, animal wastes, water quality and the development of new plant materials which supports diversity in landscapes of Texas. This comprehensive program supports combined regional agricultural hay, poultry, livestock, dairy and nursery industries with an estimated value of well over a billion dollars.

(3) (a) Major Accomplishments to Date:

The Soil, Plant & Water Analysis Lab, which includes the SFA Gardens, has a long history of service to East Texas with its unique soil types and nutrient requirements. The Mast Arboretum continues to introduce new plant materials that are becoming a part of the nursery/landscape trade. In the past year, over 10,000 K-12 students were involved with environmental education programming. In-service training was also provided for K-12 teachers. The Mast Arboretum, the Ruby Mize Azalea Garden, Gayla Mize Garden, Jimmy Hinds Park & the Pineywoods Native Plant Cntr. continue to enjoy visitation and gain regional support. Collaborative work includes ongoing work with China. Over the past 5 years the Soil, Plant, & Water Analysis Lab has averaged on an annual basis about 4,000 regular soil samples for farmers & home owners, about 700 samples of forage (hay) and 500 samples of manure (broiler litter), and about 250 water and special tests. The lab provides approx. 2500 research samples per year for faculty and graduate students across campus. The Woodash from biomass electric generation evaluation as a lime & potassium source is continuing and has moved from greenhouse pot studies to field plot experiments. New instruments (value \$132,000) were purchased during the past year with Lab & University funds, keeping the Lab on the cutting edge of instrumentation. Unbiased info. soil amendment materials & practices as well as on salt water spills from oil and gas production is being provided.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

The Woodash from biomass electric generation evaluation as a lime & potassium source continues & has moved from greenhouse pot studies to field plot experiments. We expect to make recommendations to farmers & the generating companies about the use and value of ash. These recommendations will help reduce the amount of waste material sent to landfills & provide economical sources of liming agents and fertilizer nutrients to farmers. The instruments in the Lab support Faculty & graduate students across campus. The SFA Gardens which is a part of the soil, water, & plant analysis lab funding expects major accomplishments in the near future include developing a local ecotype seed source for native pollinator plants & promoting the use of sustainable landscape plants and plant communities. In cooperation with current breeding program partners, the Gardens will continue its ornamental plant evaluation program for woody & herbaceous plant material for introduction into the nursery industry. The Gardens will be able to hire student assistants who will learn nursery production, propagation & public garden mgmt. Garden funds will leverage salary of 1 FTE responsible for an environmental education program reaching over 10,000 K-12 students with programs that teach State of TX Science (TEKS) and STAAR test standards through hands-on outdoor experiential activities. Outreach horticulture programming will also reach 14,000 adults & estimated total public participation/visitation will be 50,000.

(4) Funding Source Prior to Receiving Special Item Funding:

Special Item Funding

755 Stephen F. Austin State University

(5) Formula Funding:

N

(6) Startup Funding:

N

(7) Transition Funding:

N

(8) Non-general Revenue Sources of Funding:

University operation and maintenance funds; research funding from private sources.

George and Fay Young Foundation - \$25,000

US Fish & Wildlife Service - \$15,000

USDA, Agricultural Research Service – Blueberry Germplasm Research - \$5,000

Fees charged for routine Lab services and for various analyses of research samples- \$75,000 per year

(9) Consequences of Not Funding:

A majority of the significant work accomplished at the Soil, Plant and Water analysis along with the SFA Gardens would simply not be possible without continued funding of these special items. Continued funding is critical for timely analyses and for the capacity for water research. Failure to fund this special item would severely limit the ability to provide timely test results to farmers and ranchers in the region and reduce the University's research capacity. Many graduate students would be unable to carry out thesis research. The nursery/landscape industry would also be affected by a reduction in services provided.

755 Stephen F. Austin State University

Special Item: 7 **STEM Education / Early Childhood Program - (Exceptional Item Request)**

(1) Year Special Item: 2018
Original Appropriations: \$1,000,000

(2) Mission of Special Item:

To advance a strong educational foundation in science, technology, engineering and mathematics (STEM) to encompass early childhood education and give every child an equal start.

(3) (a) Major Accomplishments to Date:

SFA is perfectly positioned to connect STEM education and early childhood education through the STEM Research and Learning Center and the Early Childhood Research Center. The K-12 outreach initiative through the STEM Research and Learning Center coupled with the Early Childhood Research Center's curricular design to develop intellectual and personal competence is an optimal partnership in creating a program specific to embedding the STEM disciplines in early childhood education. Grounded in the development of educational models that work, SFA has a distinguished track record in designing and executing high profile programs that promote education in general and STEM education in particular. Growth in the number of SFA STEM majors over the past 5 years. STEM outreach activities for K-12 through the STEM Research and Learning Center expanded to include students of ages 6 – 9 in Little Jacks STEM Camp. Reputation throughout the state of the SFA Early Childhood Research Center and SFA early childhood and other educator preparation programs.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

Implementation of high impact practices in STEM education designed by experts in both STEM and early childhood education. To develop best practices for the professional development of teachers and rich learning experiences for young children in preschool and kindergarten classrooms that will be sustainable and replicable across the state of Texas.

(4) Funding Source Prior to Receiving Special Item Funding:

The College of Sciences and Math has a longstanding track record in grant acquisition with the National Science Foundation and THECB. Grants have now expired and it is optimal timing to invest in STEM education.

(5) Formula Funding:

N

(6) Startup Funding:

N

(7) Transition Funding:

N

755 Stephen F. Austin State University

(8) Non-general Revenue Sources of Funding:

None

(9) Consequences of Not Funding:

Without funding it will not be possible to pull together teams of SFA STEM and early childhood faculty, clinical faculty, master teachers and graduate students who are truly highly qualified.

755 Stephen F. Austin State University

Special Item: 8 **Restoration of the 4% Reduction - (Exceptional Item Request)**

(1) Year Special Item: 2018
Original Appropriations: \$246,927

(2) Mission of Special Item:

See Admin Statement

(3) (a) Major Accomplishments to Date:

N/A

(3) (b) Major Accomplishments Expected During the Next 2 Years:

N/A

(4) Funding Source Prior to Receiving Special Item Funding:

N/A

(5) Formula Funding:

N

(6) Startup Funding:

N

(7) Transition Funding:

N

(8) Non-general Revenue Sources of Funding:

None

(9) Consequences of Not Funding:

The institutional enhancement item currently funds faculty salaries and academic support services that include counseling and career services, disability services and science curriculum and outreach coordinators. If the reduction is not restored, we would have to eliminate four positions that support academic student support services.